



# 3D Polygon Rendering Pipeline

Michael Kazhdan

(601.457/657)



# 3D Polygon Rendering

- Many applications require *interactive* rendering of 3D polygons with direct illumination



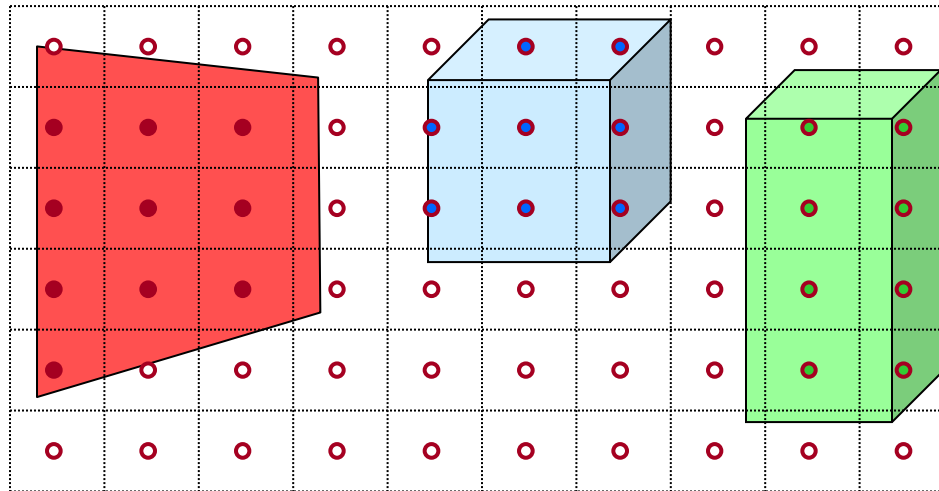
God of War

(Santa Monica Studio, 2018)



# Ray Casting

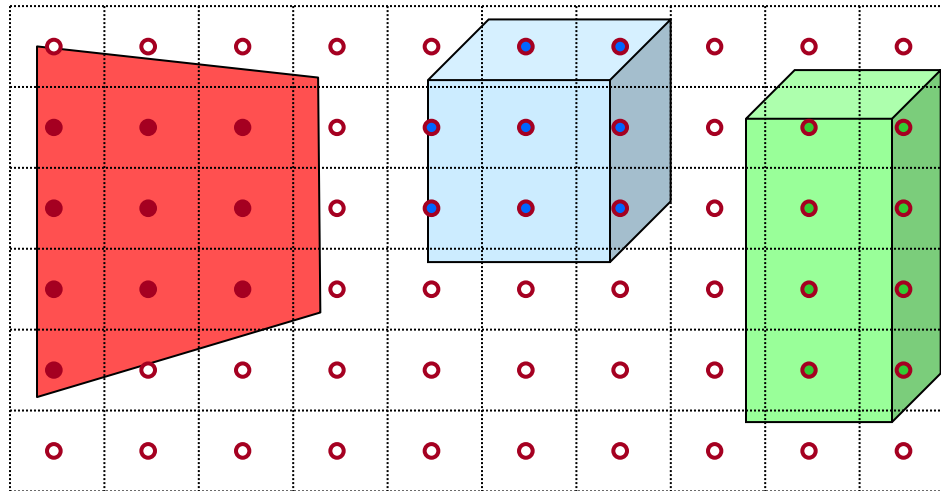
- For each sample:
    - Construct ray from the camera into the scene
    - Find first surface intersected by ray through pixel
    - Compute color of sample based on surface radiance
- ⇓
- Send 2D pixels into the scene and get color



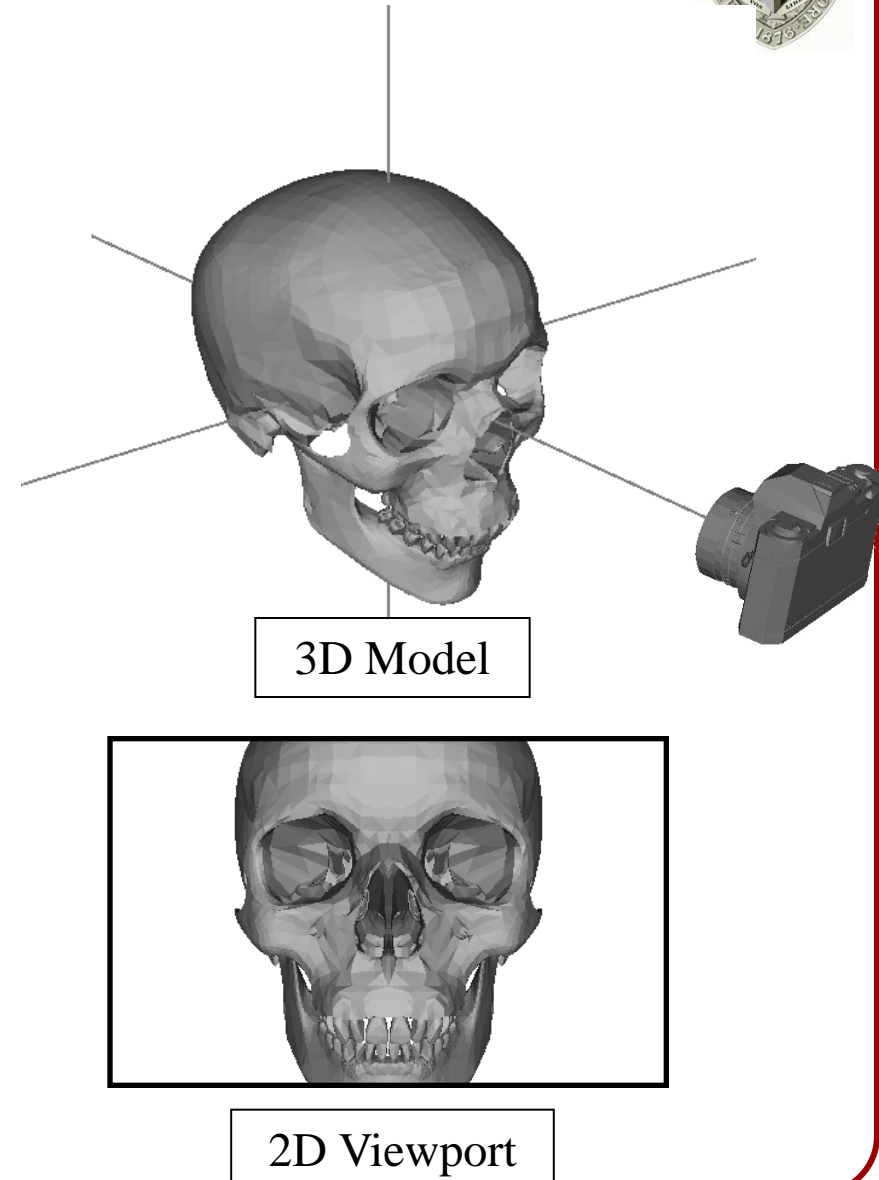
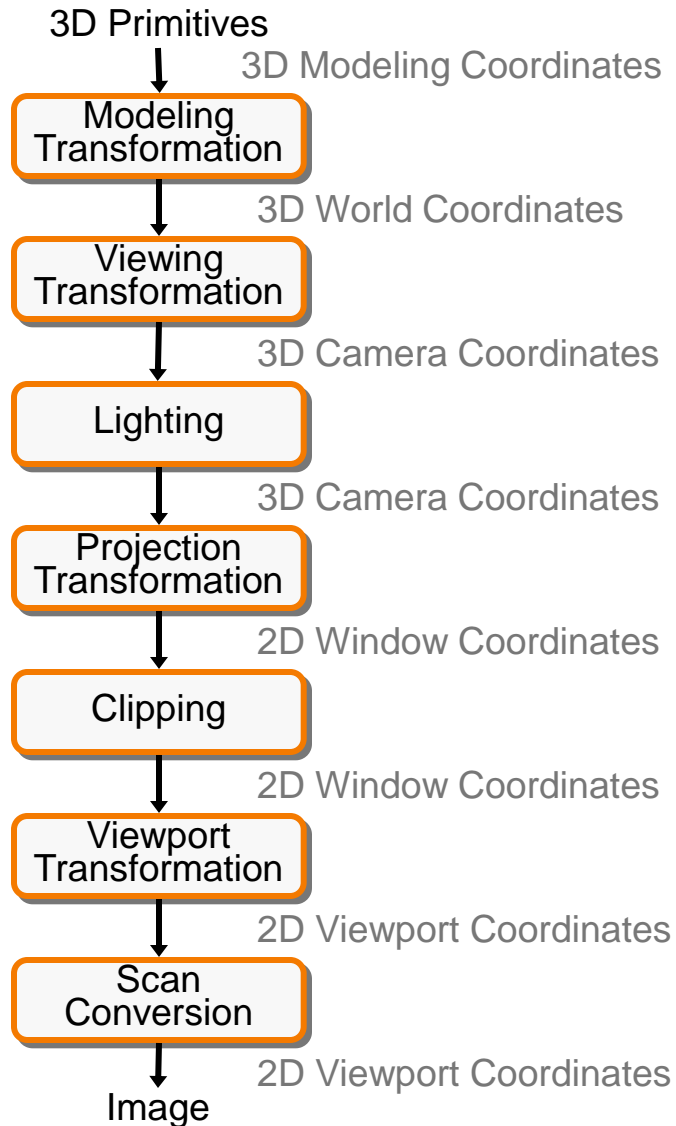


# 3D Polygon Rendering

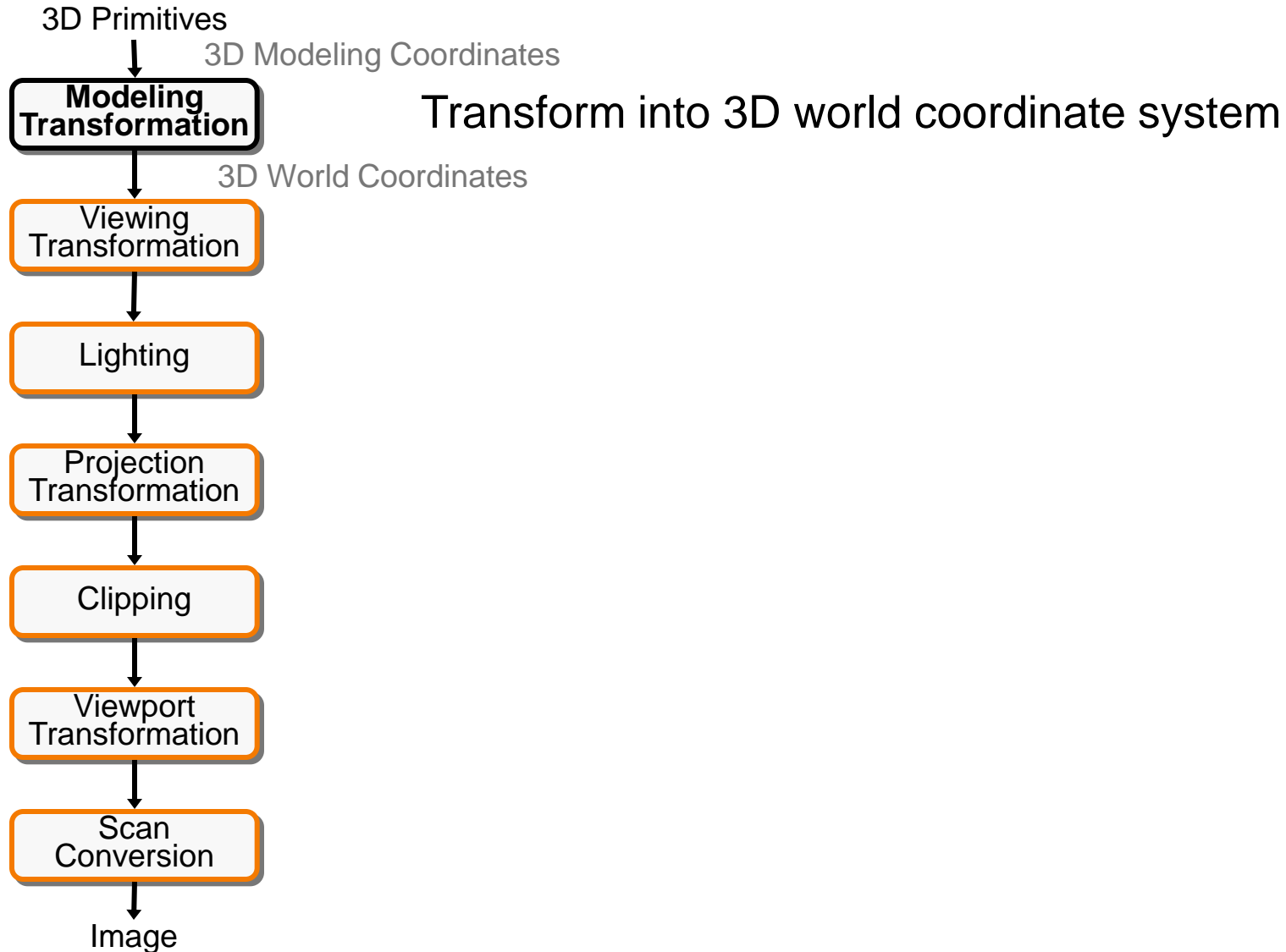
- For each primitive:
  - Send 3D points to the camera and set the pixel color



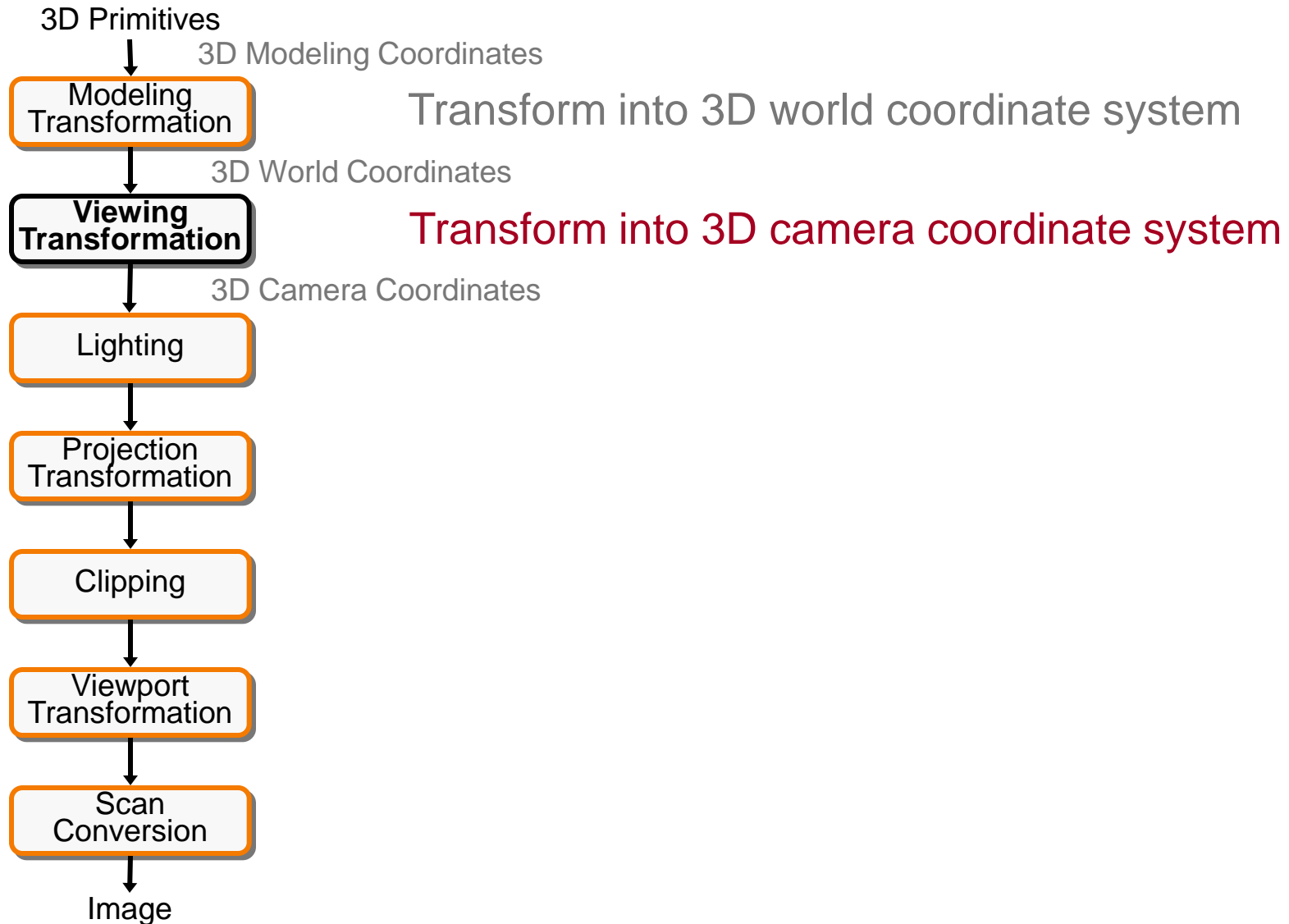
# 3D Rendering Pipeline (for direct illumination)



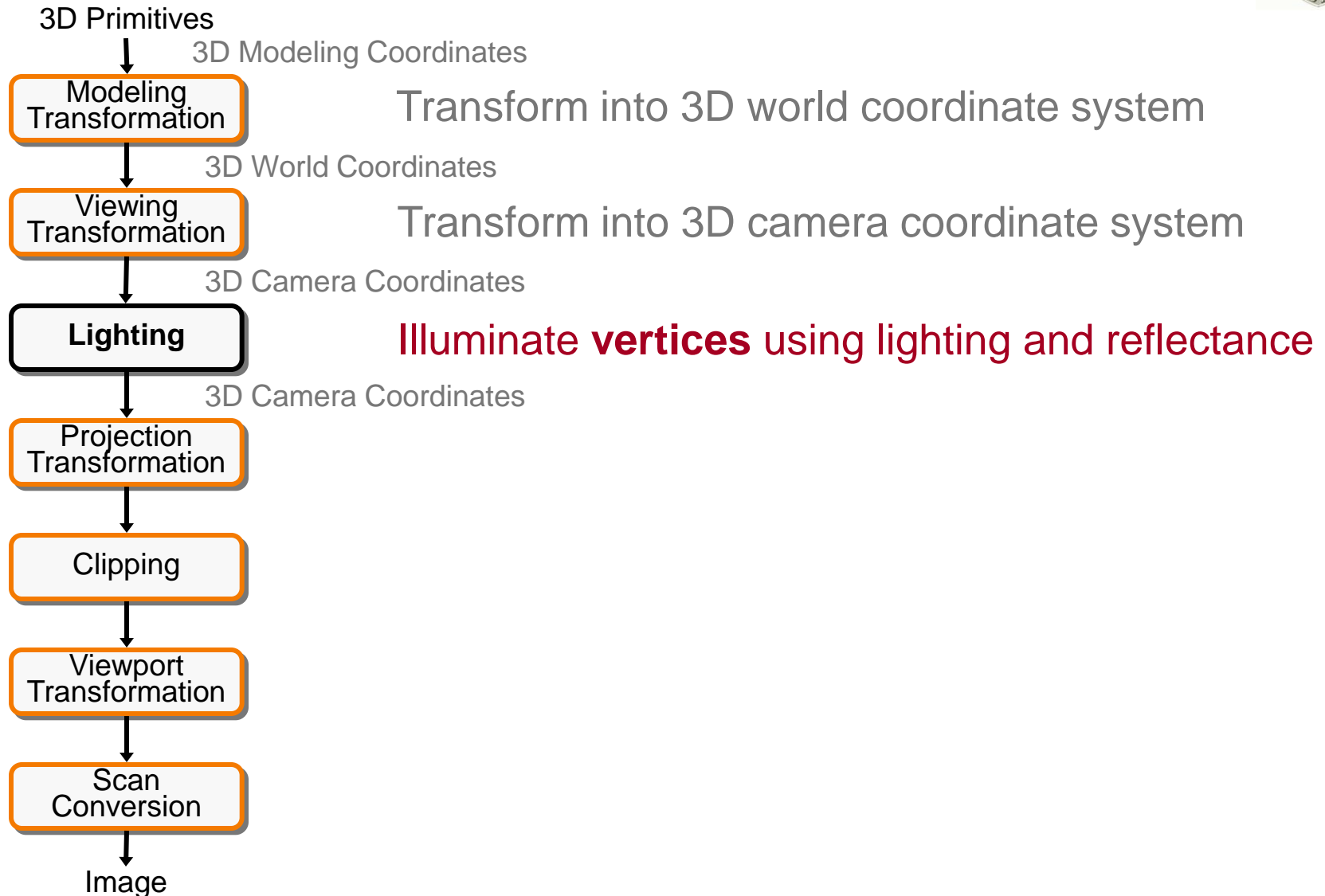
# 3D Rendering Pipeline (for direct illumination)



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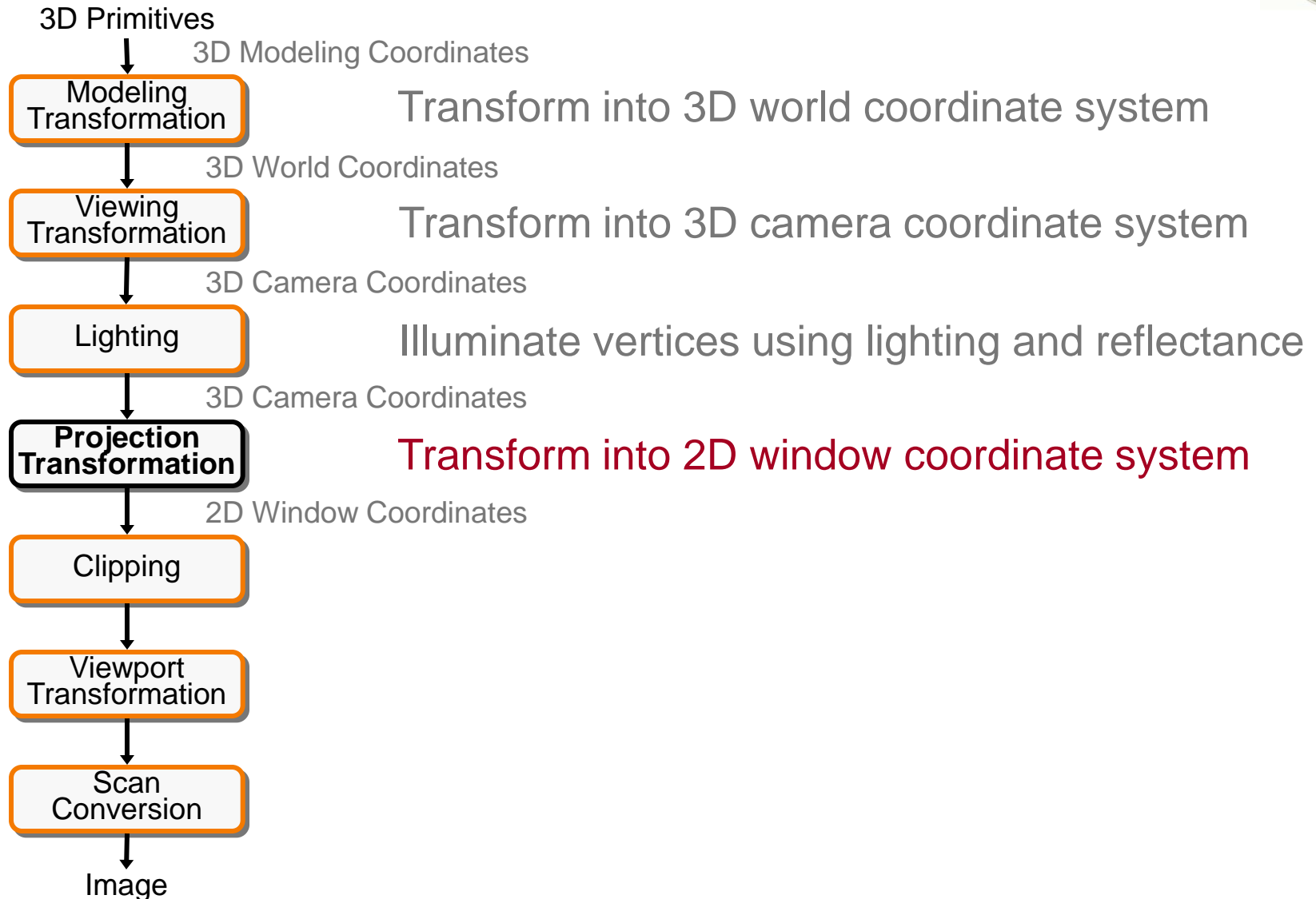


# 3D Rendering Pipeline (for direct illumination)

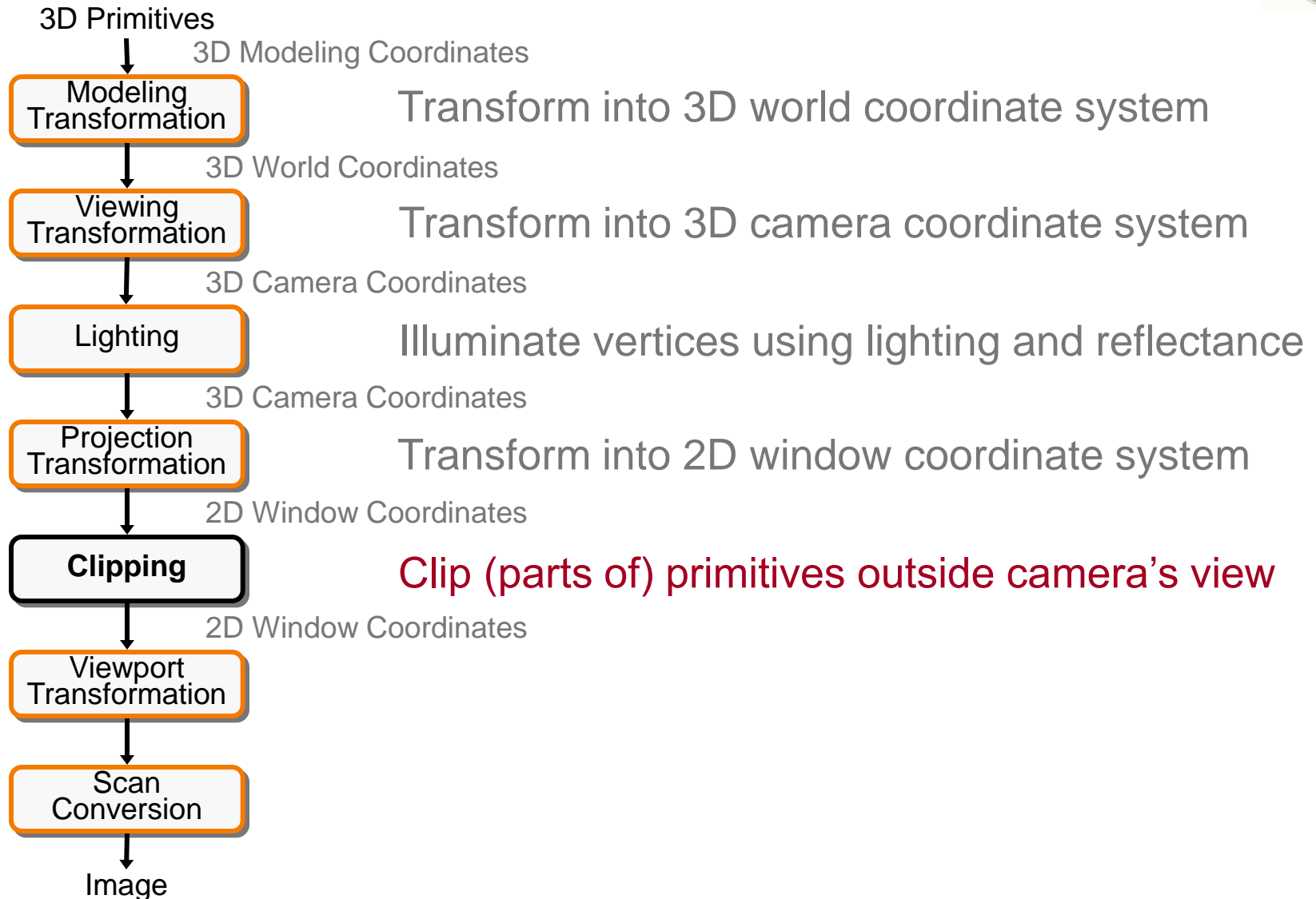




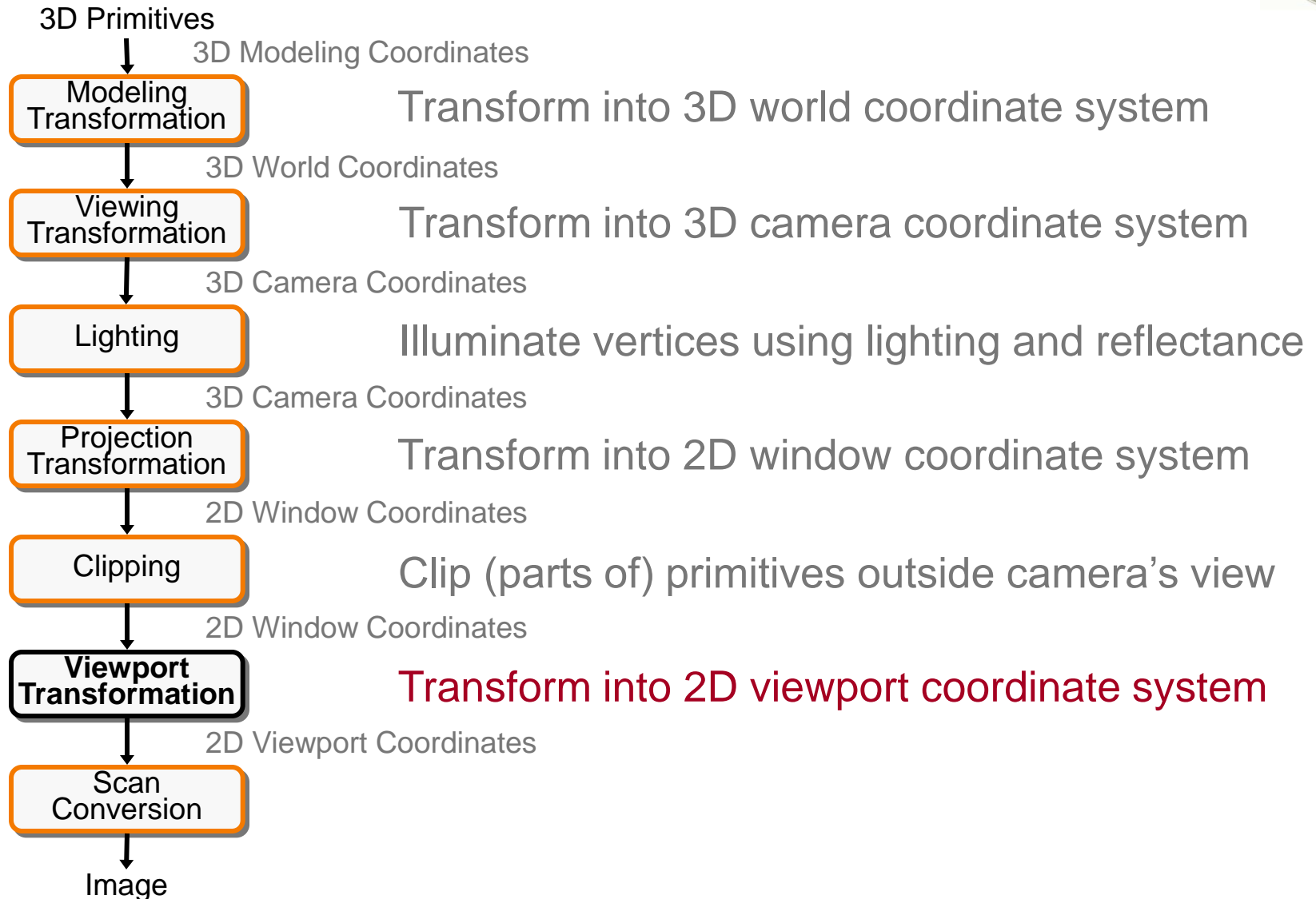
# 3D Rendering Pipeline (for direct illumination)



