

# Convex Hulls (2D)

O'Rourke, Chapter 3

[Preparata and Hong, 1977]

## **Outline**

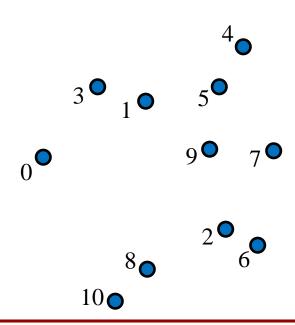


- Incremental Algorithm
- Divide-and-Conquer



## Approach:

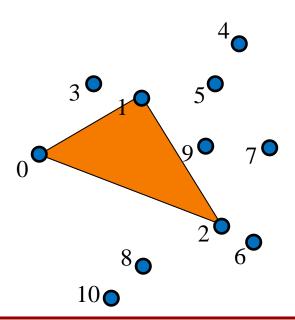
- If the point is in the hull, do nothing.
- Otherwise, grow the hull.





## Approach:

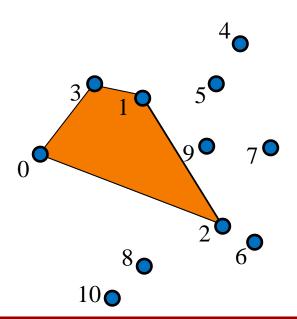
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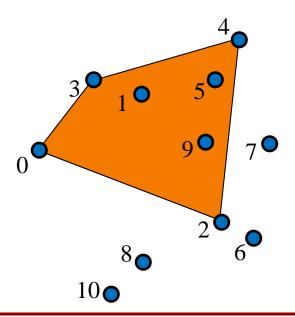
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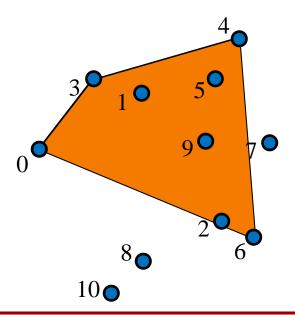
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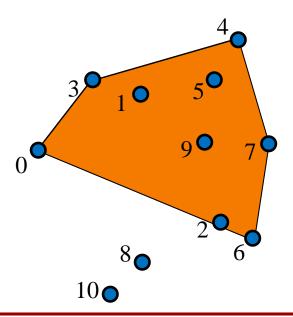
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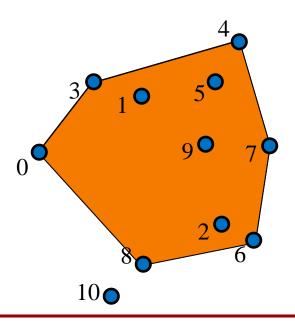
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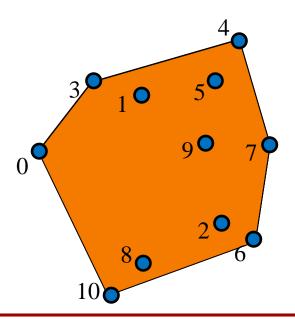
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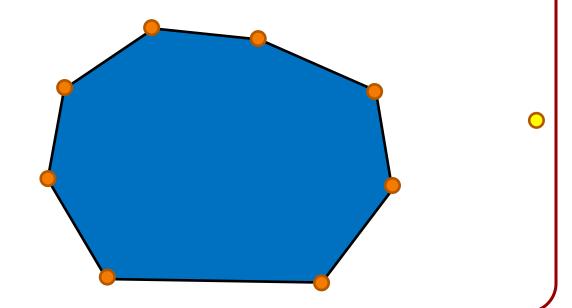
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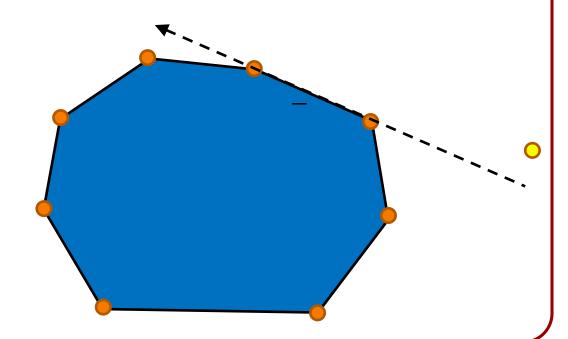


#### Note:



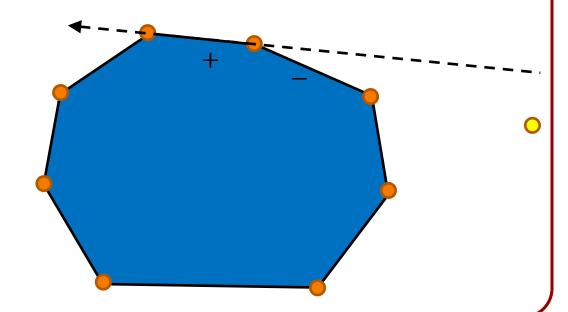


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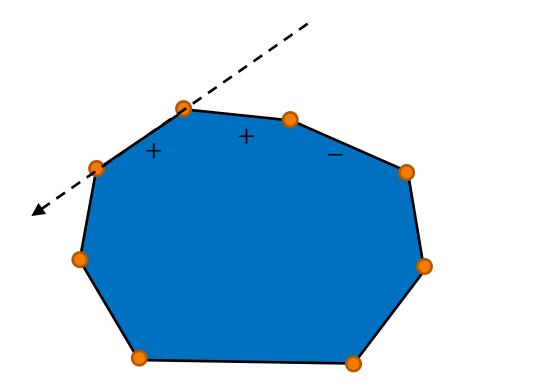


