



# 3D Rendering and Ray Casting

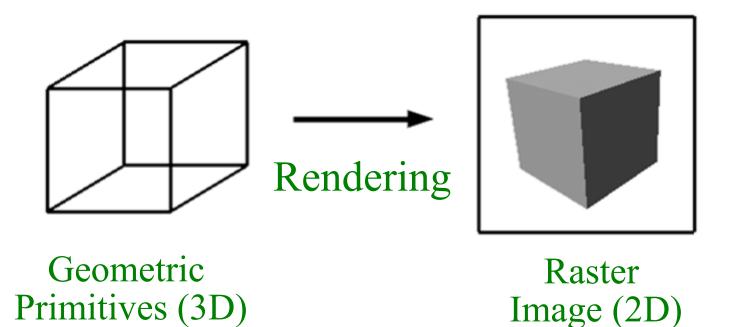
Michael Kazhdan

(601.457/657)

### Rendering

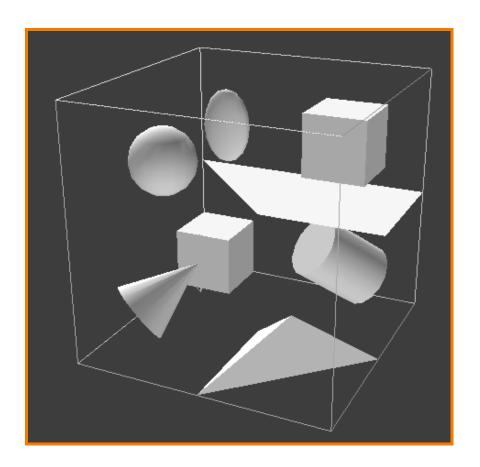


Generate an image from geometric primitives



# 3D Rendering Example





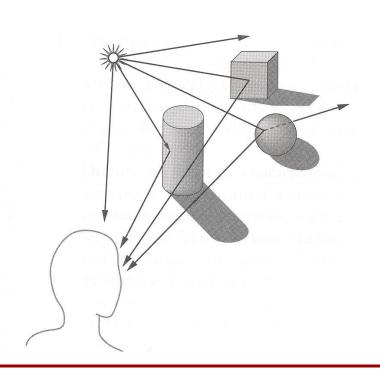
What issues must be addressed by a 3D rendering system?

#### **Overview**



- 3D scene representation
- 3D viewer representation
- What do we see?
- How does it look?

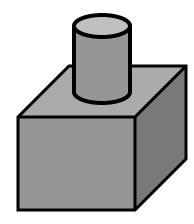
How is the 3D scene described in a computer?



# 3D Scene Representation



- Scene is usually approximated by 3D primitives
  - Point
  - Line segment
  - Triangles
  - Polygon
  - Curved surface
  - Solid object
  - o etc.



# **3D Position**



Specifies a location





### **3D Position**



- Specifies a location in space
  - Represented by three coordinates
  - No notion of length

```
template< unsigned int Dim >
struct Position
{
    float c[Dim];
};
```

```
\bullet(x, y, z)
```



### **3D Vector**



Specifies a direction (and magnitude)



### 3D Vector



- Specifies a direction (and magnitude)
  - Represented by three coordinates
  - Magnitude  $\|\vec{v}\| = \sqrt{x^2 + y^2 + z^2}$
  - Has no location

```
template< unsigned int Dim >
struct Direction
{
    float d[Dim];
};
```

```
\int \vec{v} = (x, y, z)
```

### 3D Vector



- Specifies a direction (and magnitude)
  - Represented by three coordinates
  - Magnitude  $\|\vec{v}\| = \sqrt{x^2 + y^2 + z^2}$
  - Has no location
- Dot product of two vectors

$$\langle \vec{v}_1, \vec{v}_2 \rangle = x_1 \cdot x_2 + y_1 \cdot y_2 + z_1 \cdot z_2$$