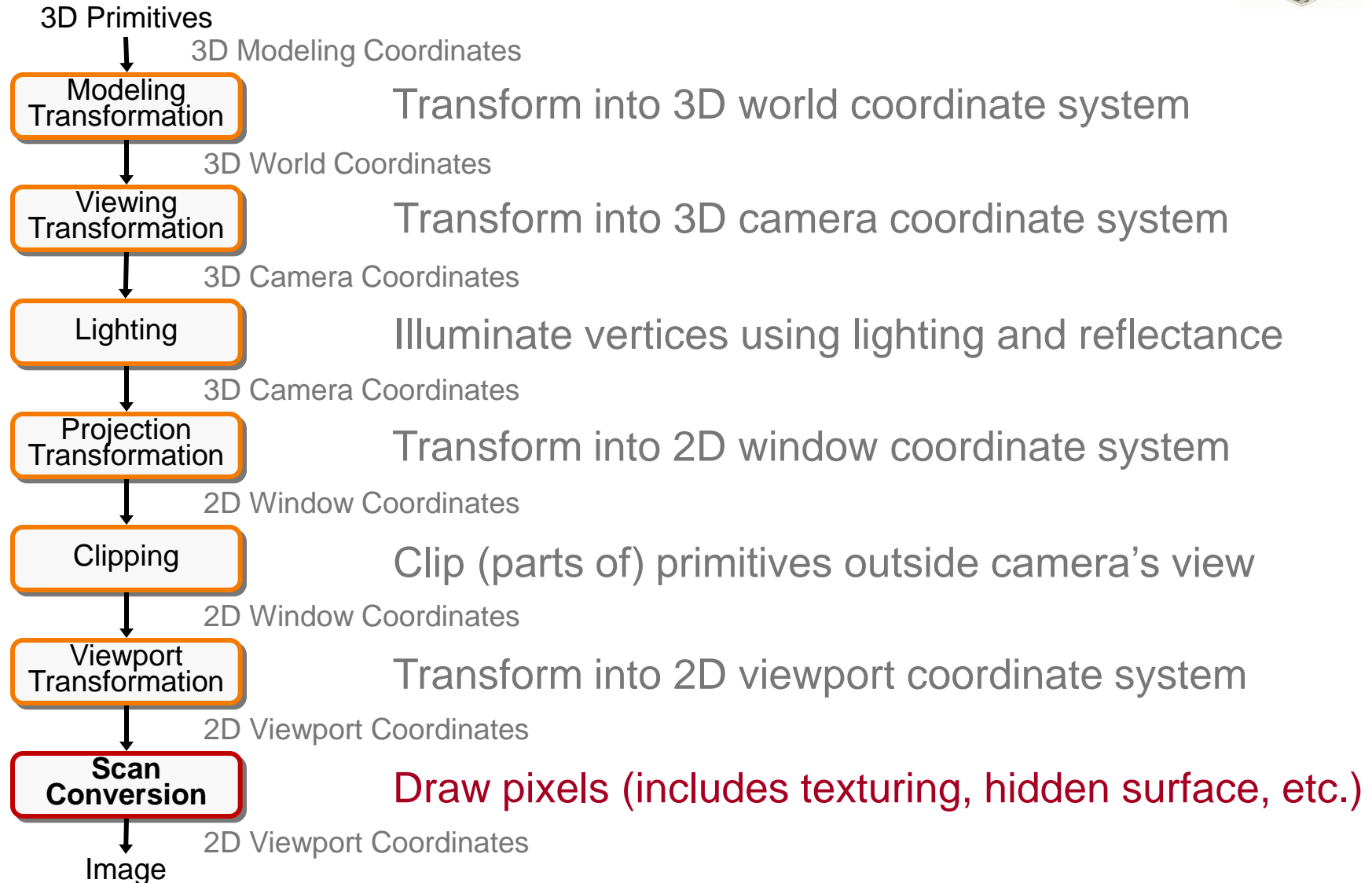
# 3D Rendering Pipeline (for direct illumination)



# 3D Rendering Pipeline (for direct illumination)

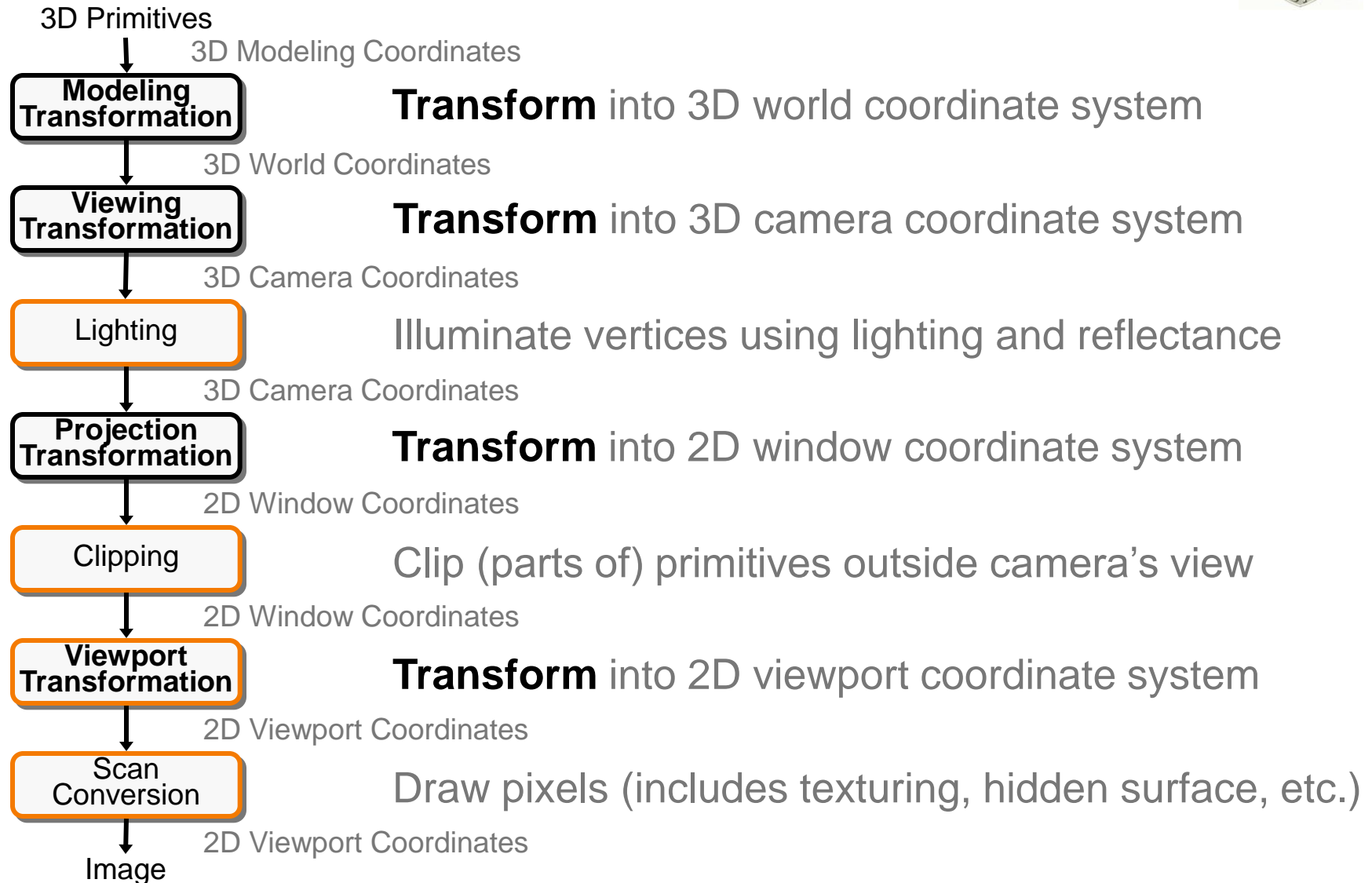


# 3D Rendering Pipeline (for direct illumination)





# Transformations



# Recall: Homogeneous Coordinates



- Add a 4<sup>th</sup> coordinate to every 3D point
  - $(x, y, z, w)$  represents a point at location  $\left(\frac{x}{w}, \frac{y}{w}, \frac{z}{w}\right)$
  - $(x, y, z, 0)$  represents the (unsigned) direction  $\frac{\pm(x, y, z)}{\sqrt{x^2 + y^2 + z^2}}$
  - $(0, 0, 0, 0)$  is not allowed



# Recall: 3D Transformations

- Using homogenous coordinates, we have two types of transformations:

- Affine

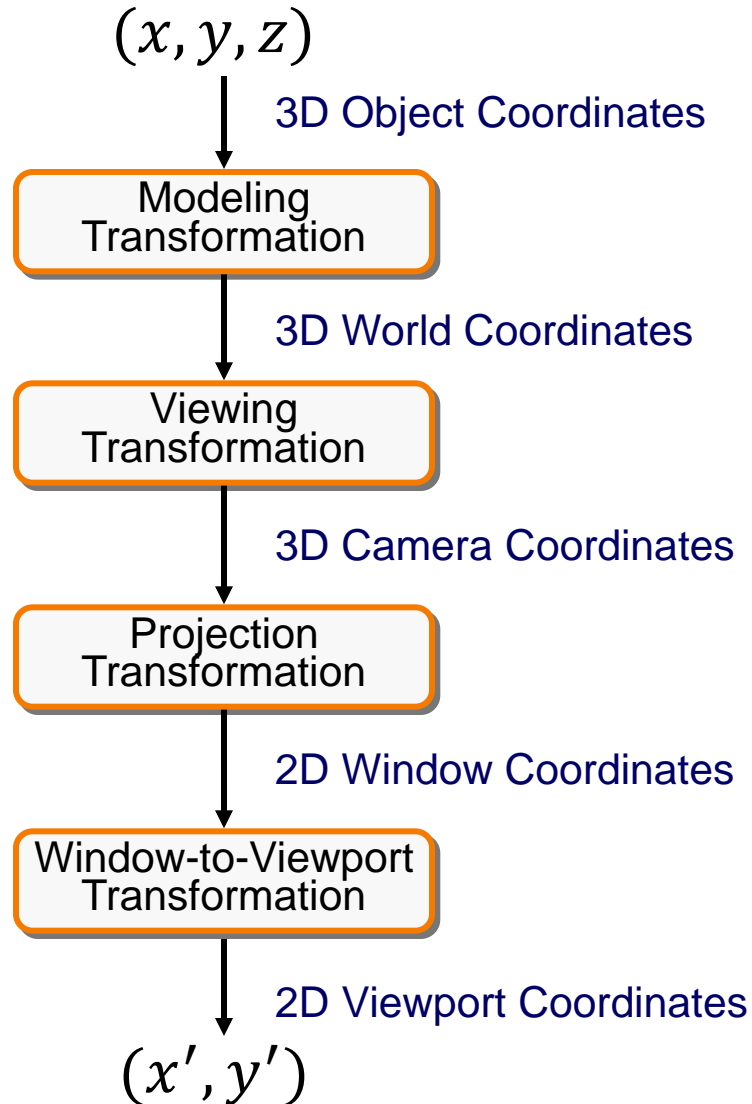
$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ \boxed{0 \quad 0 \quad 0 \quad 1} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- Projective

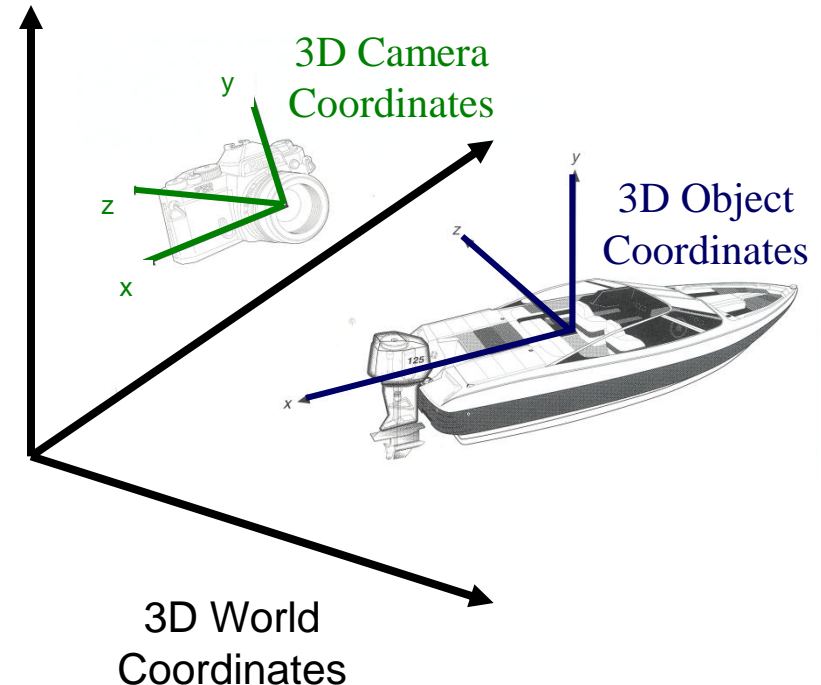
$$\begin{bmatrix} x' \\ y' \\ z' \\ w' \end{bmatrix} = \begin{bmatrix} a & b & c & d \\ e & f & g & h \\ i & j & k & l \\ \boxed{m \quad n \quad o \quad p} \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ w \end{bmatrix}$$



# Transformations



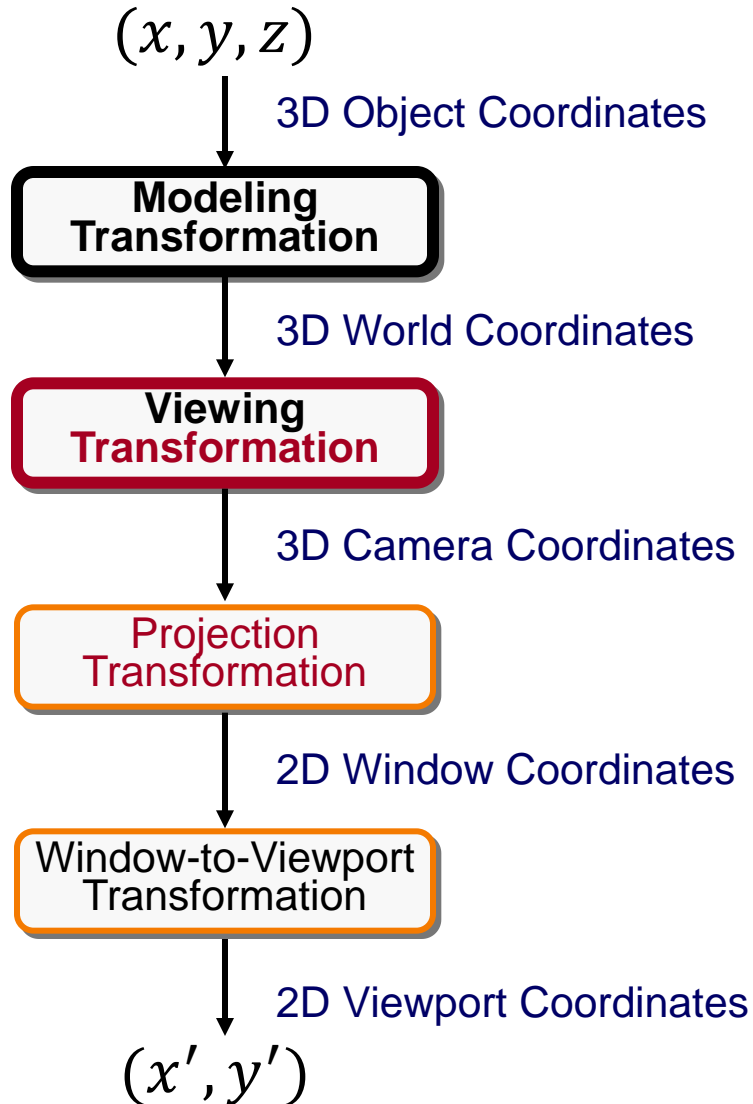
Transformations map points from one coordinate system to another







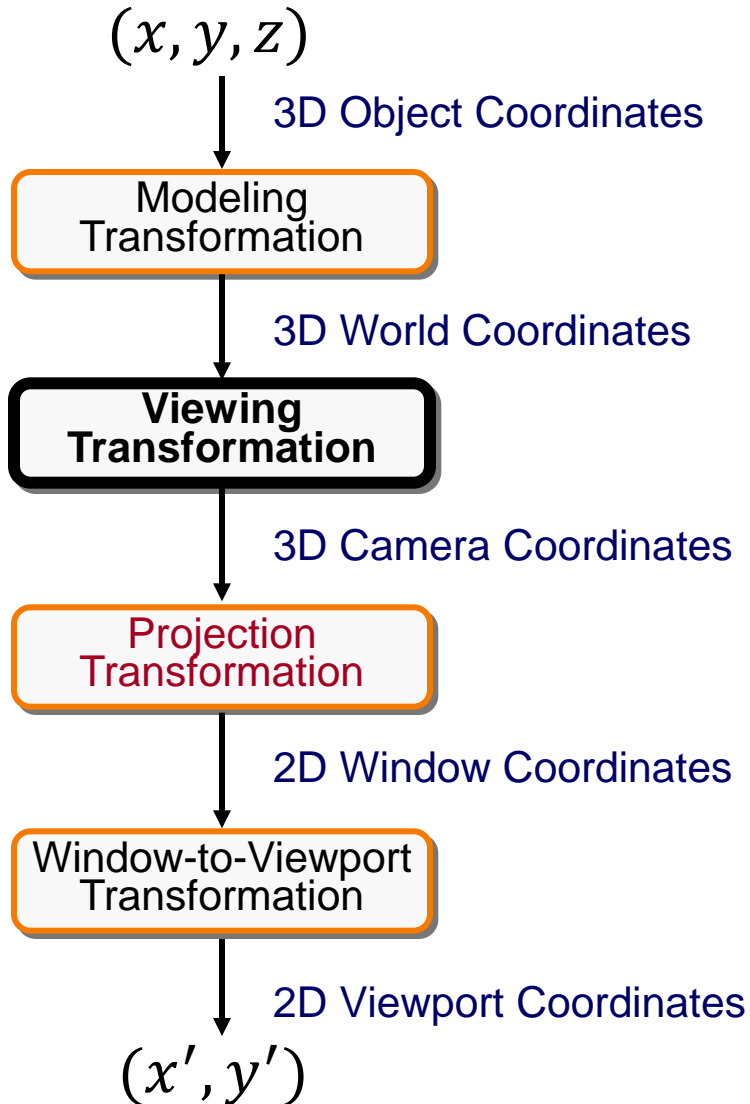
# Transformations



} Modelview Transformations



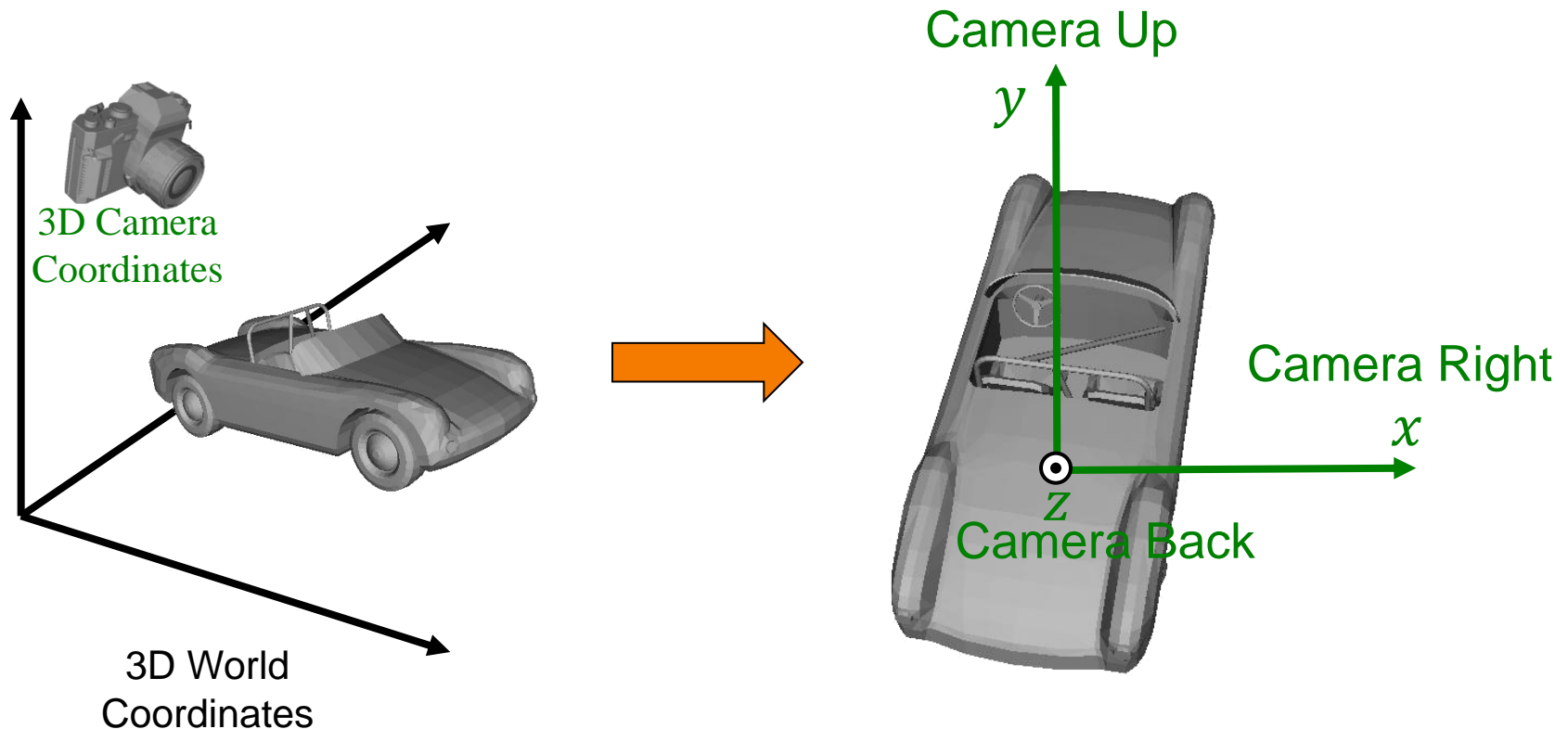
# Transformations





# Viewing Transformation

- Canonical coordinate system
  - Convention is right-handed (looking down  $-z$  axis)
  - Convenient for projection, clipping, etc.





# Viewing Transformation

- The transformation,  $T_{W \rightarrow C}$ , taking us from world coordinates to camera coordinates should map:
  - The right vector to the  $x$ -axis:
$$(R_x, R_y, R_z, 0) \rightarrow (1, 0, 0, 0)$$
  - The up vector to the  $y$ -axis:
$$(U_x, U_y, U_z, 0) \rightarrow (0, 1, 0, 0)$$
  - The back vector to the  $z$ -axis:
$$(B_x, B_y, B_z, 0) \rightarrow (0, 0, 1, 0)$$
  - The eye position to the origin:
$$(E_x, E_y, E_z, 1) \rightarrow (0, 0, 0, 1)$$

How should we define this transformation/matrix?



# Viewing Transformation

- Consider the inverse transformation,  $\mathbf{T}_{C \rightarrow W}$ , taking us from camera coordinates to world coordinates:

$$(R_x, R_y, R_z, 0) \leftarrow (1, 0, 0, 0)$$

$$(U_x, U_y, U_z, 0) \leftarrow (0, 1, 0, 0)$$

$$(B_x, B_y, B_z, 0) \leftarrow (0, 0, 1, 0)$$

$$(E_x, E_y, E_z, 1) \leftarrow (0, 0, 0, 1)$$

- This is described by the matrix:

$$\begin{pmatrix} x^w \\ y^w \\ z^w \\ 1 \end{pmatrix} = \underbrace{\begin{pmatrix} R_x & U_x & B_x & E_x \\ R_y & U_y & B_y & E_y \\ R_z & U_z & B_z & E_z \\ 0 & 0 & 0 & 1 \end{pmatrix}}_{\mathbf{T}_{C \rightarrow W}} \begin{pmatrix} x^c \\ y^c \\ z^c \\ 1 \end{pmatrix}$$



# Finding the Viewing Transformation

- The camera-to-world matrix:

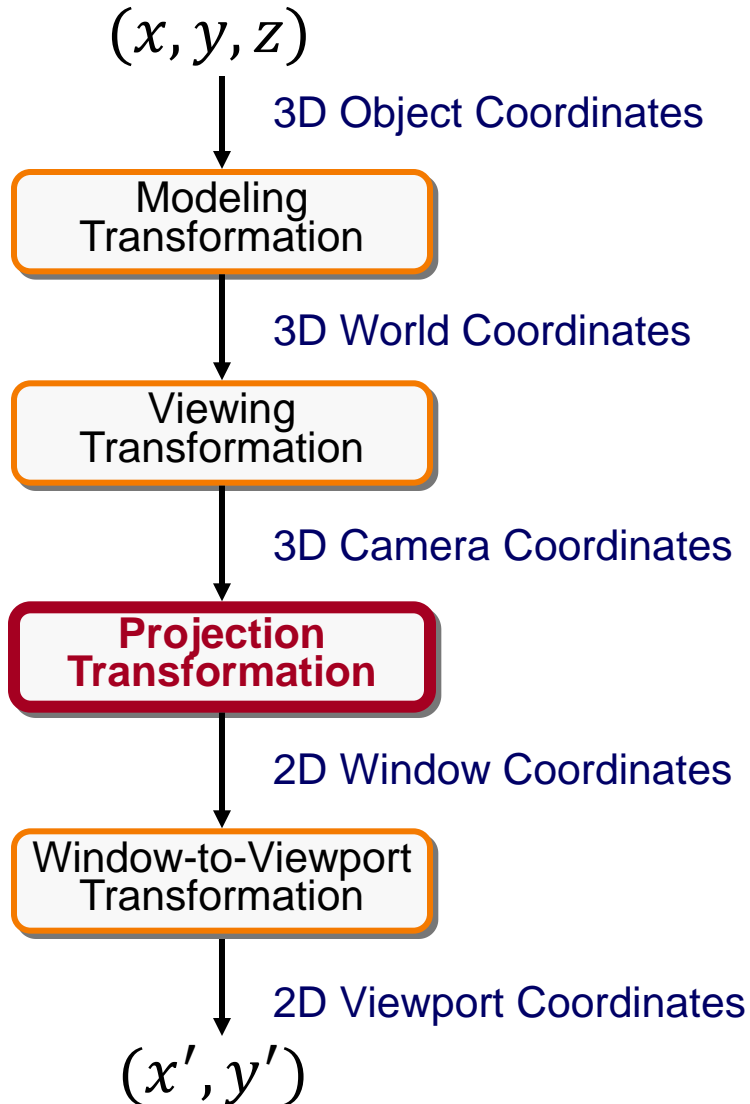
$$\begin{pmatrix} x^w \\ y^w \\ z^w \\ 1 \end{pmatrix} = \underbrace{\begin{pmatrix} R_x & U_x & B_x & E_x \\ R_y & U_y & B_y & E_y \\ R_z & U_z & B_z & E_z \\ 0 & 0 & 0 & 1 \end{pmatrix}}_{\mathbf{T}_{C \rightarrow W}} \begin{pmatrix} x^c \\ y^c \\ z^c \\ 1 \end{pmatrix}$$

- The world-to-camera matrix is its inverse:

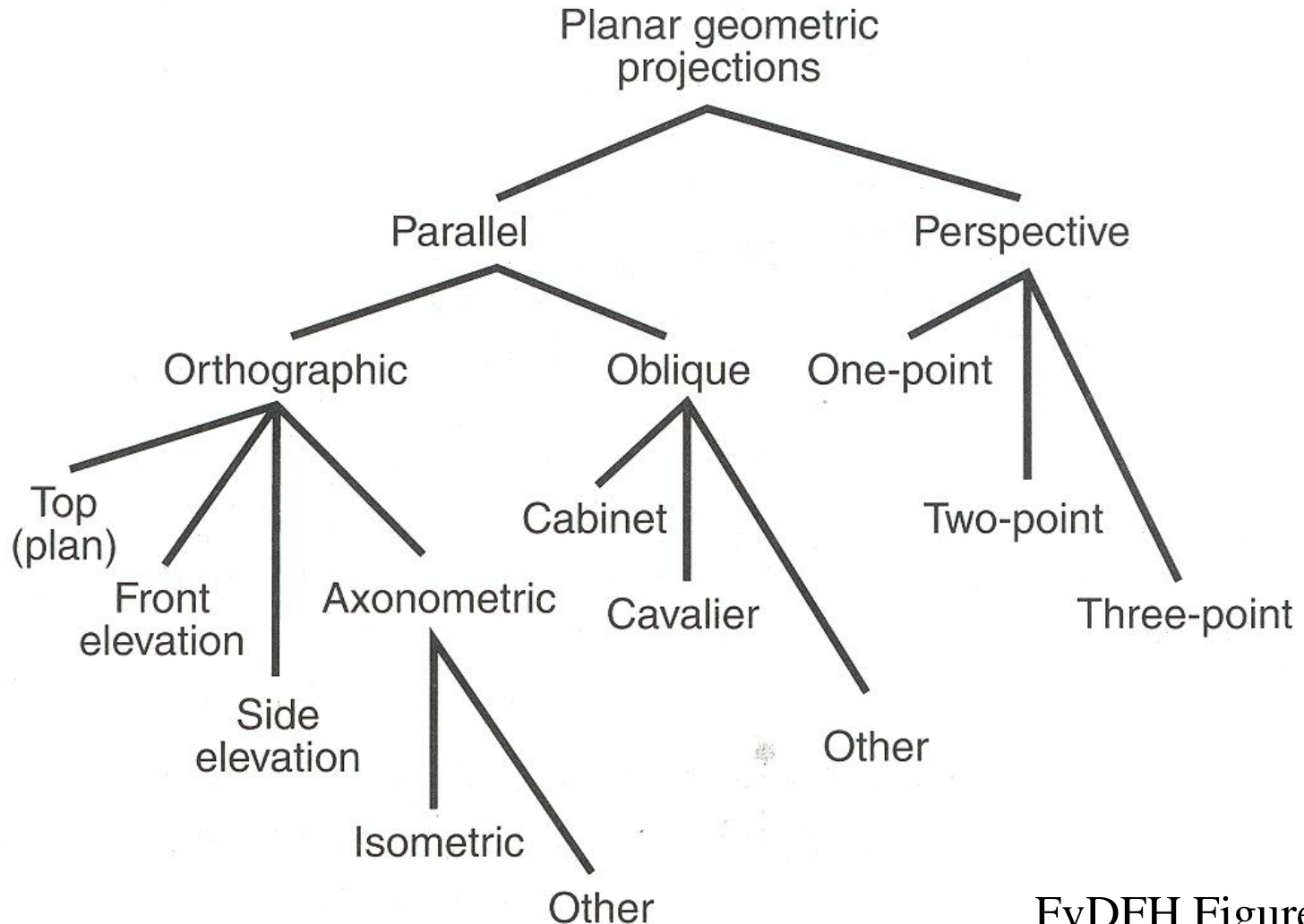
$$\begin{pmatrix} x^c \\ y^c \\ z^c \\ 1 \end{pmatrix} = \underbrace{\begin{pmatrix} R_x & U_x & B_x & E_x \\ R_y & U_y & B_y & E_y \\ R_z & U_z & B_z & E_z \\ 0 & 0 & 0 & 1 \end{pmatrix}^{-1}}_{\mathbf{T}_{W \rightarrow C} = \mathbf{T}_{C \rightarrow W}^{-1}} \begin{pmatrix} x^w \\ y^w \\ z^w \\ 1 \end{pmatrix}$$



# Transformations



# Taxonomy of Projections

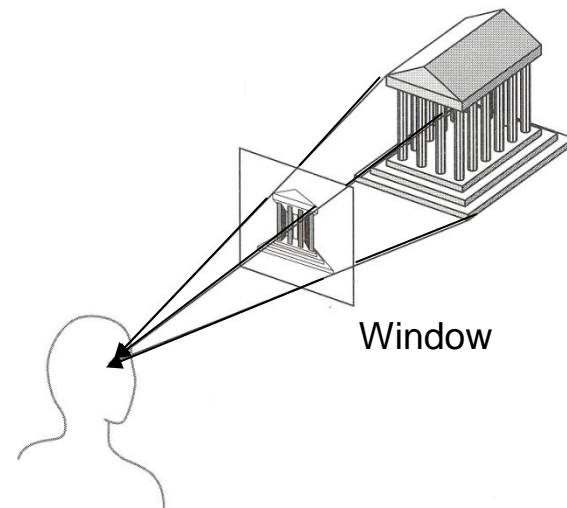
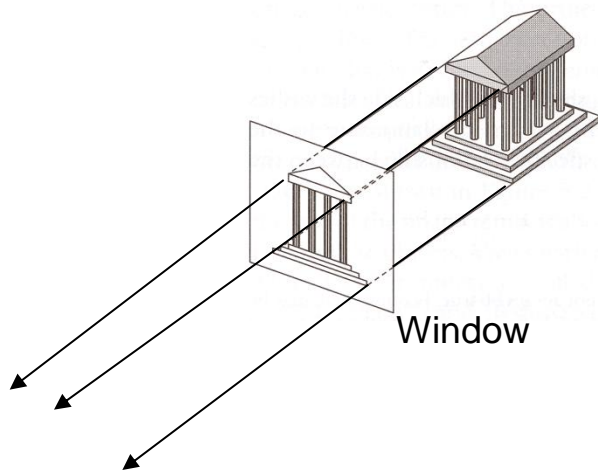