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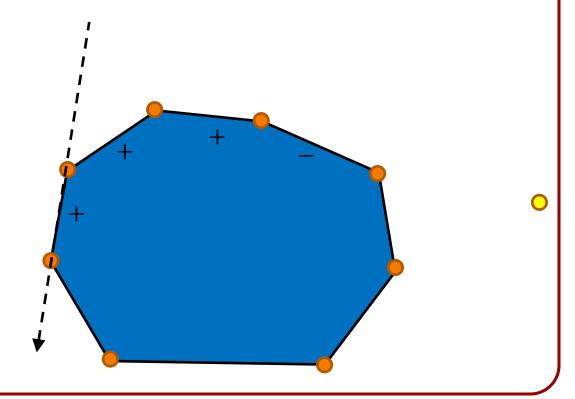


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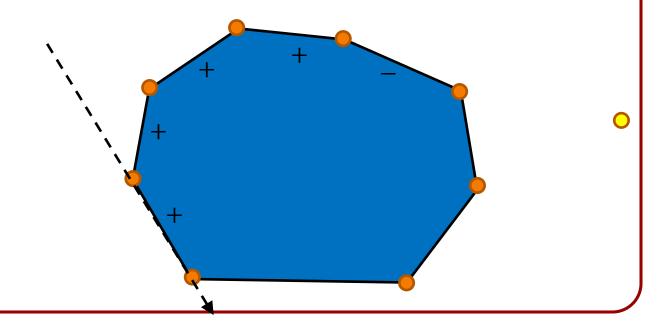


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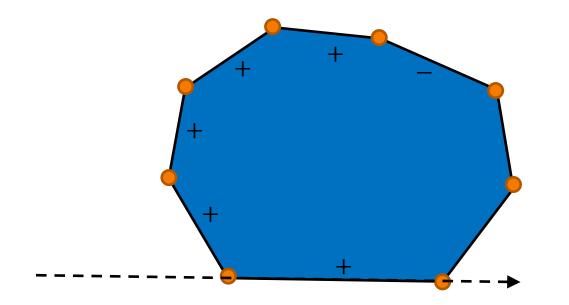


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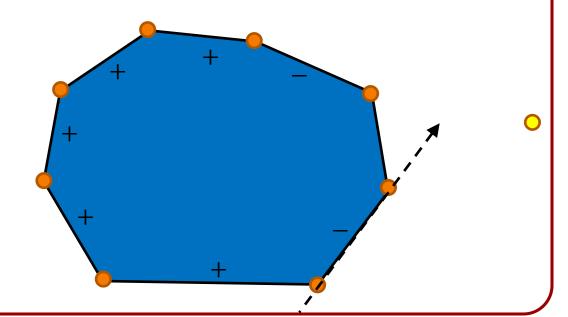


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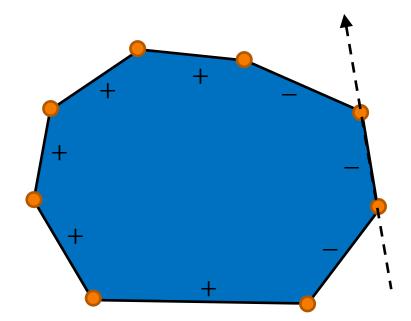


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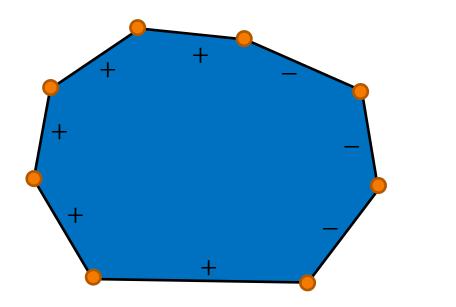




### Note:

If a point is outside the hull, we can label the hull edges as left/right relative to the new point.

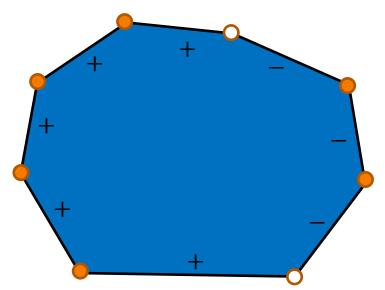
⇒ We get two vertex chains.





#### Note:

- ⇒ We get two vertex chains.
- ⇒ We get two transition vertices.

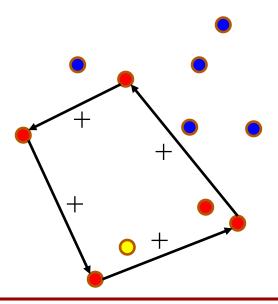




#### Naïve:

To add to a point to the hull, mark each edge, indicating if the points is to the left or right:

If it is left of all edges, it is interior.