- $\circ \langle \vec{v}_1, \vec{v}_2 \rangle = ||\vec{v}_1|| \cdot ||\vec{v}_2|| \cdot \cos \theta$
- Cross product of two 3D vectors
  - $\vec{v}_1 \times \vec{v}_2$  = Vector normal to  $v_1$  and  $v_2$
  - $||\vec{v}_1 \times \vec{v}_2|| = ||\vec{v}_1|| \cdot ||\vec{v}_2|| \cdot \sin \theta$
  - Aligned with the right-hand-rule

$$\vec{v}_1 = (x_1, y_1, z_1)$$

$$\vec{v}_2 = (x_2, y_2, z_2)$$

#### **Cross Product: Review**



Let

$$\vec{v}_i = (x_i, y_i, z_i)$$
 with  $i \in \{1, 2, 3\}$ 

Then  $\vec{v}_1 = \vec{v}_2 \times \vec{v}_3$  is expressed as:

$$x_1 = y_2 \cdot z_3 - z_2 \cdot y_3$$

$$y_1 = z_2 \cdot x_3 - x_2 \cdot z_3$$

$$\circ \ z_1 = x_2 \cdot y_3 - y_2 \cdot x_3$$

• Anti-symmetric:  $\vec{v} \times \vec{w} = -\vec{w} \times \vec{v}$ 

Can similarly define the cross-product of (d-1) vectors in d dimensional space.

### **Cross Product: Review**

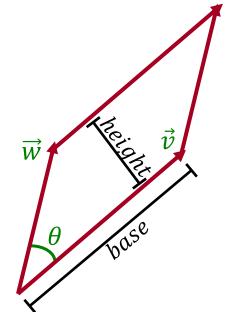


$$\|\vec{v} \times \vec{w}\| = \|\vec{v}\| \cdot \|\vec{w}\| \cdot \sin \theta$$

Geometrically speaking, we can consider the parallelogram defined by  $\vec{v}$  and  $\vec{w}$ .

The area of the parallelogram is the product of the base and the height.

- $base = \|\vec{v}\|$
- $height = \sin(\theta) \cdot ||\vec{w}||$
- $\Rightarrow \operatorname{Area}(\vec{v}, \vec{w}) = \|\vec{v}\| \cdot \|\vec{w}\| \cdot \sin \theta$  $= \|\vec{v} \times \vec{w}\|$



# **3D Line Segment**



Linear path between two points





# **3D Line Segment**



- Linear path between two points
  - Parametric representation:

```
» p(t) = p_1 + t \cdot (p_2 - p_1), \quad (0 \le t \le 1)
```

```
template< unsigned int Dim > struct Segment {
    Position< Dim > p1 , p2;
};
```





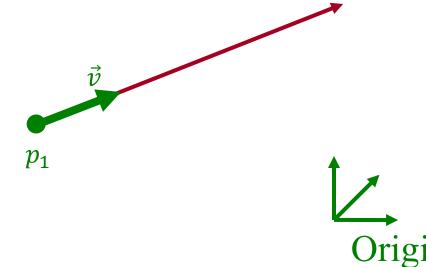
# 3D Ray



- Line segment with one endpoint at infinity
  - Parametric representation:

```
p(t) = p_1 + t \cdot \vec{v}, \quad (0 \le t < \infty)
```

```
template< unsigned int Dim >
struct Ray
{
    Position< Dim > p1;
    Direction< Dim > v;
};
```



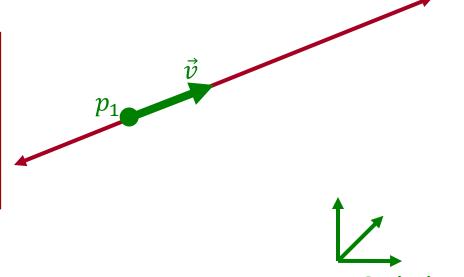
### 3D Line



- Line segment with both endpoints at infinity
  - Parametric representation:

```
p(t) = p_1 + t \cdot \vec{v}, \quad (-\infty < t < \infty)
```

```
template< unsigned int Dim > struct Line {
    Position< Dim > p1;
    Direction< Dim > v;
};
```



# **Geometry in 3D**



So far, we represented geometry parametrically – defining a function which takes in a parameter and returns a position on the geometry.