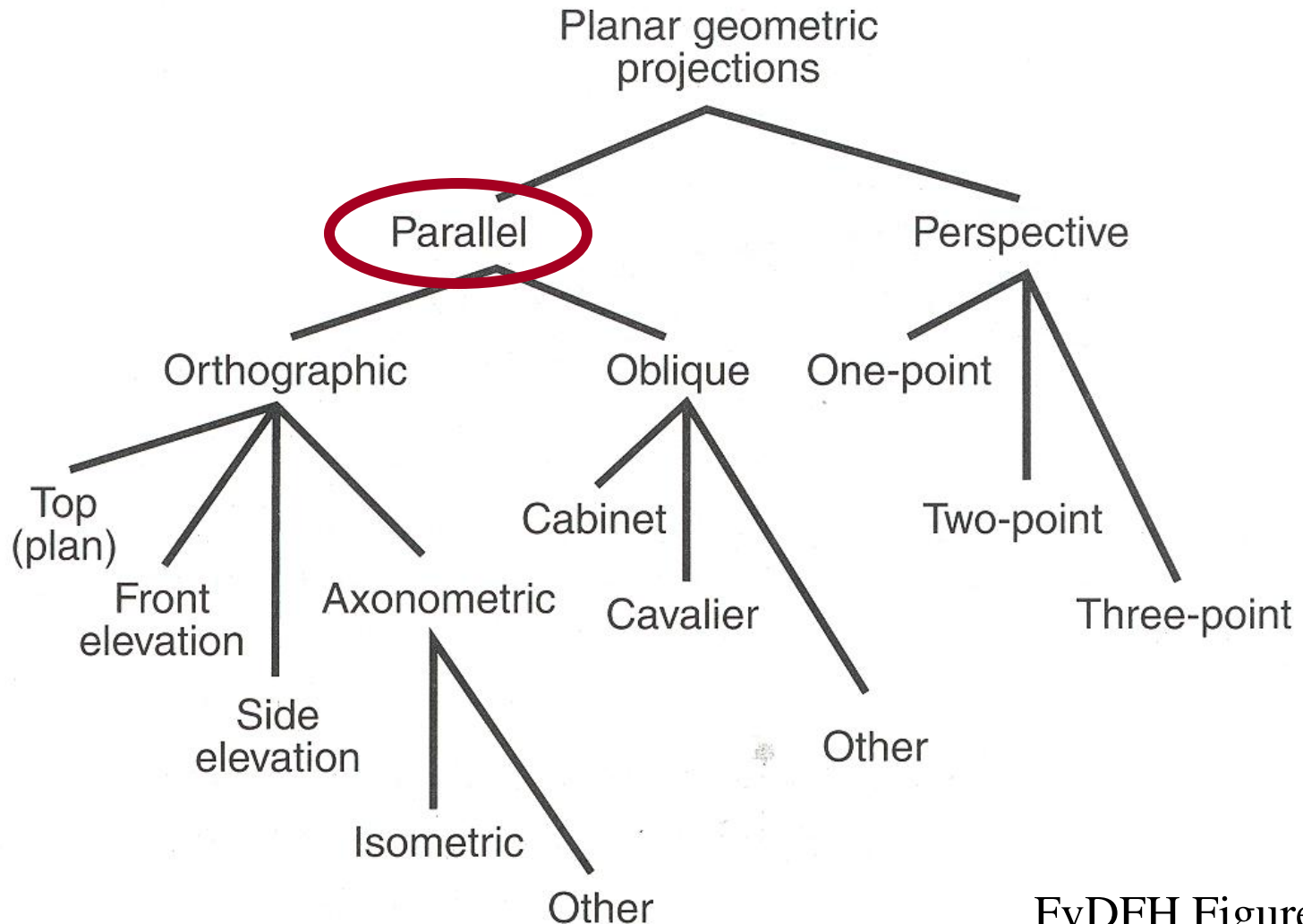


# Projection

- Two general classes of projections, which shoot rays from the 3D scene, through the 2D window:
  - Parallel Projection:
    - » Rays converge at a point at infinity and are parallel
  - Perspective “Projection”:
    - » Rays converge at a finite point, giving rise to perspective distortion



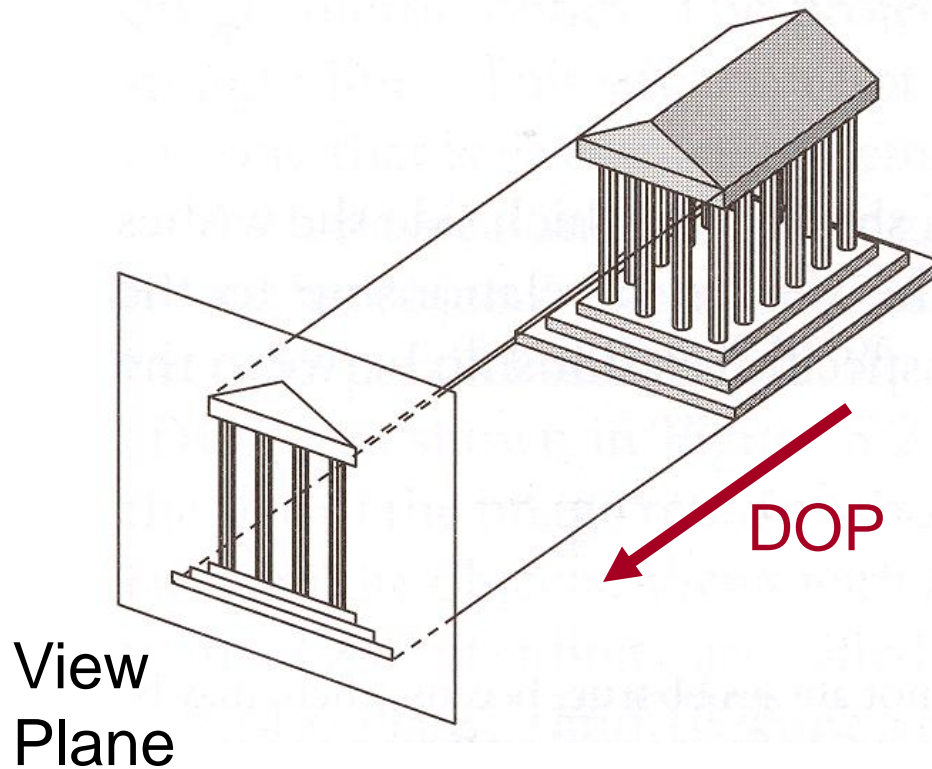
# Taxonomy of Projections





# Parallel Projection

- Center of projection is at infinity
  - Direction of projection (DoP) same for all points

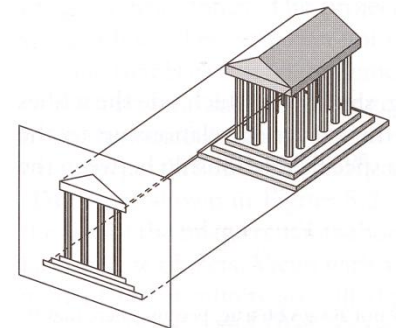


Angel Figure 5.4

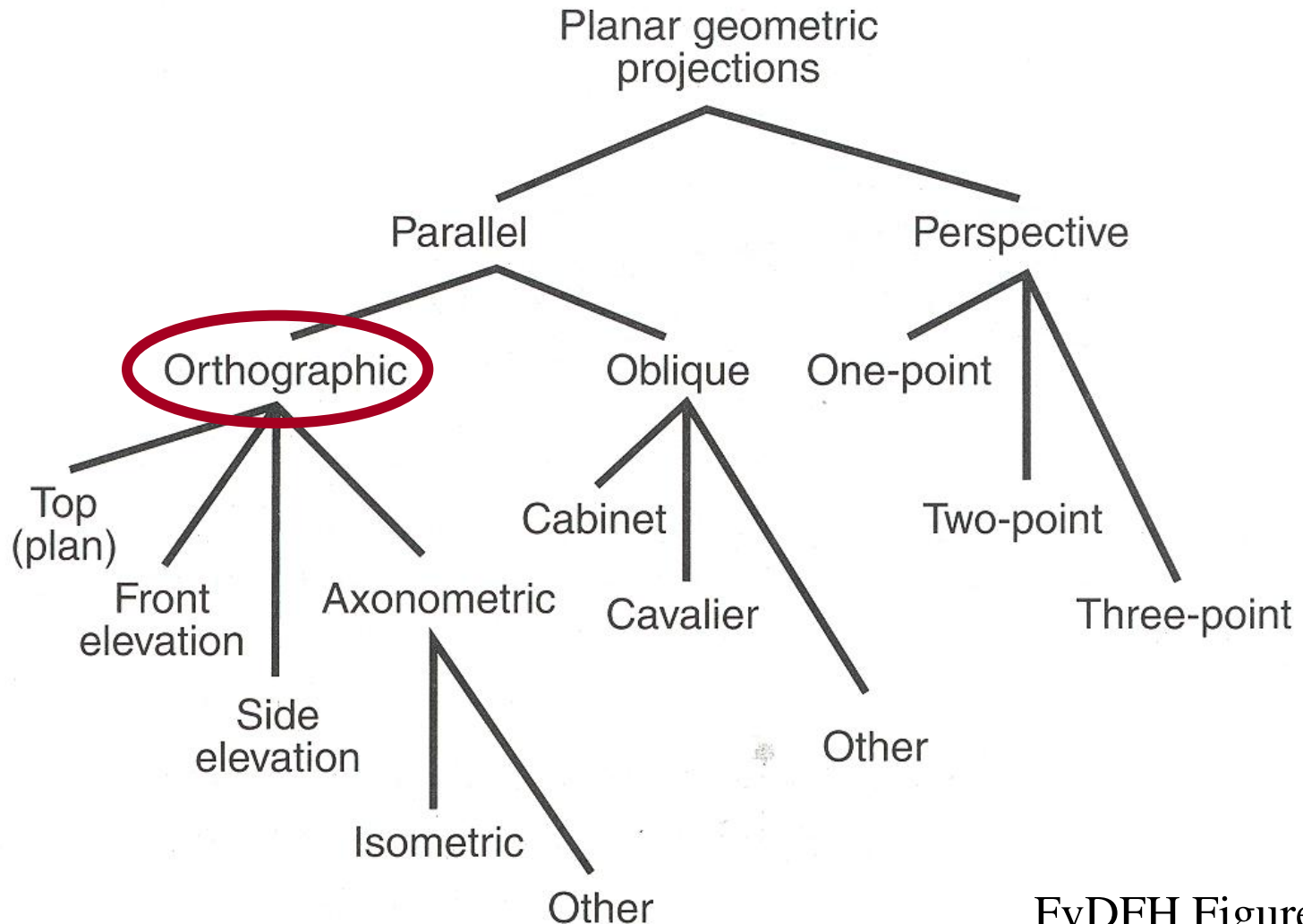


# Parallel Projection

- ✓ Parallel lines remain parallel
- ✓ Proportions are preserved (no foreshortening)
- ✗ (Some) angles are not preserved
- ✗ Less realistic looking



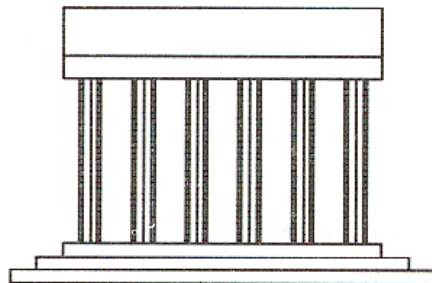
# Taxonomy of Projections



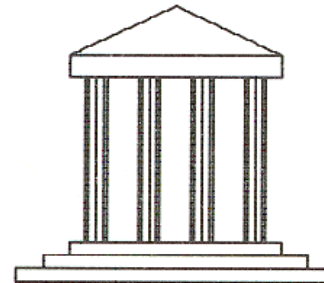


# Orthographic Projections

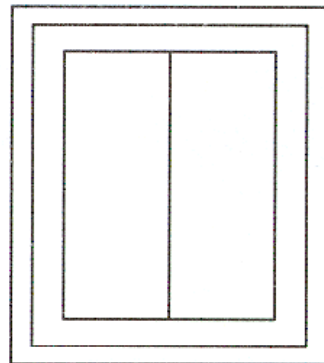
- DoP perpendicular to view plane



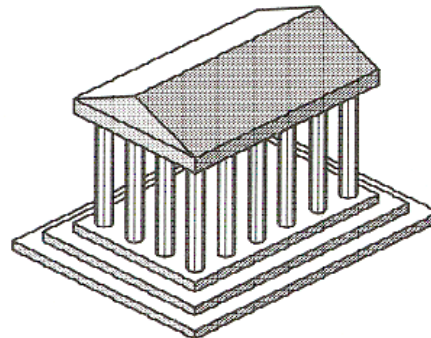
Side



Front



Top

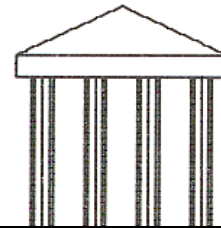
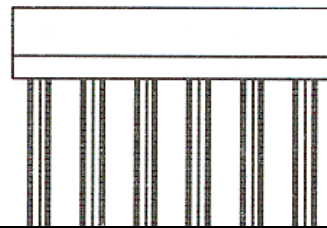


Isometric

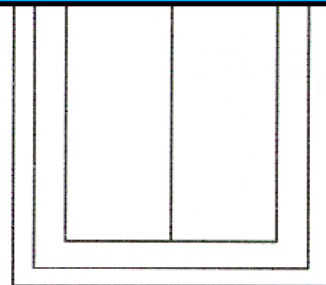


# Orthographic Projections

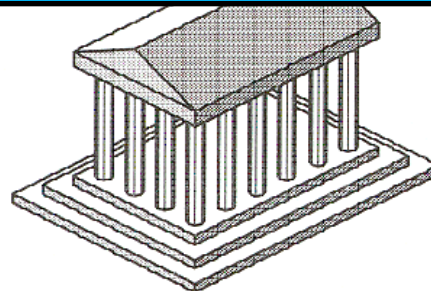
- DoP perpendicular to view plane



- Lines perpendicular to the view plane vanish
- Faces parallel to the view plane are un-distorted.



Top



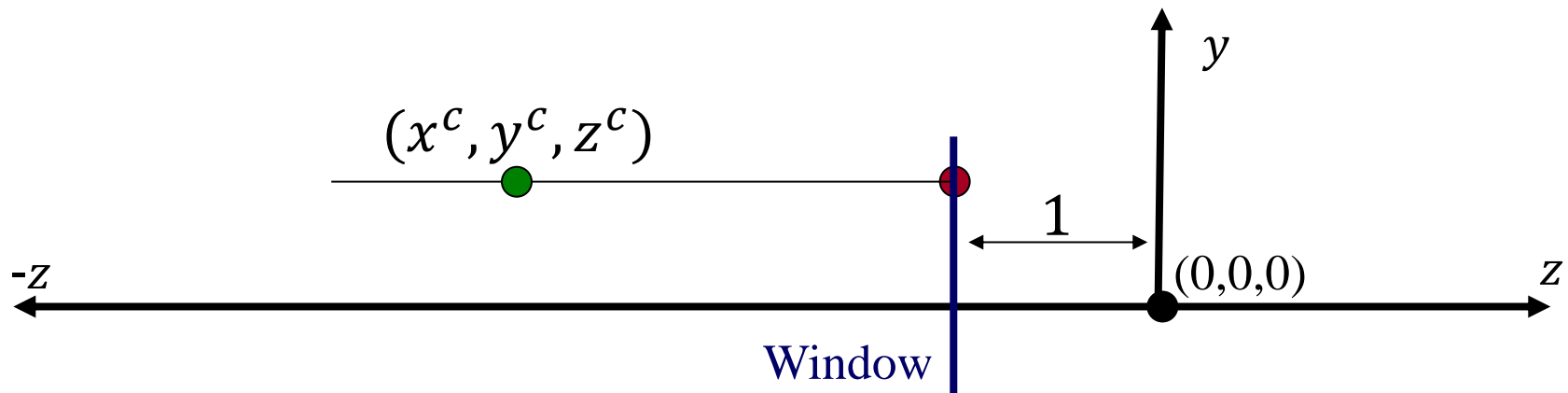
Isometric



# Orthographic Projections

- DoP perpendicular to view plane
  - Maps a point in 3D space to the  $(x, y, -1)$ -plane, by projecting out the  $z$ -component:

$$(x^c, y^c, z^c) \rightarrow (x^c, y^c, -1)$$







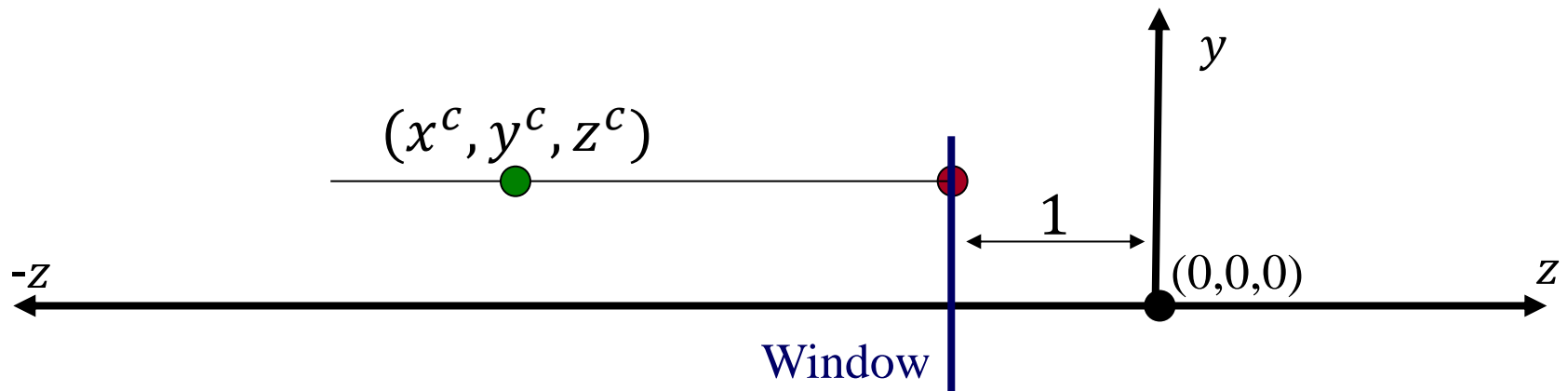
# Orthographic Projections

- DoP perpendicular to view plane
  - Maps a point in 3D space to the  $(x, y, -1)$ -plane, by projecting out the  $z$ -component:

$$(x^c, y^c, z^c, 1) \rightarrow (x^c, y^c, -1, 1)$$

- In terms of homogenous coordinates:

$$\begin{bmatrix} x^c \\ y^c \\ -1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x^c \\ y^c \\ z^c \\ 1 \end{bmatrix}$$





# Orthographic Projections

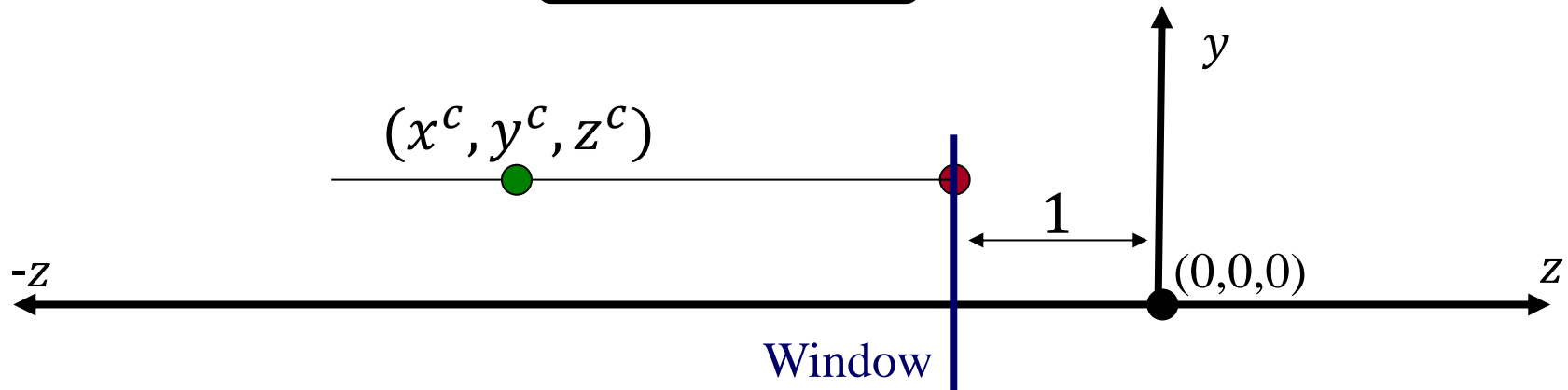
- DoP perpendicular to view plane
  - Maps a point in 3D space to the  $(x, y, -1)$ -plane, by projecting out the  $z$ -component:

$$(x^c, y^c, z^c, 1) \rightarrow (x^c, y^c, -1, 1)$$

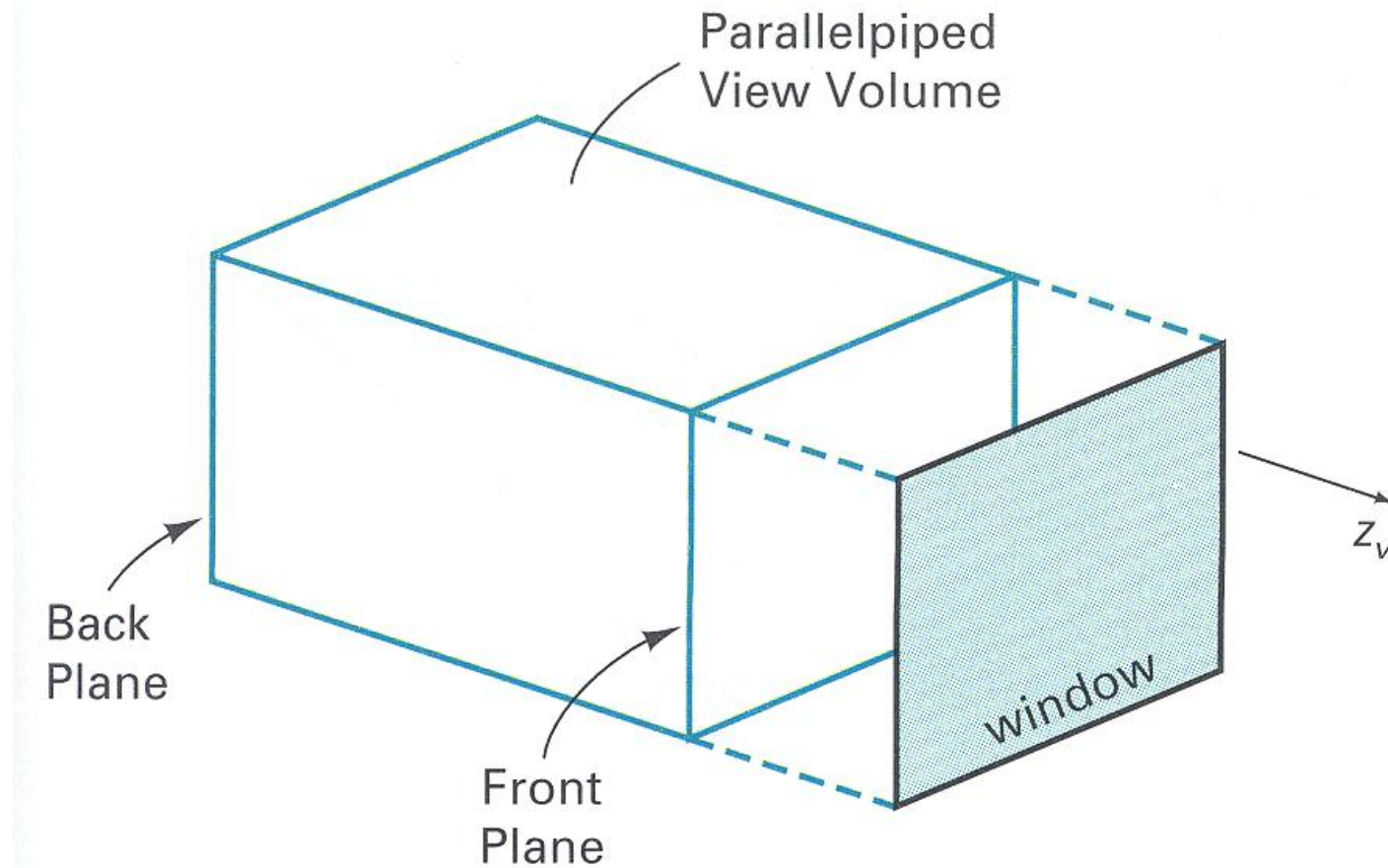
Note:

This matrix describes an affine transformation

$$\begin{bmatrix} -1 \\ 1 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} z^c \\ 1 \end{bmatrix}$$



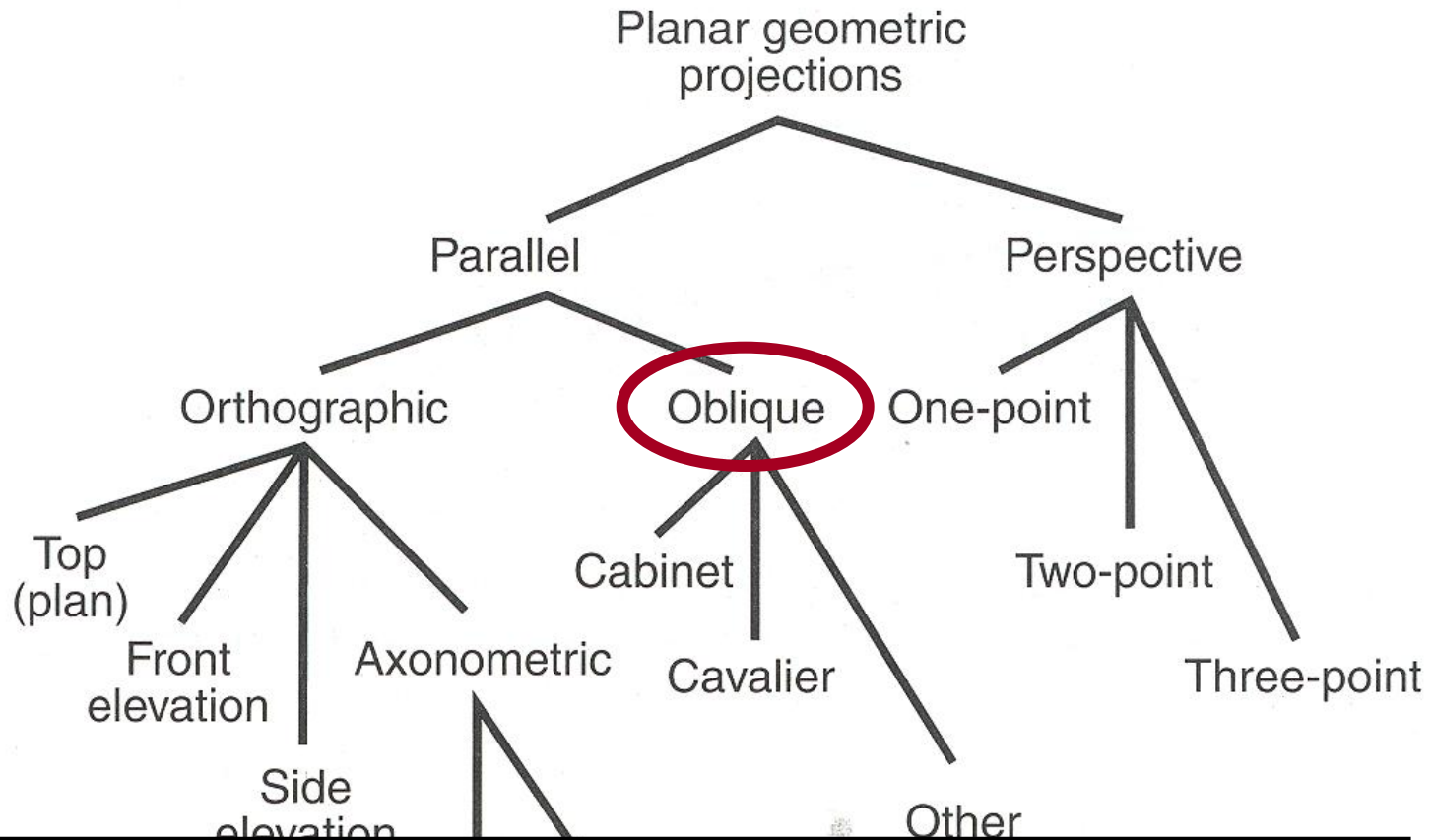
# Parallel Projection View Volume



H&B Figure 12.30

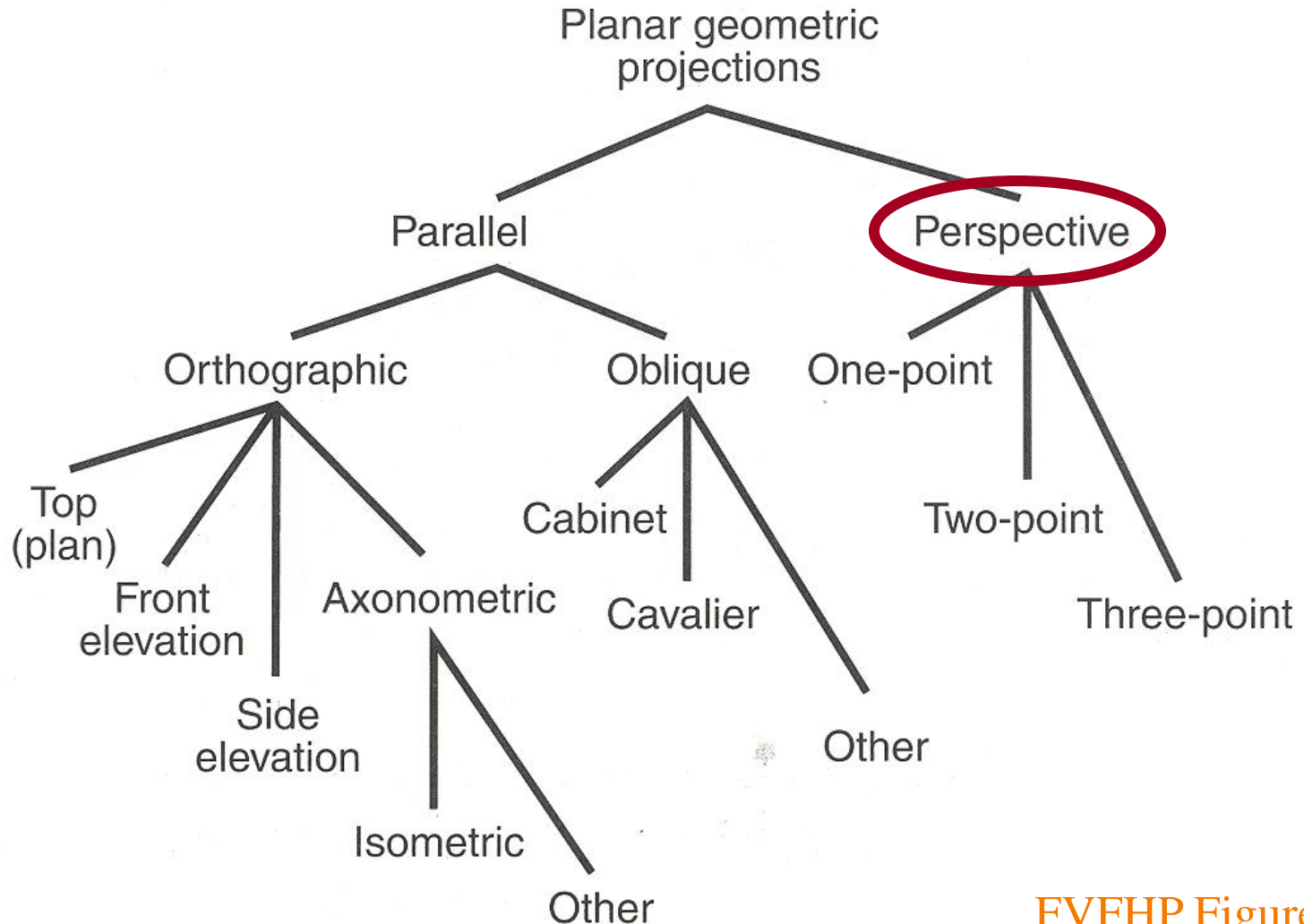


# Taxonomy of Projections



Direction of projection not perpendicular to view plane.

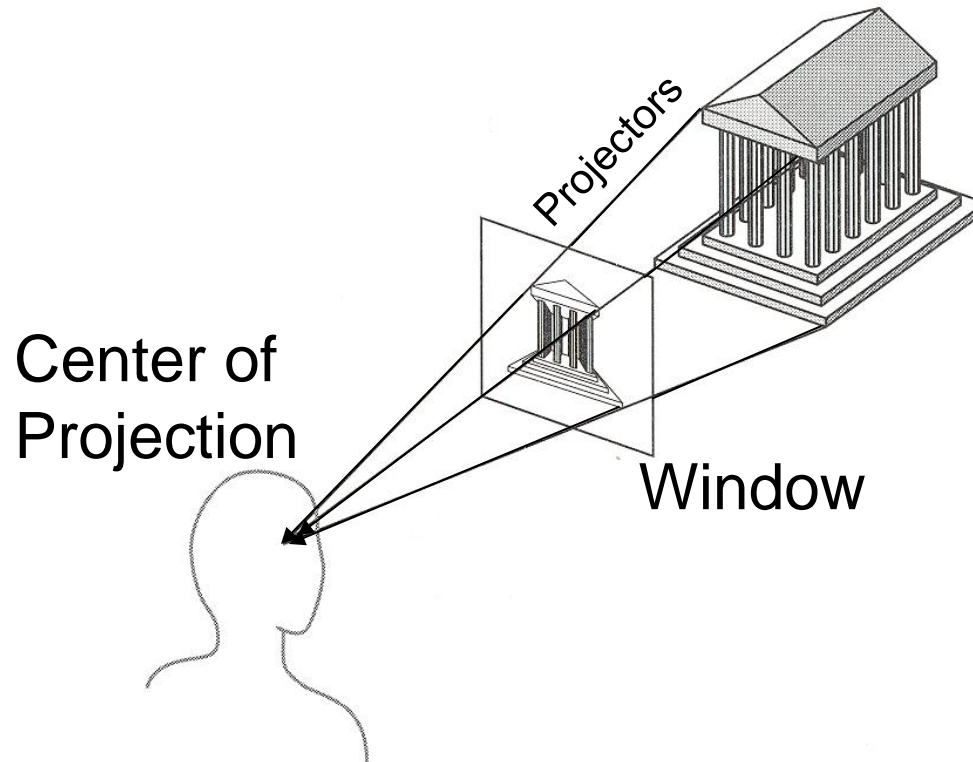
# Taxonomy of Projections





# Perspective “Projection”

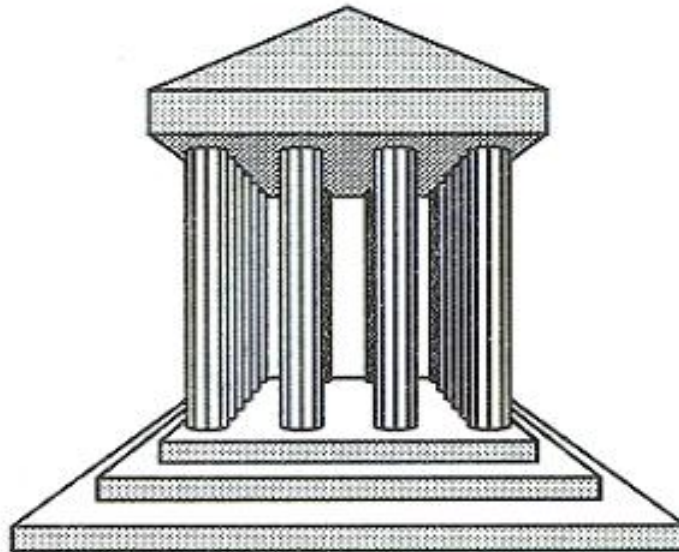
- Map points onto “view plane” along “projectors” emanating from “center of projection” (CoP)





# Perspective Projection

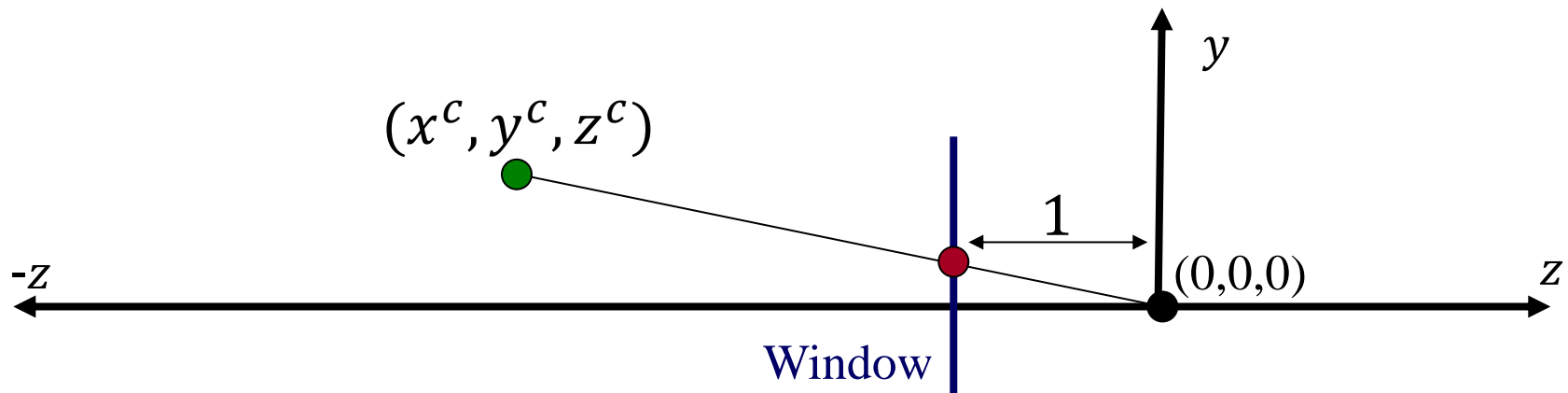
- Not all parallel lines remain parallel!





