



# Parametric Surfaces

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(601.457/657)

# Outline

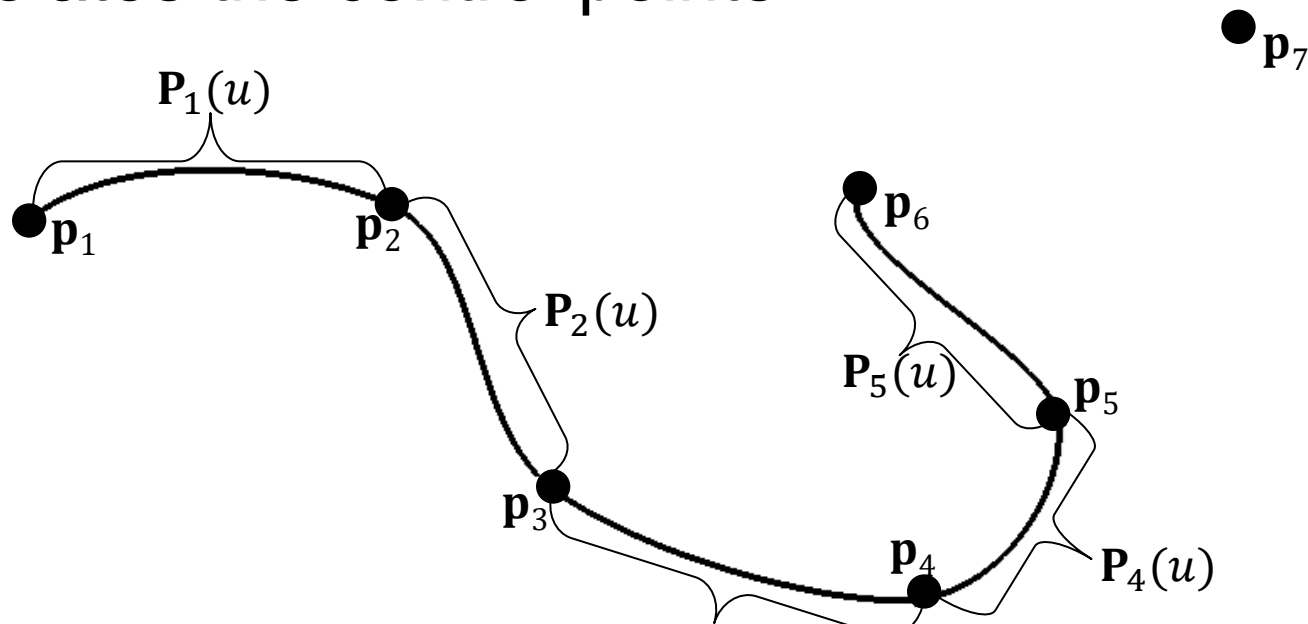
- Spline Surfaces
- Sweep Surfaces





# Cubic Splines

Given  $n + 1$  control points,  $\{\mathbf{p}_0, \dots, \mathbf{p}_n\}$ , we define  $n - 2$  cubic polynomial functions  $\{\mathbf{P}_1(u), \dots, \mathbf{P}_{n-2}(u)\}$  that jointly describe a curve that approximates / interpolates the control points.

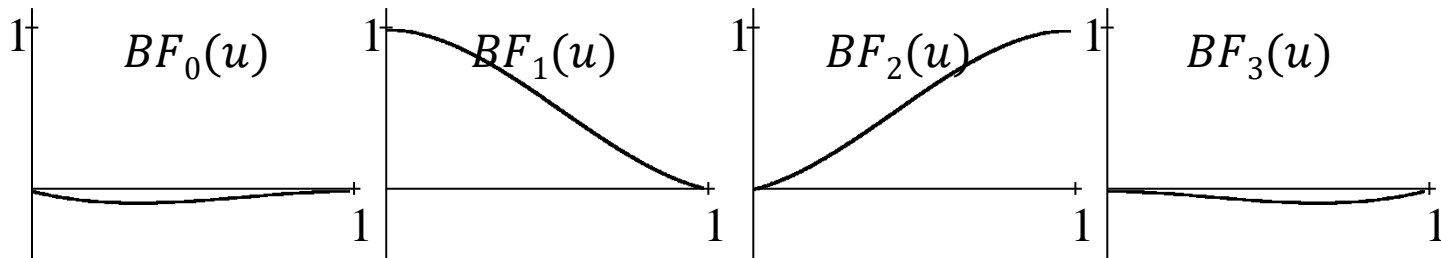


Each cubic function  $\mathbf{P}_k(u)$  is defined on the interval  $0 \leq u \leq 1$  and is determined by the points  $\mathbf{p}_{k-1}$ ,  $\mathbf{p}_k$ ,  $\mathbf{p}_{k+1}$ , and  $\mathbf{p}_{k+2}$ .

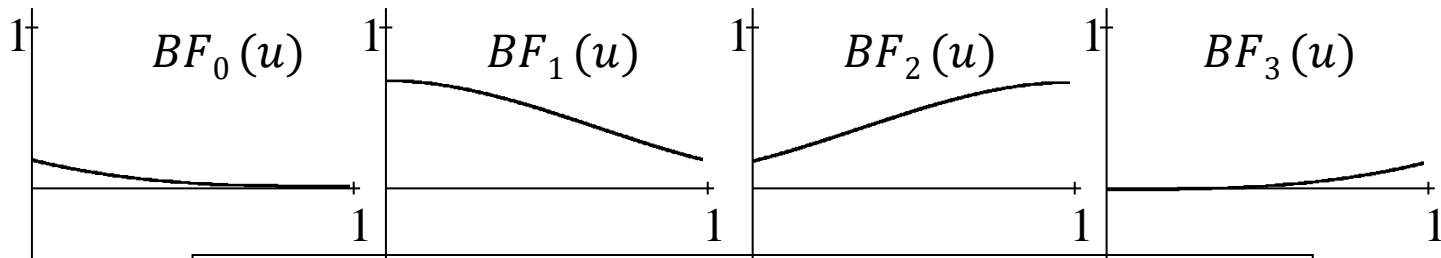


# Cubic Blending Functions

Blending functions provide a way for expressing the functions  $\mathbf{P}_k(u)$  as a weighted sum of the four control points  $\mathbf{p}_{k-1}$ ,  $\mathbf{p}_k$ ,  $\mathbf{p}_{k+1}$ , and  $\mathbf{p}_{k+2}$ :



Cardinal Blending Functions ( $s = 1/2$ )



Uniform Cubic B-Spline Blending Functions ( $s = 1/2$ )

$$\mathbf{P}_k(u) = BF_0(u) \cdot \mathbf{p}_{k-1} + BF_1(u) \cdot \mathbf{p}_k + BF_2(u) \cdot \mathbf{p}_{k+1} + BF_3(u) \cdot \mathbf{p}_{k+2}$$



# Blending Functions

For spline curves, we need/want:

- Translation Equivariance:

$$BF_0(u) + BF_1(u) + BF_2(u) + BF_3(u) = 1 \text{ for all } 0 \leq u \leq 1.$$

- $n$ -th Order Continuity:

$$0 = BF_0^n(1)$$

$$BF_0^n(0) = BF_1^n(1)$$

$$BF_1^n(0) = BF_2^n(1)$$

$$BF_2^n(0) = BF_3^n(1)$$

$$BF_3^n(0) = 0$$

- Convex Hull Containment:

$$BF_0(u), BF_1(u), BF_2(u), BF_3(u) \geq 0, \text{ for all } 0 \leq u \leq 1.$$

- Interpolation:

$$BF_0(0) = 0 \quad BF_0(1) = 0$$

$$BF_1(0) = 1 \quad BF_1(1) = 0$$

$$BF_2(0) = 0 \quad BF_2(1) = 1$$

$$BF_3(0) = 0 \quad BF_3(1) = 0$$

$$\mathbf{P}_k(u) = BF_0(u) \cdot \mathbf{p}_{k-1} + BF_1(u) \cdot \mathbf{p}_k + BF_2(u) \cdot \mathbf{p}_{k+1} + BF_3(u) \cdot \mathbf{p}_{k+2}$$



# Overview

## From Curves to surfaces

- Spline Curves and Blending Functions
- Weighted Averaging
- Spline Surfaces
- Spline Surface Properties



# Weighted Averaging

Suppose we have an array of values:

- $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$ , and  $\mathbf{v}_4$ ,

and we have weights:

- $\alpha_1, \alpha_2, \alpha_3$ , and  $\alpha_4$ , with  $\alpha_1 + \alpha_2 + \alpha_3 + \alpha_4 = 1$ ,
- $\beta_1, \beta_2, \beta_3$ , and  $\beta_4$ , with  $\beta_1 + \beta_2 + \beta_3 + \beta_4 = 1$ .