2D geometry in 3D can also be represented by an **implicit function** – a function  $\Phi: \mathbb{R}^3 \to \mathbb{R}$  which:

- Equals zero on the geometry
- Is positive outside the geometry
- Is negative inside the geometry

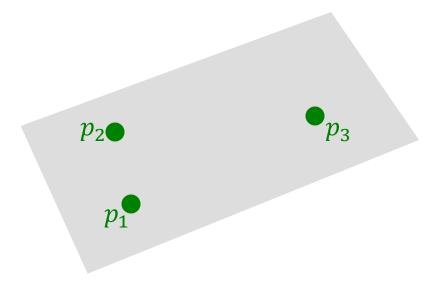
We can also represent 1D geometry using a function  $\Phi: \mathbb{R}^3 \to \mathbb{R}^2$ , with both coordinates of the output equal to zero on the geometry.

This makes it easy to evaluate if a point is on the surface.

# 3D Plane



A linear combination of three points



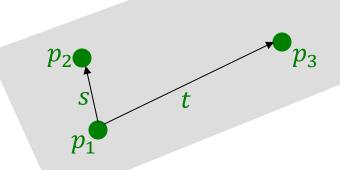


### 3D Plane



- A linear combination of three points
  - Explicit representation:

$$p(s,t) = p_1 + s \cdot (p_2 - p_1) + t \cdot (p_3 - p_1)$$



### 3D Plane

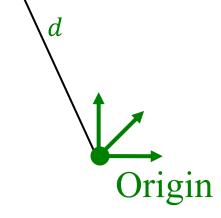


- A linear combination of three points
  - Implicit representation:

```
 \Rightarrow \Phi(p) = ap_x + bp_y + cp_z - d = 0 \\ \Rightarrow \Phi(p) = \langle p, \vec{n} \rangle - d = 0 \\ \text{Template< unsigned int Dim > struct Plane}
```

{
 Direction< Dim > n;
 float d;
};

- $\circ$   $\vec{n}$  is the plane normal
  - » (May be) unit-length vector
  - » Perpendicular to plane
- d is the signed (weighted) distance of the plane from the origin.



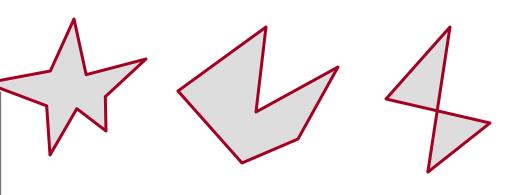
 $p_3$ 

# 3D Polygon



- Area "inside" a sequence of coplanar points
  - Triangle
  - Quadrilateral
  - Convex
  - Star-shaped
  - Concave
  - Self-intersecting

```
Template< unsigned int Dim > struct Polygon {
    Position< Dim > *points; size_t size;
};
```



Points are in counter-clockwise order

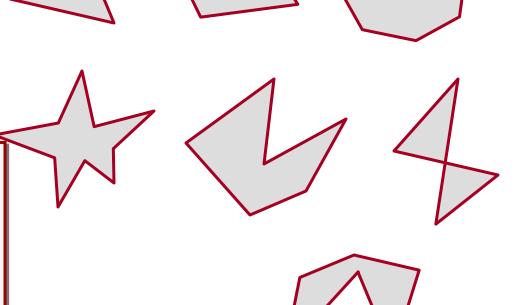
Holes (use > 1 polygon struct)

## 3D Polygon



- Area "inside" a sequence of coplanar points
  - Triangle
  - Quadrilateral
  - Convex
  - Star-shaped
  - Concave
  - Self-intersecting

```
Template< unsigned int Dim > struct Polygon {
    Position< Dim > *points;
    size_t size;
}
```



[WARNING] If the polygon has more than three points, the points may not be coplanar, so "interior" may not be well-defined.

