

FFTs in Graphics and Vision

Spherical Harmonics

Outline



Math Stuff

Review

Finding the Spherical Harmonics

Homogenous Polynomials



A homogenous polynomial of degree d in n variables can be expressed as:

$$\begin{aligned} p_d(x_1, \cdots, x_n) &= \sum_{\substack{j_1 + \cdots + j_n = d}} a_{j_1 \cdots j_n} \cdot x_1^{j_1} \cdots x_n^{j_n} \\ &= \sum_{\substack{j_1 = 0}}^d x_1^{j_1} \left(\sum_{\substack{j_2 + \cdots + j_n = d - j_1}} a_{j_1 \cdots j_n} \cdot x_2^{j_2} \cdots x_n^{j_n} \right) \end{aligned}$$

Homogenous Polynomials



$$p_d(x_1, \dots, x_n) = \sum_{j_1=0}^d x_1^{j_1} \left(\sum_{j_2+\dots+j_n=d-j_1} a_{j_1\dots j_n} \cdot x_2^{j_2} \dots x_n^{j_n} \right)$$

If we fix the value of the first coefficient at $x_1 = \zeta$, we get a new polynomial in n-1 variables:

$$q_d(x_2, \dots, x_n) = p_d(\zeta, x_2, \dots, x_n)$$

This gives:

$$q_d(x_2, \cdots, x_n) = \sum_{j_1=0}^d \zeta^{j_1} \left(\sum_{j_2+\cdots+j_n=d-j_1} a_{j_1\cdots j_n} \cdot x_2^{j_2} \cdots x_n^{j_n} \right)$$

Homogenous Polynomials



$$q_d(x_2, \cdots, x_n) = \sum_{j_1=0}^d \zeta^{j_1} \left(\sum_{j_2+\dots+j_n=d-j_1} a_{j_1\dots j_n} \cdot x_2^{j_2} \cdots x_n^{j_n} \right)$$

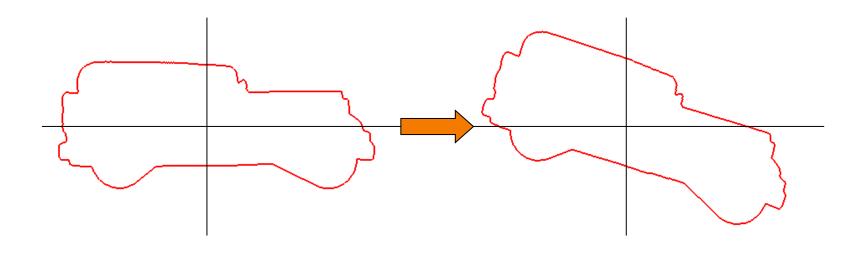
The new polynomial, obtained by fixing the value of the first variable, is a polynomial of degree at most d in n-1 variables.

Review



So far, we have considered the representation of the 2D group of rotations, acting on the space of (complex-valued) functions on the unit circle:

$$(\rho_R f)(p) = f(R^{-1}p)$$



Review



Since the group of 2D rotations is commutative, Schur's lemma tells us that the space of functions can be expressed as the sum of one-dimensional irreducible representations:

$$V = \bigoplus V^k$$
 w/ dim $(V^k) = 1$
 $\rho_R(V^k) = V^k$ $\forall R \in SO(2)$

Review



In the 2D case, we know that the V^k are spanned by the complex exponentials of frequency k:

$$V^k = \left\{ a \cdot e^{ik\theta} \middle| a \in \mathbb{C} \right\}$$

⇒ Computing the Fourier transform of a function:

$$f(\theta) = \sum_{k=-\infty}^{\infty} \hat{f}_k \cdot e^{ik\theta}$$

enables fast smoothing/correlation by allowing us to only consider inner-products within V^k .

Functions on the Sphere



What happens when we consider the space of functions on the unit sphere?

Since the group of 3D rotations doesn't commute, the irreducible representations:

$$V = \bigoplus V^k$$

do not have to be one-dimensional.

Functions on the Sphere



What happens when we consider the space of functions on the unit sphere?