We can express the weighted average of the  $\mathbf{v}_i$  in matrix form:

$$\sum_{i=1}^4 \alpha_i \mathbf{v}_i = (\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4) \begin{pmatrix} \mathbf{v}_1 \\ \mathbf{v}_2 \\ \mathbf{v}_3 \\ \mathbf{v}_4 \end{pmatrix} \quad \sum_{i=1}^4 \beta_i \mathbf{v}_i = (\mathbf{v}_1 \quad \mathbf{v}_2 \quad \mathbf{v}_3 \quad \mathbf{v}_4) \begin{pmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \end{pmatrix}$$



# Weighted Averaging

If we have a matrix of values:

$$\begin{pmatrix} \mathbf{v}_{11} & \mathbf{v}_{21} & \mathbf{v}_{31} & \mathbf{v}_{41} \\ \mathbf{v}_{12} & \mathbf{v}_{22} & \mathbf{v}_{32} & \mathbf{v}_{42} \\ \mathbf{v}_{13} & \mathbf{v}_{23} & \mathbf{v}_{33} & \mathbf{v}_{43} \\ \mathbf{v}_{14} & \mathbf{v}_{24} & \mathbf{v}_{34} & \mathbf{v}_{44} \end{pmatrix}$$

multiplying on the left by  $(\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4)$  gives:

$$(\alpha_1 \quad \alpha_2 \quad \alpha_3 \quad \alpha_4) \begin{pmatrix} \mathbf{v}_{11} & \mathbf{v}_{21} & \mathbf{v}_{31} & \mathbf{v}_{41} \\ \mathbf{v}_{12} & \mathbf{v}_{22} & \mathbf{v}_{32} & \mathbf{v}_{42} \\ \mathbf{v}_{13} & \mathbf{v}_{23} & \mathbf{v}_{33} & \mathbf{v}_{43} \\ \mathbf{v}_{14} & \mathbf{v}_{24} & \mathbf{v}_{34} & \mathbf{v}_{44} \end{pmatrix}$$



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multiplying on the left by  $(\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4)$  gives:

$$(\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4) \begin{pmatrix} \mathbf{v}_{11} & \mathbf{v}_{21} & \mathbf{v}_{31} & \mathbf{v}_{41} \\ \mathbf{v}_{12} & \mathbf{v}_{22} & \mathbf{v}_{32} & \mathbf{v}_{42} \\ \mathbf{v}_{13} & \mathbf{v}_{23} & \mathbf{v}_{33} & \mathbf{v}_{43} \\ \mathbf{v}_{14} & \mathbf{v}_{24} & \mathbf{v}_{34} & \mathbf{v}_{44} \end{pmatrix} = \begin{pmatrix} \sum \alpha_i \mathbf{v}_{1i} \\ \sum \alpha_i \mathbf{v}_{2i} \\ \sum \alpha_i \mathbf{v}_{3i} \\ \sum \alpha_i \mathbf{v}_{4i} \end{pmatrix}^T$$

... A row vector whose entries are the weighted average of the matrix's columns.



# Weighted Averaging

Similarly, if we have a matrix of values:

$$\begin{pmatrix} \mathbf{v}_{11} & \mathbf{v}_{21} & \mathbf{v}_{31} & \mathbf{v}_{41} \\ \mathbf{v}_{12} & \mathbf{v}_{22} & \mathbf{v}_{32} & \mathbf{v}_{42} \\ \mathbf{v}_{13} & \mathbf{v}_{23} & \mathbf{v}_{33} & \mathbf{v}_{43} \\ \mathbf{v}_{14} & \mathbf{v}_{24} & \mathbf{v}_{34} & \mathbf{v}_{44} \end{pmatrix}$$

multiplying on the right by  $(\beta_1 \beta_2 \beta_3 \beta_4)^\top$  gives:

$$\begin{pmatrix} \mathbf{v}_{11} & \mathbf{v}_{21} & \mathbf{v}_{31} & \mathbf{v}_{41} \\ \mathbf{v}_{12} & \mathbf{v}_{22} & \mathbf{v}_{32} & \mathbf{v}_{42} \\ \mathbf{v}_{13} & \mathbf{v}_{23} & \mathbf{v}_{33} & \mathbf{v}_{43} \\ \mathbf{v}_{14} & \mathbf{v}_{24} & \mathbf{v}_{34} & \mathbf{v}_{44} \end{pmatrix} \begin{pmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \end{pmatrix} = \begin{pmatrix} \sum \beta_j \mathbf{v}_{j1} \\ \sum \beta_j \mathbf{v}_{j2} \\ \sum \beta_j \mathbf{v}_{j3} \\ \sum \beta_j \mathbf{v}_{j4} \end{pmatrix}$$

... A column vector with entries that are the weighted average of the matrix's rows.



# Weighted Averaging

Simultaneously multiplying on the left by  $(\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4)$  and on the right by  $(\beta_1 \ \beta_2 \ \beta_3 \ \beta_4)^\top$  gives:

$$(\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4) \begin{pmatrix} \mathbf{v}_{11} & \mathbf{v}_{21} & \mathbf{v}_{31} & \mathbf{v}_{41} \\ \mathbf{v}_{12} & \mathbf{v}_{22} & \mathbf{v}_{32} & \mathbf{v}_{42} \\ \mathbf{v}_{13} & \mathbf{v}_{23} & \mathbf{v}_{33} & \mathbf{v}_{43} \\ \mathbf{v}_{14} & \mathbf{v}_{24} & \mathbf{v}_{34} & \mathbf{v}_{44} \end{pmatrix} \begin{pmatrix} \beta_1 \\ \beta_2 \\ \beta_3 \\ \beta_4 \end{pmatrix}$$



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$\Rightarrow$  The weighted sum of the  $\mathbf{v}_{ij}$ , weighted by  $\alpha_j \beta_i$ .

Claim: This is a weighted average of the  $\mathbf{v}_{ij}$ :

To show this, we have to show that the total sum of the weights  $\alpha_i \beta_j$  is equal to 1.



# Weighted Averaging

Simultaneously multiplying on the left by  $(\alpha_1 \ \alpha_2 \ \alpha_3 \ \alpha_4)$  and on the right by  $(\beta_1 \ \beta_2 \ \beta_3 \ \beta_4)^\top$  gives:

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$\Rightarrow$  The weighted sum of the  $\mathbf{v}_{ij}$ , weighted by  $\alpha_j \beta_i$ .

Claim: This is a weighted average of the  $\mathbf{v}_{ij}$ :

$$\begin{aligned} \sum_{i,j=1}^4 \alpha_i \beta_j &= \sum_{i=1}^4 \alpha_i \left( \sum_{j=1}^4 \beta_j \right) \\ &= \sum_{i=1}^4 \alpha_i = 1 \end{aligned}$$



# Overview

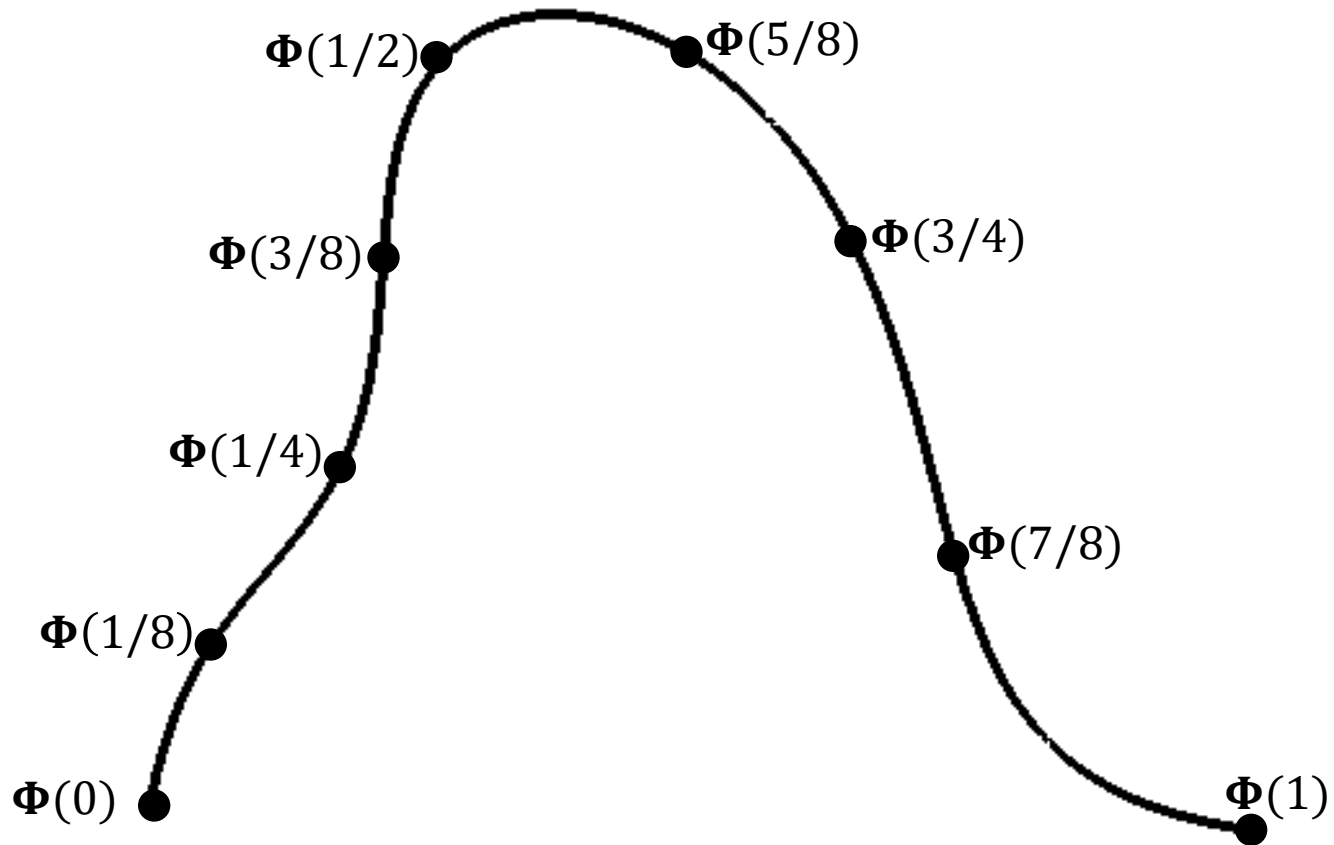
## From Curves to surfaces

- Spline Curves and Blending Functions
- Weighted Averaging
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- Spline Surface Properties