# Perspective Projection

- What are the coordinates of the point resulting from projection of  $(x^c, y^c, z^c)$  onto the camera screen a unit distance back along the  $z$ -axis?

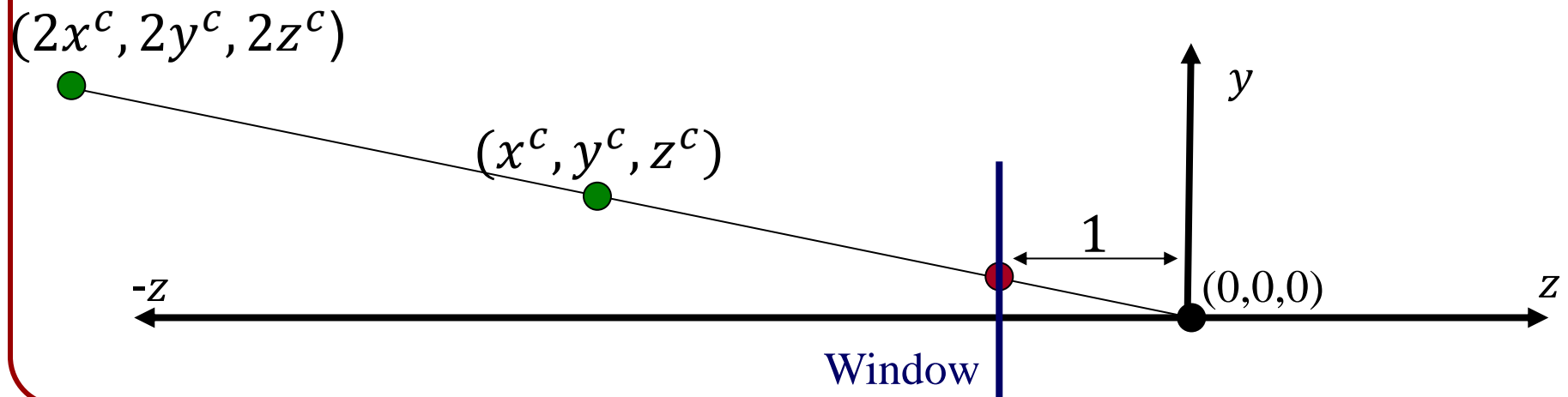






# Perspective Projection

- For any point  $(x^c, y^c, z^c)$  and any scalar  $\alpha$ , the points  $(x^c, y^c, z^c)$  and  $(\alpha x^c, \alpha y^c, \alpha z^c)$  map to the same location.

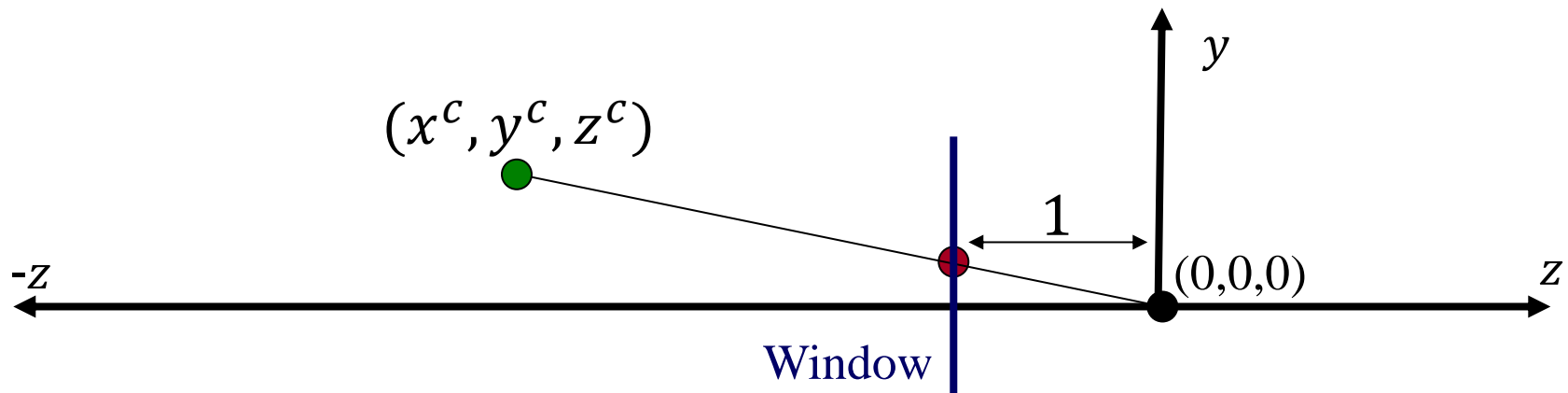




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- Since we want the position on the window that intersects the line from  $(x^c, y^c, z^c)$  to the origin:

$$(x^c, y^c, z^c) \rightarrow \left( \frac{x^c}{-z^c}, \frac{y^c}{-z^c}, -1 \right)$$

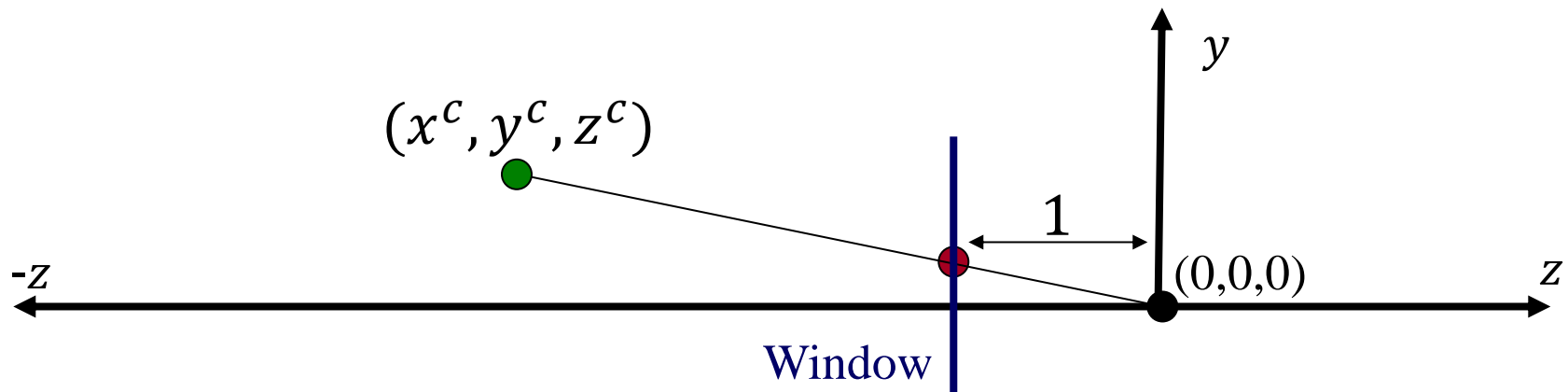




# Perspective Projection

- For any point  $(x^c, y^c, z^c)$  and any scalar  $\alpha$ , the points  $(x^c, y^c, z^c)$  and  $(\alpha x^c, \alpha y^c, \alpha z^c)$  map to the same location.
- Since we want the position on the window that intersects the line from  $(x^c, y^c, z^c)$  to the origin:

$$(x^c, y^c, z^c, 1) \rightarrow \left( \frac{x^c}{-z^c}, \frac{y^c}{-z^c}, -1, 1 \right)$$





# Perspective Projection Matrix

$$(x^c, y^c, z^c, 1) \rightarrow \left( \frac{x^c}{-z^c}, \frac{y^c}{-z^c}, -1, 1 \right)$$

Division by  $z^c$  can't be represented with a  $3 \times 3$  matrix!

In homogeneous coordinates, we can write this as:

$$(x^c, y^c, z^c, 1) \rightarrow (x^c, y^c, z^c, -z^c)$$

In matrix form, this gives:

$$\begin{bmatrix} -x^c/z^c \\ -y^c/z^c \\ -1 \\ 1 \end{bmatrix} \equiv \begin{bmatrix} x^c \\ y^c \\ z^c \\ -z^c \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} x^c \\ y^c \\ z^c \\ 1 \end{bmatrix}$$



# Perspective Projection Matrix

$$(x^c, y^c, z^c, 1) \rightarrow \left( \frac{x^c}{-z^c}, \frac{y^c}{-z^c}, -1, 1 \right)$$

Division by  $z^c$  can't be represented with a  $3 \times 3$  matrix!

In homogenous coordinates, we can write this as:

$$(x^c, y^c, z^c, 1) \rightarrow (x^c, y^c, z^c, -z^c)$$

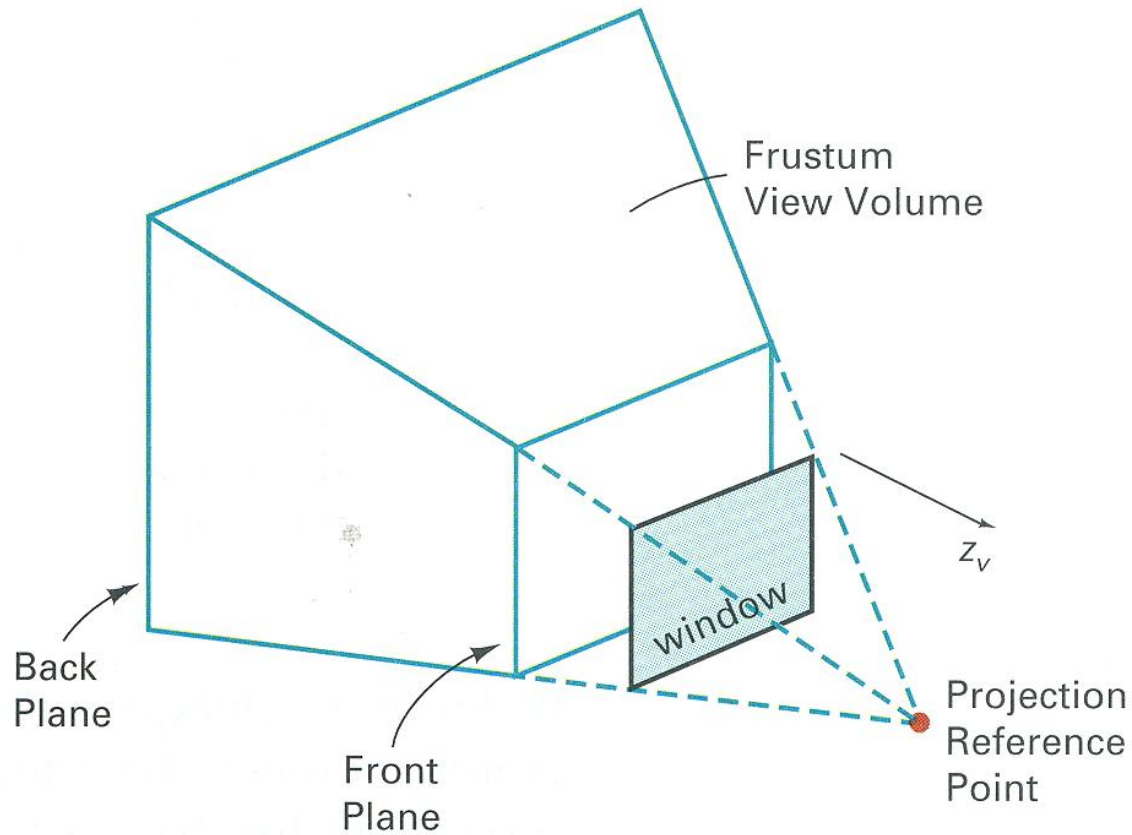
In

Note:

This matrix describes a projective transformation

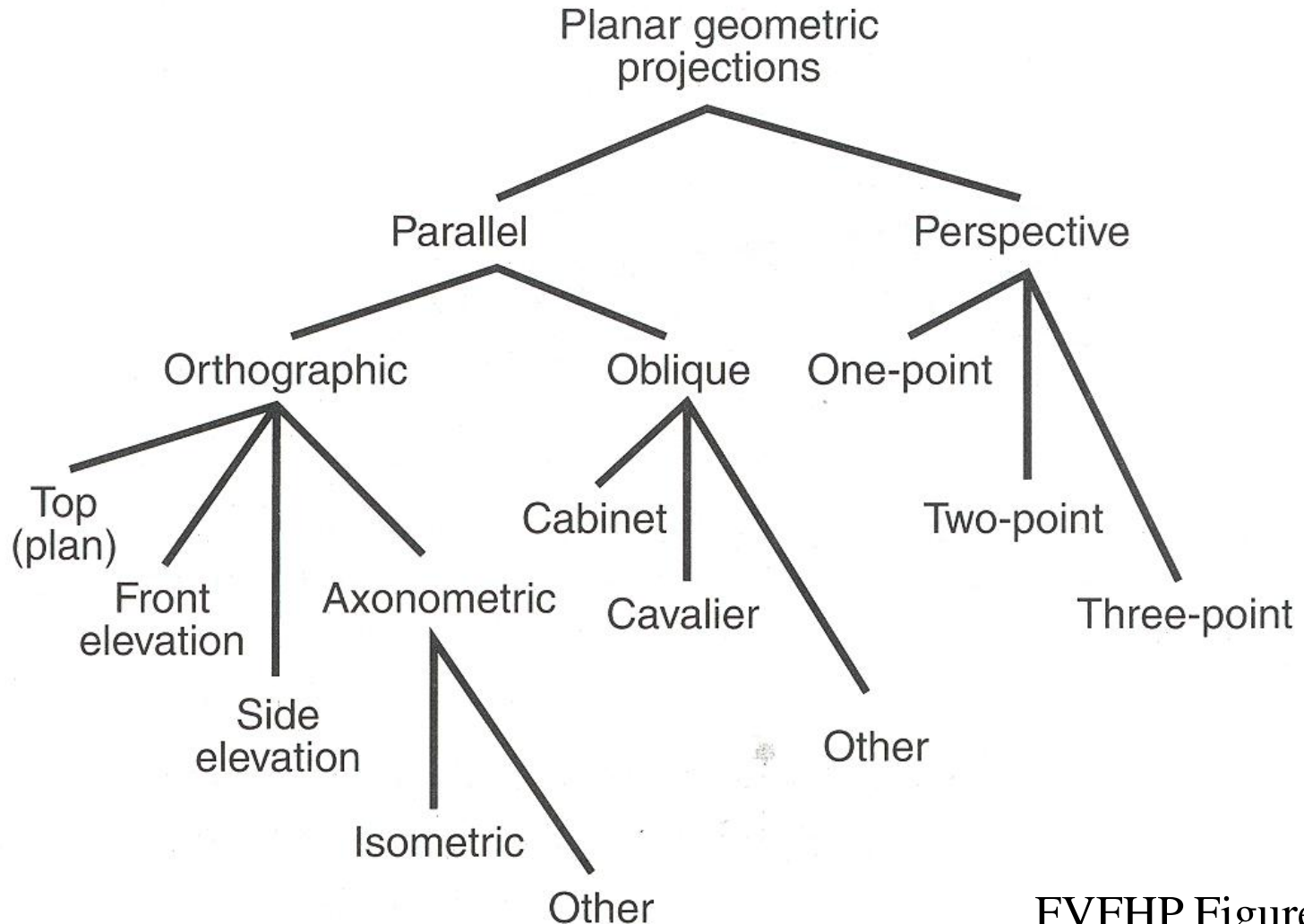
$$\begin{bmatrix} -y^c/z^c \\ -1 \\ 1 \end{bmatrix} \equiv \begin{bmatrix} y^c \\ z^c \\ -z^c \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 \end{bmatrix} \begin{bmatrix} y^c \\ z^c \\ 1 \end{bmatrix}$$

# Perspective Projection View Volume

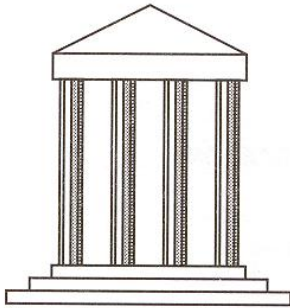


H&B Figure 12.30

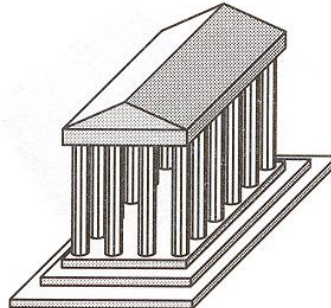
# Taxonomy of Projections



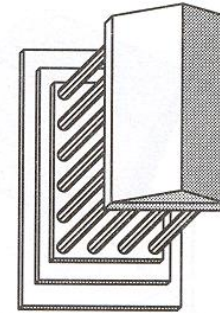
# Classical Projections



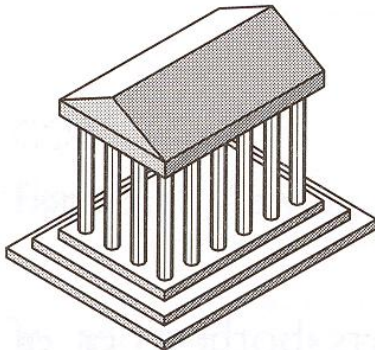
Front elevation



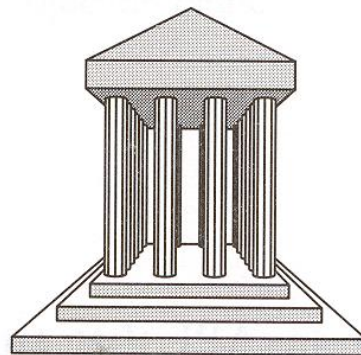
Elevation oblique



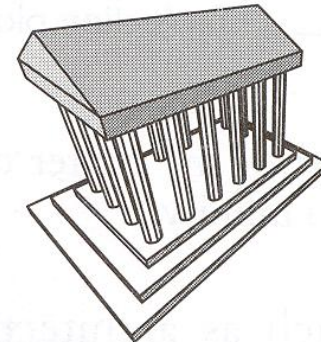
Plan oblique



Isometric



One-point perspective



Three-point perspective

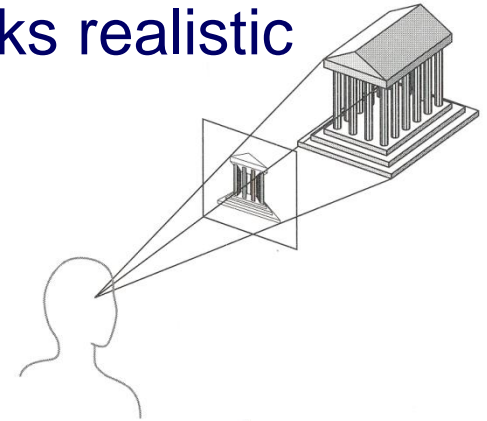




# Perspective vs. Parallel

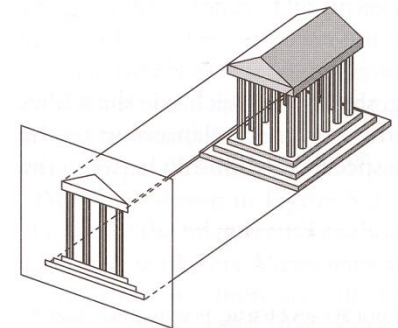
- Perspective projection

- ✓ Size varies inversely with distance - looks realistic
- ✓ Angles are preserved on faces parallel to the view plane
- ✗ Distances are not preserved

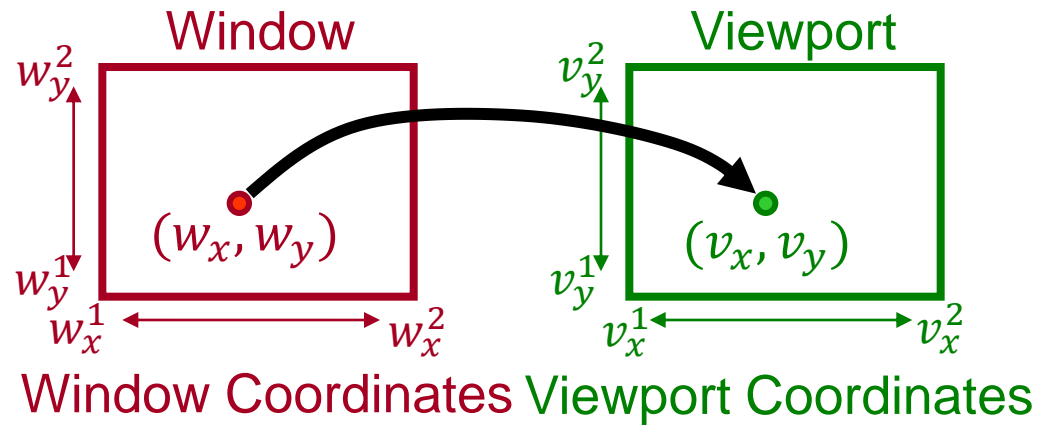
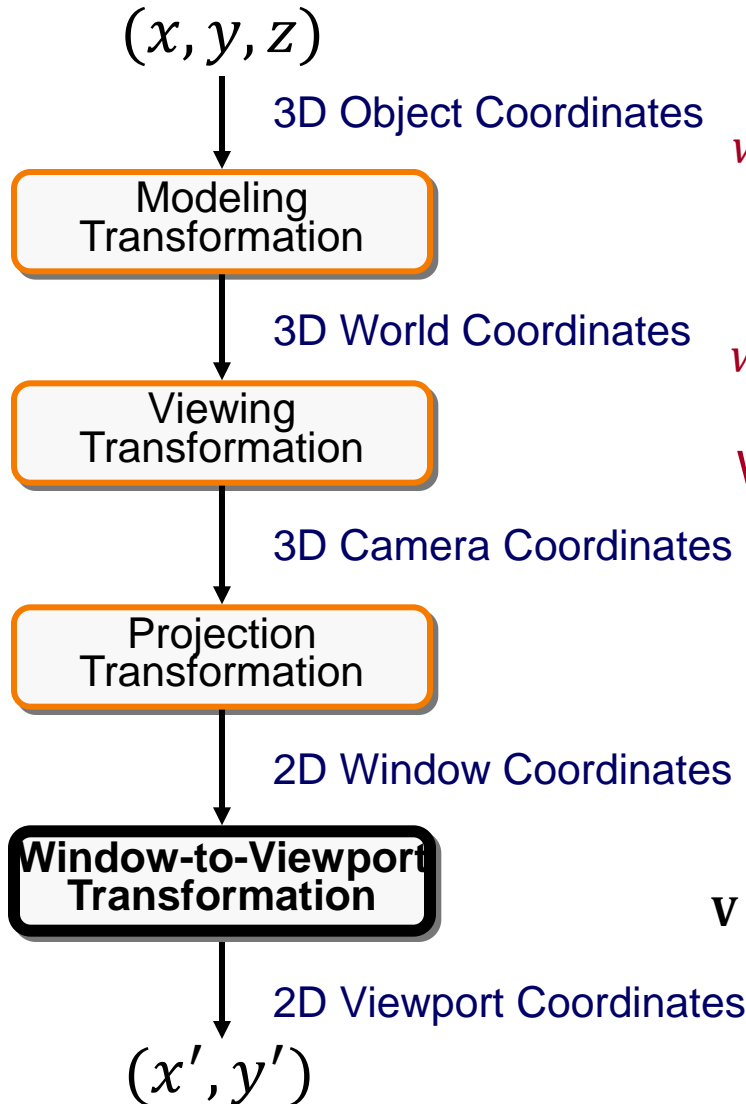


- Parallel (orthographic) projection

- ✓ Parallel lines remain parallel
- ✓ Angles **and distances** are preserved on faces parallel to the view plane
- ✗ Less realistic looking
- ✓ Good for exact measurements



# Transformations

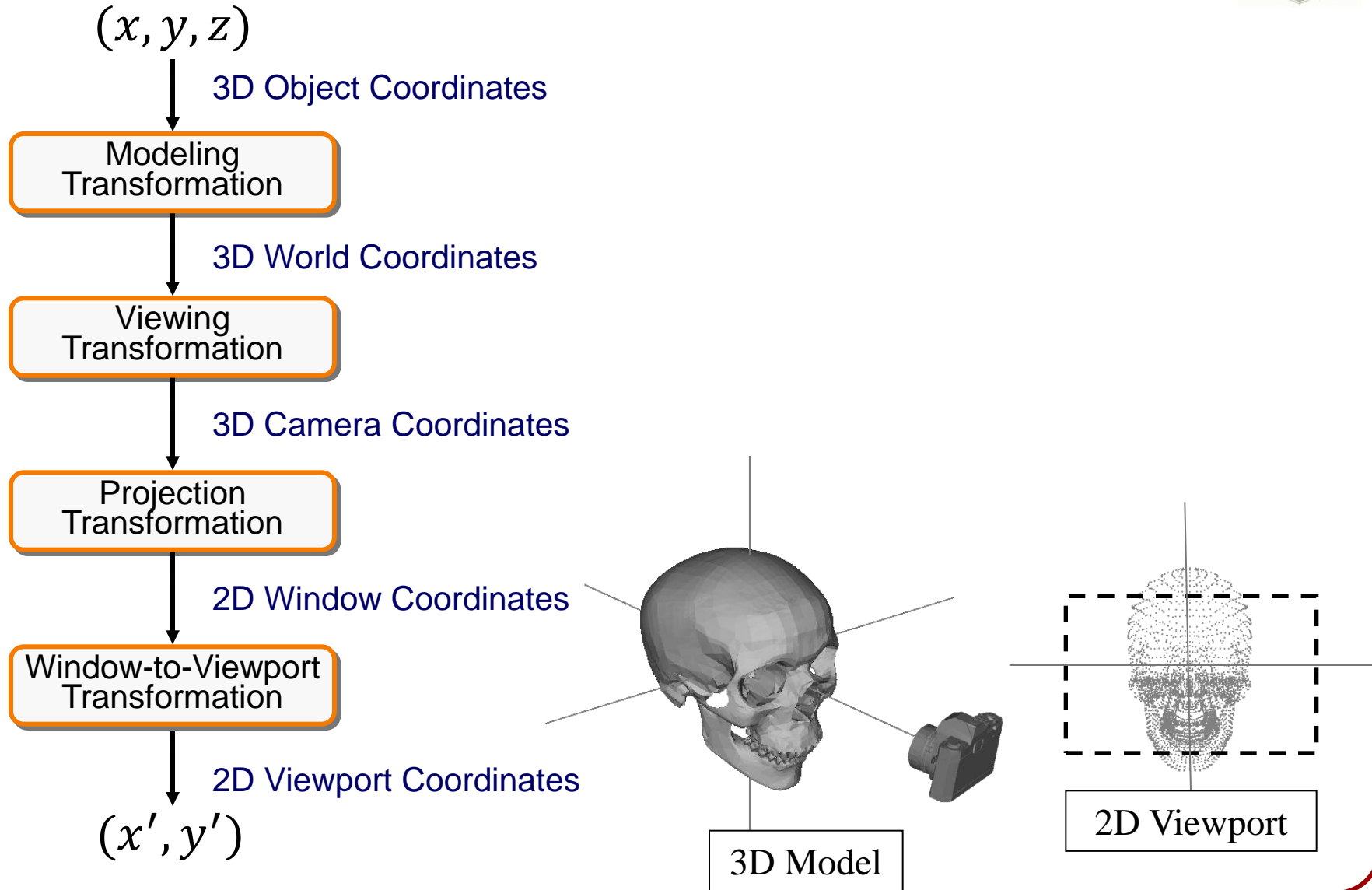


$\mathbf{V}$  = viewport transform

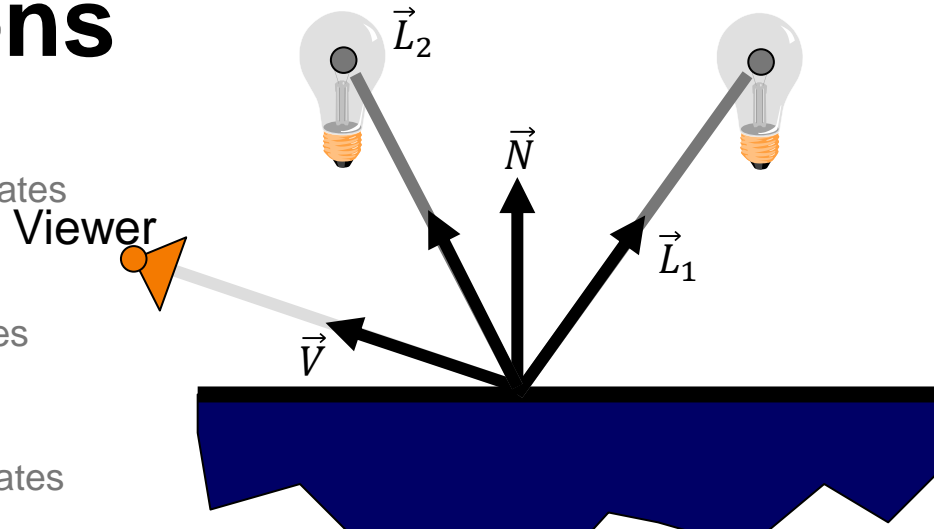
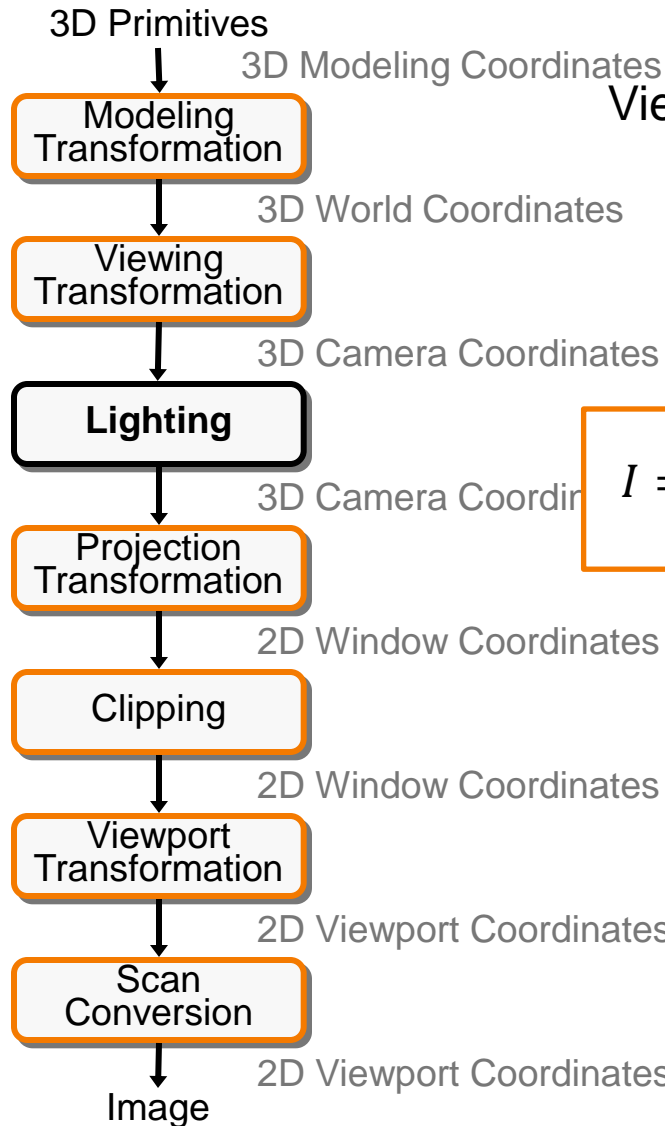
$$\mathbf{V} = \begin{bmatrix} 1 & 0 & v_x^1 \\ 0 & 1 & v_y^1 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \frac{v_x^2 - v_x^1}{w_x^2 - w_x^1} & 0 & 0 \\ 0 & \frac{v_y^2 - v_y^1}{w_y^2 - w_y^1} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & -w_x^1 \\ 0 & 1 & -w_y^1 \\ 0 & 0 & 1 \end{bmatrix}$$

Note that this may scale non-uniformly.