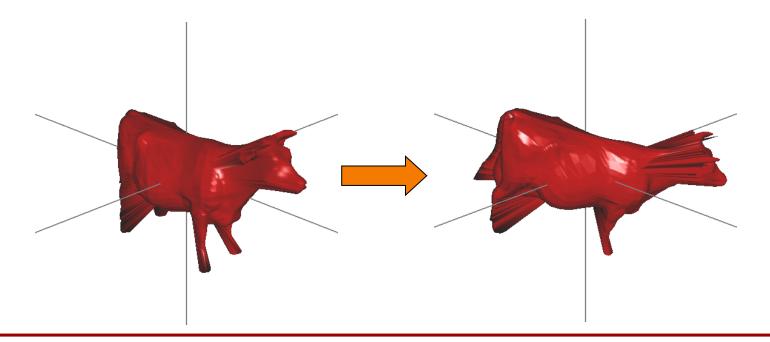
However, we would still like to compute the irreducible representations. And in particular...

Goal



Let F be the space of (complex-value) functions on the unit sphere and let ρ be the representation of the group of 3D rotations, acting on the space of functions by rotation:

$$(\rho_R f)(p) = f(R^{-1}p)$$



Goal



Let F be the space of (complex-value) functions on the unit sphere and let ρ be the representation of the group of 3D rotations, acting on the space of functions by rotation:

$$(\rho_R f)(p) = f(R^{-1}p)$$

What are the irreducible representations?

What We Know



We know that the irreducible representations are related to the sub-spaces of homogenous polynomials of fixed degree:

 Given a homogenous polynomial of degree l, a rotation of the polynomial will still be a homogenous polynomial of degree l:

$$\rho_R\left(HP^l(x,y,z)\right) = HP^l(x,y,z)$$

o If we "throw out" the homogenous polynomials whose restriction to the unit sphere can be expressed as the restriction of a homogenous polynomial of smaller degree, we get a (2l + 1)-dimensional representation.

What We Know



Letting *l* index the degree of the homogenous polynomial, we can decompose the space of spherical functions as:

$$V = \bigoplus_{l=0}^{\infty} V^{l} \quad \text{w/dim}(F^{l}) = 2l + 1$$

$$\rho_{R}(V^{l}) = V^{l} \quad \forall R \in SO(3)$$

with $f \in V^l$ expressable as the restriction of a homogenous polynomial in three variables, of degree l (and orthogonal to all homogenous polynomials of degree l - 2).

What We Want to Know

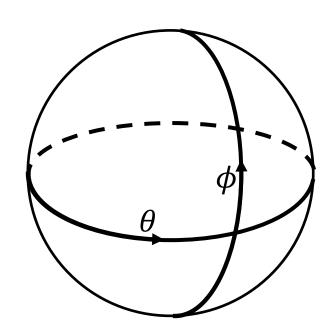


What is a basis for each V^l ? $V^l = \operatorname{Span}\{\mathbf{f}_l^0, \cdots, \mathbf{f}_l^{2l}\}$



A point on the unit sphere can be parameterized by its angles of longitude and latitude:

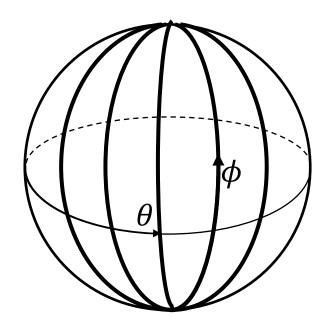
 $\Phi(\theta, \phi) = (\cos \theta \cdot \sin \phi, \cos \phi, \sin \theta \cdot \sin \phi)$ with $\theta \in [0, 2\pi)$ and $\phi \in [0, \pi]$.





$$\Phi(\theta, \phi) = (\cos \theta \cdot \sin \phi, \cos \phi, \sin \theta \cdot \sin \phi)$$

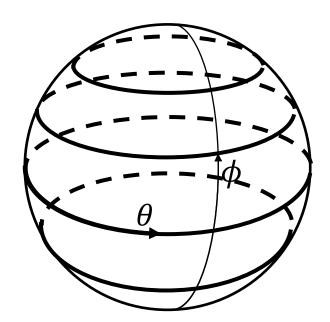
Fixing θ , we get great semi-circles (meridians) through the North and South poles.





$$\Phi(\theta, \phi) = (\cos \theta \cdot \sin \phi, \cos \phi, \sin \theta \cdot \sin \phi)$$

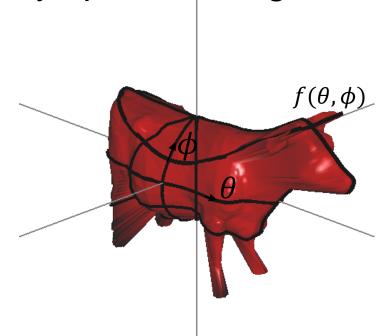
Fixing ϕ , we get parallels about the y-axis.