# Spline Surfaces

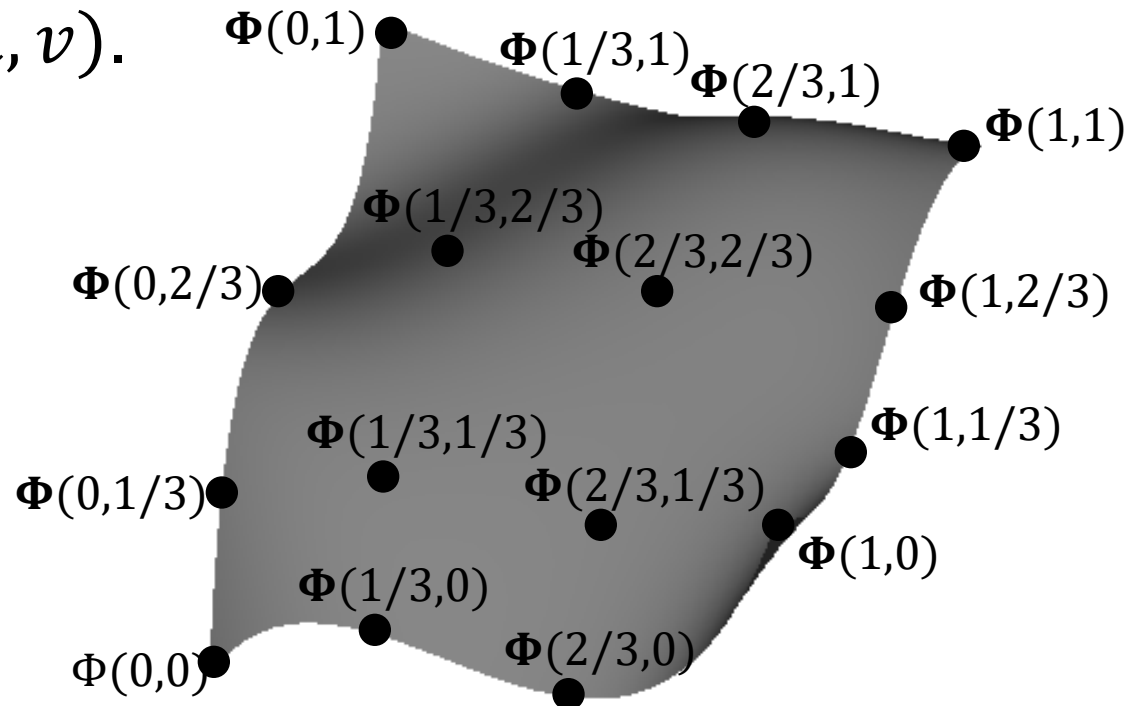
- A parametric curve is a function in one variable  $\Phi(u)$  associating a position to every value of  $u$ .





# Spline Surfaces

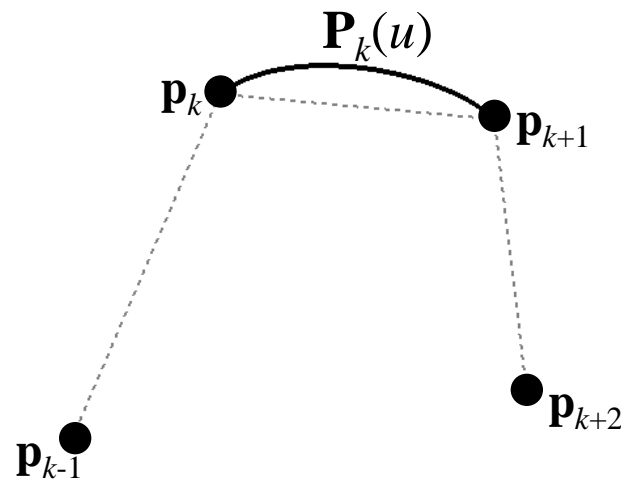
- A parametric curve is a function in one variable  $\Phi(u)$  associating a position to every value of  $u$ .
- A parametric patch is a function in two variables  $\Phi(u, v)$  that associates a position to every pair of values of  $(u, v)$ .





# Spline Surfaces

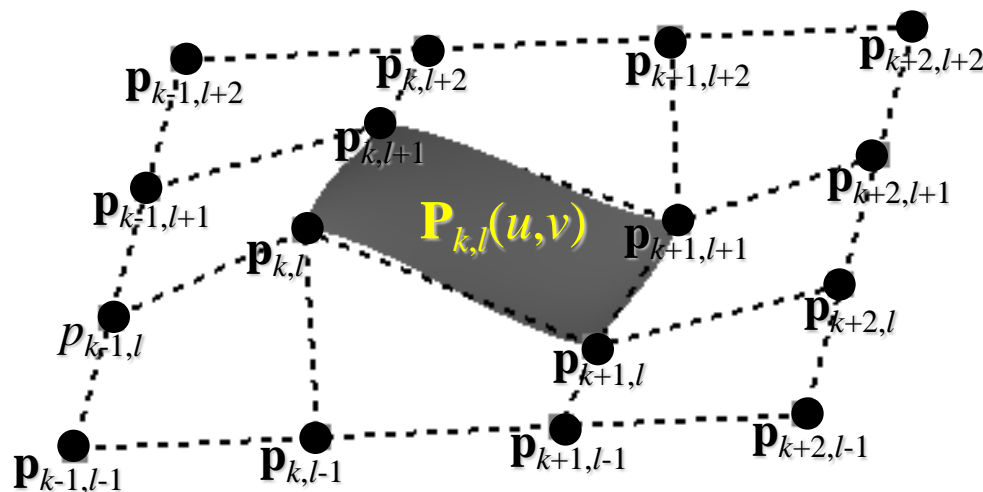
- When considering spline curves, we use four control points to define a cubic polynomial  $\mathbf{P}_k(u)$  in one variable ( $0 \leq u \leq 1$ ).





# Spline Surfaces

- When considering spline curves, we use four control points to define a cubic polynomial  $\mathbf{P}_k(u)$  in one variable ( $0 \leq u \leq 1$ ).
- When considering spline surfaces, we use  $4 \times 4$  control points to define a bi-cubic polynomial  $\mathbf{P}_{k,l}(u, v)$  in two variables ( $0 \leq u, v \leq 1$ ).





# Spline Surfaces

- When considering spline curves, we use four control points to define a cubic polynomial  $\mathbf{P}_k(u)$  in one variable ( $0 \leq u \leq 1$ ).
- When considering spline surfaces, we use  $4 \times 4$  control points to define a bi-cubic polynomial  $\mathbf{P}_{k,l}(u, v)$  in two variables ( $0 \leq u, v \leq 1$ ).

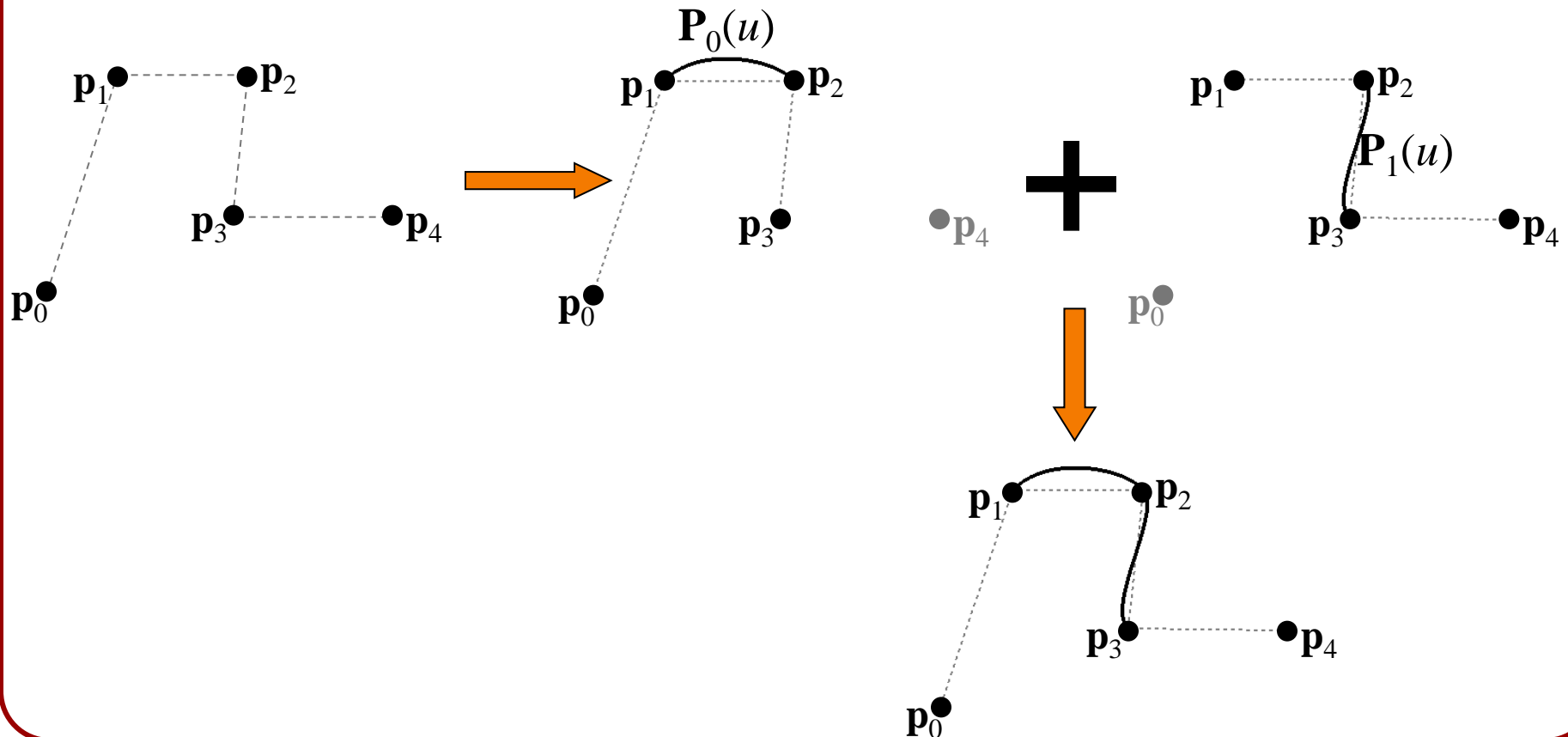
A bi-cubic polynomial is a polynomial which is cubic in each variable:

$$\begin{aligned} \mathbf{P}(u, v) = & \mathbf{a}u^3v^3 + \\ & + \mathbf{b}u^3v^2 + \mathbf{c}u^2v^3 + \\ & + \mathbf{d}u^2v^2 + \mathbf{e}u^1v^3 + \mathbf{f}u^3v^1 + \\ & + \dots \end{aligned}$$