### Naïve:

To add to a point to the hull, mark each edge, indicating if the points is to the left or right:

- If it is left of all edges, it is interior.
- Otherwise, there are two transition vertices.
  - »Connect the new point to those vertices.

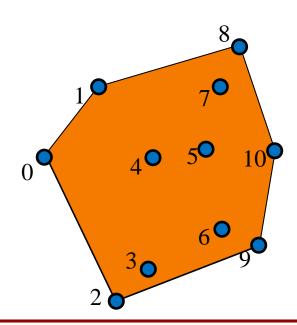
+

Complexity:  $O(n^2)$ 



### Edelsbrunner (1987):

Sort the points lexicographically and then grow the hull by iteratively adding points.



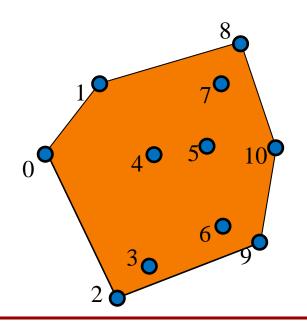


### Edelsbrunner (1987):

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### Note:

Since the points are sorted, each new point considered must be outside the current hull.



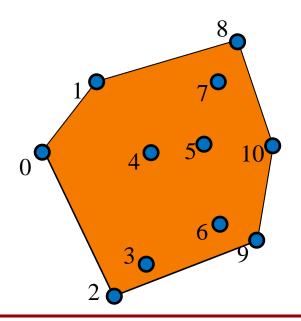


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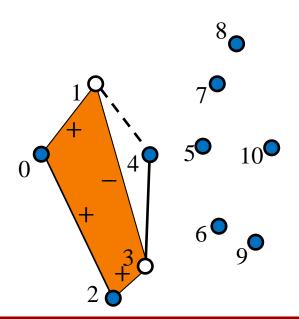




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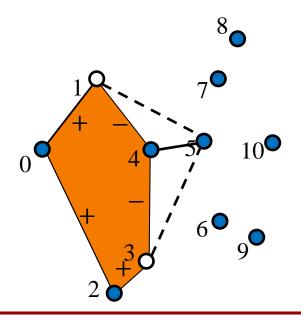




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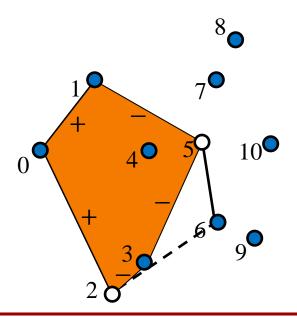




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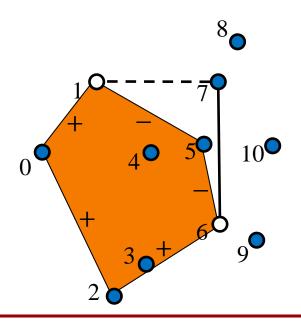




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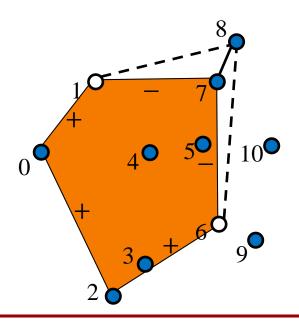




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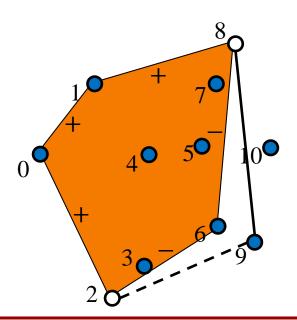




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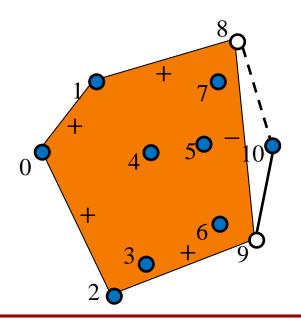




### Edelsbrunner (1987):

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### Note:



## Convex Hull (2D)



#### Incremental Algorithm (P)

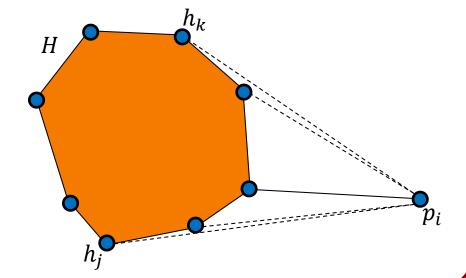
- $\circ$  SortLexicographically( P )
- $\circ \ H \leftarrow \{p_0, p_1, p_2\}$
- ∘ for  $i \in [3, n)$ :
  - »  $(h_j, h_k) \leftarrow \text{TransitionVertices}(H, p_i)$
  - **»** Replace( H,  $\{h_j, ..., h_k\}$ ,  $\{h_j, p_i, h_k\}$ )