## 3D Sphere

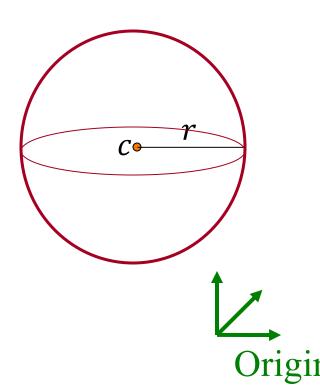


- All points at distance r from center point  $c = (c_x, c_y, c_z)$ 
  - Implicit representation:

» 
$$\Phi(p) = ||p - c||^2 - r^2 = 0$$

Parametric representation:

```
template< unsigned int Dim >
struct Sphere
{
    Position< Dim > center;
    float radius;
};
```



# Other 3D primitives



- Cone
- Cylinder
- Ellipsoid
- Box
- Etc.

#### **3D Geometric Primitives**



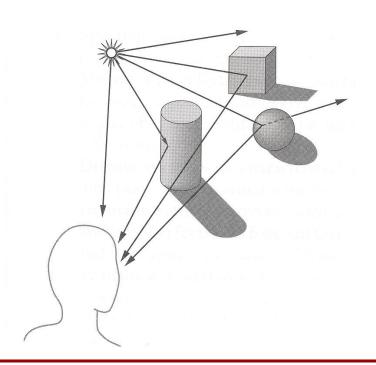
- More detail on 3D modeling later in course
  - Point
  - Line segment
  - Triangle
  - Polygon
  - Curved surface
  - Solid object
  - etc.

#### **Overview**



- 3D scene representation
- 3D viewer representation
- What do we see?
- How does it look?

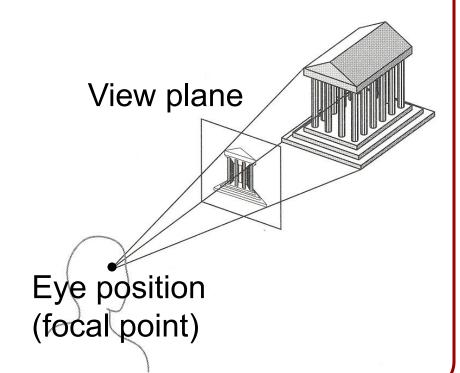
How is the viewing device described in a computer?



#### **Camera Models**



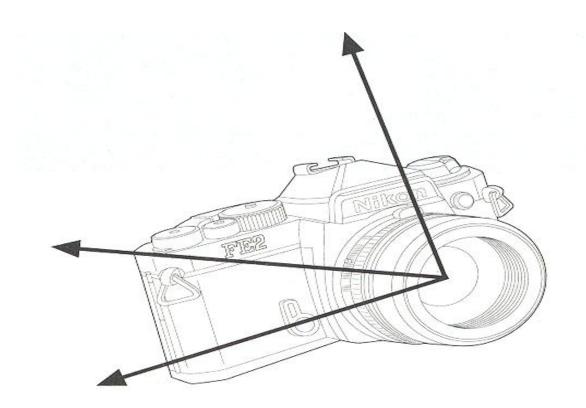
- The most common model is pin-hole camera
  - All captured light rays arrive along paths toward the focal point (w/o lens distortion, so that everything is in focus)



### **Camera Parameters**



What are the parameters of a camera?