$$\Phi(\theta, \phi) = (\cos \theta \cdot \sin \phi, \cos \phi, \sin \theta \cdot \sin \phi)$$

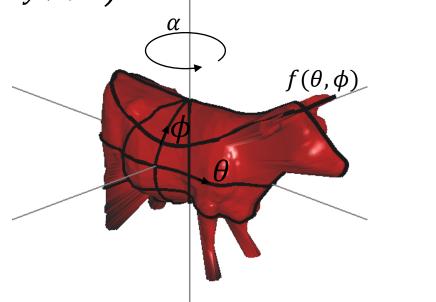
A spherical function can be represented by its values at every spherical angle.





Instead of considering the action of the entire group of rotations on the space of spherical functions, we can consider the subset of rotations that rotate about the *y*-axis:

$$\left(\rho_{R_y(\alpha)}f\right)(\theta,\phi)=f(\theta-\alpha,\phi)$$





Instead of considering the entire group of rotations, we can consider the subset of rotations that rotate about the y-axis.

- This set of rotations is a group:
 - » The product of two rotations about the y-axis is still a rotation about the y-axis.
 - » Rotation by $-\alpha$ degrees about the y-axis is the inverse of the rotation by α degrees.



Instead of considering the entire group of rotations, we can consider the subset of rotations that rotate about the y-axis.

- This set of rotations is a group.
- This sub-group is commutative.



 \Rightarrow The sub-spaces V^l are representations for the commutative sub-group of rotations about the y-axis.

 \Rightarrow Each V^l can be expressed as the sum of one-dimensional representations that are fixed by rotations about the y-axis.



Thus, for each l, there must exist a basis of orthogonal functions $\{\mathbf{f}_l^0(\theta,\phi),\cdots,\mathbf{f}_l^{2l}(\theta,\phi)\}$ such that a rotation by α degrees about the y-axis becomes multiplication by a complex number:

$$\rho_{R_{\mathcal{V}}(\alpha)}(\mathbf{f}_l^k) = \chi_l^k(\alpha) \cdot \mathbf{f}_l^k$$



$$\rho_{R_{\mathcal{Y}}(\alpha)}(\mathbf{f}_l^k) = \chi_l^k(\alpha) \cdot \mathbf{f}_l^k$$

Since the representation is unitary, we know that for any angle of rotation α , we must have:

$$\left\|\chi_l^k(\alpha)\right\| = 1$$

Since we know that representations preserve the group structure, and since rotating by α degrees and then by β degrees is equivalent to rotating by $(\alpha + \beta)$ degrees, we have:

$$\chi_l^k(\alpha + \beta) = \chi_l^k(\alpha) \cdot \chi_l^k(\beta)$$



$$\rho_{R_{\mathcal{Y}}(\alpha)}(\mathbf{f}_{l}^{k}) = \chi_{l}^{k}(\alpha) \cdot \mathbf{f}_{l}^{k}$$

The only functions satisfying these properties are:

$$\chi_l^k(\alpha) = e^{-im_{kl}\alpha}$$

Moreover, since we know that rotations by $\alpha = 2\pi$ degrees about the y-axis do not change a function, the powers m_{kl} must be integers.



$$\rho_{R_{\mathcal{Y}}(\alpha)}(\mathbf{f}_l^k) = \chi_l^k(\alpha) \cdot \mathbf{f}_l^k$$

Consider the function:

$$\tilde{\mathbf{f}}_{l}^{k}(\theta,\phi) = \frac{\mathbf{f}_{l}^{k}(\theta,\phi)}{e^{im_{kl}\theta}}$$



$$\tilde{\mathbf{f}}_{l}^{k}(\theta,\phi) = \frac{\mathbf{f}_{l}^{k}(\theta,\phi)}{e^{im_{kl}\theta}}$$