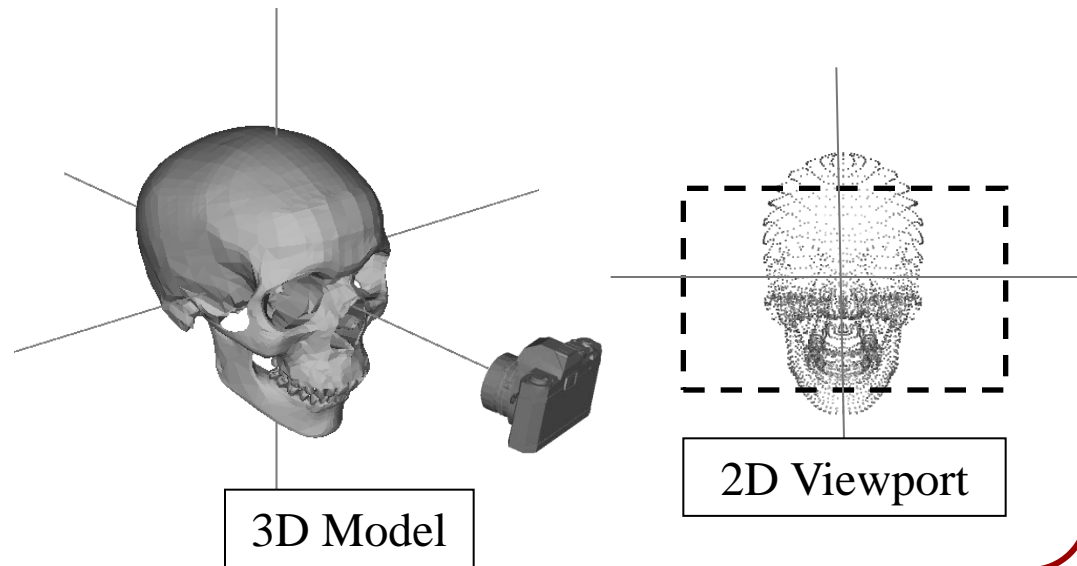
# 3D Rendering Pipeline (for direct illumination)



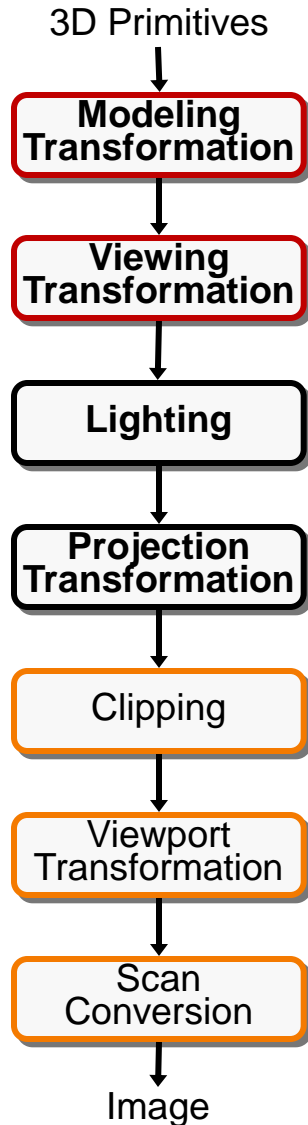
# Transformations



$$I = I_E + \sum_L [K_A \cdot I_L^A + (K_D \cdot \langle \vec{N}, \vec{L} \rangle + K_S \cdot \langle \vec{V}, \vec{R} \rangle^n) \cdot I_L]$$

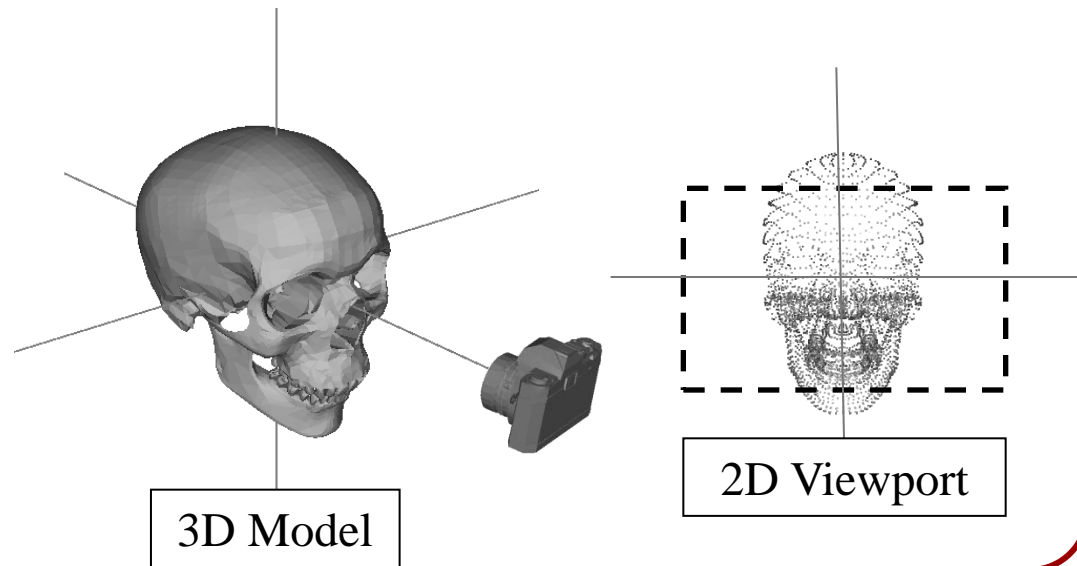


# Transformations

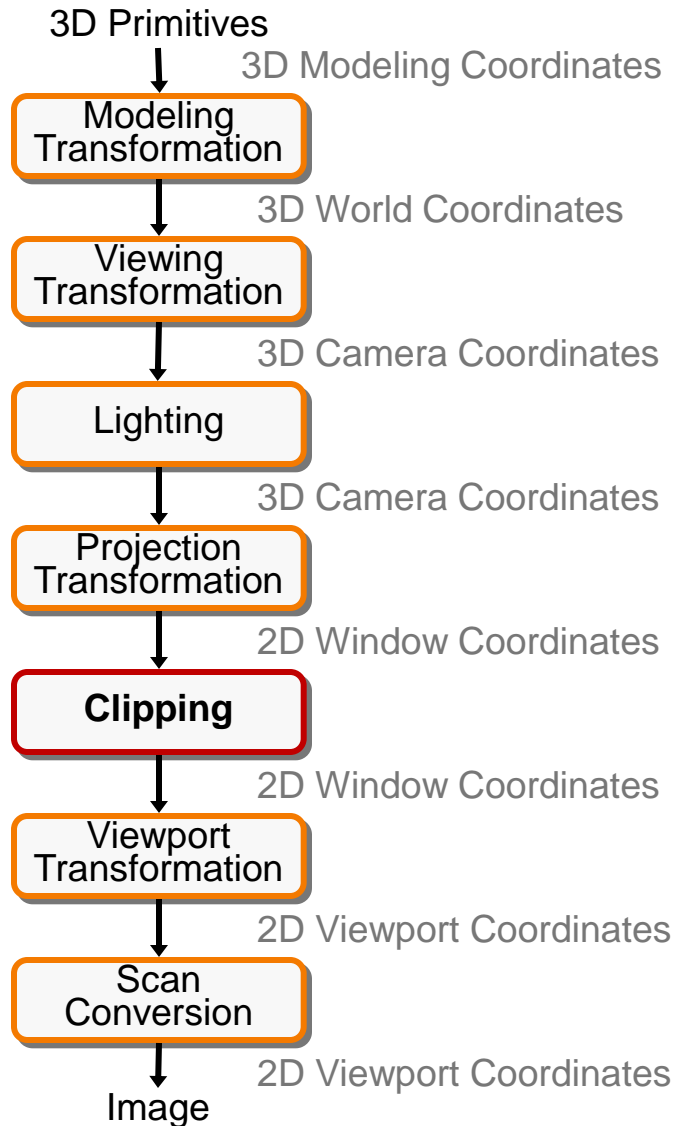


## Vertex processing

- Originally, vertex processing was fixed
- Now this is programmable in the vertex shader



# 3D Rendering Pipeline (for direct illumination)





# Clipping

- Avoid drawing parts of primitives outside window
  - Window defines the subset of the scene being viewed
  - Must draw geometric primitives only inside window







# Clipping

- Avoid drawing parts of primitives outside window
  - **Points**
  - Line Segments
  - Polygons

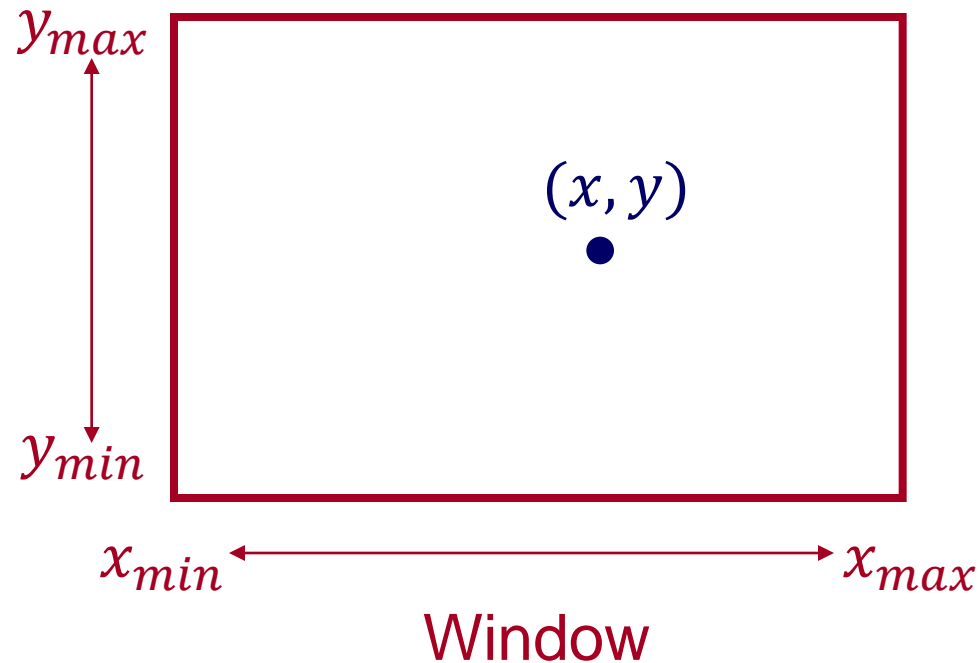






# Point Clipping

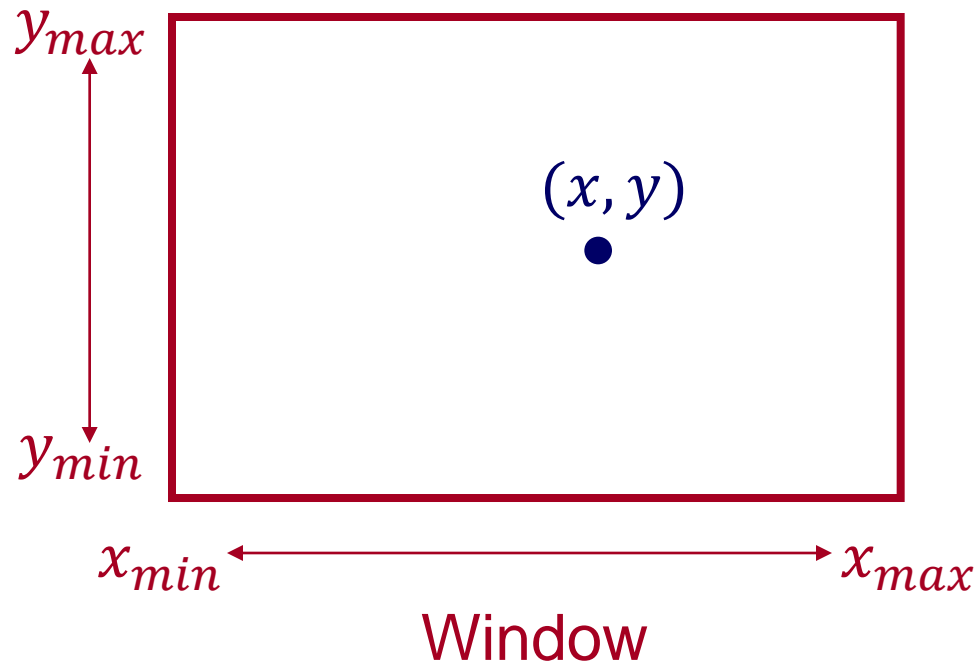
- Is point  $(x, y)$  inside the clip window?





# Point Clipping

- Is point  $(x, y)$  inside the clip window?



```
inside =  
    (x >= x_min) &&  
    (x < x_max) &&  
    (y >= y_min) &&  
    (y < y_max);
```



# Clipping

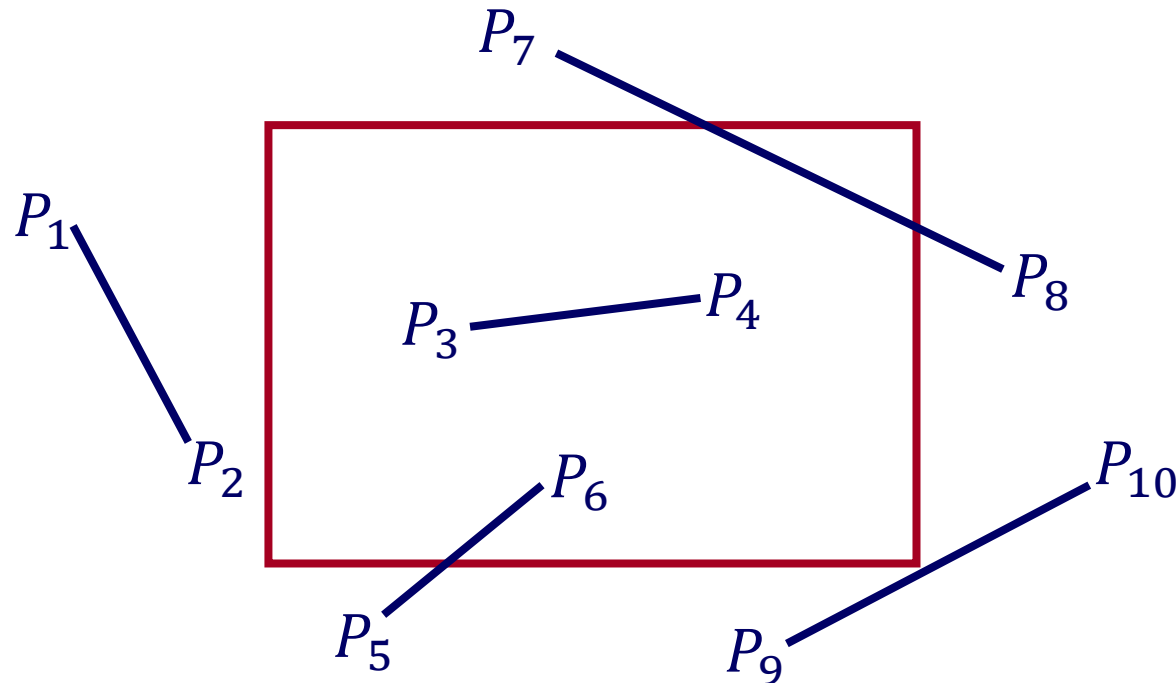
- Avoid drawing parts of primitives outside window
  - Points
  - **Line Segments**
  - Polygons





# Line Segment Clipping

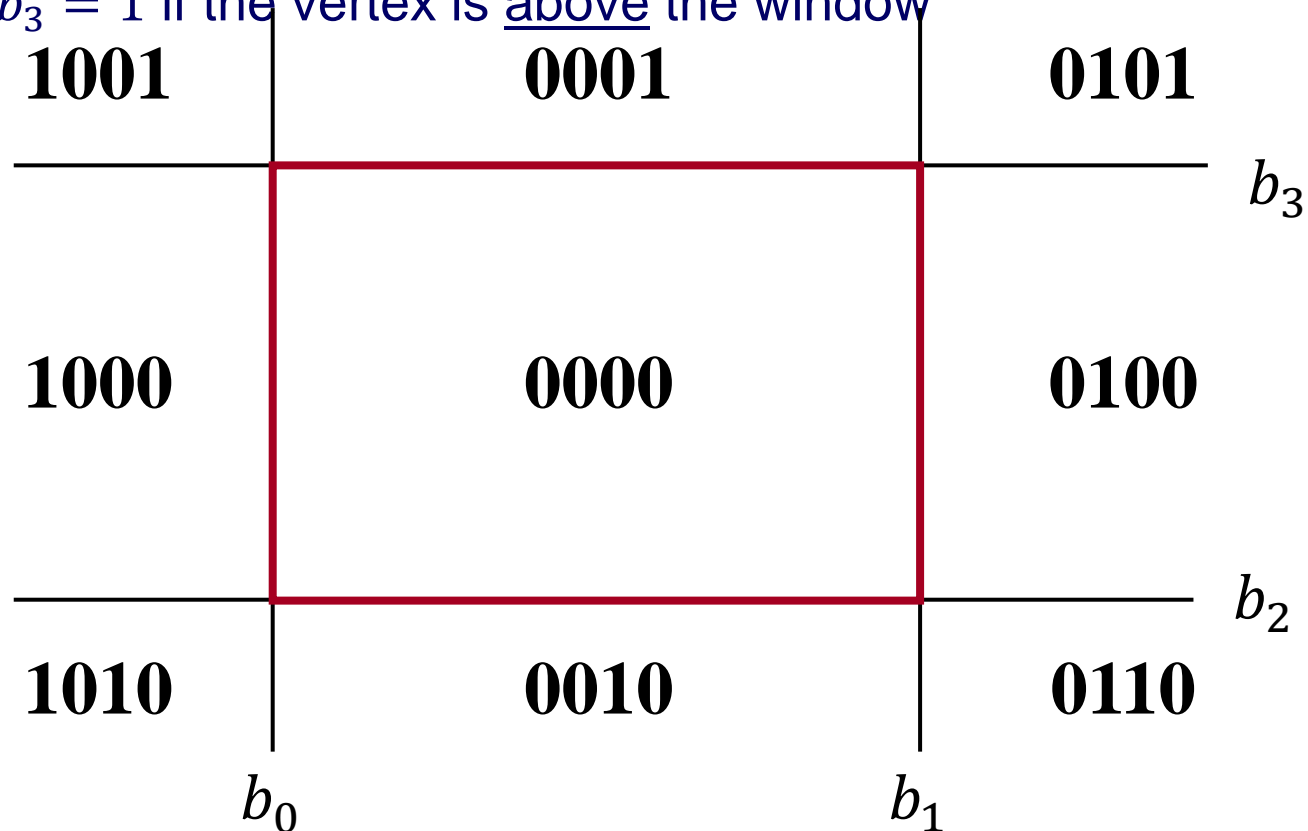
- Find the part of a line inside the clip window
  - Do this as efficiently as possible by identifying the easiest cases first





# Cohen-Sutherland Line Clipping

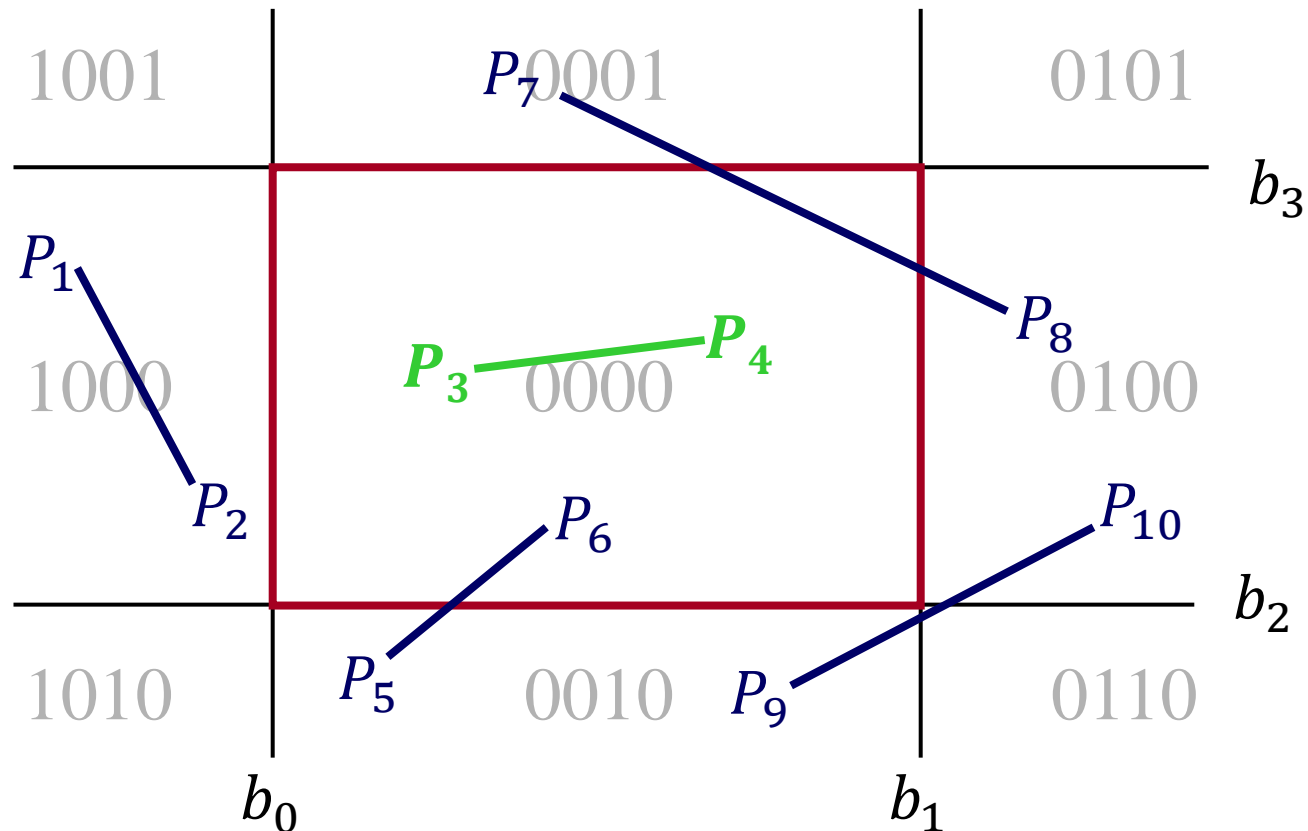
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
  - $b_0 = 1$  if the vertex is left of the window
  - $b_1 = 1$  if the vertex is right of the window
  - $b_2 = 1$  if the vertex is below the window
  - $b_3 = 1$  if the vertex is above the window





# Cohen-Sutherland Line Clipping

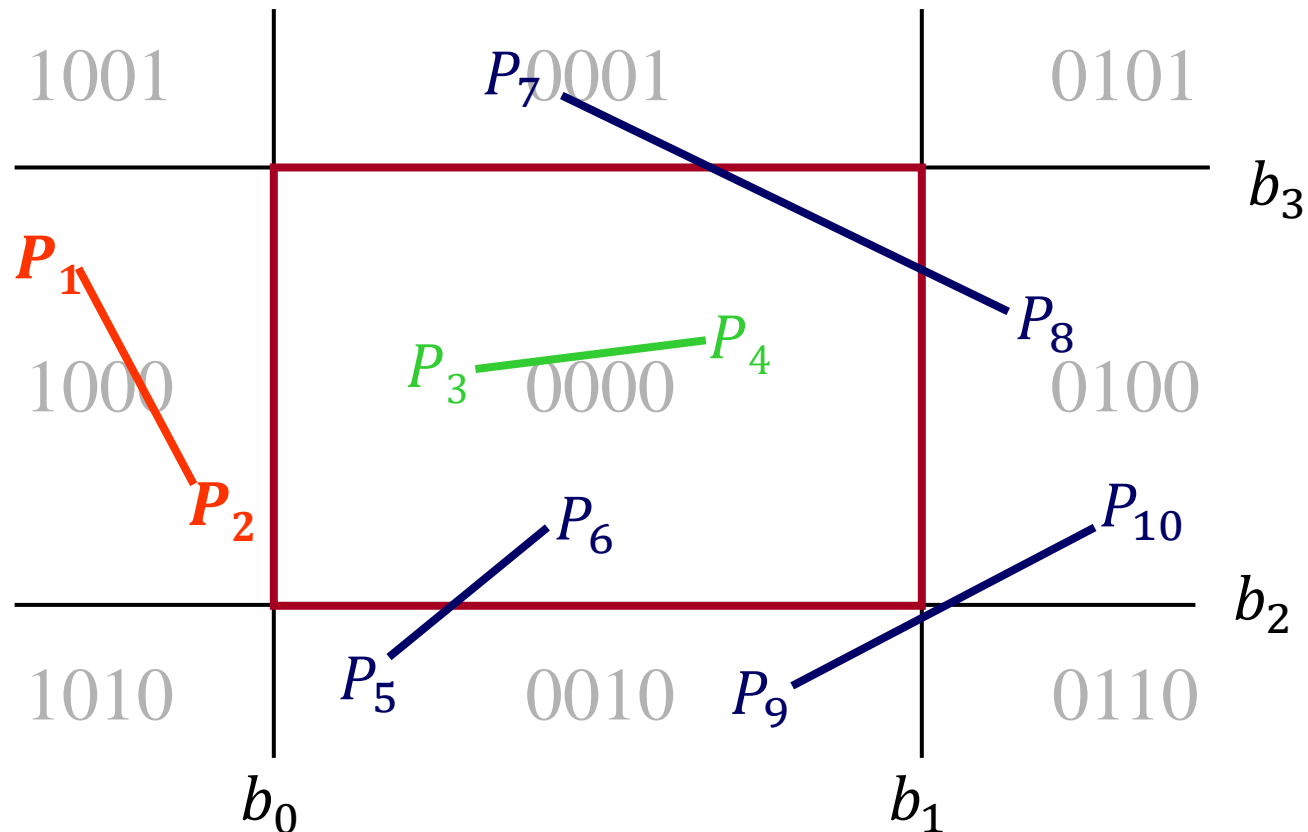
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- **If both points' outcodes are 0, line segment is inside**





# Cohen-Sutherland Line Clipping

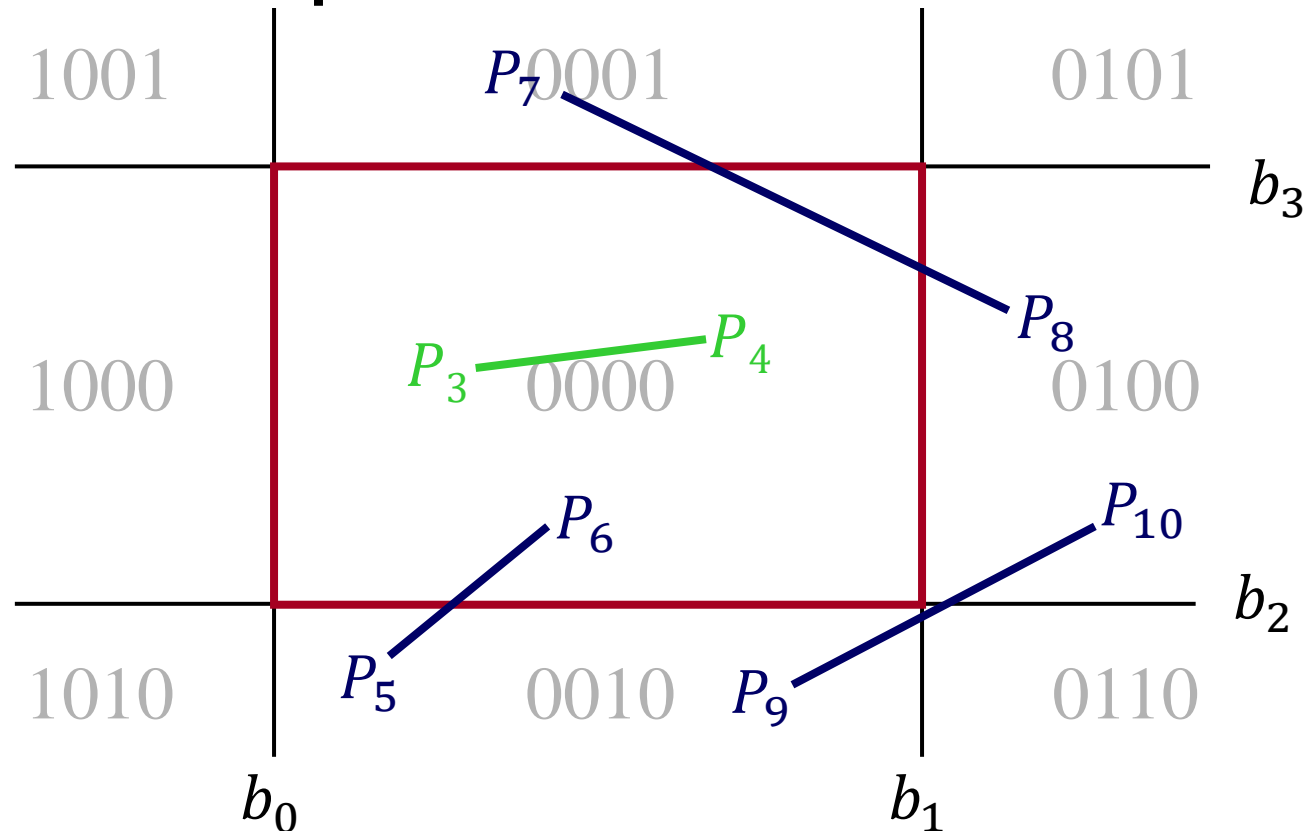
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- **If AND of outcodes is not 0, line segment is outside**