# Spline Surfaces

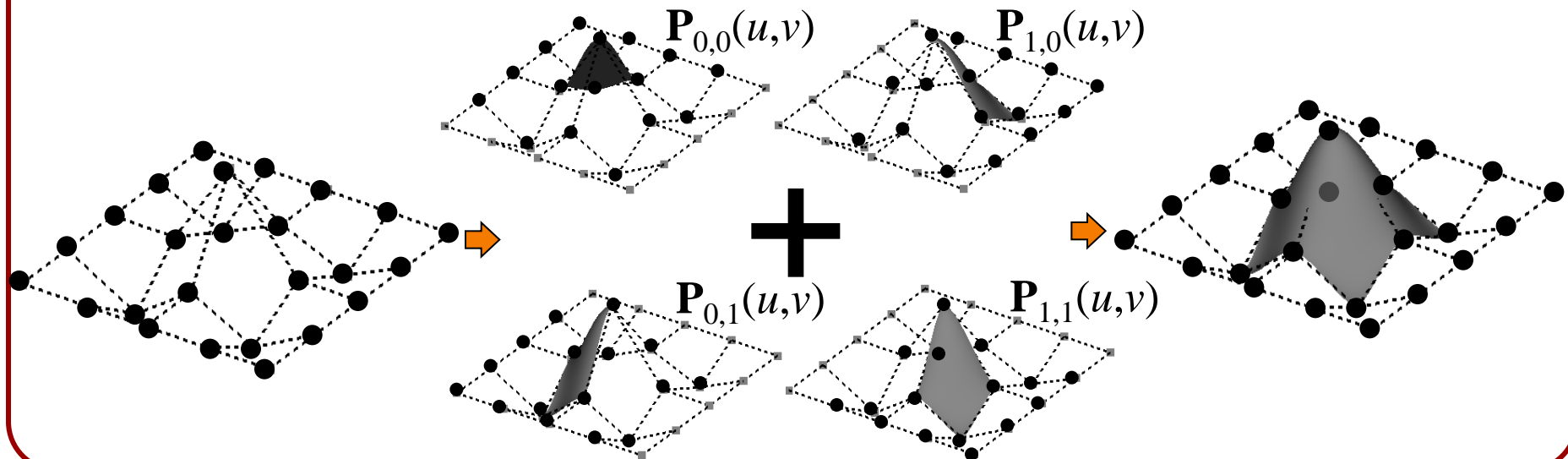
- Given  $n$  points, we generate a piecewise cubic curve consisting of  $n - 3$  segments that approximate/interpolate the points.





# Spline Surfaces

- Given  $n$  points, we generate a piecewise cubic curve consisting of  $n - 3$  segments that approximate/interpolate the points.
- Given  $n \times m$  points, we generate a piecewise bi-cubic surface, consisting of  $(n - 3) \times (m - 3)$  patches that approximate/interpolate the points.

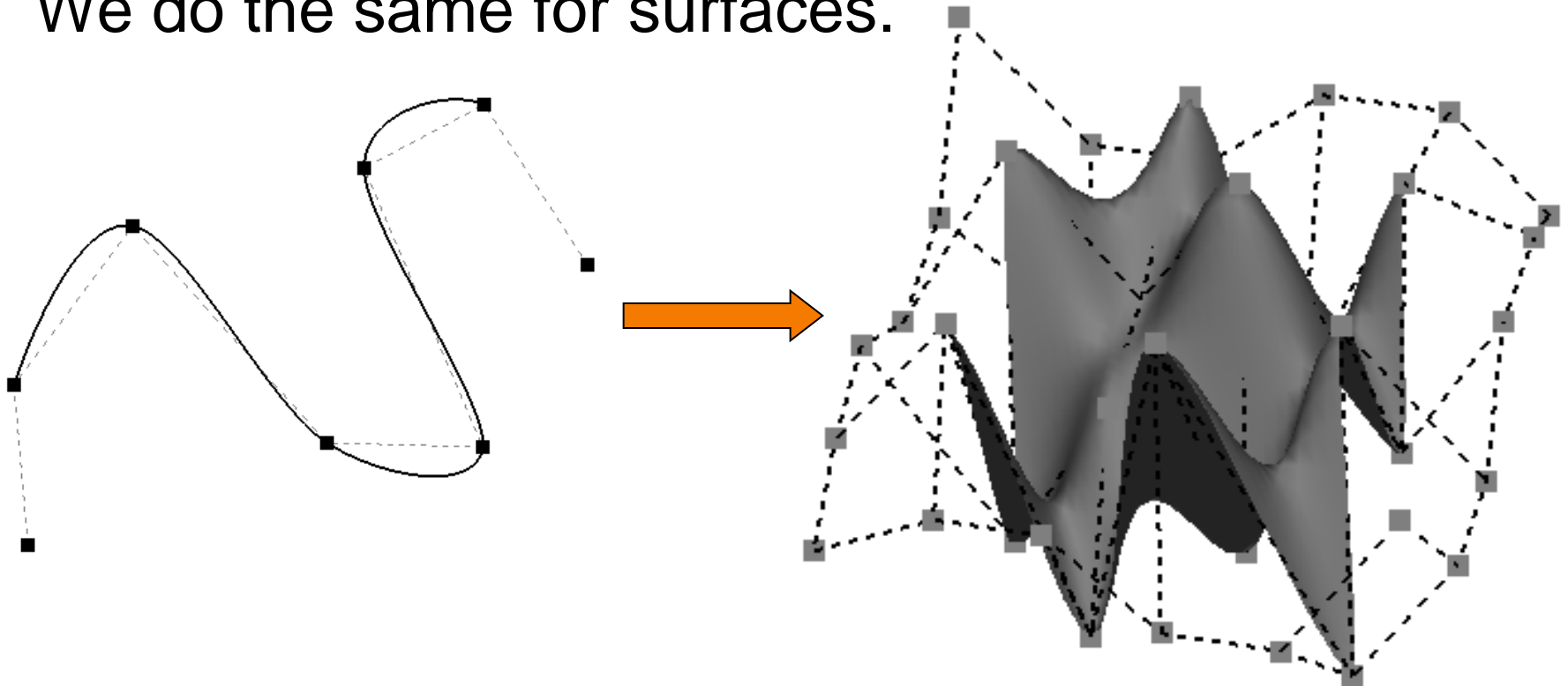




# Spline Surfaces

We generate spline curves by using the blending function to compute the weighted average of the control points.

We do the same for surfaces.





# Cubic Blending Functions

## Recall

For a cubic segment of a spline curve, we can express the spline curve in matrix form as:

$$\mathbf{P}_k(u) = \begin{pmatrix} BF_0(u) \\ BF_1(u) \\ BF_2(u) \\ BF_3(u) \end{pmatrix}^T \begin{pmatrix} \mathbf{p}_{k-1} \\ \mathbf{p}_k \\ \mathbf{p}_{k+1} \\ \mathbf{p}_{k+2} \end{pmatrix}$$

Since the sum of the  $BF_i(u)$  equals 1, this is a weighted average of the control points.

$$\mathbf{P}_k(u) = BF_0(u) \cdot \mathbf{p}_{k-1} + BF_1(u) \cdot \mathbf{p}_k + BF_2(u) \cdot \mathbf{p}_{k+1} + BF_3(u) \cdot \mathbf{p}_{k+2}$$



# Cubic Blending Functions

If we are given a  $4 \times 4$  array of control points, we can define a bi-cubic spline patch similarly:

$$\mathbf{P}_{k,l}(u, v) = \begin{pmatrix} BF_0(v) \\ BF_1(v) \\ BF_2(v) \\ BF_3(v) \end{pmatrix}^T \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \begin{pmatrix} BF_0(u) \\ BF_1(u) \\ BF_2(u) \\ BF_3(u) \end{pmatrix}$$

Since, the sum of the  $BF_i(u)$  equals 1,  $\mathbf{P}_{k,l}(u, v)$  is a weighted average of the control points.



# Cubic Spline Patches

For example, computing the value of the patch at a point  $(u_0, v_0)$  amounts to:

1. Averaging the rows using the weights  $BF_i(u_0)$
2. Averaging the result using the weights  $BF_i(v_0)$ .

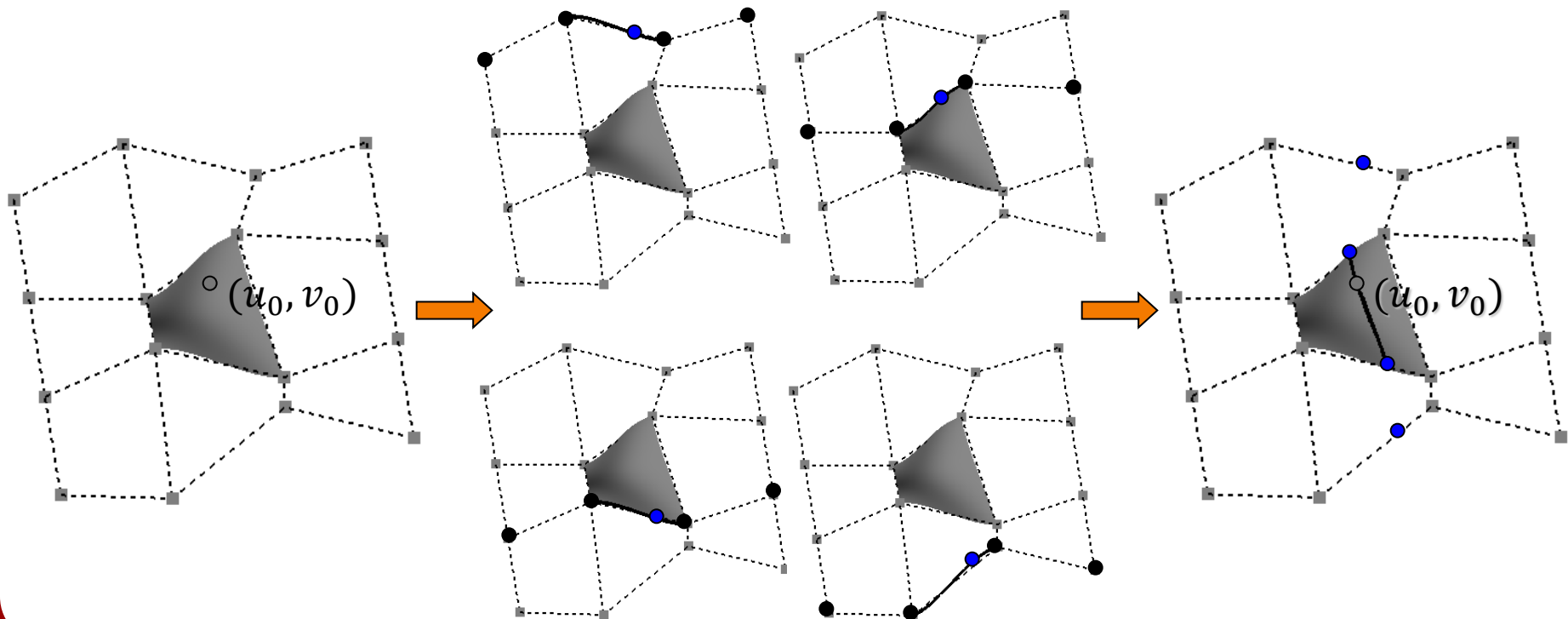
$$\mathbf{P}_{k,l}(u, v) = \begin{pmatrix} BF_0(v) \\ BF_1(v) \\ BF_2(v) \\ BF_3(v) \end{pmatrix}^T \left( \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \begin{pmatrix} BF_0(u) \\ BF_1(u) \\ BF_2(u) \\ BF_3(u) \end{pmatrix} \right)$$