When we rotate by α degrees about the y-axis:

$$\begin{split} \left(\rho_{R_{y}(\alpha)}\tilde{\mathbf{f}}_{l}^{k}\right)(\theta,\phi) &= \tilde{\mathbf{f}}_{l}^{k}(\theta-\alpha,\phi) \\ &= \frac{\mathbf{f}_{l}^{k}(\theta-\alpha,\phi)}{e^{im_{kl}(\theta-\alpha)}} \\ &= \frac{e^{-im_{kl}\alpha} \cdot \mathbf{f}_{l}^{k}(\theta,\phi)}{e^{-im_{kl}\alpha} \cdot e^{im_{kl}\theta}} \\ &= \tilde{\mathbf{f}}_{l}^{k}(\theta,\phi) \end{split}$$



$$\tilde{\mathbf{f}}_{l}^{k}(\theta,\phi) = \frac{\mathbf{f}_{l}^{k}(\theta,\phi)}{e^{im_{kl}\theta}}$$

When we rotate by α degrees about the y-axis:

$$\left(\rho_{R_{\mathcal{Y}}(\alpha)}\tilde{\mathbf{f}}_{l}^{k}\right) = \tilde{\mathbf{f}}_{l}^{k}$$

Since these functions are unchanged by rotations about the y-axis, they are only functions of ϕ :

$$\tilde{\mathbf{f}}_l^k(\theta,\phi) = \mathbf{g}_l^k(\phi)$$

So we have:

$$\mathbf{f}_l^k(\theta, \phi) = e^{im_{kl}\theta} \cdot \mathbf{g}_l^k(\phi)$$

Factoring the Spherical Harmonics



$$\mathbf{f}_l^k(\theta, \phi) = e^{im_{kl}\theta} \cdot \mathbf{g}_l^k(\phi)$$

What can we say about the integers m_{kl} ?

The (x, y, z) coordinates of a point on the sphere are defined by:

$$\Phi(\theta, \phi) = (\cos \theta \cdot \sin \phi, \cos \phi, \sin \theta \cdot \sin \phi)$$

$$\Downarrow$$

$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

Factoring the Spherical Harmonics



$$\mathbf{f}_l^k(\theta, \phi) = e^{im_{kl}\theta} \cdot \mathbf{g}_l^k(\phi)$$

What can we say about the integers m_{kl} ?

Fixing the angle of latitude, $\phi = \phi_0$, gives: $\mathbf{f}_l^k(\theta, \phi_0) = (\cos \theta + i \sin \theta)^{m_{kl}} \cdot \mathbf{g}_l^k(\phi_0)$ $= \left(\frac{x}{\sin \phi_0} + i \frac{z}{\sin \phi_0}\right)^{m_{kl}} \cdot \mathbf{g}_l^k(\phi_0)$ $= (x + iz)^{m_{kl}} \cdot \frac{\mathbf{g}_l^k(\phi_0)}{\sin^{m_{kl}} \phi_0}$

Factoring the Spherical Harmonics



$$\mathbf{f}_l^k(\theta, \phi) = e^{im_{kl}\theta} \cdot \mathbf{g}_l^k(\phi)$$

What can we say about the integers m_{kl} ?

Fixing the angle of latitude, $\phi = \phi_0$, gives:

$$\mathbf{f}_l^k(\theta, \phi_0) = (x + iz)^{m_{kl}} \cdot \frac{\mathbf{g}_l^k(\phi_0)}{\sin^{m_{kl}} \phi_0}$$

But $\mathbf{f}_{l}^{k}(\theta, \phi)$ is the restriction of a homogenous polynomial of degree l to the unit sphere.

So fixing $y = \cos \phi_0$, we get a polynomial of degree at most l:

$$-l \le m_{kl} \le l$$

The Spherical Harmonics



Summarizing:

We know that the space of spherical functions *V* can be expressed as the sum of sub-representations:

$$V = \bigoplus_{l=0}^{\infty} V^{l} \quad \text{w/ dim}(V^{l}) = 2l + 1$$
$$\rho_{R}(V^{l}) = V^{l} \quad \forall R \in SO(3)$$

where the functions in V^l are obtained by considering the restrictions of homogenous polynomials of degree l to the unit sphere.

The Spherical Harmonics



Summarizing:

Each V_l is spanned by an orthogonal basis $\{\mathbf{f}_l^0(\theta,\phi),\cdots,\mathbf{f}_l^{2l}(\theta,\phi)\}$ where the k-th basis function can be expressed as:

$$\mathbf{f}_l^k(\theta, \phi) = e^{im_{kl}\theta} \cdot \mathbf{g}_l^k(\phi)$$

where m_{kl} is an integer in the range [-l, l].