# Cohen-Sutherland Line Clipping

- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**

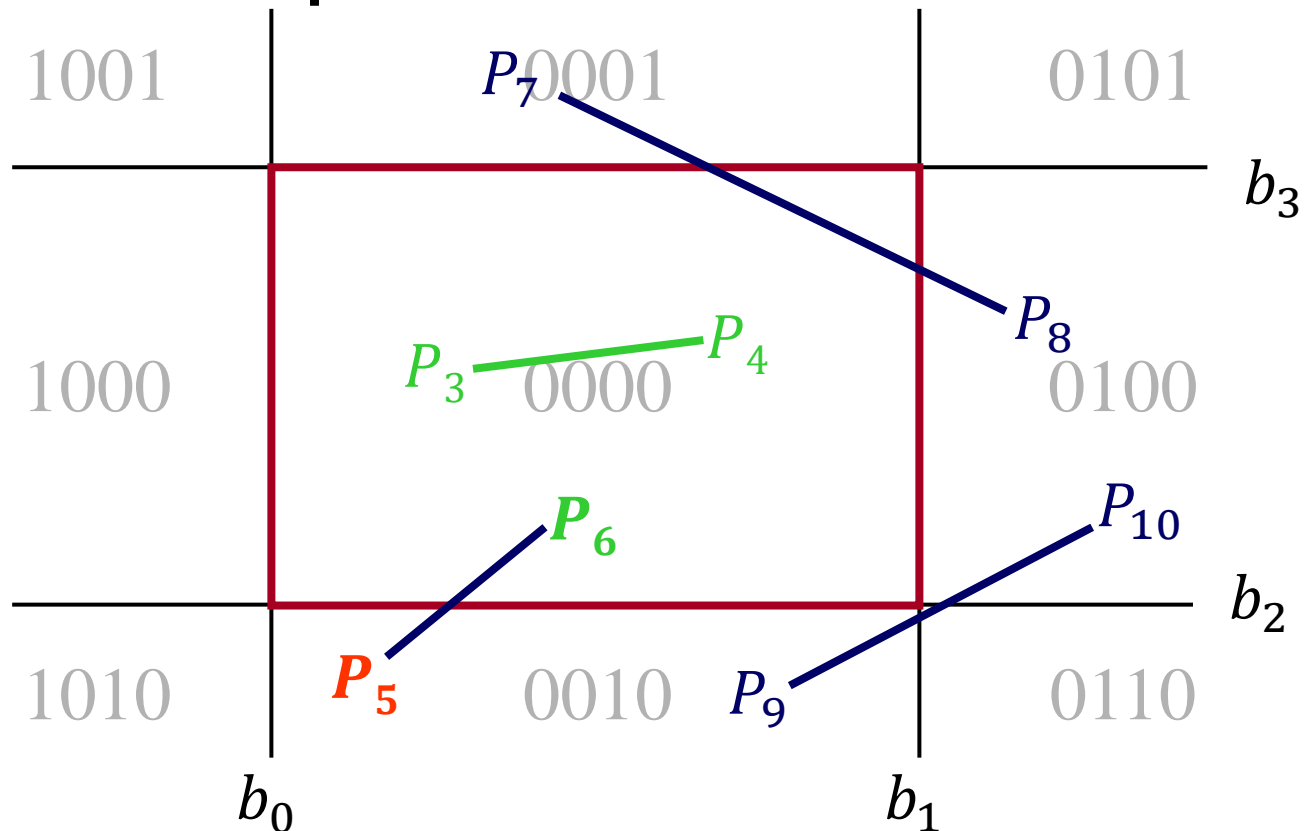






# Cohen-Sutherland Line Clipping

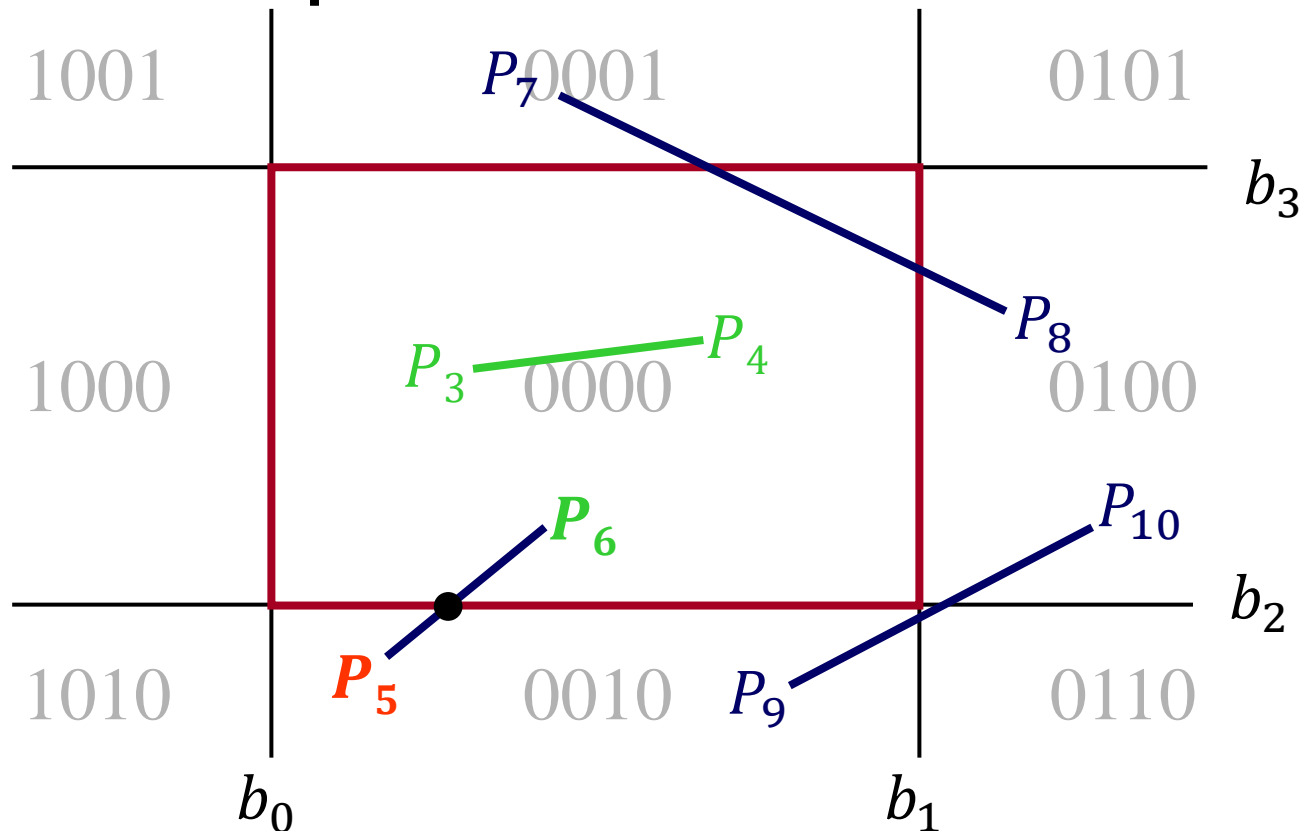
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

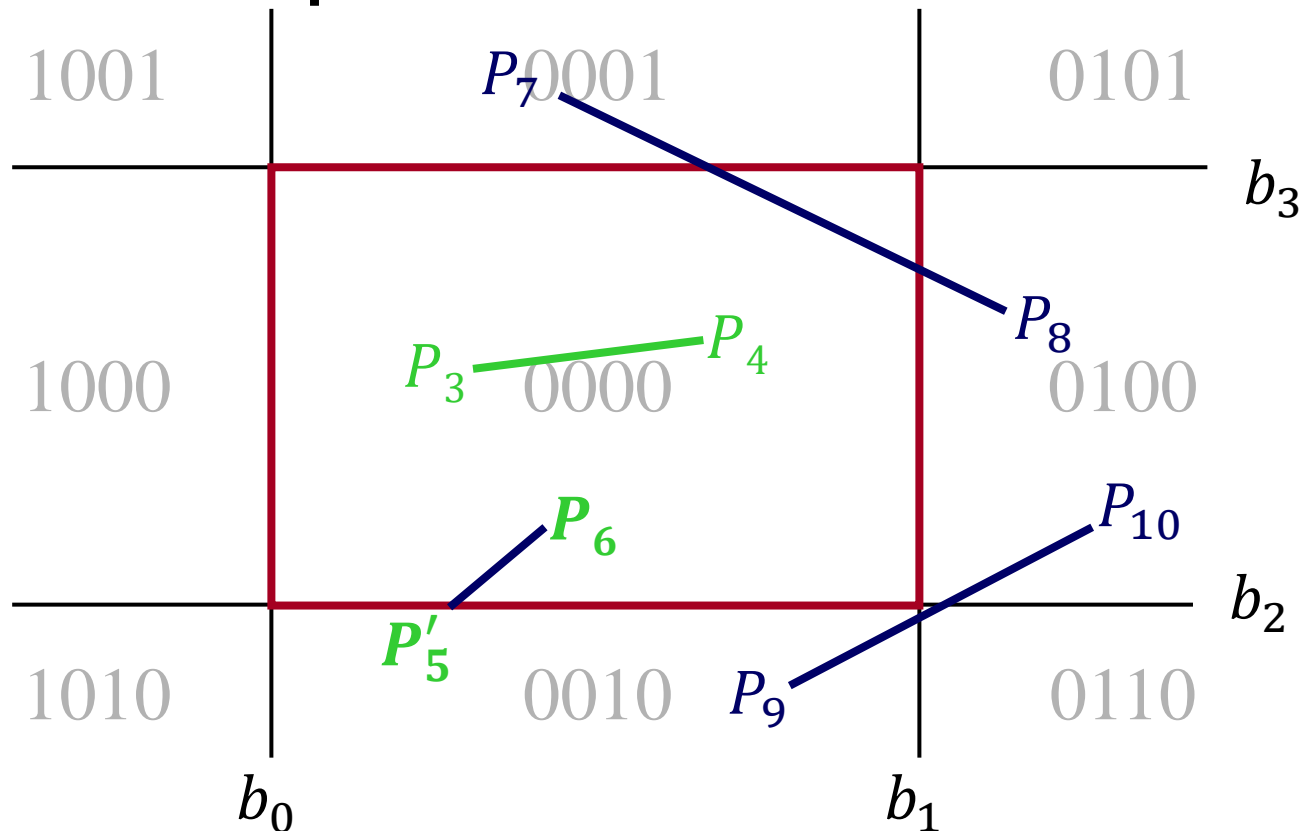
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

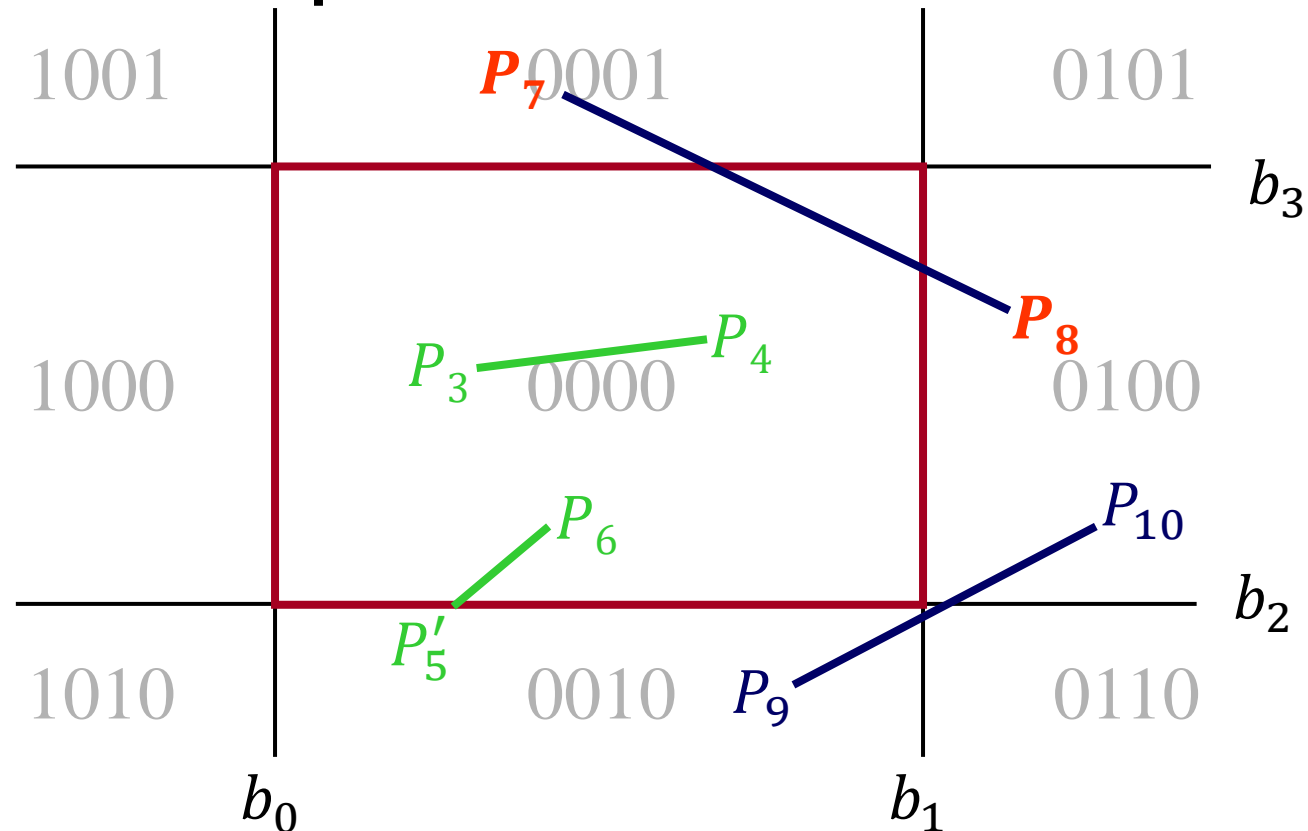
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

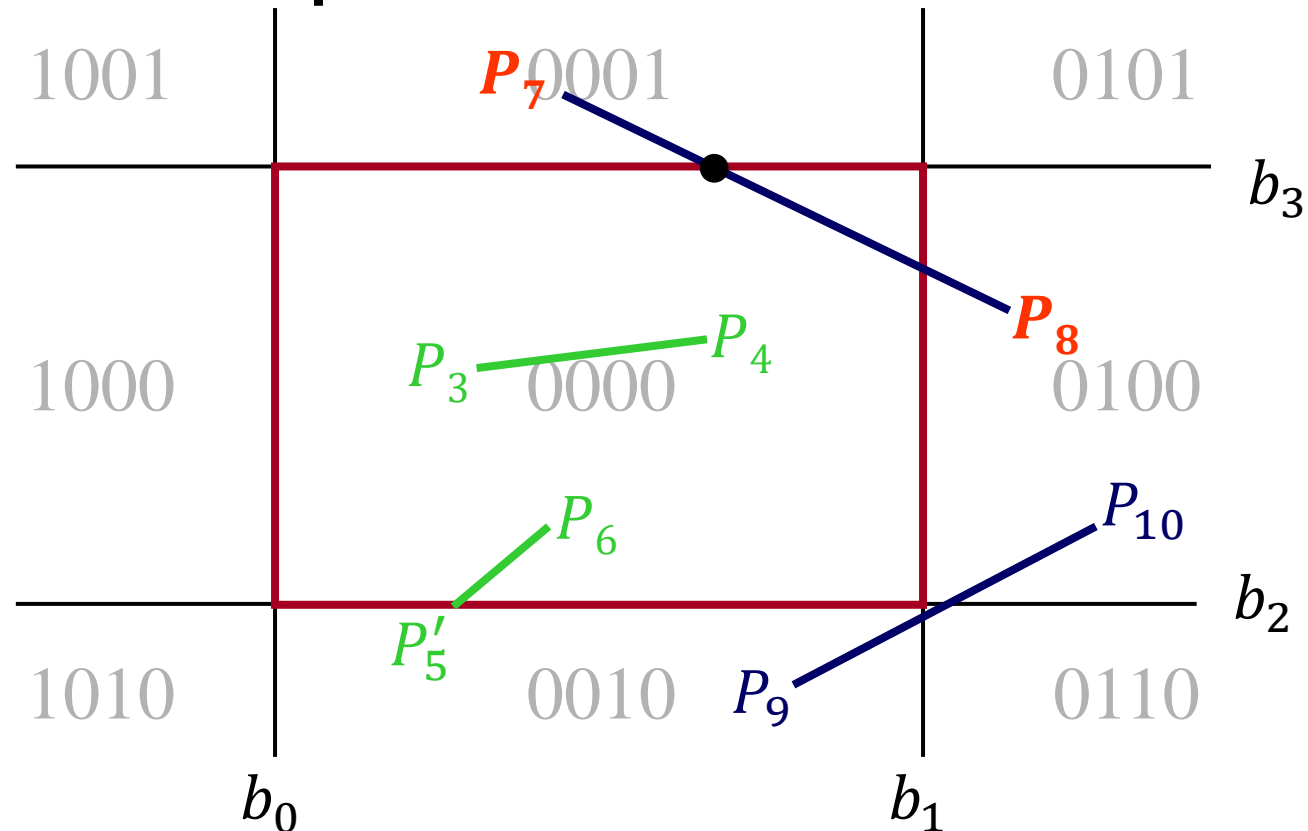
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

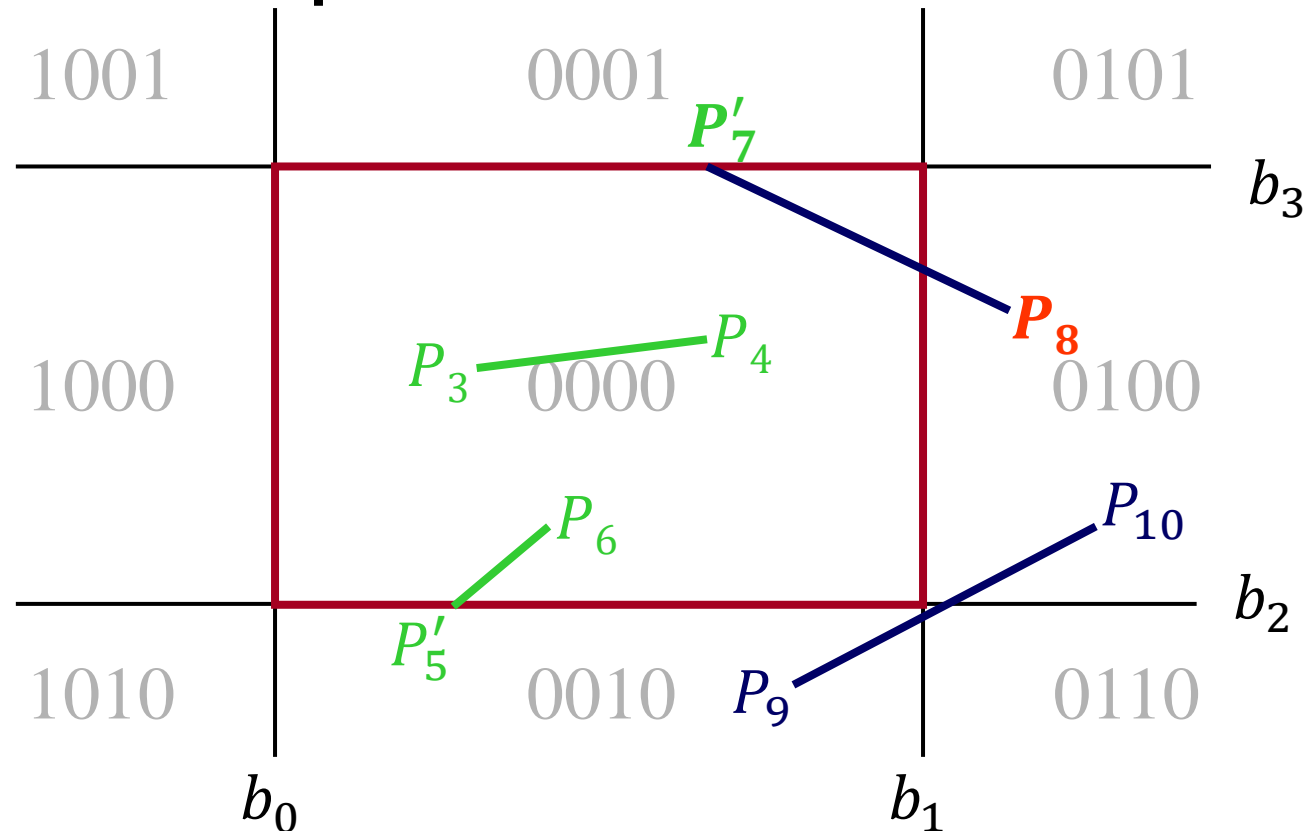
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

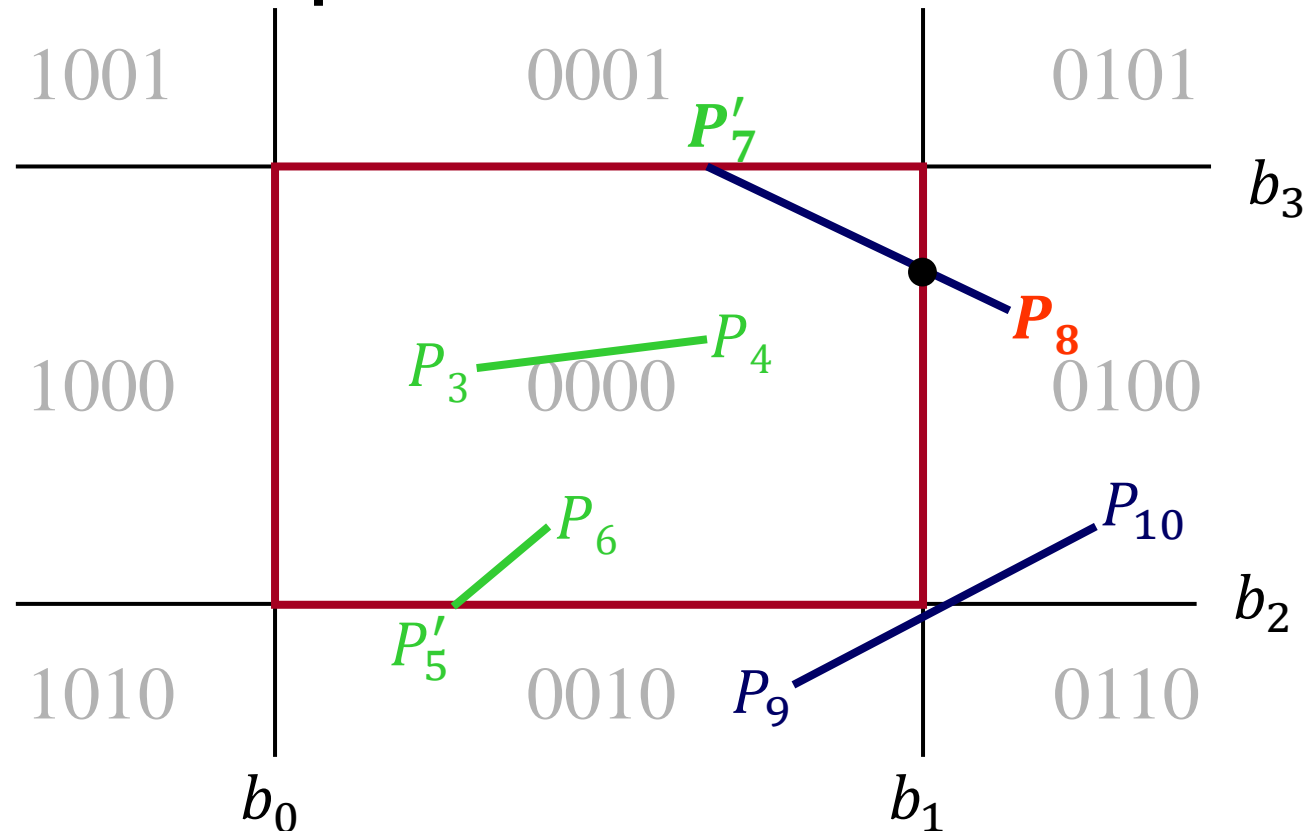
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

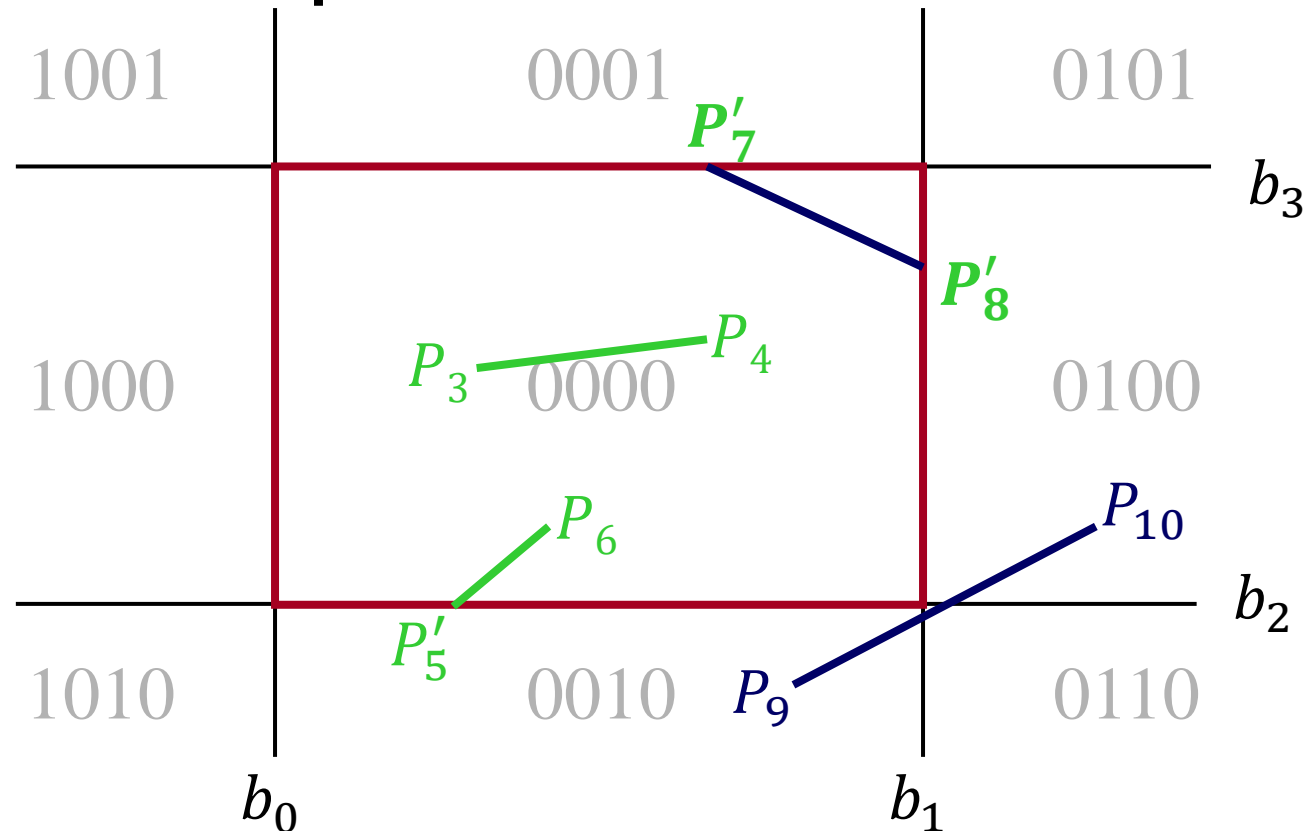
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**

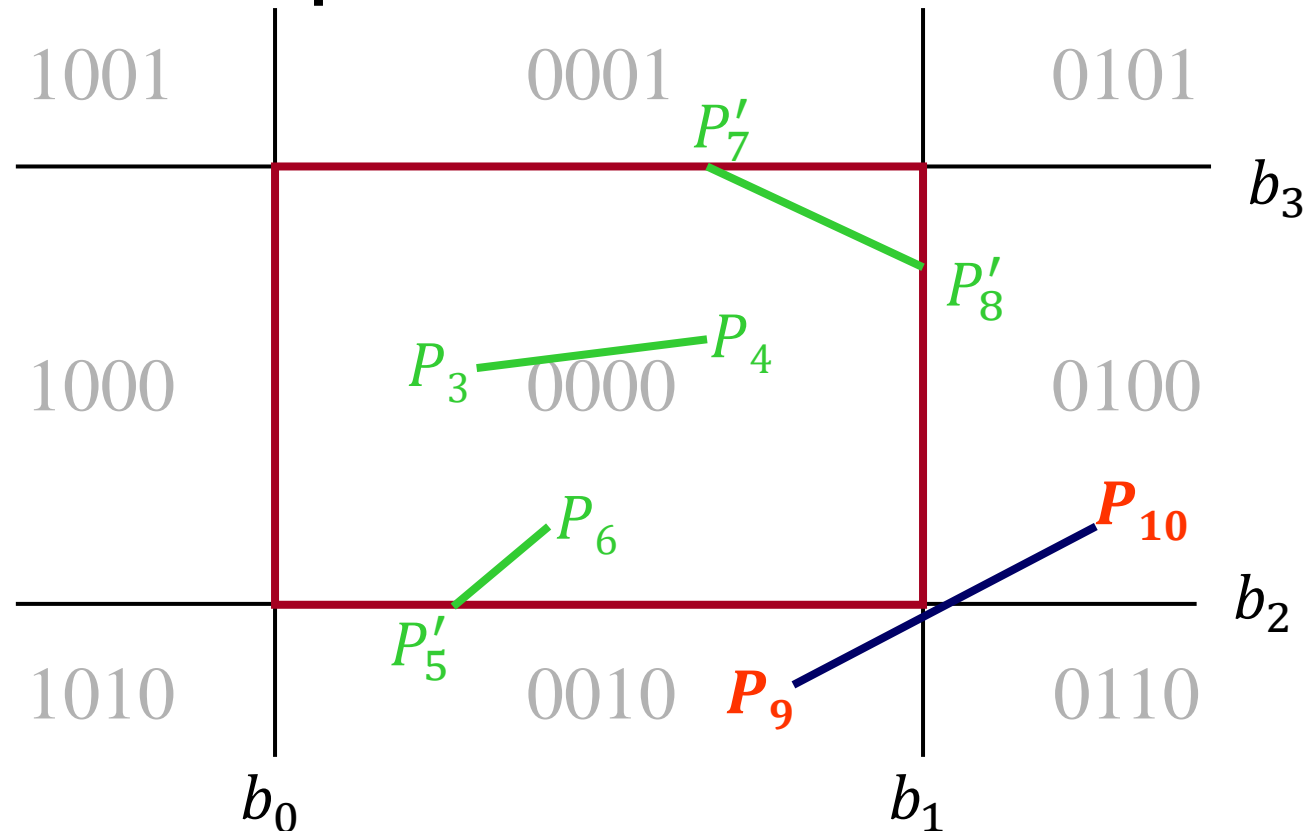






# Cohen-Sutherland Line Clipping

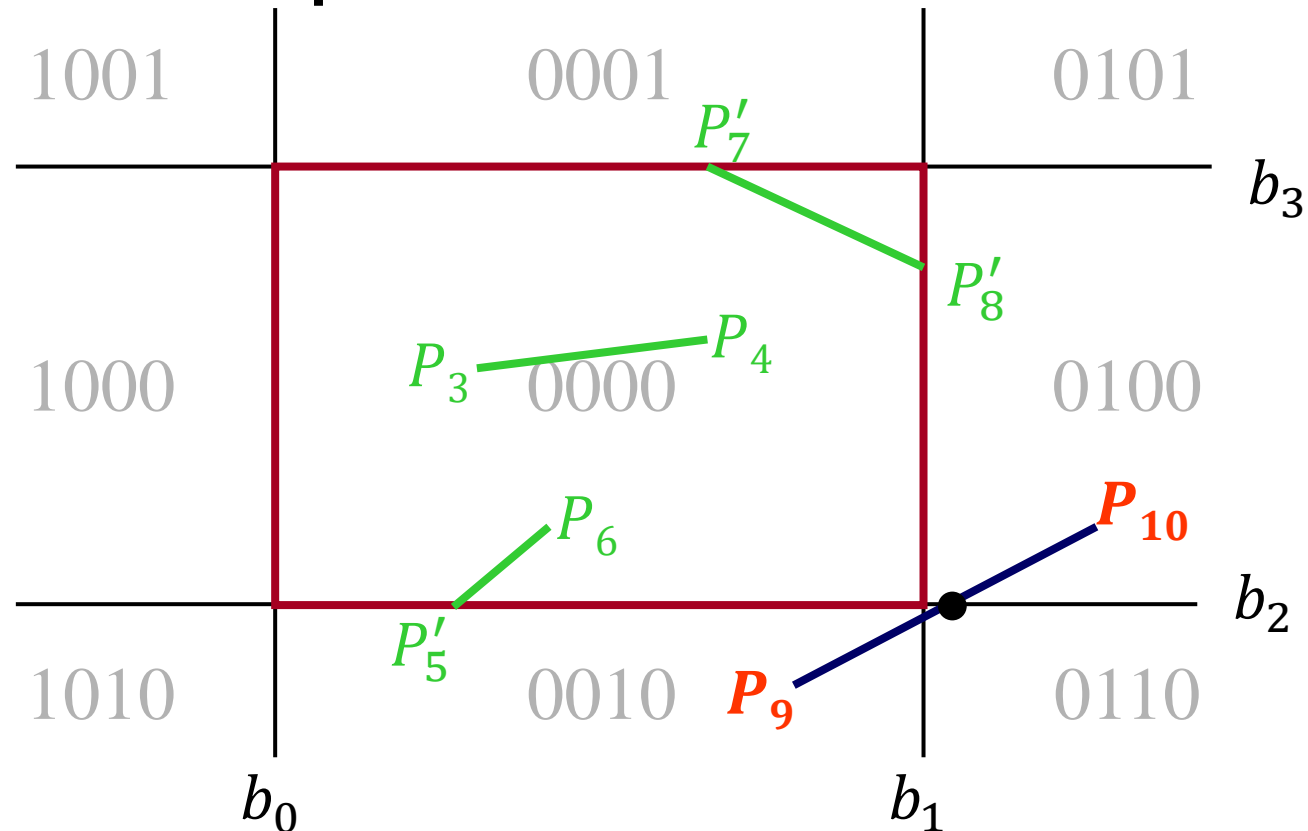
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

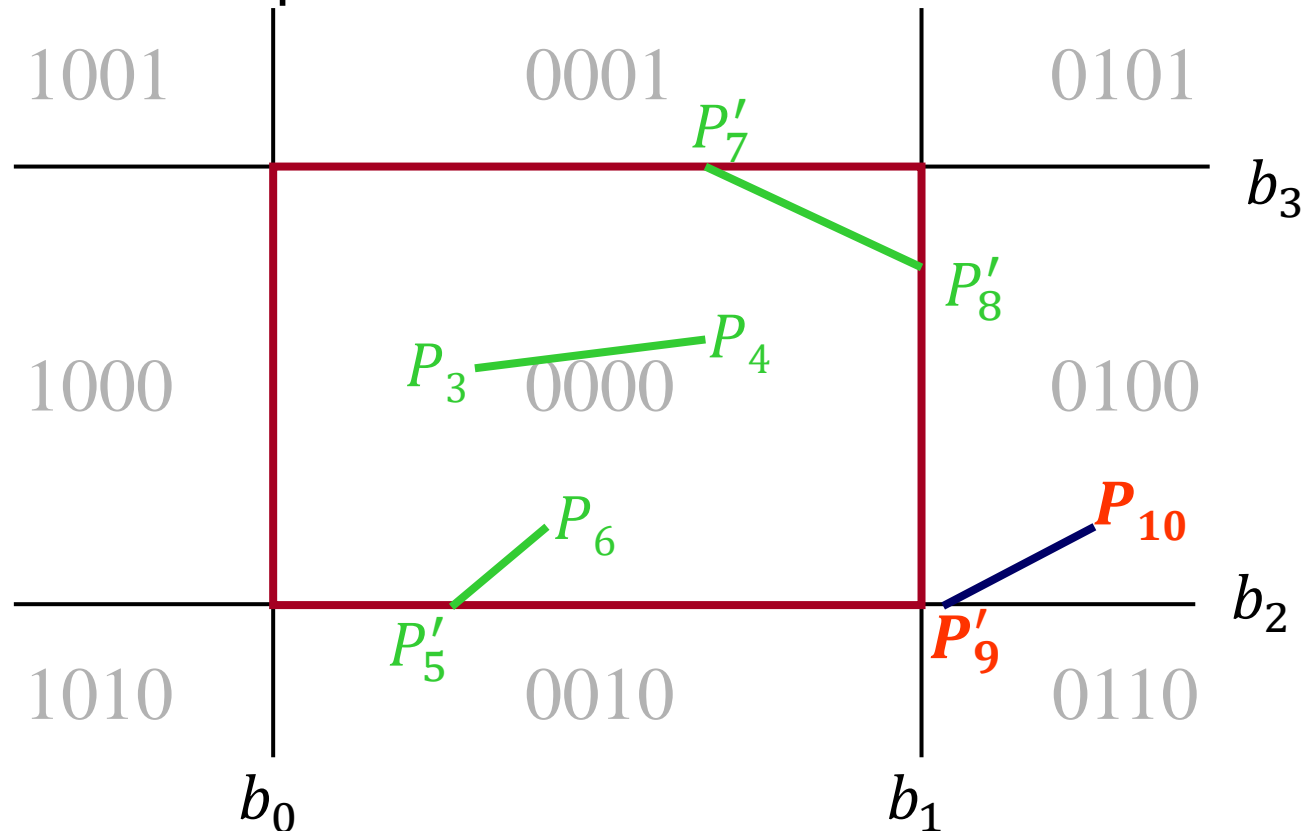
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- **Otherwise clip and test**