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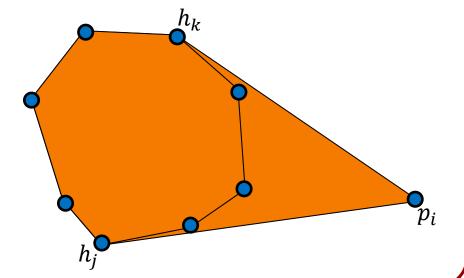


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### Convex Hull (2D)



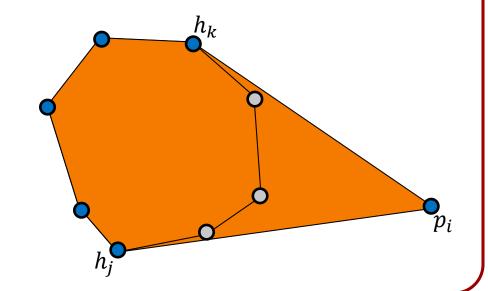
### Incremental Algorithm (P)

- SortLexicographically(P)  $\leftarrow$   $O(n \log n)$
- $\circ \ H \leftarrow \{p_0, p_1, p_2\}$
- ∘ for  $i \in [3, n)$ :

  »  $(h_i, h_k) \leftarrow \text{TransitionVertices}(H, p_i) \leftarrow O(?)$ 
  - **»** Replace( H ,  $\{h_{j}, ..., h_{k}\}$  ,  $\{h_{j}, p_{i}, h_{k}\}$  )

#### Note:

Any vertex traversed to find the transition vertices is removed.



### Convex Hull (2D)



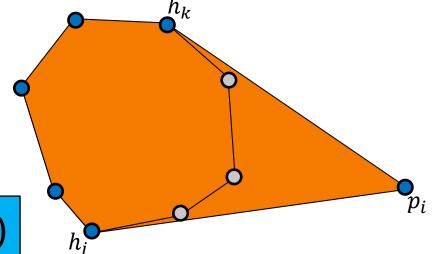
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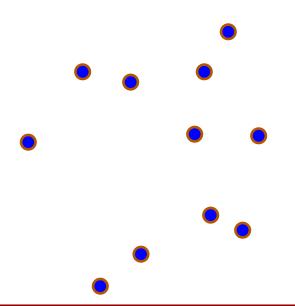
Complexity:  $O(n \log n)$ 



### **Outline**



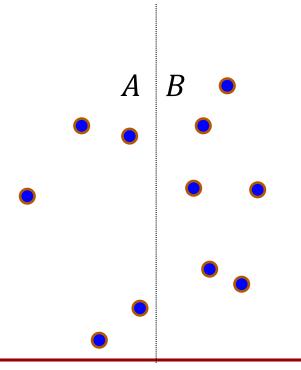
- Incremental Algorithm
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### Recursively:

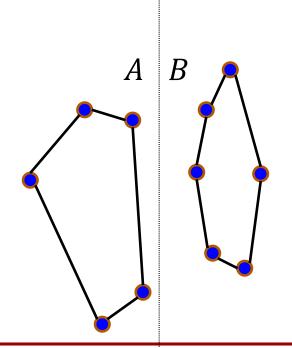
Split the point-set in two.





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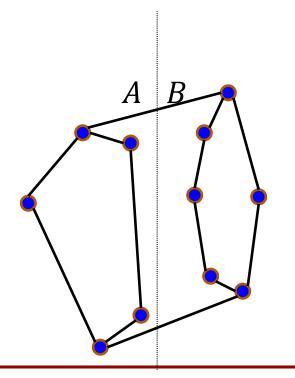
- Split the point-set in two.
- Compute the hull of both halves





### Recursively:

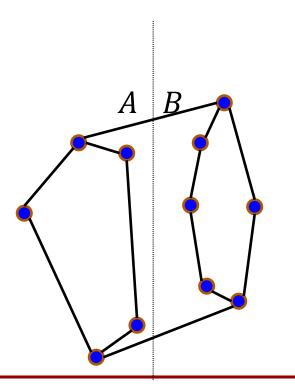
- Split the point-set in two.
- Compute the hull of both halves
- Merge the hulls





### Efficiency:

For this to be fast (log-linear), the splitting and merging have to be fast (linear).

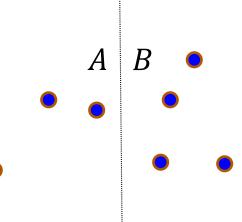


### **Divide And Conquer (Step 1)**



### Split the point-set in two:

- Sort the points along an axis and choose the (n/2)-th element.
  - »Pre-processing:  $O(n \log n)$
  - »Run-time: O(n)
- Use fast median.
  - »Run-time: O(n)





### Approach:

- To get the median of a set S, break up the set into subsets of size 5.\*
- Compute the median of each subset.
- Compute the median of the medians.
   [Recursive]
- Use that to split S in two and find the biased median of the larger half.
   [Recursive]

\*For simplicity, we will assume that |S| is divisible by 5.



```
FastMedian(S = \{x_0, ..., x_{n-1}\}):
    return KthEntry(S, |S|/2)
KthEntry( S = \{x_0, ..., x_{n-1}\}, k):
 \circ if(|S| == 1) return x_0
  Q_i \leftarrow \{x_{5i+0}, ..., x_{5i+4}\}
 ∘ for i \in [0, |S|/5): q_i \leftarrow SlowMedian(Q_i)
 Q \leftarrow \{q_0, ..., q_{|S|/5-1}\}
 \circ ( L , R ) \leftarrow Split( S , FastMedian( Q ) )
 \circ if( |L| < k ) return KthEntry( R , k - |L| )
  \circ else return KthEntry( L , k )
```