#### **Camera Parameters**



**View Plane** 

- Position
  - Eye position: Position3 > eye
- Orientation
  - Forward/view direction: Direction
     3 > view
  - Up direction: Direction3 > up

Aperture

Field of view angle: float xFov, yFov

Resolution of film plane: int width, height

Up direction "Look at" **Point** View direction **Position** 

In some domains, a "look at" point is prescribed instead of a view direction.

right

Eye

# Other Models: Depth of Field







Close Focused

**Distance Focused** 

### Other Models: Motion Blur



- Mimics effect of open camera shutter
- Gives perceptual effect of high-speed motion
- Generally involves temporal super-sampling

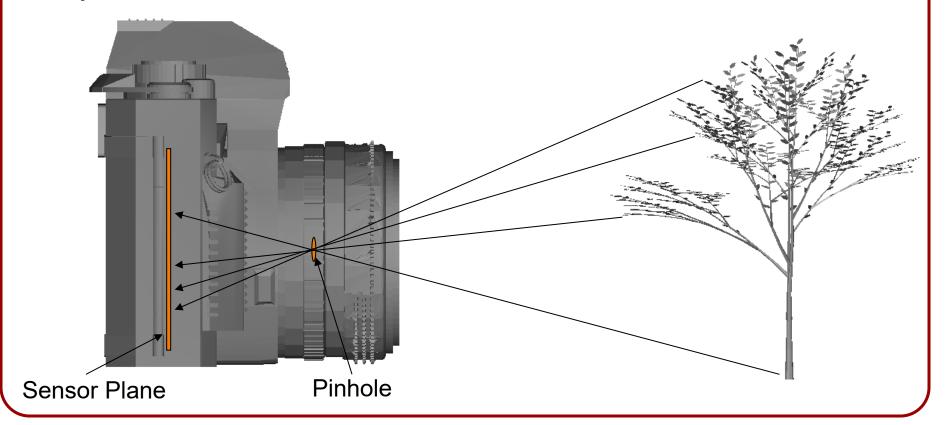


**Brostow & Essa** 

#### **Traditional Pinhole Camera**



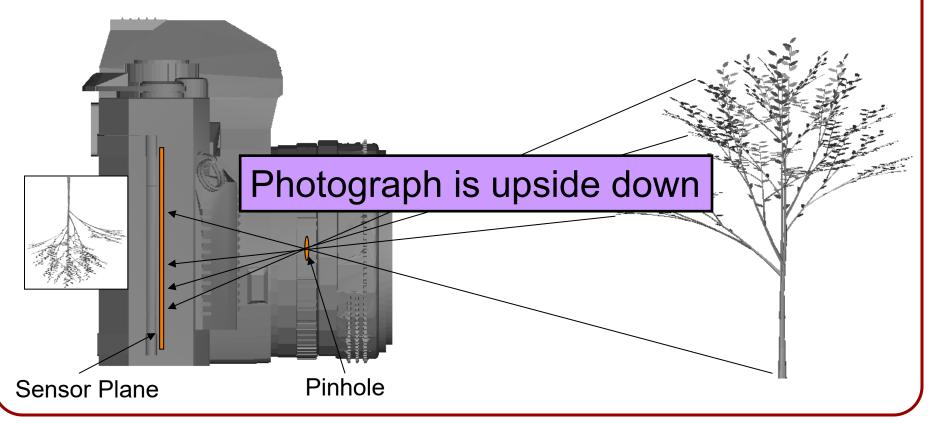
- The film sits behind the pinhole of the camera.
- Rays come in from the outside, pass through the pinhole, and hit the sensor.