The Spherical Harmonics



Summarizing:

It turns out that for every value of $-l \le m \le l$ there is exactly one basis function:

$$\mathbf{Y}_l^m(\theta,\phi) = e^{im\theta} \cdot \mathbf{g}_l^m(\phi)$$

These are the <u>spherical harmonics</u> of degree *l*.

Aside



To evaluate the spherical harmonics, we need to know what the functions $\mathbf{g}_{l}^{m}(\phi)$ are.

These are defined by setting:

$$\mathbf{g}_l^m(\phi) = \mathbf{P}_l^{|m|}(\cos\phi)$$

where the P_l^m are the <u>associated Legendre</u> polynomials, defined by:

$$\mathbf{P}_{l}^{m}(x) = \frac{(-1)^{m}}{2^{l} l!} (1 - x^{2})^{\frac{m}{2}} \frac{d^{l+m}}{dx^{l+m}} (m^{2} - 1)^{l}.$$



Examples (l = 0):

$$\mathbf{Y}_0^0(\theta,\phi) = \frac{1}{\sqrt{4\pi}}$$



Examples (l = 1):

$$\mathbf{Y}_{1}^{-1}(\theta,\phi) = \sqrt{\frac{3}{8\pi}}\sin\phi \cdot e^{-i\theta}$$

$$\mathbf{Y}_1^0(\theta,\phi) = \sqrt{\frac{3}{4\pi}}\cos\phi$$

$$\mathbf{Y}_1^1(\theta,\phi) = \sqrt{\frac{3}{8\pi}}\sin\phi \cdot e^{i\theta}$$



Examples (l = 2):

$$\mathbf{Y}_{2}^{-2}(\theta,\phi) = \sqrt{\frac{15}{32\pi}}\sin^{2}\phi \cdot e^{-i2\theta}$$

$$\mathbf{Y}_{2}^{-1}(\theta,\phi) = \sqrt{\frac{15}{8\pi}}\sin\phi \cdot \cos\phi e^{-i\theta}$$

$$\mathbf{Y}_{2}^{0}(\theta,\phi) = \sqrt{\frac{5}{16\pi}}(3\cos^{2}\phi - 1)$$

$$\mathbf{Y}_{2}^{1}(\theta,\phi) = \sqrt{\frac{15}{8\pi}}\sin\phi \cdot \cos\phi \cdot e^{i\theta}$$

$$\mathbf{Y}_{2}^{2}(\theta,\phi) = \sqrt{\frac{15}{32\pi}}\sin^{2}\phi \cdot e^{i2\theta}$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_0^0(\theta,\phi) = \frac{1}{\sqrt{4\pi}}$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{1}^{-1}(\theta,\phi) = \sqrt{\frac{3}{8\pi}}\sin\phi \cdot e^{-i\theta}$$

$$= \sqrt{\frac{3}{8\pi}}\sin\phi \cdot (\cos\theta - i\sin\theta)$$

$$= \sqrt{\frac{3}{8\pi}}\sin\phi \cdot \left(\frac{x}{\sin\phi} - i\frac{z}{\sin\phi}\right)$$

$$= \sqrt{\frac{3}{8\pi}}\left(x - iz\right)$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{1}^{0}(\theta,\phi) = \sqrt{\frac{3}{4\pi}}\cos\phi$$
$$= \sqrt{\frac{3}{4\pi}}y$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{1}^{1}(\theta,\phi) = \sqrt{\frac{3}{8\pi}}\sin\phi \cdot e^{i\theta}$$

$$= \sqrt{\frac{3}{8\pi}}\sin\phi \cdot (\cos\theta + i\sin\theta)$$

$$= \sqrt{\frac{3}{8\pi}}\sin\phi \cdot \left(\frac{x}{\sin\phi} + i\frac{z}{\sin\phi}\right)$$

$$= \sqrt{\frac{3}{8\pi}}(x + iz)$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{2}^{-2}(\theta,\phi) = \sqrt{\frac{15}{32\pi}} \sin^{2}\phi \cdot e^{-i2\theta}$$

$$= \sqrt{\frac{15}{32\pi}} \sin^{2}\phi \cdot (\cos\theta - i\sin\theta)^{2}$$

$$= \sqrt{\frac{15}{32\pi}} \sin^{2}\phi \cdot \left(\frac{x}{\sin\phi} - i\frac{z}{\sin\phi}\right)^{2}$$

$$= \sqrt{\frac{15}{32\pi}} (x - iz)^{2}$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{2}^{-1}(\theta,\phi) = \sqrt{\frac{15}{8\pi}} \sin \phi \cdot \cos \phi \cdot e^{-i\theta}$$