# Cohen-Sutherland Line Clipping

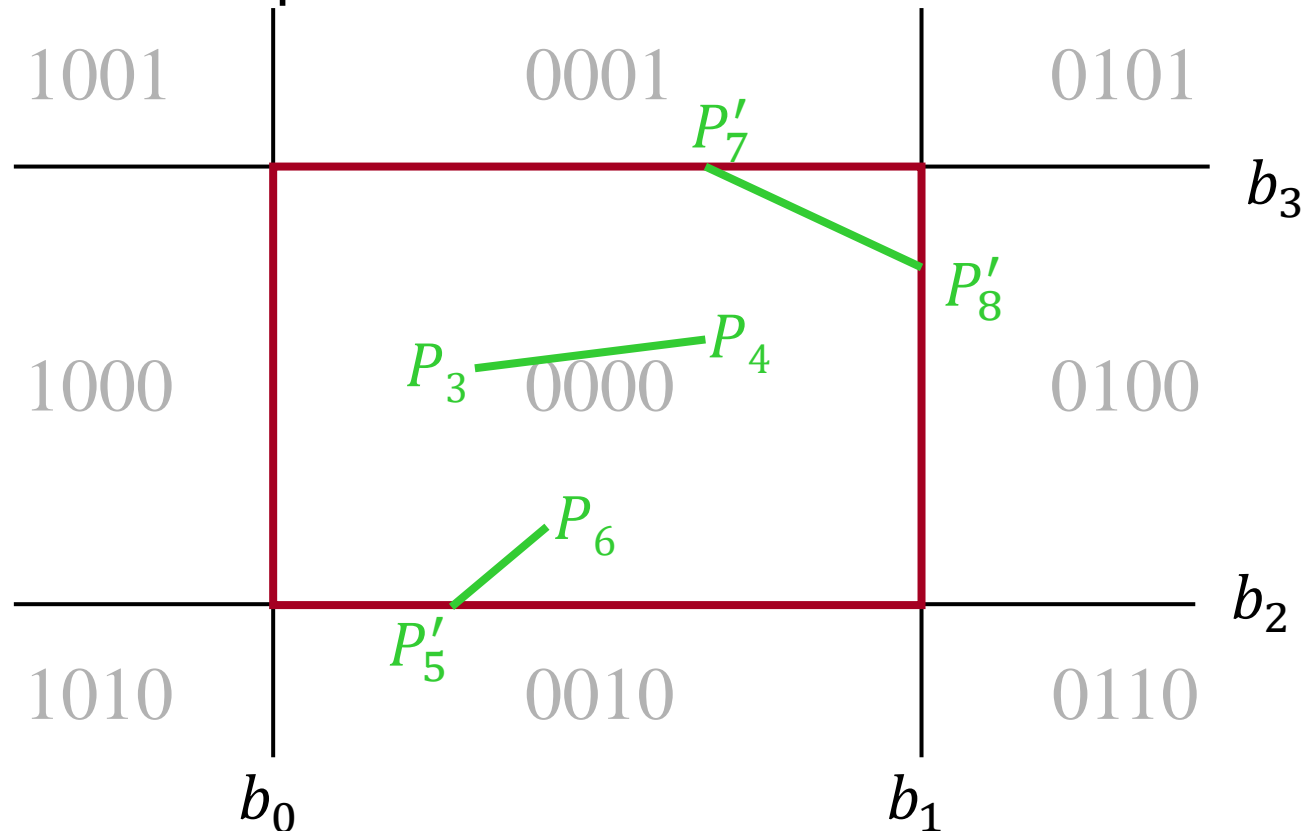
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- **If AND of outcodes is not 0, line segment is outside**
- Otherwise clip and test





# Cohen-Sutherland Line Clipping

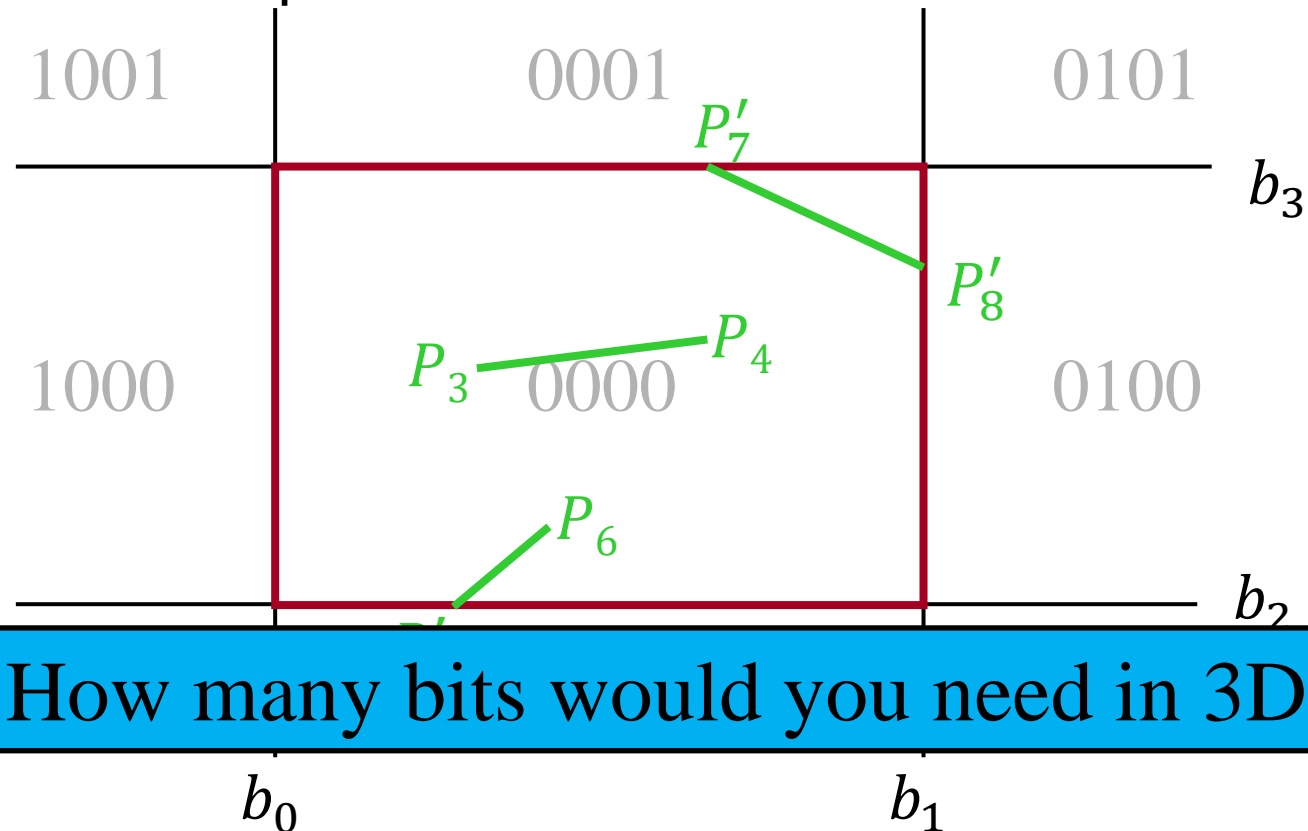
- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- **If AND of outcodes is not 0, line segment is outside**
- Otherwise clip and test





# Cohen-Sutherland Line Clipping

- Associate a 4-bit outcode  $b_0b_1b_2b_3$  to each vertex
- If both points' outcodes are 0, line segment is inside
- If AND of outcodes is not 0, line segment is outside
- Otherwise clip and test



How many bits would you need in 3D?



# Clipping

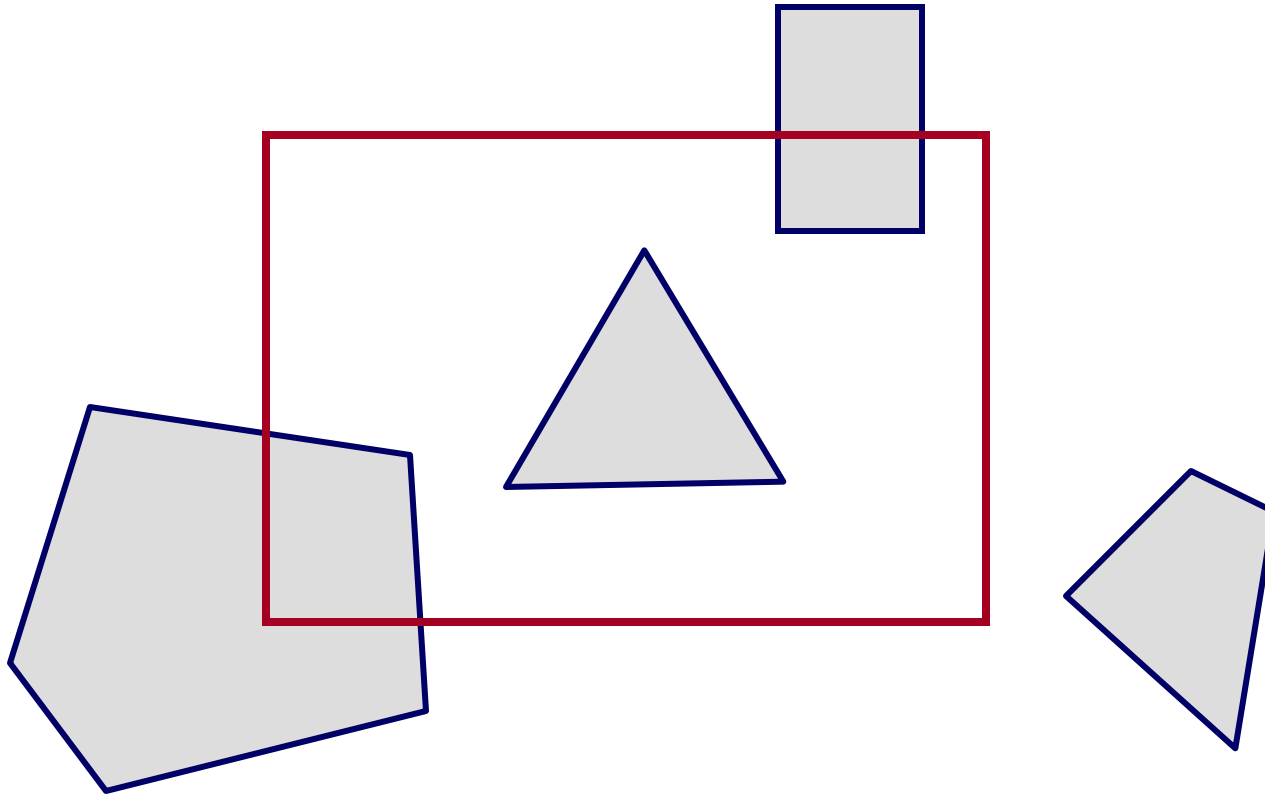
- Avoid drawing parts of primitives outside window
  - Points
  - Line Segments
  - **Polygons**





# Polygon Clipping

- Find the part of a polygon inside the clip window

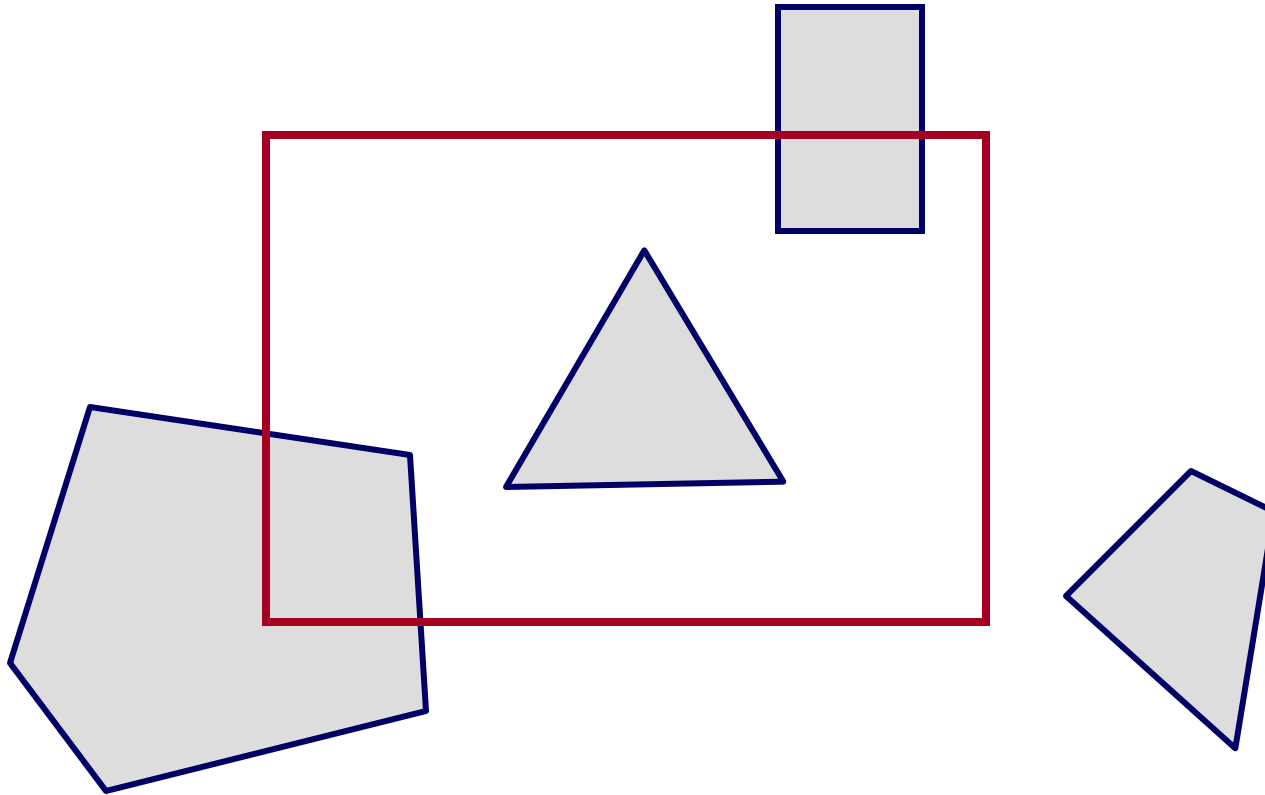


Before Clipping



# Sutherland-Hodgeman Clipping

- Clip to each window boundary, one at a time

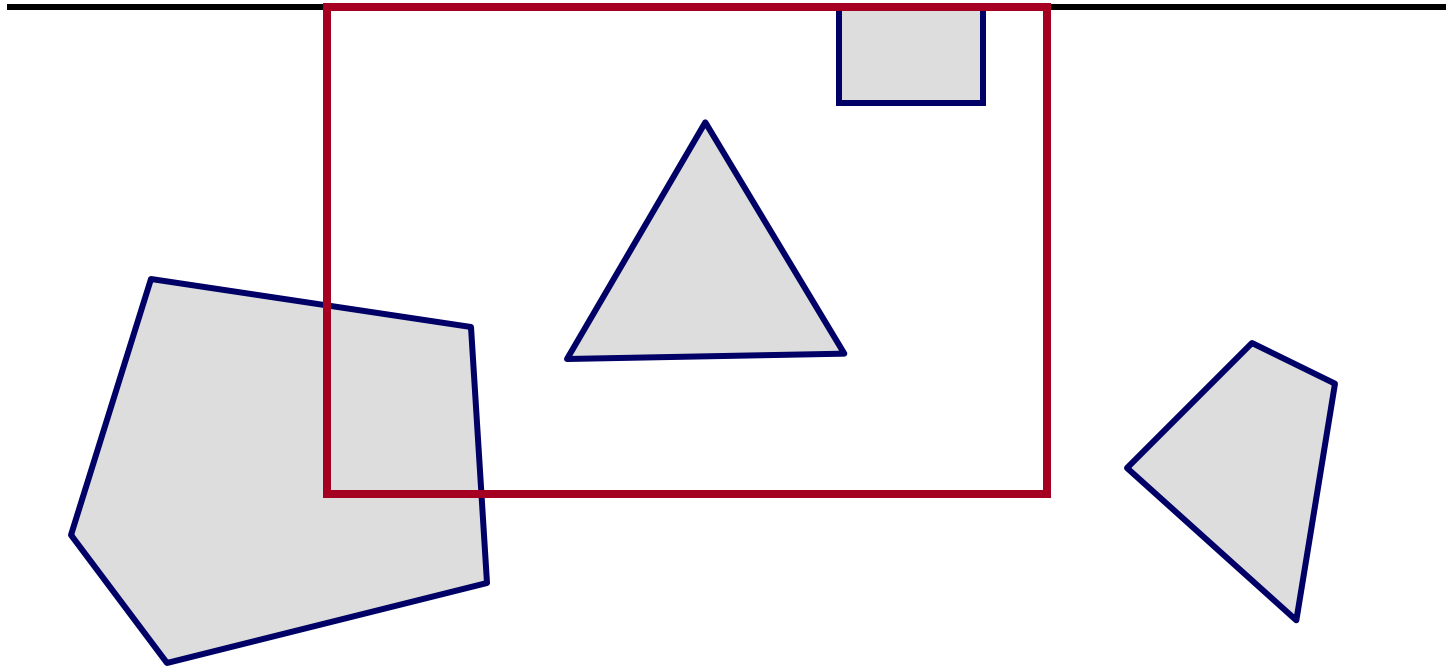






# Sutherland-Hodgeman Clipping

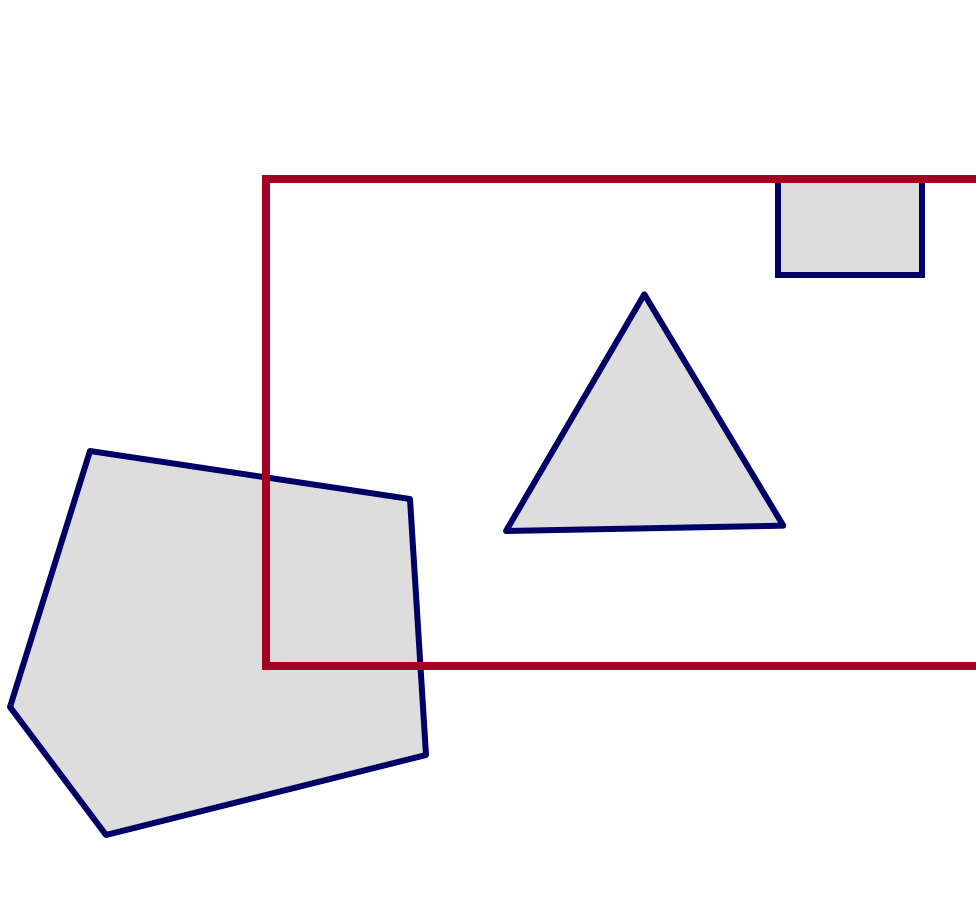
- Clip to each window boundary, one at a time





# Sutherland-Hodgeman Clipping

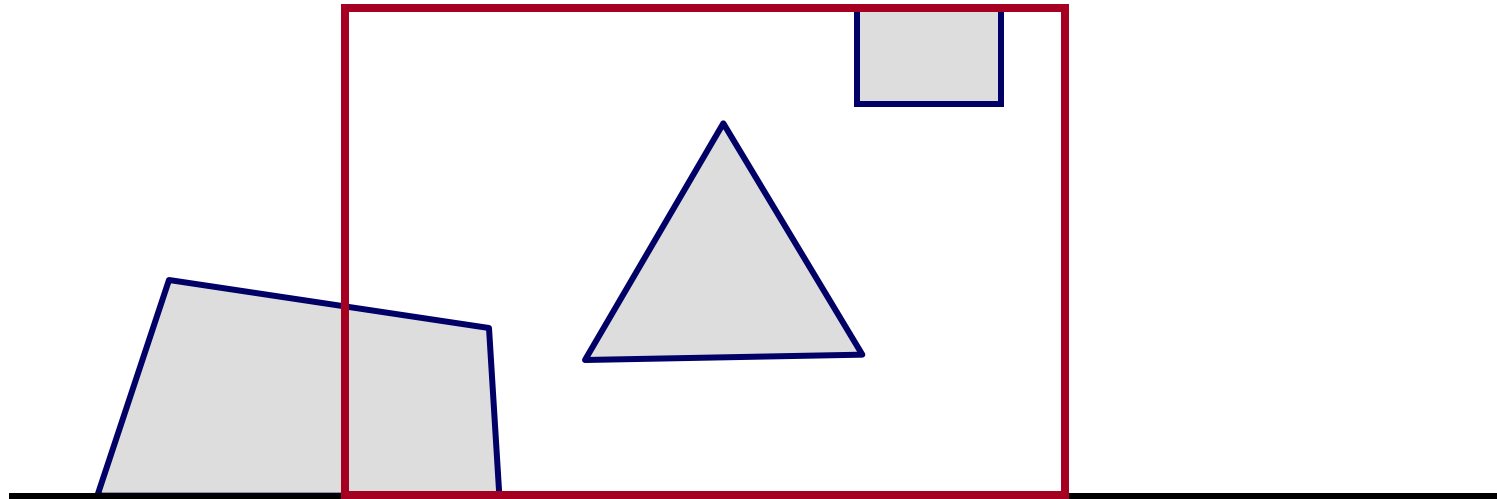
- Clip to each window boundary, one at a time



# Sutherland-Hodgeman Clipping



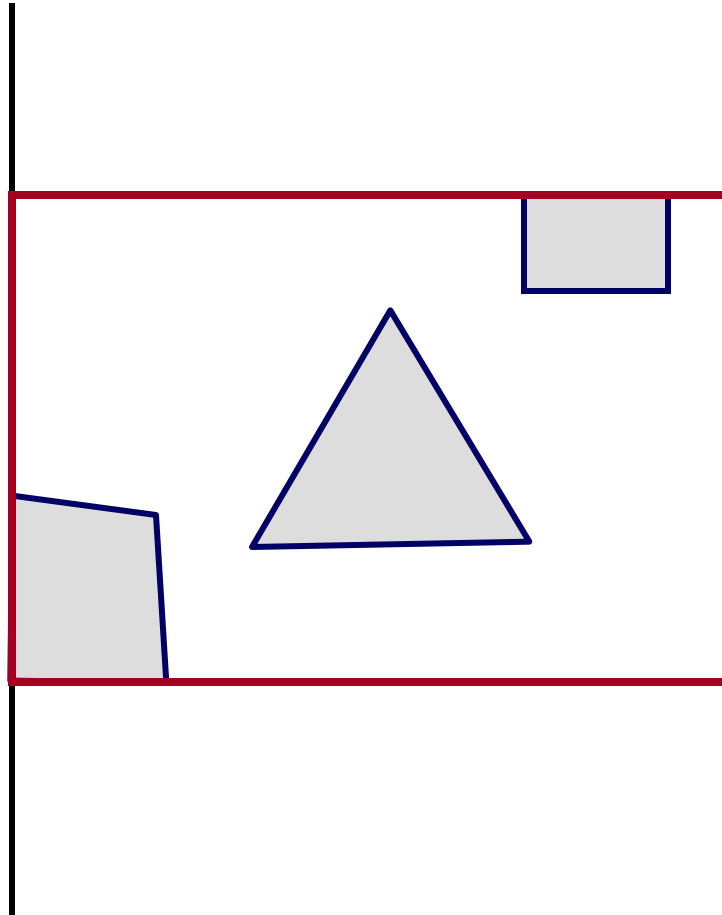
- Clip to each window boundary, one at a time



# Sutherland-Hodgeman Clipping



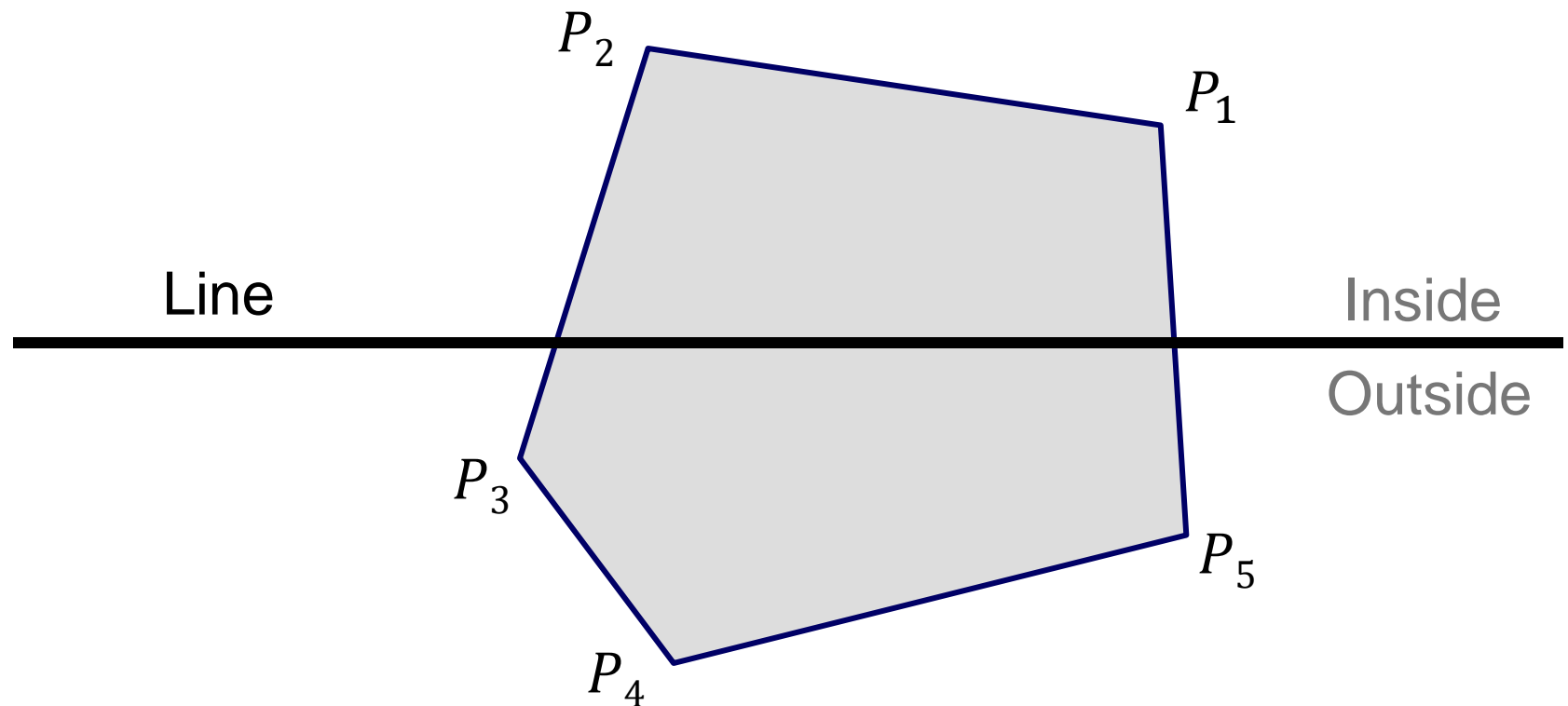
- Clip to each window boundary, one at a time





# Sutherland-Hodgeman Clipping

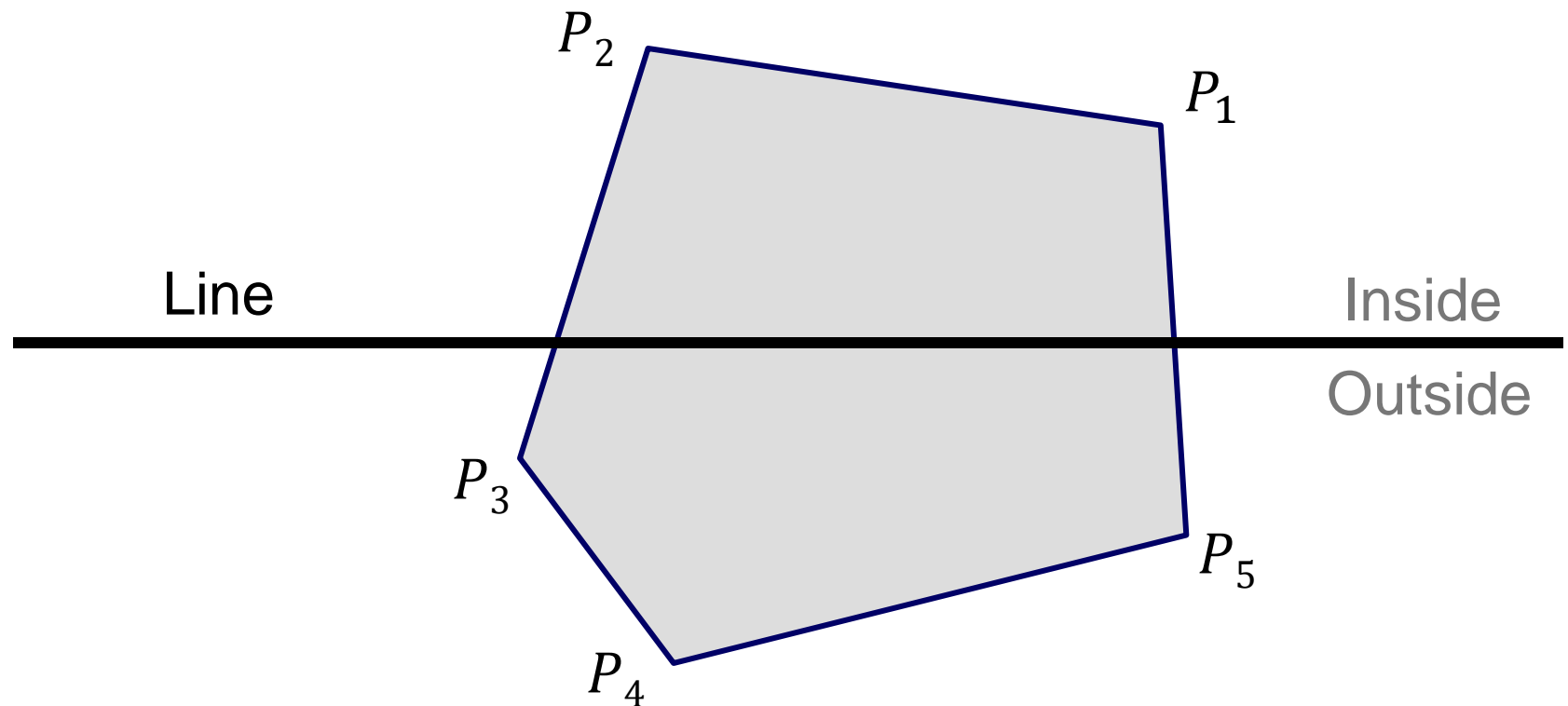
- How do we clip a convex polygon with respect to a (window boundary) line?