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# Cubic Spline Patches

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Multiplying out the matrices we get:

$$\begin{aligned} \mathbf{P}_{k,l}(u, v) = & BF_0(u) \cdot BF_0(v) \cdot \mathbf{p}_{k-1,l-1} + BF_1(u) \cdot BF_0(v) \cdot \mathbf{p}_{k,l-1} + \cdots \\ & + BF_0(u) \cdot BF_1(v) \cdot \mathbf{p}_{k-1,l} + BF_1(u) \cdot BF_1(v) \cdot \mathbf{p}_{k,l} + \cdots \\ & + \cdots \end{aligned}$$

Or, if we set  $BF_{i,j}(u, v) = BF_i(u) \cdot BF_j(v)$  we get:

$$\begin{aligned} \mathbf{P}_{k,l}(u, v) = & BF_{0,0}(u, v) \cdot \mathbf{p}_{k-1,l-1} + BF_{1,0}(u, v) \cdot \mathbf{p}_{k,l-1} + \cdots \\ & + BF_{0,1}(u, v) \cdot \mathbf{p}_{k-1,l} + BF_{1,1}(u, v) \cdot \mathbf{p}_{k,l} + \cdots \\ & + \cdots \end{aligned}$$



# Cubic Spline Patches

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$$\begin{aligned} \mathbf{P}_{k,l}(u, v) = & BF_0(u) \cdot BF_0(v) \cdot \mathbf{p}_{k-1,l-1} + BF_1(u) \cdot BF_0(v) \cdot \mathbf{p}_{k,l-1} + \dots \\ & + BF_0(u) \cdot BF_1(v) \cdot \mathbf{p}_{k-1,l} + BF_1(u) \cdot BF_1(v) \cdot \mathbf{p}_{k,l} + \dots \\ & + \dots \end{aligned}$$

Or, if we set  $BF_{i,j}(u, v) = BF_i(u) \cdot BF_j(v)$  we get:

$$\begin{aligned} \mathbf{P}_{k,l}(u, v) = & BF_{0,0}(u, v) \cdot \mathbf{p}_{k-1,l-1} + BF_{1,0}(u, v) \cdot \mathbf{p}_{k,l-1} + \dots \\ & + BF_{0,1}(u, v) \cdot \mathbf{p}_{k-1,l} + BF_{1,1}(u, v) \cdot \mathbf{p}_{k,l} + \dots \\ & + \dots \end{aligned}$$



# Cubic Spline Patches

$$\mathbf{P}_{k,l}(u, v) = \begin{pmatrix} BF_0(v) \\ BF_1(v) \\ BF_2(v) \\ BF_3(v) \end{pmatrix}^T \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \begin{pmatrix} BF_0(u) \\ BF_1(u) \\ BF_2(u) \\ BF_3(u) \end{pmatrix}$$


---

Multiplying out the matrices we get:

$$\begin{aligned} \mathbf{P}_{k,l}(u, v) = & BF_0(u) \cdot BF_0(v) \cdot \mathbf{p}_{k-1,l-1} + BF_1(u) \cdot BF_0(v) \cdot \mathbf{p}_{k,l-1} + \cdots \\ & + BF_0(u) \cdot BF_1(v) \cdot \mathbf{p}_{k-1,l} + BF_1(u) \cdot BF_1(v) \cdot \mathbf{p}_{k,l} + \cdots \\ & + \cdots \end{aligned}$$

Or, if we set  $BF_{i,j}(u, v) = BF_i(u) \cdot BF_j(v)$  we get:

$$\begin{aligned} \mathbf{P}_{k,l}(u, v) = & BF_{0,0}(u, v) \cdot \mathbf{p}_{k-1,l-1} + BF_{1,0}(u, v) \cdot \mathbf{p}_{k,l-1} + \cdots \\ & + BF_{0,1}(u, v) \cdot \mathbf{p}_{k-1,l} + BF_{1,1}(u, v) \cdot \mathbf{p}_{k,l} + \cdots \\ & + \cdots \end{aligned}$$



# Cubic Spline Patches

$$\mathbf{P}_{k,l}(u, v) = \begin{pmatrix} BF_0(v) \\ BF_1(v) \\ BF_2(v) \\ BF_3(v) \end{pmatrix}^T \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \begin{pmatrix} BF_0(u) \\ BF_1(u) \\ BF_2(u) \\ BF_3(u) \end{pmatrix}$$


---

Multiplying out the matrices we get:

$$\begin{aligned} \mathbf{P}_{k,l}(u, v) = & BF_0(u) \cdot BF_0(v) \cdot \mathbf{p}_{k-1,l-1} + BF_1(u) \cdot BF_0(v) \cdot \mathbf{p}_{k,l-1} + \dots \\ & + BF_0(u) \cdot BF_1(v) \cdot \mathbf{p}_{k-1,l} + BF_1(u) \cdot BF_1(v) \cdot \mathbf{p}_{k,l} + \dots \\ & + \dots \end{aligned}$$

Or, if we set  $BF_{i,j}(u, v) = BF_i(u) \cdot BF_j(v)$  we get:

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# Cubic Spline Patches

$$\mathbf{P}_{k,l}(u, v) = \begin{pmatrix} BF_0(v) \\ BF_1(v) \\ BF_2(v) \\ BF_3(v) \end{pmatrix}^T \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \begin{pmatrix} BF_0(u) \\ BF_1(u) \\ BF_2(u) \\ BF_3(u) \end{pmatrix}$$


---

Recall that we can write out blending functions as:

$$(BF_0(u) \ BF_1(u) \ BF_2(u) \ BF_3(u)) = \mathbf{M}_{\text{Spline}} U$$

with  $U^T = (u^3 \ u^2 \ u \ 1)$  and  $\mathbf{M}_{\text{Spline}}$  the spline matrix.

This gives:

$$\mathbf{P}_{k,l}(u, v) = V^T \mathbf{M}_{\text{Spline}}^T \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \mathbf{M}_{\text{Spline}} U$$

with  $V^T = (v^3 \ v^2 \ v \ 1)$ .



# Cubic Spline Patches

$$\mathbf{P}_{k,l}(u, v) = \begin{pmatrix} BF_0(v) \\ BF_1(v) \\ BF_2(v) \\ BF_3(v) \end{pmatrix}^T \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \begin{pmatrix} BF_0(u) \\ BF_1(u) \\ BF_2(u) \\ BF_3(u) \end{pmatrix}$$

Recall that we can write out blending functions as:

$$(BF_0(u) \ BF_1(u) \ BF_2(u) \ BF_3(u)) = \mathbf{M}_{\text{Spline}} U$$

Surface splines that are obtained from curve splines in this way are referred to as tensor product splines.

$$\mathbf{P}_{k,l}(u, v) = V^T \mathbf{M}_{\text{Spline}}^T \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \mathbf{M}_{\text{Spline}} U$$

with  $V^T = (v^3 \ v^2 \ v \ 1)$ .



# Cubic Spline Patches

We can choose our favorite spline curve (Cardinal, uniform cubic-B, etc.) and use its blending functions to define a spline patch:

$$\mathbf{P}_{k,l}(u, v) = V^T \boxed{\mathbf{M}_{\text{Spline}}^T} \begin{pmatrix} \mathbf{p}_{k-1,l-1} & \mathbf{p}_{k,l-1} & \mathbf{p}_{k+1,l-1} & \mathbf{p}_{k+2,l-1} \\ \mathbf{p}_{k-1,l} & \mathbf{p}_{k,l} & \mathbf{p}_{k+1,l} & \mathbf{p}_{k+2,l} \\ \mathbf{p}_{k-1,l+1} & \mathbf{p}_{k,l+1} & \mathbf{p}_{k+1,l+1} & \mathbf{p}_{k+2,l+1} \\ \mathbf{p}_{k-1,l+2} & \mathbf{p}_{k,l+2} & \mathbf{p}_{k+1,l+2} & \mathbf{p}_{k+2,l+2} \end{pmatrix} \boxed{\mathbf{M}_{\text{Spline}}} U$$



# Overview

## From Curves to surfaces

- Spline Curves and Blending Functions
- Weighted Averaging
- Spline Surfaces
- Spline Surface Properties



# Blending Functions

For spline curves, we want:

- Translation Equivariance:

$$BF_0(u) + BF_1(u) + BF_2(u) + BF_3(u) = 1 \text{ for all } 0 \leq u \leq 1.$$

- $n$ -th Order Continuity:

$$0 = BF_0^n(1)$$

$$BF_0^n(0) = BF_1^n(1)$$

$$BF_1^n(0) = BF_2^n(1)$$

$$BF_2^n(0) = BF_3^n(1)$$

$$BF_3^n(0) = 0$$

- Convex Hull Containment:

$$BF_0(u), BF_1(u), BF_2(u), BF_3(u) \geq 0, \text{ for all } 0 \leq u \leq 1.$$

- Interpolation:

$$BF_0(0) = 0 \quad BF_0(1) = 0$$

$$BF_1(0) = 1 \quad BF_1(1) = 0$$

$$BF_2(0) = 0 \quad \text{and} \quad BF_2(1) = 1$$

$$BF_3(0) = 0 \quad BF_3(1) = 0$$

Do tensor product splines satisfy these conditions?