$$= \sqrt{\frac{15}{8\pi}} y \cdot \sin \phi \cdot (\cos \theta - i \sin \theta)$$

$$= \sqrt{\frac{15}{8\pi}} y \cdot \sin \phi \cdot \left(\frac{x}{\sin \phi} - i \frac{z}{\sin \phi}\right)$$

$$= \sqrt{\frac{15}{8\pi}} y \cdot (x - iz)$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{2}^{0}(\theta,\phi) = \sqrt{\frac{5}{16\pi}} (3\cos^{2}\phi - 1)$$

$$= \sqrt{\frac{5}{16\pi}} (3y^{2} - 1)$$

$$= \sqrt{\frac{5}{16\pi}} (2y^{2} - x^{2} - z^{2})$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{2}^{1}(\theta,\phi) = \sqrt{\frac{15}{8\pi}} \sin \phi \cdot \cos \phi \cdot e^{i\theta}$$

$$= \sqrt{\frac{15}{8\pi}} y \cdot \sin \phi \cdot (\cos \theta + i \sin \theta)$$

$$= \sqrt{\frac{15}{8\pi}} y \cdot \sin \phi \cdot \left(\frac{x}{\sin \phi} + i \frac{z}{\sin \phi}\right)$$

$$= \sqrt{\frac{15}{8\pi}} y \cdot (x + iz)$$



$$\cos \theta = \frac{x}{\sin \phi}$$
 $\cos \phi = y$ $\sin \theta = \frac{z}{\sin \phi}$

$$\mathbf{Y}_{2}^{2}(\theta,\phi) = \sqrt{\frac{15}{32\pi}} \sin^{2}\phi \cdot e^{i2\theta}$$

$$= \sqrt{\frac{15}{32\pi}} \sin^{2}\phi \cdot (\cos\theta + i\sin\theta)^{2}$$

$$= \sqrt{\frac{15}{32\pi}} \sin^{2}\phi \cdot \left(\frac{x}{\sin\phi} + i\frac{z}{\sin\phi}\right)^{2}$$

$$= \sqrt{\frac{15}{32\pi}} (x + iz)^{2}$$



For any spherical function $f(\theta, \phi)$, we can express f as the sum of functions in V^l :

$$f(\theta, \phi) = \sum_{l=0}^{\infty} f_l(\theta, \phi)$$

Each f_l can be expressed as the sum of harmonics:

$$f_l(\theta, \phi) = \sum_{k=-l}^{l} \hat{f}_{lk} \cdot \mathbf{Y}_l^k(\theta, \phi)$$

Which gives:

$$f(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{k=-l}^{l} \hat{f}_{lk} \cdot \mathbf{Y}_{l}^{k}(\theta, \phi)$$



$$\mathbf{Y}_l^k(\theta,\phi) = e^{ik\theta} \cdot \mathbf{P}_l^{|k|}(\cos\phi)$$

When the function f is real-valued, we may want to express it as the sum of real-valued functions.

We can do this by considering the real and imaginary parts of the harmonics independently:

$$\operatorname{Re}\left(\mathbf{Y}_{l}^{k}(\theta,\phi)\right) = \cos(k\theta) \cdot \mathbf{P}_{l}^{|k|}(\cos\phi)$$
$$\operatorname{Im}\left(\mathbf{Y}_{l}^{k}(\theta,\phi)\right) = \sin(k\theta) \cdot \mathbf{P}_{l}^{|k|}(\cos\phi)$$

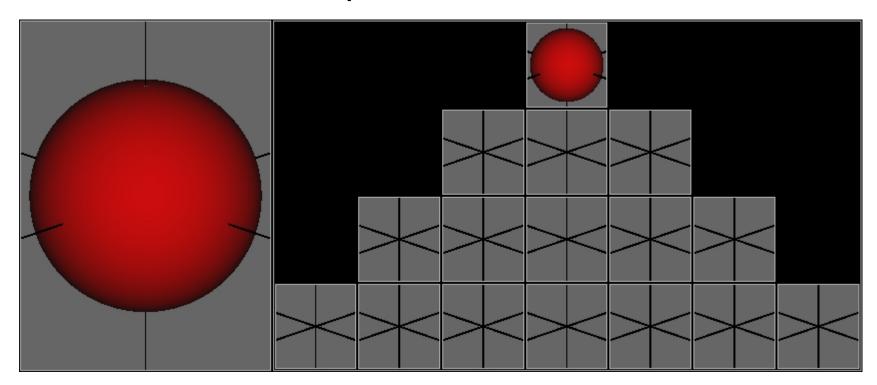
Note that since
$$\mathbf{Y}_l^k = \overline{\mathbf{Y}}_l^{-k}$$
,
 $\operatorname{Span}\{\operatorname{Re}(\mathbf{Y}_l^k), \operatorname{Im}(\mathbf{Y}_l^k)\} = \operatorname{Span}\{\mathbf{Y}_l^k, \mathbf{Y}_l^{-k}\}$