### O(n) Complexity:

To show that this has linear complexity, we show that every time we recurse on a subset  $S' \subset S$ , the size of the subset satisfies:

$$|S'| \leq |S| \cdot \varepsilon$$

for some fixed  $\varepsilon$  < 1.



```
KthEntry(S = \{x_0, ..., x_{n-1}\}, S):

o if(|S| ==1) return x_0

o Q_i \leftarrow \{x_{5i+0}, ..., x_{5i+4}\}

o for i \in [0, |S|/5): q_i \leftarrow \text{SlowMedian}(Q_i)

o Q \leftarrow \{q_0, ..., q_{|S|/5-1}\}

o (L, R) \leftarrow \text{Split}(S, \text{FastMedian}(Q))

o if(|L| < s) return KthEntry(R, s - |L|)

o else return KthEntry(L, s)
```

### <u>Claim</u>:

o The subsets L and R defined by: (L, R) ← Split(S, FastMedian(Q)) have the property that |L|,  $|R| \le 4|S|/5$ 



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o The subsets L and R defined by: (L, R) ← Split(S, KthEntry(Q)) have the property that |L|,  $|R| \le 4|S|/5$ 

### Proof:

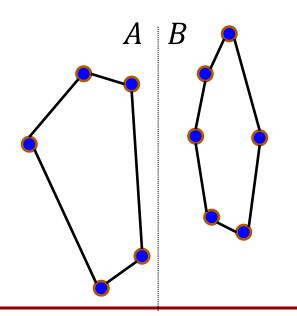
- $\circ$  Set q = FastMedian(Q)
- The subset of  $q_i \in Q$  with  $q_i < q$  makes up 50% of Q.
  - » The subset of  $p \in Q_i$  with  $p < q_i$  makes up 40% of  $Q_i$ .
  - $\Rightarrow$  Since the subset  $\{p \in S | p < q_i < q\}$  is in L, the set L contains at least one fifth of the points in S.
- The subset of  $q_i \in Q$  with  $q_i \ge q$  makes up 50% of Q...

### **Divide And Conquer (Step 2)**



### Compute the hull of the halves:

- If the subset has less than 6 points, apply the incremental algorithm,
- Otherwise recurse.



### **Divide And Conquer (Step 3)**



### Merging the hulls (lower tangent)\*:

- Find the edge from A to B connecting the right-most point on A to the left-most point on B.
- Move CW on A and CCW on B, while A and B are not entirely above the edge.

\*Assuming general position

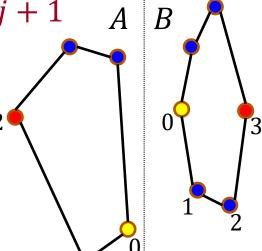


```
Merge (A, B):
  A ← SortCWFromRight(A)
  ∘ B ← SortCCWFromLeft(B)
  \circ (i,j) \leftarrow (0,0)
  o while( true )
     » if (Right(\overrightarrow{a_i}\overrightarrow{b_j}, a_{i+1})): i \leftarrow i+1
     » else if(Right(\overrightarrow{a_ib_j}, b_{j+1})): j \leftarrow j+1 A \mid B
     » else: break
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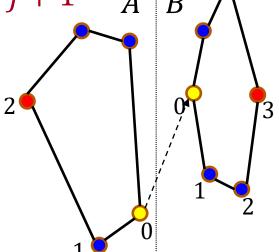
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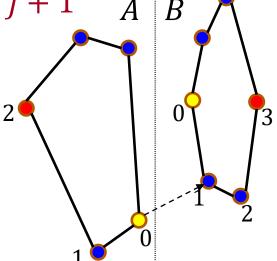


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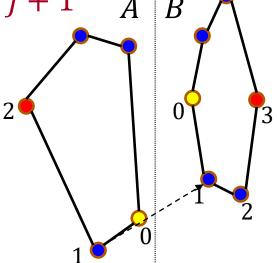
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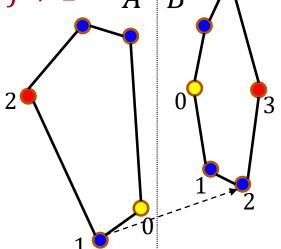
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\Rightarrow else if(Right(\overrightarrow{a_ib_j}, b_{j+1})): j \leftarrow j+1 A \mid B
```

» else: break



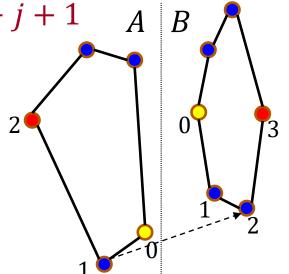


```
Merge (A, B):
```

- A ← SortCWFromRight(A)
- ∘ B ← SortCCWFromLeft(B)
- $\circ (i,j) \leftarrow (0,0)$
- o while( true )
  - » if (Right( $\overrightarrow{a_ib_j}$ ,  $a_{i+1}$ )):  $i \leftarrow i+1$
  - » else if( Right(  $\overrightarrow{a_ib_j}$  ,  $b_{j+1}$  )):  $j \leftarrow j+1$
  - » else: break

### Need to show this terminates:

- 1. at the lower tangent
- 2. in linear time.