# Surface Spline Properties

- Translation equivariance:

- As in the curve case, we need the sum of the blending functions  $BF_{i,j}(u, v)$  to be equal to one.
- But since

$$BF_{i,j}(u, v) = BF_i(u) \cdot BF_j(v)$$

if the  $BF_i(u)$  are weighting functions that sum to 1, then the tensor product functions  $BF_{i,j}(u, v)$  also sum to 1.



# Surface Spline Properties

- Continuity:

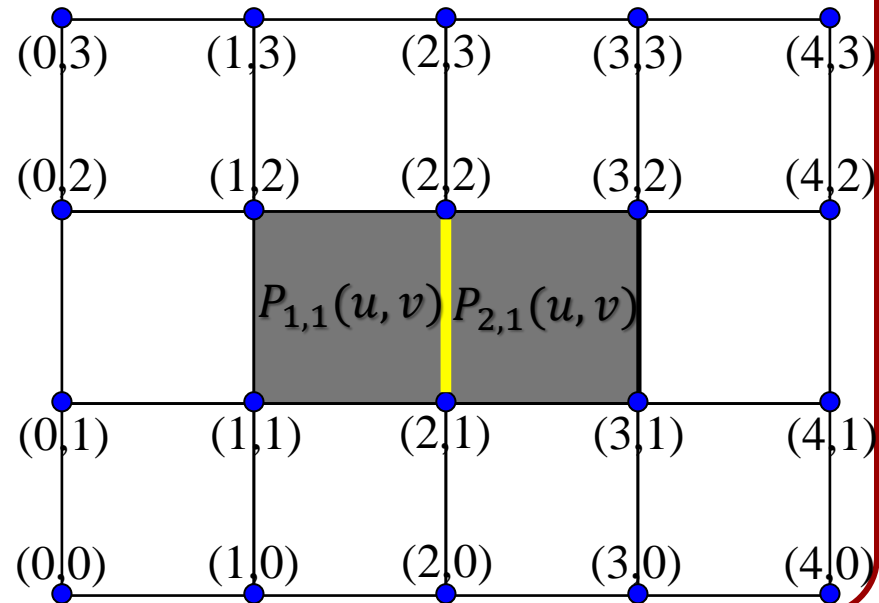
- W.L.O.G. consider continuity along the yellow edge:

$$0 = \mathbf{P}_{1,1}(1, v) - \mathbf{P}_{2,1}(0, v) \quad \forall 0 \leq v \leq 1$$

$\Downarrow$

$$0 = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(0, v) \cdot \mathbf{p}_{i+1,j}$$

Re-index the second term so that the control point indices match.





# Surface Spline Properties

- Continuity:

- W.L.O.G. consider continuity along the yellow edge:

$$0 = \mathbf{P}_{1,1}(1, v) - \mathbf{P}_{2,1}(0, v) \quad \forall 0 \leq v \leq 1$$

$\Downarrow$

$$0 = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(0, v) \cdot \mathbf{p}_{i+1,j}$$

$\Downarrow$

$$0 = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=1}^4 \sum_{j=0}^3 B_{i-1,j}(0, v) \cdot \mathbf{p}_{i,j}$$

Decompose the equation in terms of the control points shared by both patches.

$$\mathbf{p}_{i,j} \quad \text{w/ } 1 \leq i \leq 3 \text{ and } 0 \leq j \leq 3$$



# Surface Spline Properties

- Continuity:

- W.L.O.G. consider continuity along the yellow edge:

$$0 = \mathbf{P}_{1,1}(1, v) - \mathbf{P}_{2,1}(0, v) \quad \forall 0 \leq v \leq 1$$

$\Downarrow$

$$0 = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(0, v) \cdot \mathbf{p}_{i+1,j}$$

$\Downarrow$

$$0 = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=1}^4 \sum_{j=0}^3 B_{i-1,j}(0, v) \cdot \mathbf{p}_{i,j}$$

$\Downarrow$

$$0 = \sum_{j=0}^3 B_{0,j}(1, v) \cdot \mathbf{p}_{0,j} + \sum_{i=1}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=1}^3 \sum_{j=0}^3 B_{i-1,j}(0, v) \cdot \mathbf{p}_{i,j} - \sum_{j=0}^3 B_{3,j}(0, v) \cdot \mathbf{p}_{4,j}$$

Combine terms using the same control points.



# Surface Spline Properties

- Continuity:

- W.L.O.G. consider continuity along the yellow edge:

$$0 = \mathbf{P}_{1,1}(1, v) - \mathbf{P}_{2,1}(0, v) \quad \forall 0 \leq v \leq 1$$

$\Downarrow$

$$0 = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(0, v) \cdot \mathbf{p}_{i+1,j}$$

$\Downarrow$

$$0 = \sum_{i=0}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=1}^4 \sum_{j=0}^3 B_{i-1,j}(0, v) \cdot \mathbf{p}_{i,j}$$

$\Downarrow$

$$0 = \sum_{j=0}^3 B_{0,j}(1, v) \cdot \mathbf{p}_{0,j} + \sum_{i=1}^3 \sum_{j=0}^3 B_{i,j}(1, v) \cdot \mathbf{p}_{i,j} - \sum_{i=1}^3 \sum_{j=0}^3 B_{i-1,j}(0, v) \cdot \mathbf{p}_{i,j} - \sum_{j=0}^3 B_{3,j}(0, v) \cdot \mathbf{p}_{4,j}$$

$\Downarrow$

$$0 = \sum_{j=0}^3 B_{0,j}(1, v) \cdot \mathbf{p}_{0,j} + \sum_{i=1}^3 \sum_{j=0}^3 (B_{i,j}(1, v) - B_{i-1,j}(0, v)) \cdot \mathbf{p}_{i,j} - \sum_{j=0}^3 B_{3,j}(0, v) \cdot \mathbf{p}_{4,j}$$



# Surface Spline Properties

- Continuity:

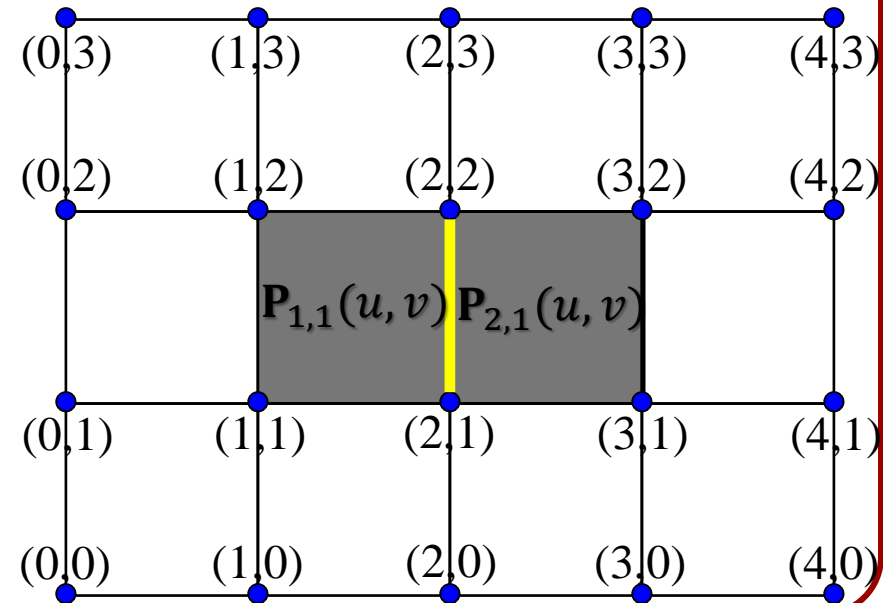
- W.L.O.G. consider continuity along the yellow edge:

$$0 = \sum_{j=0}^3 B_{0,j}(1, v) \cdot \mathbf{p}_{0,j} + \sum_{i=1}^3 \sum_{j=0}^3 (B_{i,j}(1, v) - B_{i-1,j}(0, v)) \cdot \mathbf{p}_{i,j} - \sum_{j=0}^3 B_{3,j}(0, v) \cdot \mathbf{p}_{4,j}$$

For this to be true for all control points  $\mathbf{p}_{ij}$ , we need:

- $B_{0,j}(1, v) = B_{3,j}(0, v) = 0$
- $B_{1,j}(1, v) = B_{0,j}(0, v)$
- $B_{2,j}(1, v) = B_{1,j}(0, v)$
- $B_{3,j}(1, v) = B_{2,j}(0, v)$

for all  $v \in [0,1]$





# Surface Spline Properties

- Continuity:

- W.L.O.G. consider continuity along the yellow edge:

$$0 = \sum_{j=0}^3 B_{0,j}(1, v) \cdot \mathbf{p}_{0,j} + \sum_{i=1}^3 \sum_{j=0}^3 (B_{i,j}(1, v) - B_{i-1,j}(0, v)) \cdot \mathbf{p}_{i,j} - \sum_{j=0}^3 B_{3,j}(0, v) \cdot \mathbf{p}_{4,j}$$

For this to be true for all control points  $\mathbf{p}_{ij}$ , we need:

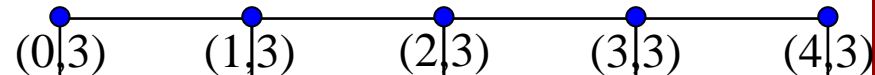
- $B_{0,j}(1, v) = B_{3,j}(0, v) = 0$

- $B_{1,j}(1, v) = B_{0,j}(0, v)$

- $B_{2,j}(1, v) = B_{1,j}(0, v)$

- $B_{3,j}(0, v) = B_{2,j}(1, v)$

for all



$$B_{0,j}(1, v) = B_{3,j}(0, v) = 0$$

$$\Updownarrow$$

$$B_0(1) \cdot B_j(v) = B_3(0) \cdot B_j(v) = 0$$

$$\Uparrow$$

$$B_0(1) = B_3(0) = 0$$

Which is satisfied if the 1D B-spline is continuous!