# Sutherland-Hodgeman Clipping

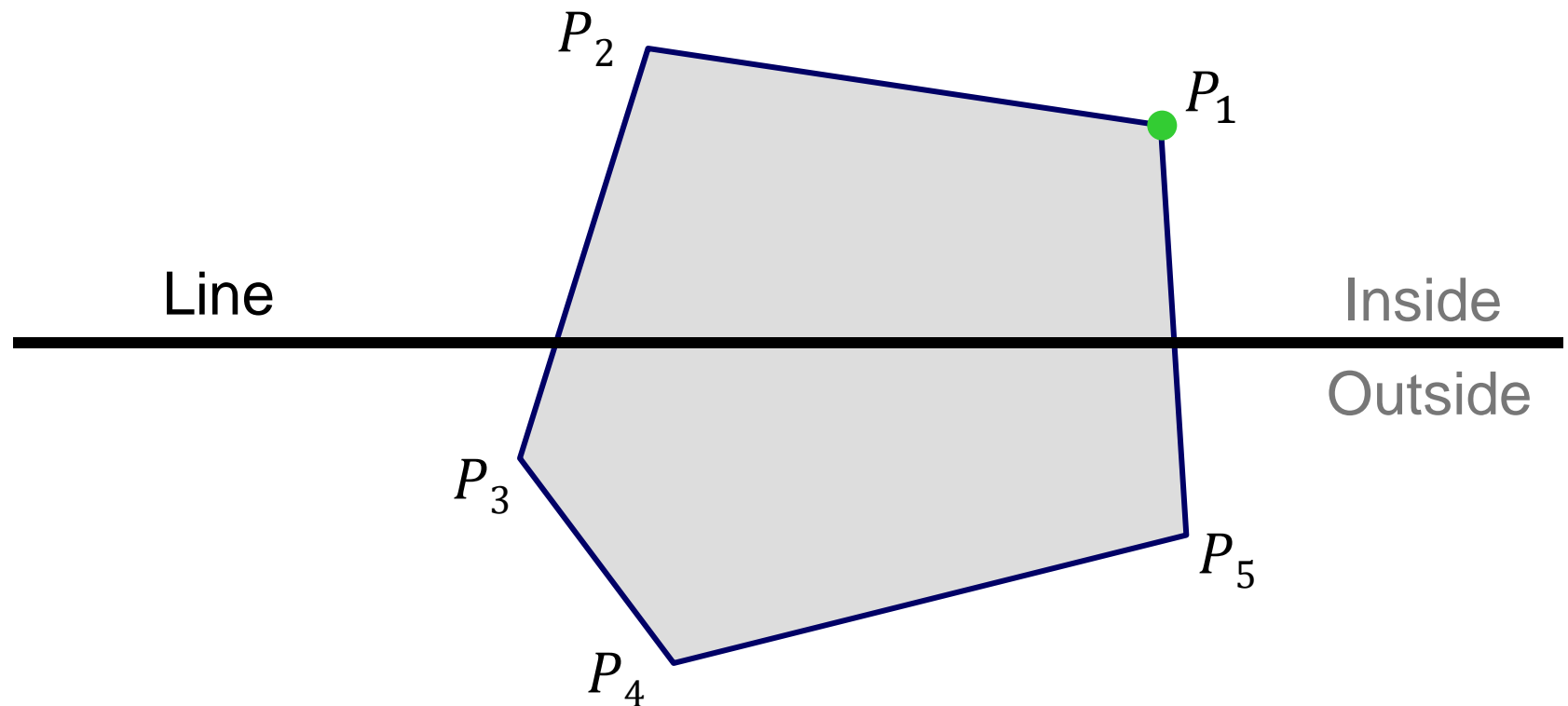
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

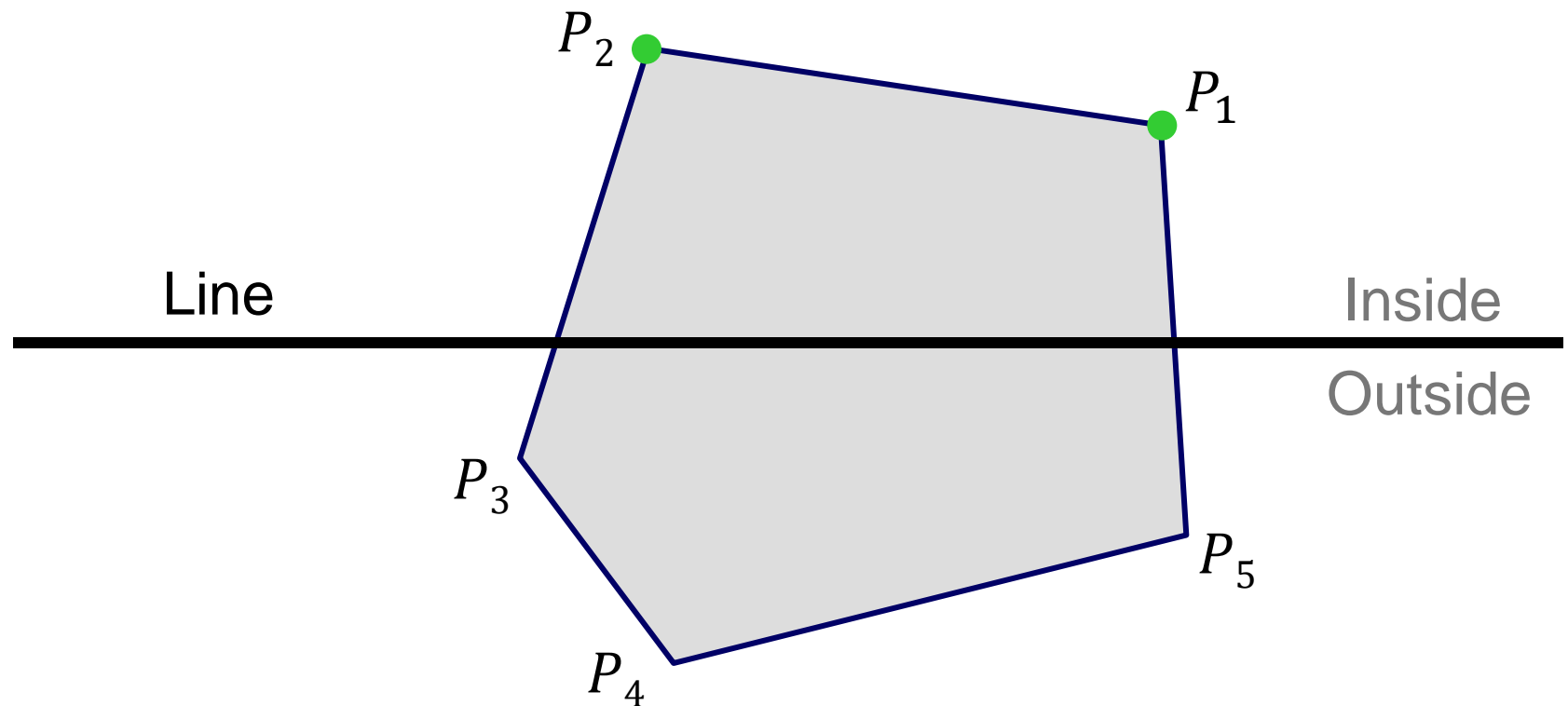
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.

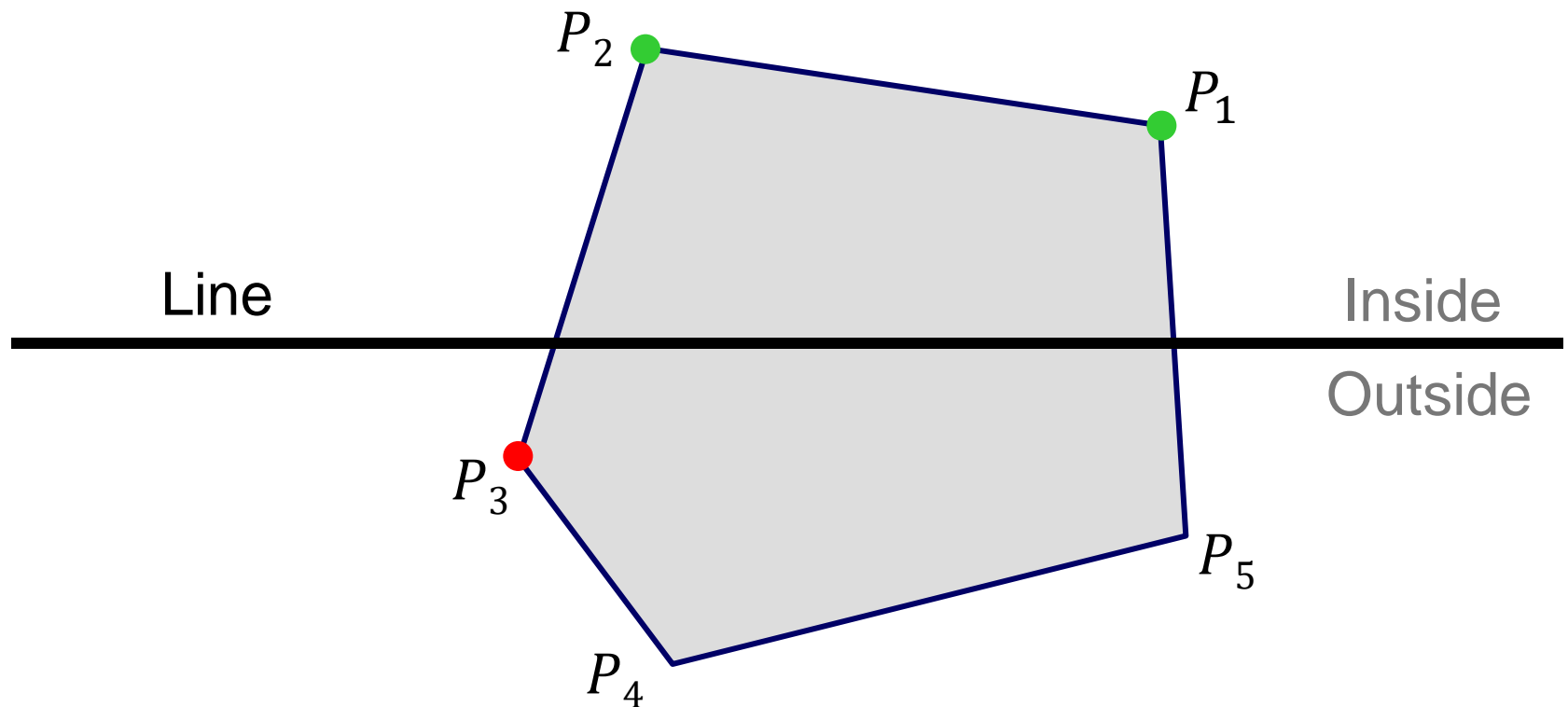






# Sutherland-Hodgeman Clipping

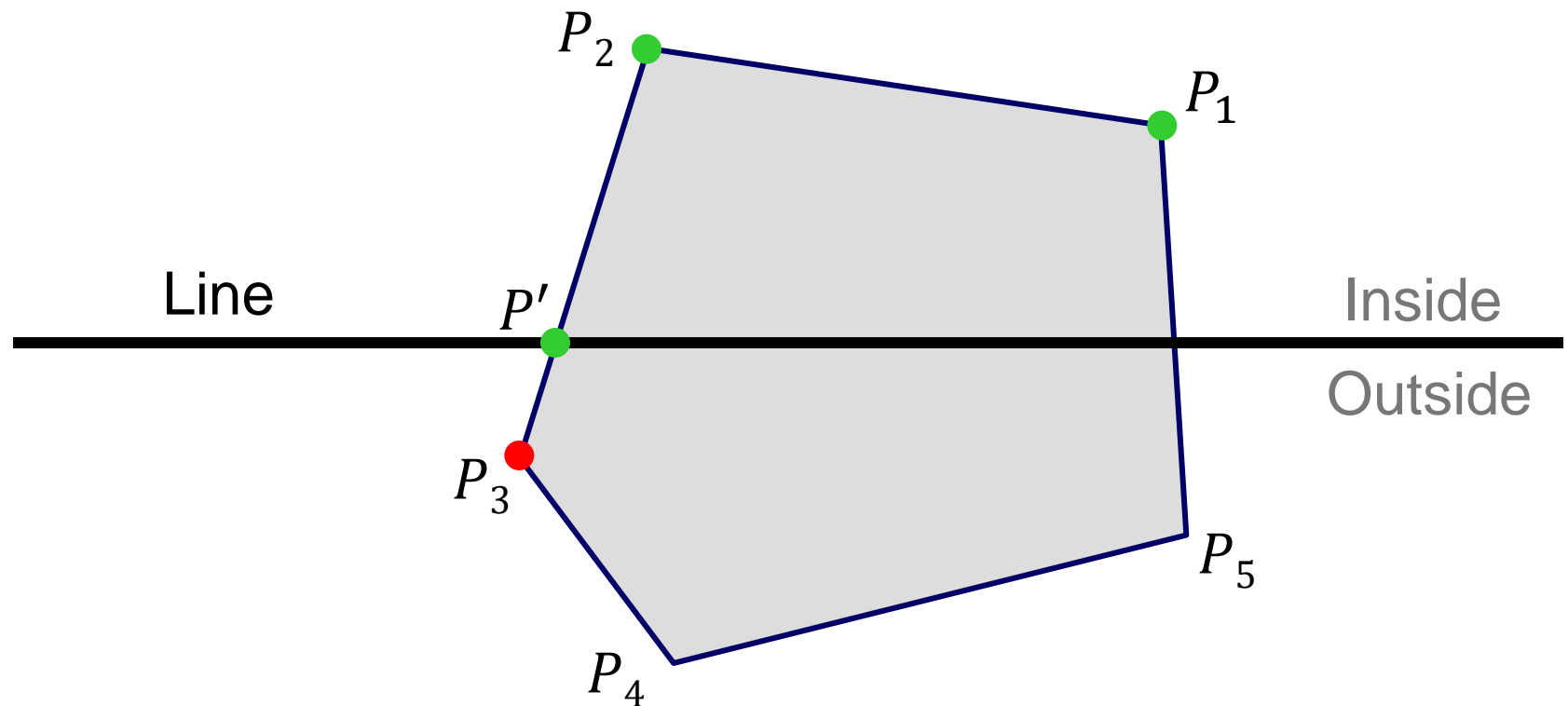
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

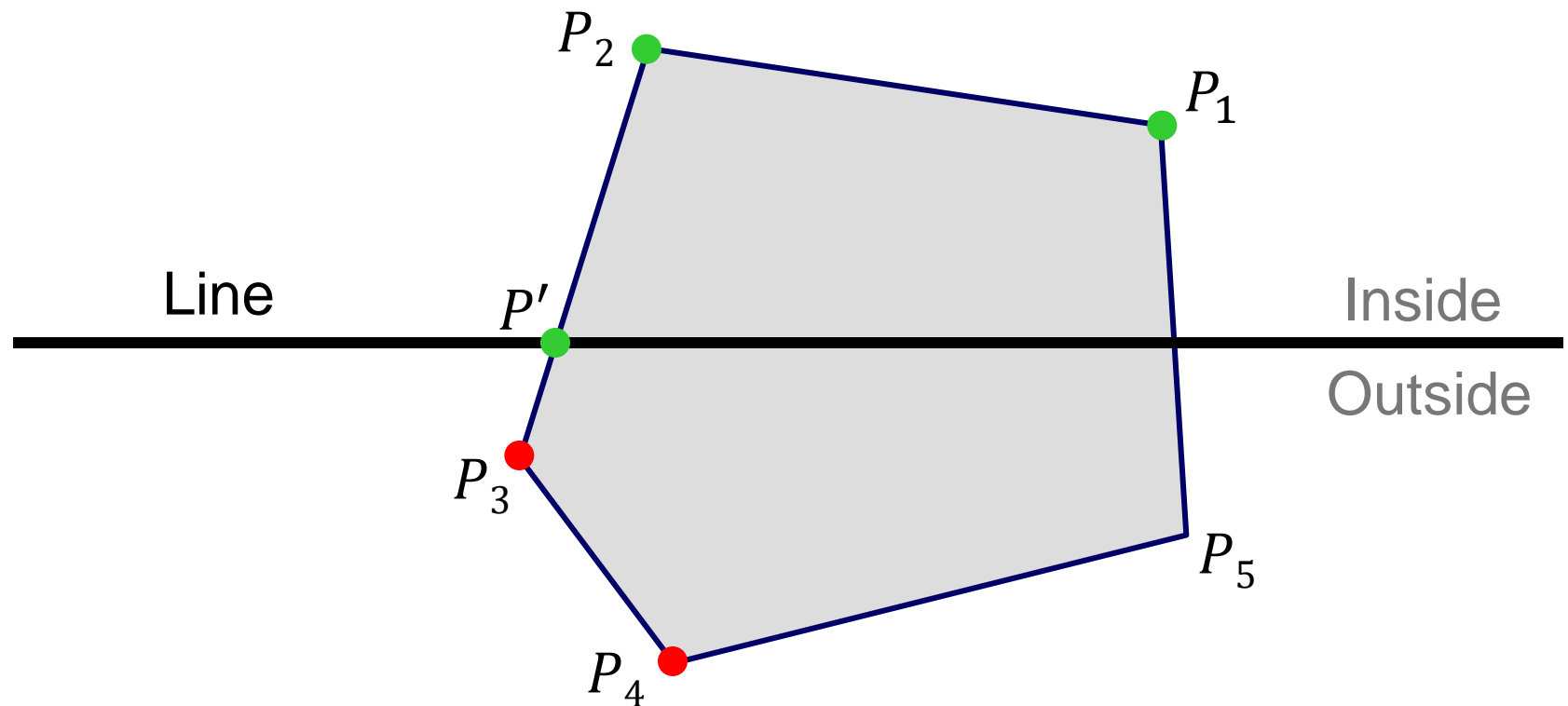
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

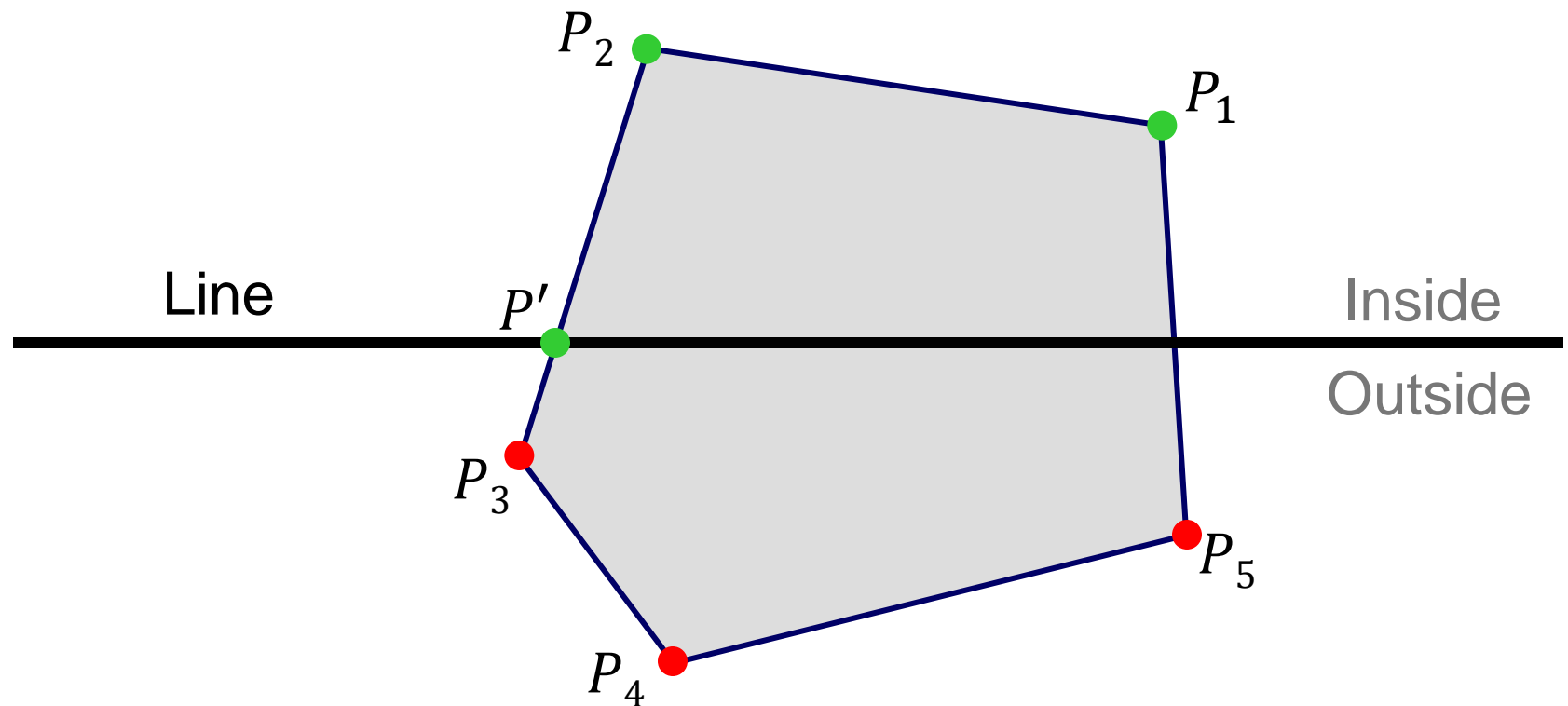
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

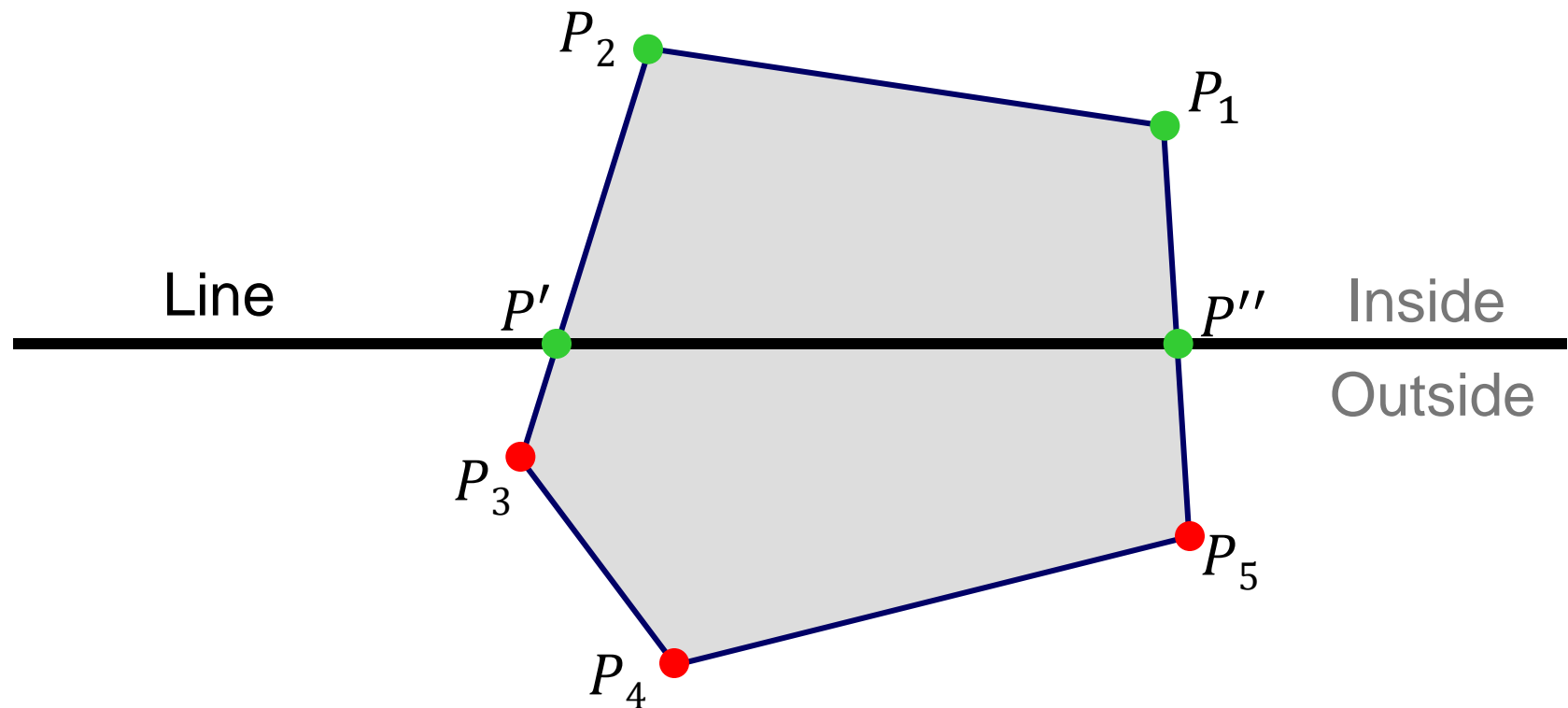
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

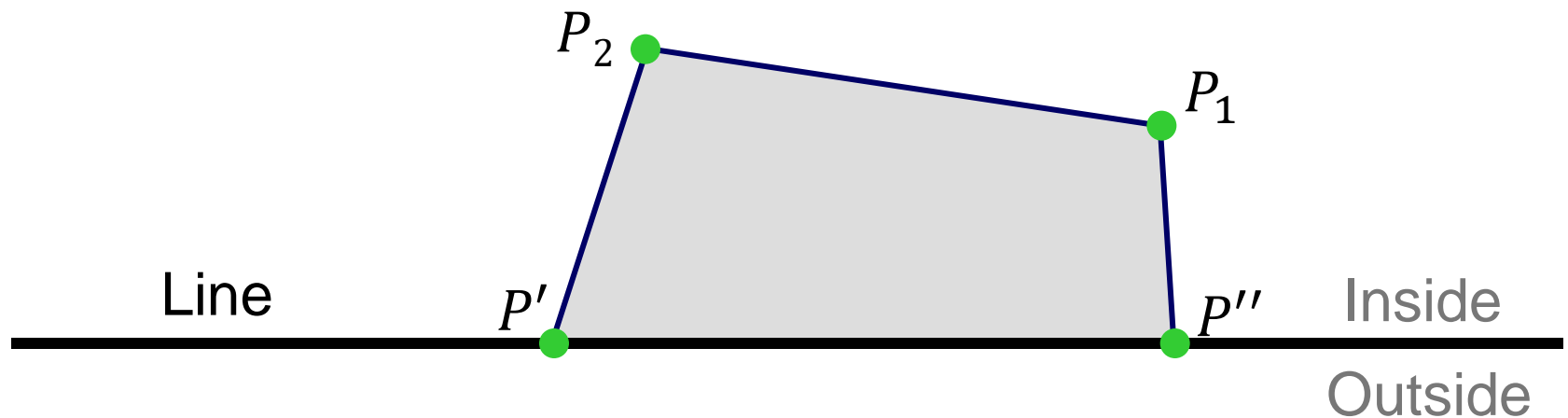
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

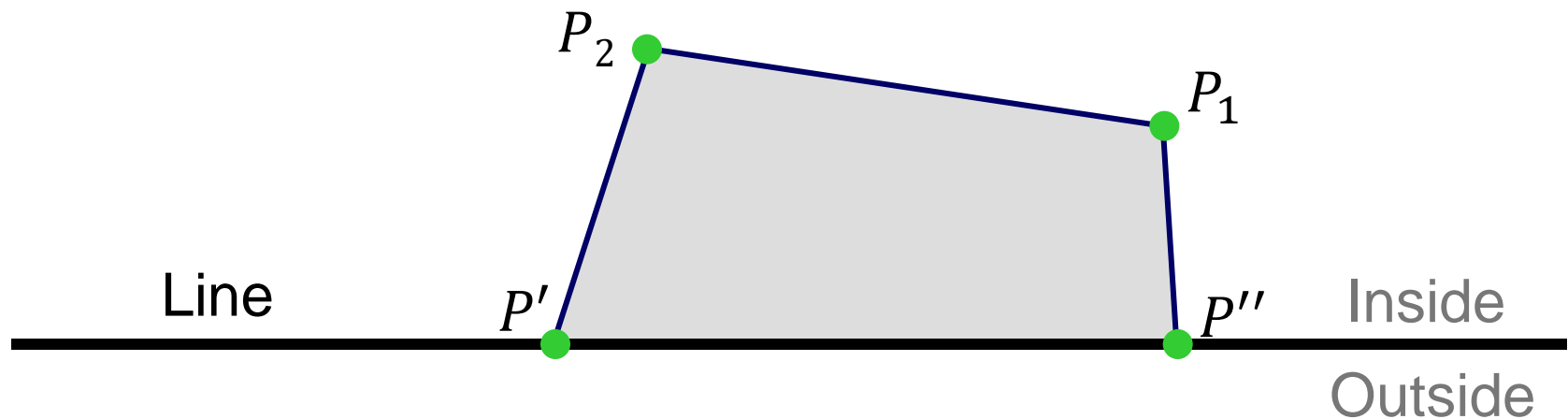
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.





# Sutherland-Hodgeman Clipping

- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.

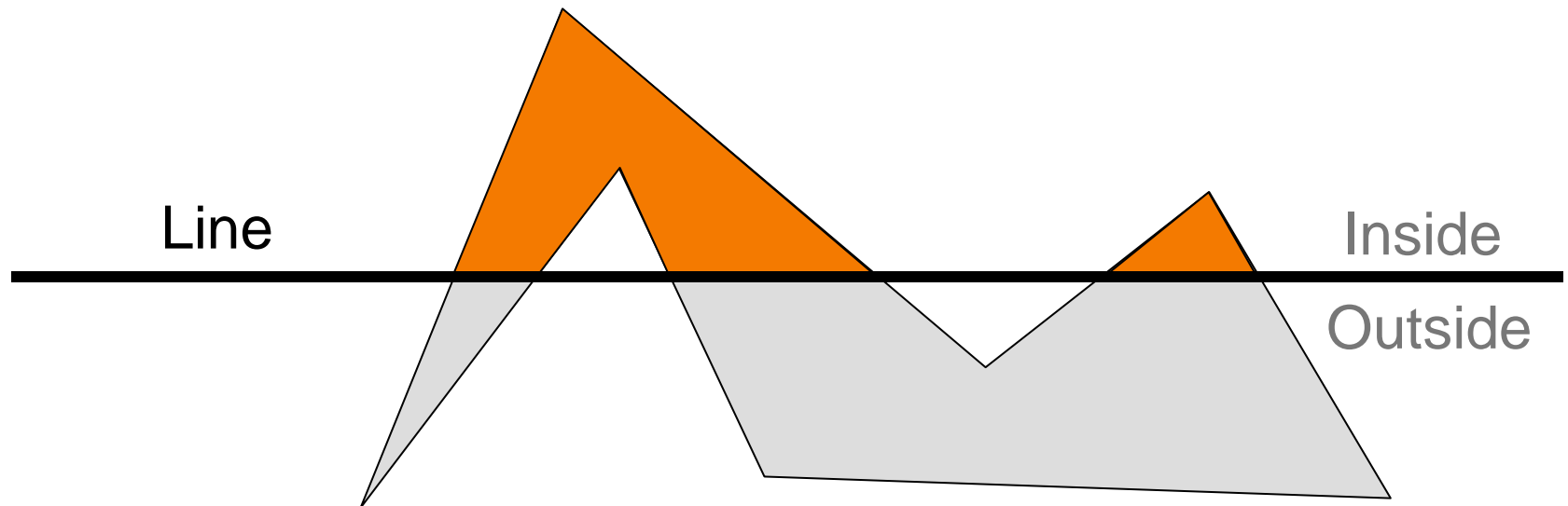


When polygons are clipped, per-vertex properties (e.g. lighting) is interpolated to the new vertices.



# Sutherland-Hodgeman Clipping

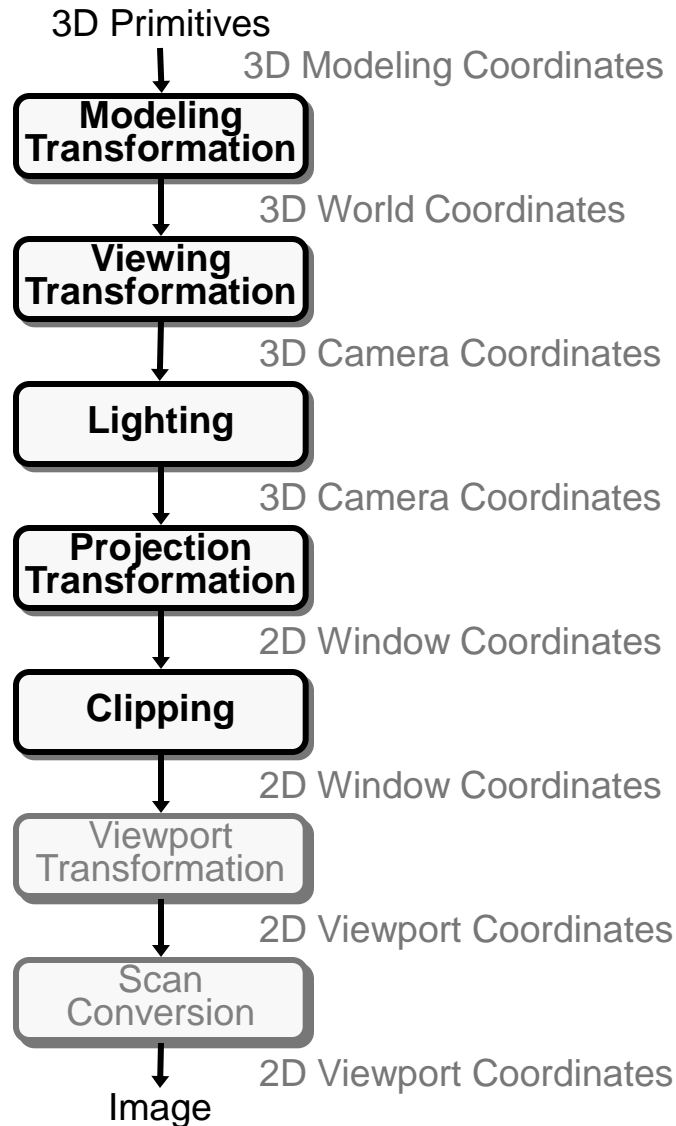
- Do interior test for each point in sequence.
- Insert a new point when crossing the line.
- Remove points outside the line.



**[WARNING]** If the polygon is not convex, we may end up with more than one polygon!



# 3D Rendering Pipeline (for direct illumination)



At this point we have the:

- Positions of the mesh vertices (including new vertices obtained through clipping)
- Color information at each vertex.
- A list of (possibly clipped) polygons describing the intersection of the projected 3D polygons with the window.