### Claim:

If edge  $\overline{a_ib_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

#### First we show that if this is true, then:

- The algorithm must terminate in linear time because:
  - » i won't pass the left-most vertex of A.
  - » j won't pass the right-most vertex of B.
- The algorithm terminates at the lower tangent.

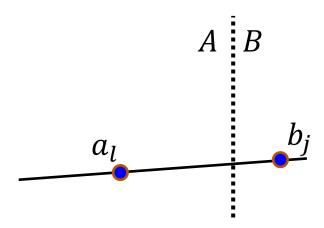


### Claim:

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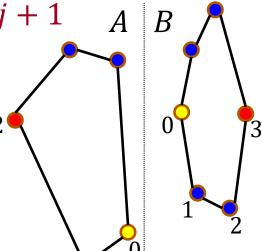
Will show that i won't pass the left-most vertex,  $a_l$ .





```
Merge (A, B):
```

- A ← SortCWFromRight(A)
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  - » else: break





### Claim:

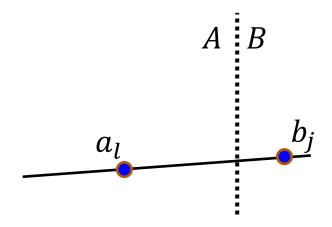
If edge  $\overline{a_ib_i}$  connects A and B, then:

1. Either i = 0 or  $a_{i-1}$  is left of  $\overline{a_i b_j}$ .

Will show that i won't pass the left-most vertex,  $a_l$ .

$$\Leftrightarrow$$
 Right(  $\overrightarrow{a_l b_j}$ ,  $a_{l+1}$  )==false

Where can  $a_{l-1}$  be?





### Claim:

If edge  $\overline{a_ib_i}$  connects A and B, then:

1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_j}$ .

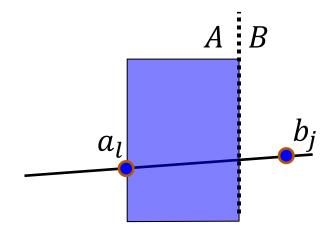
Will show that i won't pass the left-most vertex,  $a_l$ .

$$\Leftrightarrow$$
 Right( $\overrightarrow{a_lb_j}$ ,  $a_{l+1}$ )==false

#### Where can $a_{l-1}$ be?

Because  $a_l$  is left-most:

$$a_{l-1} \in \{p | p^x > a_l^x\}$$





### Claim:

If edge  $\overline{a_ib_i}$  connects A and B, then:

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Will show that i won't pass the left-most vertex,  $a_l$ .

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#### Where can $a_{l-1}$ be?

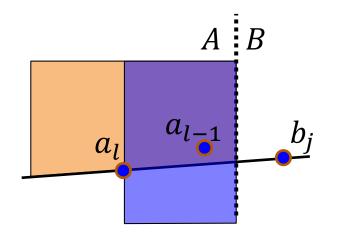
Because  $a_l$  is left-most:

$$a_{l-1} \in \{p | p^x > a_l^x\}$$

Because the claim holds:

$$a_{l-1} \in \{p | \text{Left}(\overrightarrow{a_l b_i}, p)\}$$

Note that  $l \neq 0$  because l indexes the left-most vertex in A while 0 indexes the right-most.





### Claim:

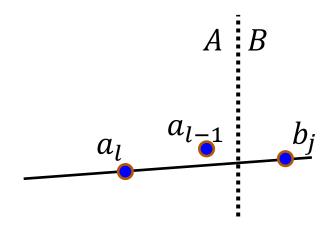
If edge  $\overline{a_ib_i}$  connects A and B, then:

1. Either i = 0 or  $a_{i-1}$  is left of  $\overline{a_i b_j}$ .

Will show that i won't pass the left-most vertex,  $a_l$ .

$$\Leftrightarrow$$
 Right( $\overrightarrow{a_lb_j}$ ,  $a_{l+1}$ )==false

Where can  $a_{l+1}$  be?





### Claim:

If edge  $\overline{a_ib_i}$  connects A and B, then:

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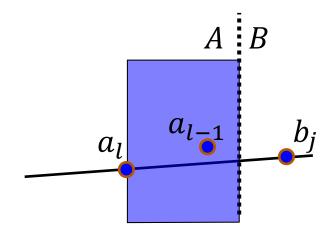
Will show that i won't pass the left-most vertex,  $a_l$ .

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 Right( $\overrightarrow{a_l b_j}$ ,  $a_{l+1}$ )==false

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### Claim:

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$$\Leftrightarrow$$
 Right(  $\overrightarrow{a_l b_j}$ ,  $a_{l+1}$  )==false

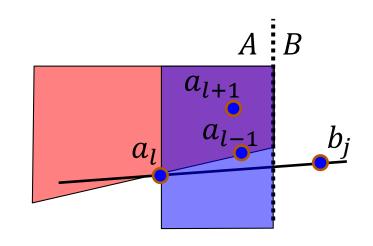
#### Where can $a_{l+1}$ be?