# Surface Spline Properties

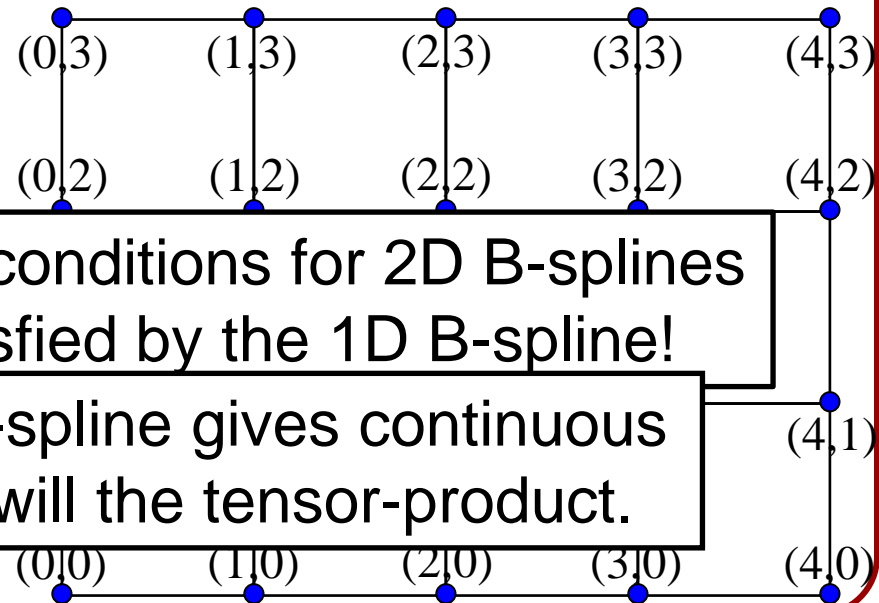
- Continuity:

- W.L.O.G. consider continuity along the yellow edge:

$$0 = \sum_{j=0}^3 B_{0,j}(1, v) \cdot \mathbf{p}_{0,j} + \sum_{i=1}^3 \sum_{j=0}^3 (B_{i,j}(1, v) - B_{i-1,j}(0, v)) \cdot \mathbf{p}_{i,j} - \sum_{j=0}^3 B_{3,j}(0, v) \cdot \mathbf{p}_{4,j}$$

For this to be true for all control points  $\mathbf{p}_{ij}$ , we need:

- $B_{0,j}(1, v) = B_{3,j}(0, v) = 0$
- $B_{1,j}(1, v) = B_{0,j}(0, v)$
- $B_{2,j}(1, v) = B_{1,j}(0, v)$
- $B_{3,j}(1, v) = B_{2,j}(0, v)$



Similarly, the other continuity conditions for 2D B-splines are satisfied if they are satisfied by the 1D B-spline!

More generally, if the 1D B-spline gives continuous  $n$ -th order derivatives, so will the tensor-product.



# Surface Spline Properties

- Convex hull containment:
  - For convex hull containment we need the weights of the blending function to be non-negative.
  - If the  $BF_i(u)$  are non-negative, then since
$$BF_{i,j}(u, v) = BF_i(u) \cdot BF_j(v)$$
the  $BF_{i,j}(u, v)$  will also be non-negative.



# Surface Spline Properties

- Interpolation:

- For the spline surface to interpolate, it must satisfy:

- »  $BF_{1,1}(0,0) = BF_{1,2}(0,1) = BF_{2,1}(1,0) = BF_{2,2}(1,1) = 1.$

- » All the other blending functions evaluate to 0 at the end-points.

- The spline curve is interpolating if:

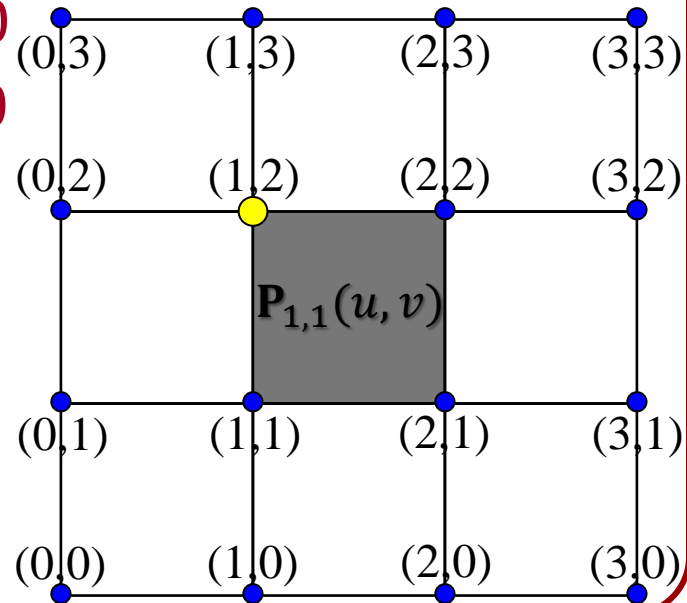
- »  $BF_0(0) = BF_2(0) = BF_3(0) = 0$

- »  $BF_0(1) = BF_1(1) = BF_3(1) = 0$

- »  $BF_1(0) = 1$

- »  $BF_2(1) = 1$

$$BF_{1,2}(0,1) = BF_1(0) \cdot BF_2(1)$$





# Surface Spline Properties

We began by describing some of the properties that we would like spline curves to satisfy:

- Translation equivariance
- Continuity
- Convex hull containment
- Interpolation

If the curve spline satisfies these properties, then so will the tensor product spline!



# Surface Spline Properties

We began by describing some of the properties that we would like spline curves to satisfy:

- Translation equivariance
- Continuity
- Convex hull containment
- Interpolation

As with curves, we can handle boundaries by:

- If the will th
- Ignoring them
  - Doubling up
  - Introducing cylindrical/toroidal periodicity
- then so

**Surface Spline Demo**

# Outline

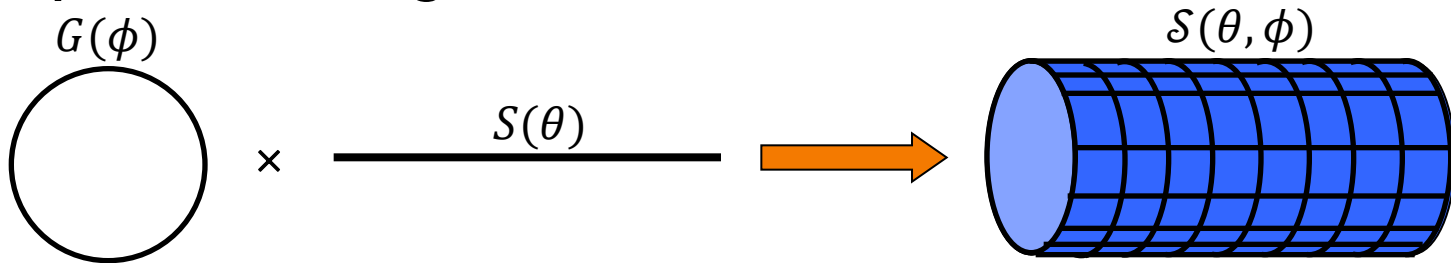
- Spline Surfaces
- Sweep Surfaces





# Sweeps

Given a 3D sweep curve  $S(\theta)$  and a 2D generating curve  $G(\phi)$ , define the sweep surface  $\mathcal{S}(\theta, \phi)$  as the sweep of  $C$  along  $H$ :



In this example, the sweep curve is used to translate the generating curve:

$$\mathcal{S}(\theta, \phi) = S(\theta) + G(\phi)$$

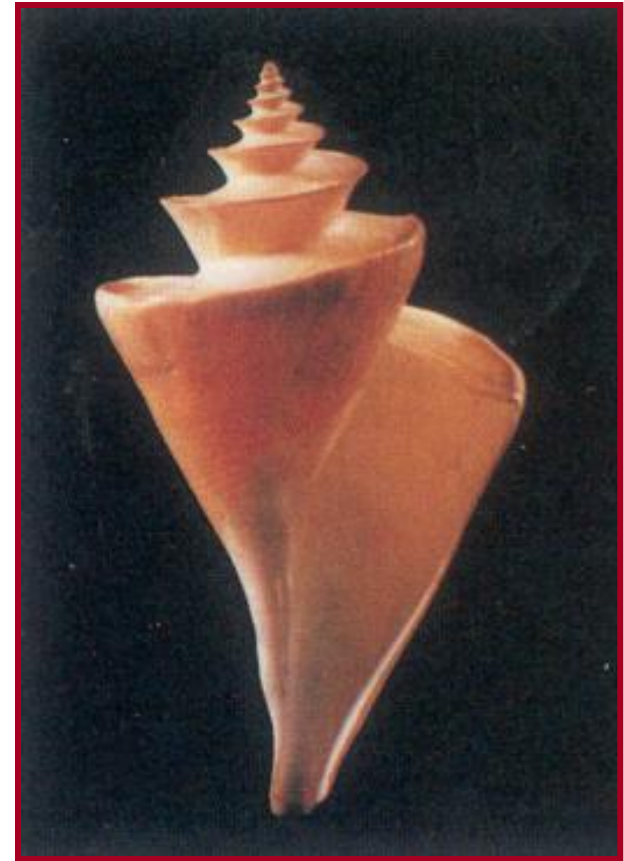
We can define more complex sweep surfaces.



# Example: Seashells

- Create 3D polygonal surface models of seashells

“Modeling Seashells,”  
Deborah Fowler, Hans Meinhardt,  
and Przemyslaw Prusinkiewicz,  
Computer Graphics (SIGGRAPH 92),  
Chicago, Illinois, July, 1992, p 379-387.