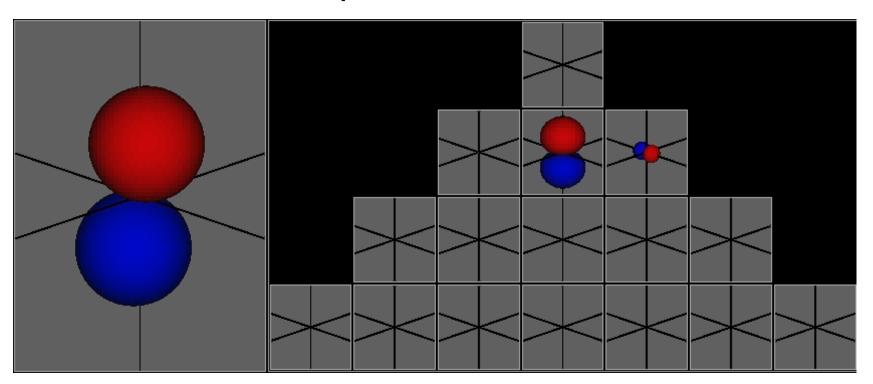
When the function f is real-valued, we may want to express it as the sum of real-valued functions.

$$F_0 = \operatorname{Span} \left[\begin{array}{c} & & & \\ & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\$$

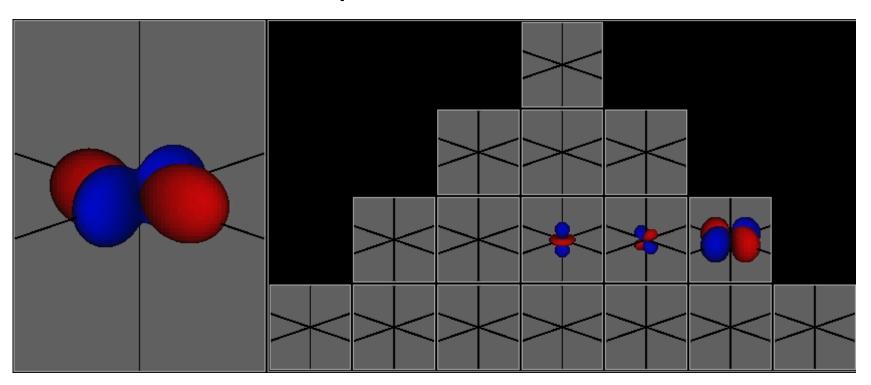




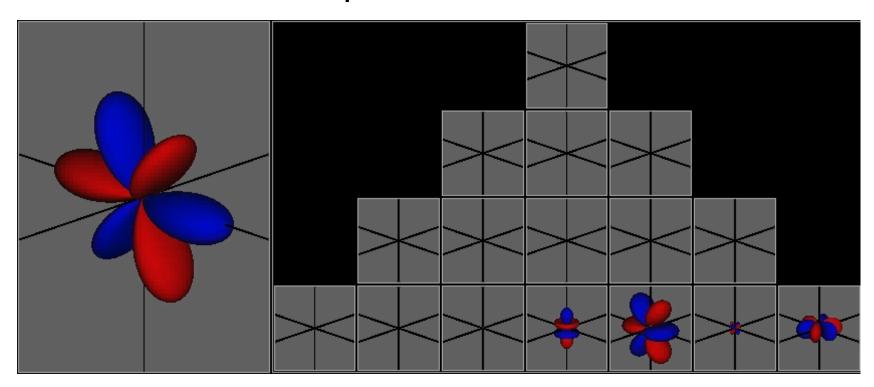








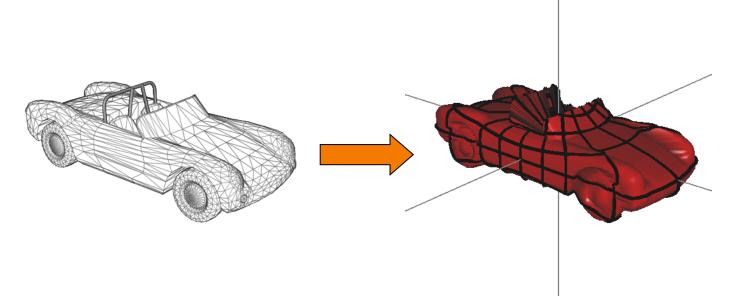






Goal:

Given a spherical function representing the surface of a 3D model by a spherical function:



we would like a rotation invariant representation.



Approach:

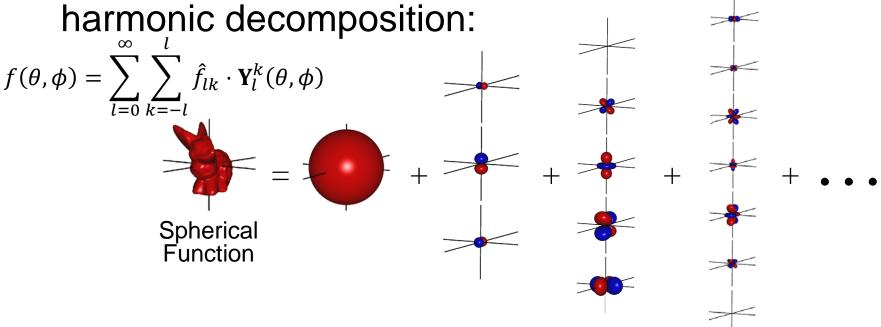
We use the facts that:

- Rotations are unitary.
- The spherical harmonics of degree *l* are representations of the group of rotation.



Approach:

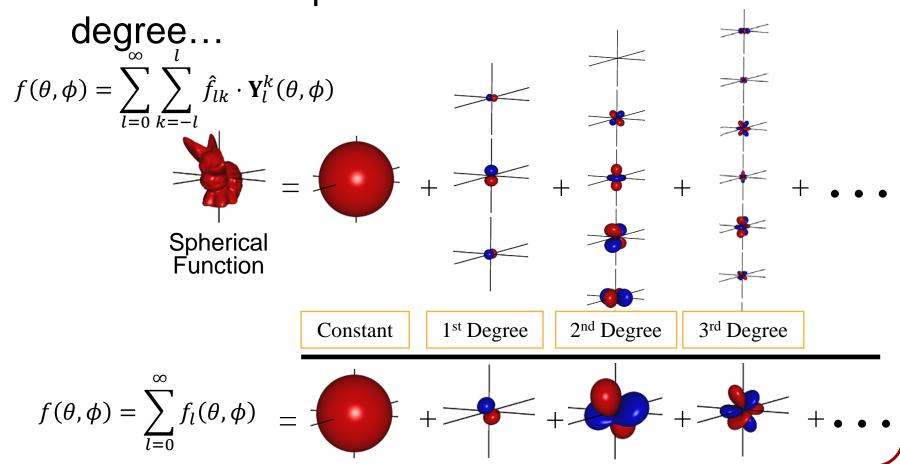
1. Given a spherical function, compute its spherical





Approach:

2. Combine the spherical harmonics of the same



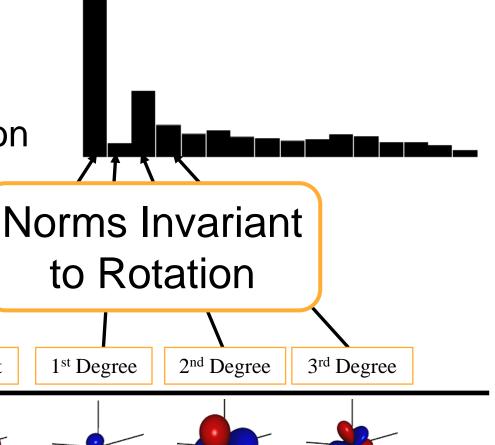


Approach:

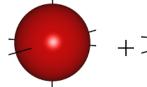
3. Store the norms: $\{||f_0||, ||f_1||, \dots\}$

These provide a rotation

invariant descriptor.



$$f(\theta, \phi) = \sum_{l=0}^{\infty} f_l(\theta, \phi)$$



Constant