Because  $a_l$  is left-most:

$$a_{l+1} \in \{p | p^x > a_l^x\}$$

Because  $a_l$  is convex:

$$a_{l+1} \in \{p | \text{Left}(\overrightarrow{a_l a_{l-1}}, p)\}$$





### Claim:

If edge  $\overline{a_ib_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent.

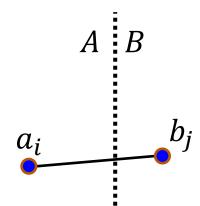


### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_j}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent. Case  $i \neq 0$ :





### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

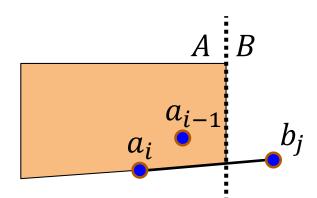
- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_j}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

Will show that at termination,  $\overrightarrow{a_i b_j}$  is a lower tangent.

#### Case $i \neq 0$ :

By claim #1:

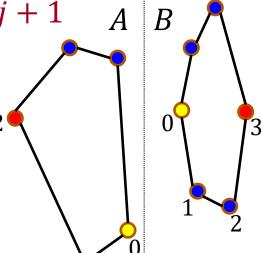
$$a_{i-1} \in \{p | \text{Left}(\overrightarrow{a_i b_i}, p)\}$$





```
Merge (A, B):
```

- A ← SortCWFromRight(A)
- ∘ B ← SortCCWFromLeft(B)
- $\circ (i,j) \leftarrow (0,0)$
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  - $\Rightarrow$  if (Right( $\overline{a_i b_j}$ ,  $a_{i+1}$ )):  $i \leftarrow i+1$
  - » else if( Right(  $\overrightarrow{a_i b_j}$  ,  $b_{j+1}$  )):  $j \leftarrow j+1$   $A \mid B$
  - » else: break





### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

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- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent.

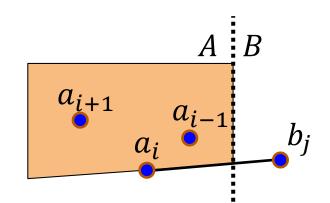
#### Case $i \neq 0$ :

By claim #1:

$$a_{i-1} \in \{p | \text{Left}(\overrightarrow{a_i b_j}, p)\}$$

Because we terminated:

$$a_{i+1} \in \{p | \text{Left}(\overrightarrow{a_i b_j}, p)\}$$





### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

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Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent.

#### Case $i \neq 0$ :

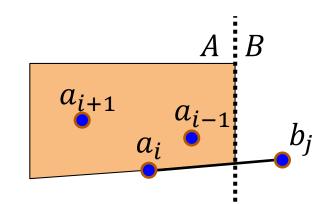
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Because we terminated:

$$a_{i+1} \in \{p | \text{Left}(\overrightarrow{a_i b_i}, p)\}$$

 $\Rightarrow \overrightarrow{a_i b_i}$  is a lower tangent of A.



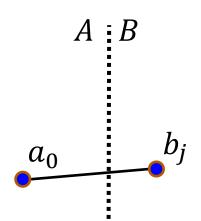


### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

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- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent. Case i = 0:





### Claim:

If edge  $\overline{a_ib_i}$  connects A and B, then:

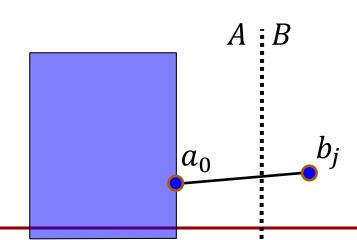
- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent.

#### Case i = 0:

Because  $a_0$  is right-most:

$$a_1 \in \{p | p^x < a_0^x\}$$





### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

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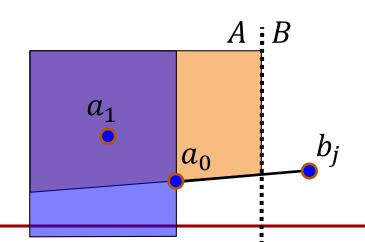
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$$a_1 \in \{p | \text{Left}(a_0 b_j, p)\}$$





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If edge  $\overline{a_i b_i}$  connects A and B, then:

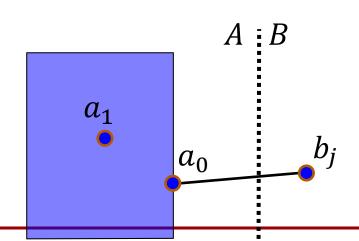
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Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent.

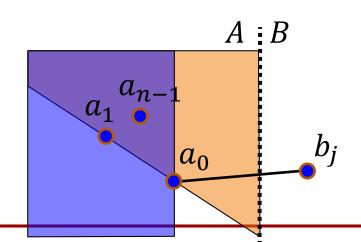
#### Case i = 0:

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Because *A* is convex:

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If edge  $\overline{a_i b_i}$  connects A and B, then:

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Will show that at termination,  $\overline{a_i b_j}$  is a lower tangent.