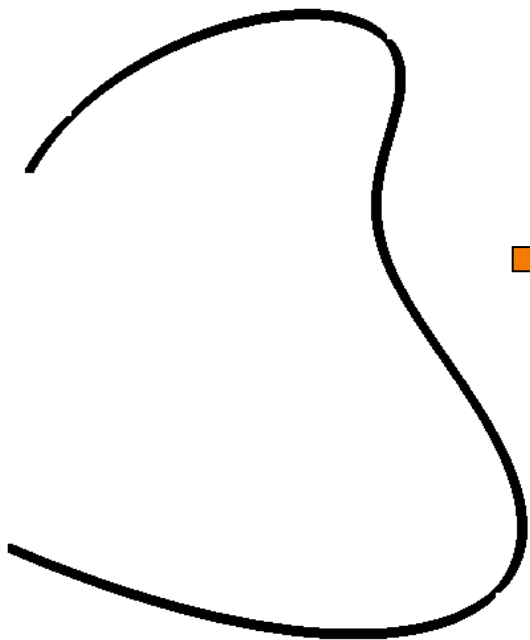


Fowler et al. Figure 7



# Example: Seashells

- Sweep generating curve around helico-spiral axis



Generating Curve





# Example: Seashells

- Sweep generating curve around helico-spiral axis

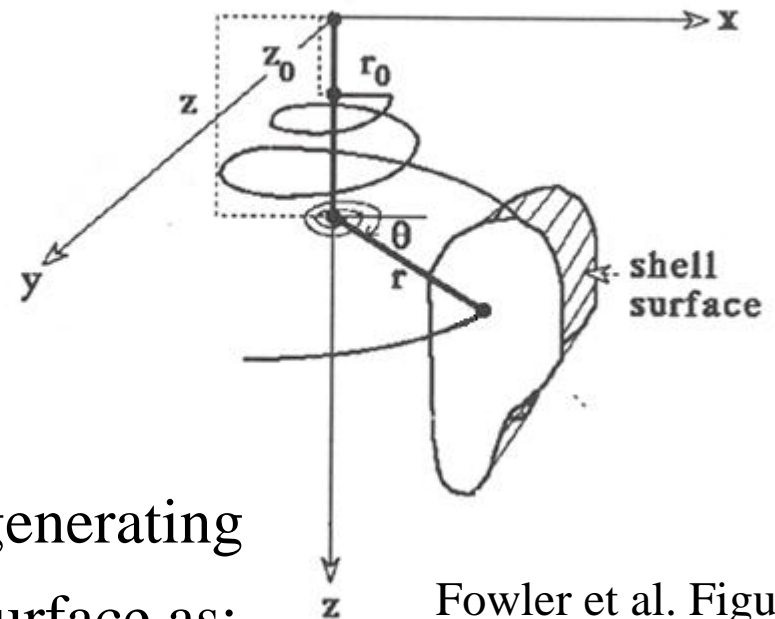
Helico-Spiral definition:

$$H(\theta) = (\cos \theta \cdot r(\theta), z(\theta), \sin \theta \cdot r(\theta))$$

Angle:  $\theta$

Radius:  $r(\theta) = e^{\lambda\theta}$

Height:  $z(\theta) = e^{\mu\theta}$



If  $G(\phi) = (G_x(\phi), G_y(\phi))$  is the generating curve, we can try to represent the surface as:

$$S(\theta, \phi) = S(\theta) + (G_x(\phi), G_y(\phi), 0) \cdot r(\theta)$$

Fowler et al. Figure 1



# Example: Seashells

- Sweep generating curve around helico-spiral axis

Helico-Spiral definition:

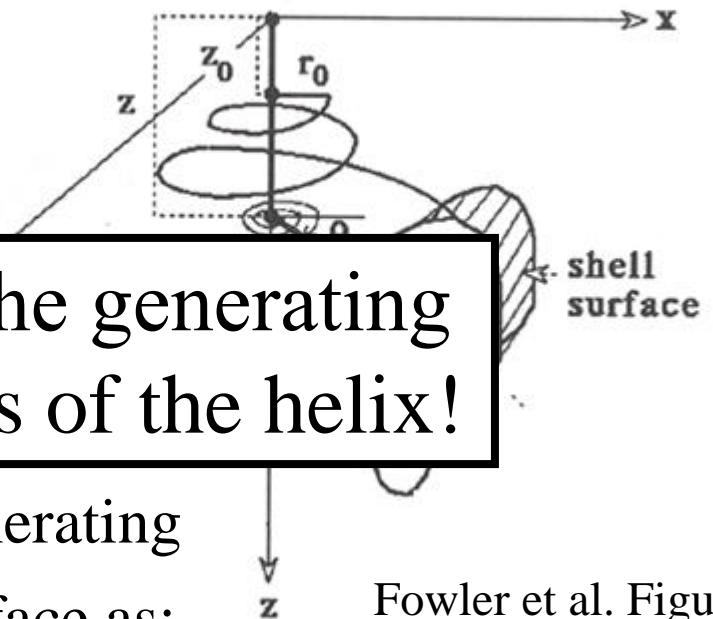
$$H(\theta) = (\cos \theta \cdot r(\theta), z(\theta), \sin \theta \cdot r(\theta))$$

Angle:  $\theta$

Radius:  $r(\theta) = e^{\lambda\theta}$

Height:  $z(\theta) = e^{\mu\theta}$

This doesn't rotate the generating curve around the axis of the helix!



If  $G(\phi) = (G_x(\phi), G_y(\phi))$  is the generating curve, we can try to represent the surface as:

$$S(\theta, \phi) = S(\theta) + (G_x(\phi), G_y(\phi), 0) \cdot r(\theta)$$

Fowler et al. Figure 1



# Example: Seashells

- Sweep generating curve around helico-spiral axis

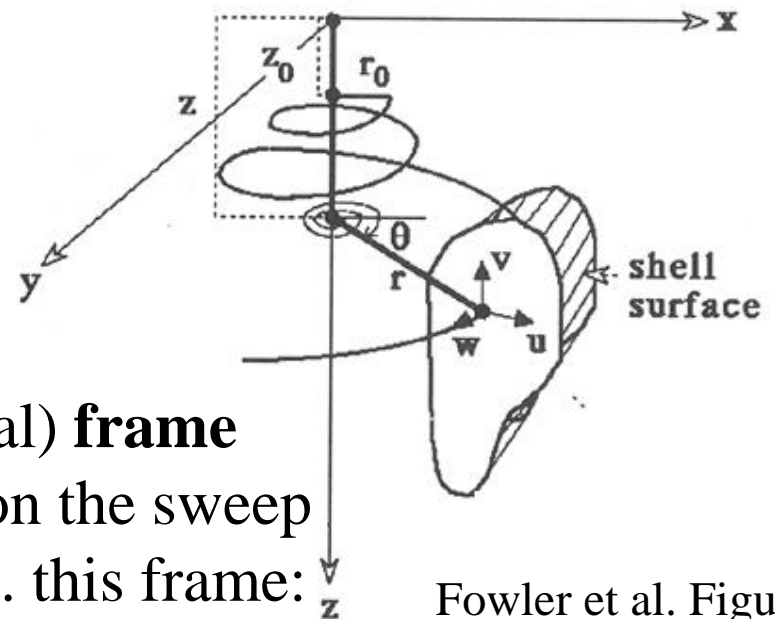
Helico-Spiral definition:

$$H(\theta) = (\cos \theta \cdot r(\theta), z(\theta), \sin \theta \cdot r(\theta))$$

Angle:  $\theta$

Radius:  $r(\theta) = e^{\lambda\theta}$

Height:  $z(\theta) = e^{\mu\theta}$



Instead, compute a local (orthogonal) **frame**  $\{\vec{u}(\theta), \vec{v}(\theta), \vec{w}(\theta)\}$  at each point on the sweep curve and describe the surface w.r.t. this frame:

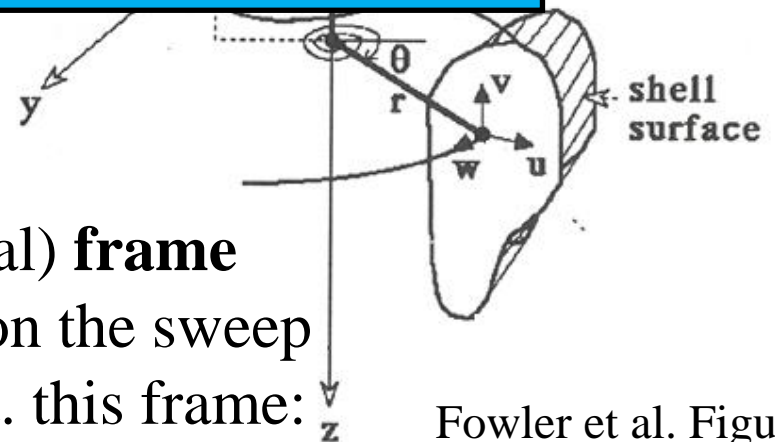
Fowler et al. Figure 1

$$S(\theta, \phi) = S(\theta) + \left( \vec{u}(\theta) \cdot G_x(\phi) + \vec{v}(\theta) \cdot G_y(\phi) \right) \cdot r(\theta)$$



# Example: Seashells

- Sweep  $\vec{u}(\theta)$  and  $\vec{v}(\theta)$  define the plane that is perpendicular to the curve  $H$  at  $\theta$ :
  - $\vec{w}(\theta)$  is the curve tangent
  - $\vec{u}(\theta)$  is the curve normal
  - $\vec{v}(\theta)$  is the curve bi-tangent (perpendicular to  $\vec{u}(\theta)$  and  $\vec{w}(\theta)$ )
- Helico-S
- Angle:
- Radius:
- Height:  $z(\theta) = e^{r\theta}$



Instead, compute a local (orthogonal) **frame**  $\{\vec{u}(\theta), \vec{v}(\theta), \vec{w}(\theta)\}$  at each point on the sweep curve and describe the surface w.r.t. this frame:

Fowler et al. Figure 1

$$S(\theta, \phi) = S(\theta) + \left( \vec{u}(\theta) \cdot G_x(\phi) + \vec{v}(\theta) \cdot G_y(\phi) \right) \cdot r(\theta)$$



# Example: Seashells

- Generate different shells by varying parameters



Different helico-spirals



# Example: Seashells

- Generate different shells by varying parameters



Different generating curves

# Example: Seashells



Generate many interesting shells  
with a simple procedural model!