#### Case i = 0:

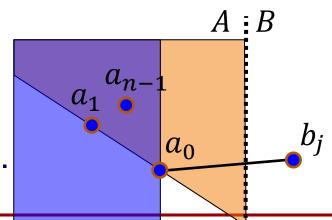
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 $\Rightarrow \overline{a_0 b_j}$  is a lower tangent of A.





### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

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- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

## Proof by induction, (i,j) = (0,0):

Both parts of the claim are trivially satisfied.

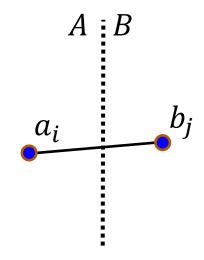


### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
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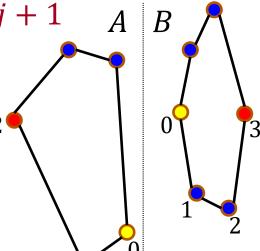
Proof by induction,  $(i, j) \rightarrow (i + 1, j)$ :





```
Merge (A, B):
```

- A ← SortCWFromRight(A)
- ∘ B ← SortCCWFromLeft(B)
- $\circ (i,j) \leftarrow (0,0)$
- o while( true )
  - $\Rightarrow$  if (Right( $\overline{a_i b_j}$ ,  $a_{i+1}$ )):  $i \leftarrow i+1$
  - » else if( Right(  $\overrightarrow{a_i b_j}$  ,  $b_{j+1}$  )):  $j \leftarrow j+1$   $A \mid B$
  - » else: break





### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

### Proof by induction #1, $(i,j) \rightarrow (i+1,j)$ :

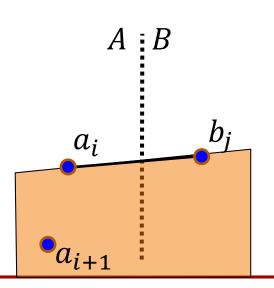
Since we advance on A:

$$a_{i+1} \in \left\{ p \middle| \operatorname{Right}\left(\overrightarrow{a_i b_j}, p\right) \right\}$$

Or, equivalently:

$$a_i \in \left\{ p \middle| \text{Left}\left(\overrightarrow{a_{i+1}b_j}, p\right) \right\}$$

⇒ Claim #1 remains true.





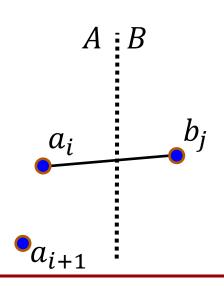
### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

## Proof by induction #2, $(i,j) \rightarrow (i+1,j), j=0$ :

Claim #2 remains true.





### Claim:

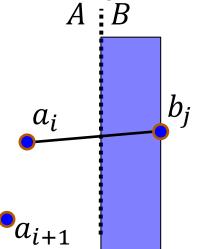
If edge  $\overline{a_ib_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

### Proof by induction #2, $(i,j) \rightarrow (i+1,j), j \neq 0$ :

As  $b_0$  is left-most and we terminate before the right-most:

$$b_{j-1} \in \{p | p^x < b_i^x\}$$





### Claim:

If edge  $\overline{a_ib_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
- 2. Either j = 0 or  $b_{j-1}$  is left of  $\overrightarrow{a_i b_j}$ .

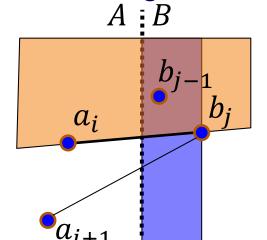
## Proof by induction #2, $(i,j) \rightarrow (i+1,j), j \neq 0$ :

As  $b_0$  is left-most and we terminate before the right-most:

$$b_{j-1} \in \left\{ p \middle| p^x < b_j^x \right\}$$

By the induction hypothesis:

$$b_{j-1} \in \left\{ p \middle| \text{Left}\left(\overrightarrow{a_i b_j}, p\right) \right\}$$





### Claim:

If edge  $\overline{a_i b_i}$  connects A and B, then:

- 1. Either i = 0 or  $a_{i-1}$  is left of  $\overrightarrow{a_i b_i}$ .
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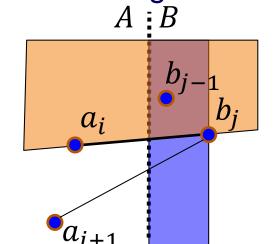
$$b_{j-1} \in \{ p | p^x < b_j^x \}$$

By the induction hypothesis:

$$b_{j-1} \in \left\{ p \middle| \text{Left}\left(\overrightarrow{a_i b_j}, p\right) \right\}$$

$$\Rightarrow b_{j-1} \in \left\{ p \middle| \text{Left} \left( \overrightarrow{a_{i+1}} \overrightarrow{b_j}, p \right) \right\}$$

Claim #2 remains true.





### Complexity:

Both split and the merge run in O(n).

 $\Rightarrow$  The divide-and-conquer runs in  $O(n \log n)$ .