#### **Traditional Pinhole Camera**



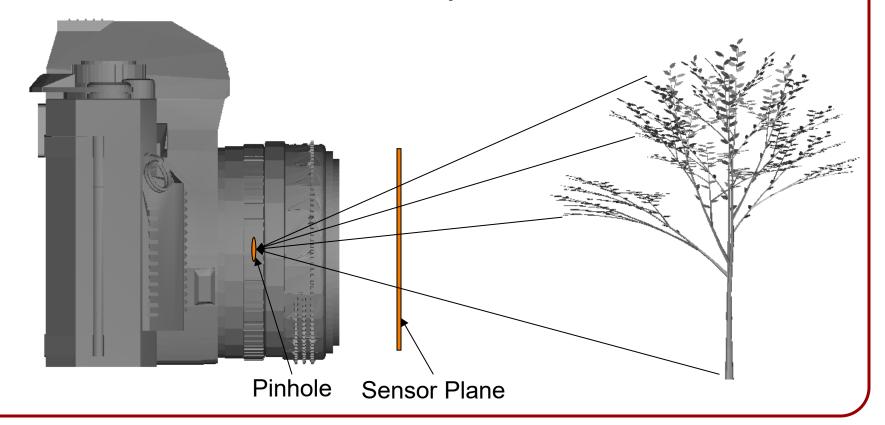
- The film sits behind the pinhole of the camera.
- Rays come in from the outside, pass through the pinhole, and hit the sensor.



### **Virtual Camera**



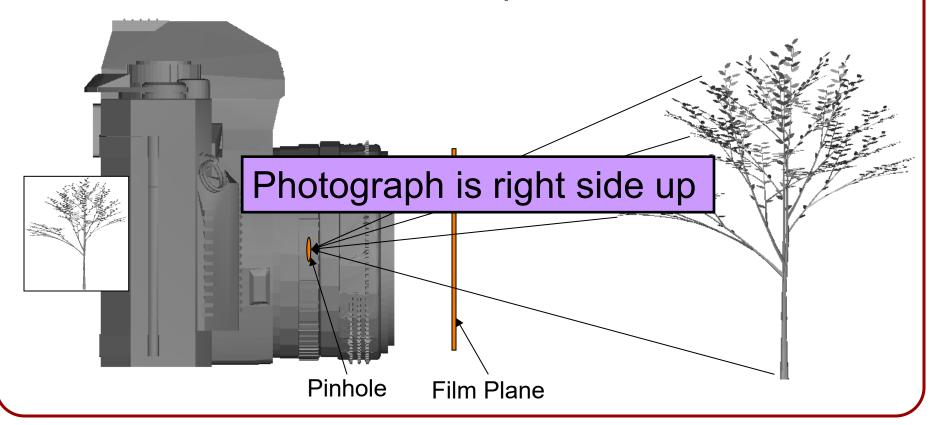
- The film sits in front of the pinhole of the camera.
- Rays come in from the outside, pass through the virtual sensor, and hit the pinhole.



#### **Virtual Camera**



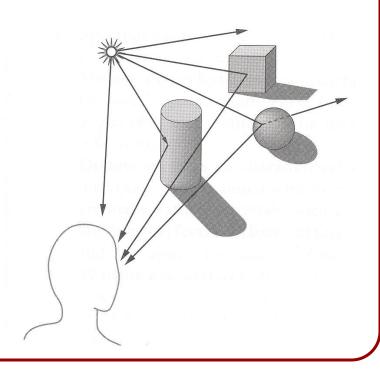
- The film sits in front of the pinhole of the camera.
- Rays come in from the outside, pass through the virtual sensor, and hit the pinhole.



#### **Overview**

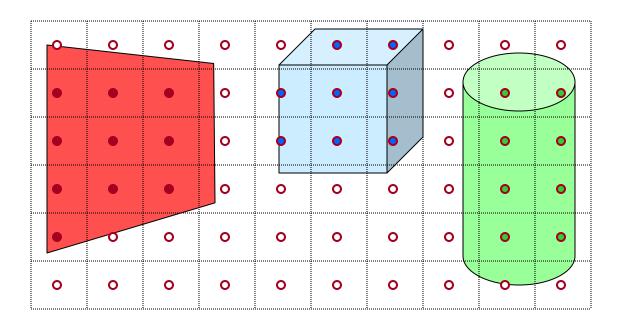


- 3D scene representation
- 3D viewer representation
- Ray Casting
  - Where are we looking?
  - What do we see?
  - How does it look?





- For each sample ...
  - Where: Construct ray from eye through view plane
  - What: Find first surface intersected by ray through pixel
  - How: Compute color sample based on surface radiance





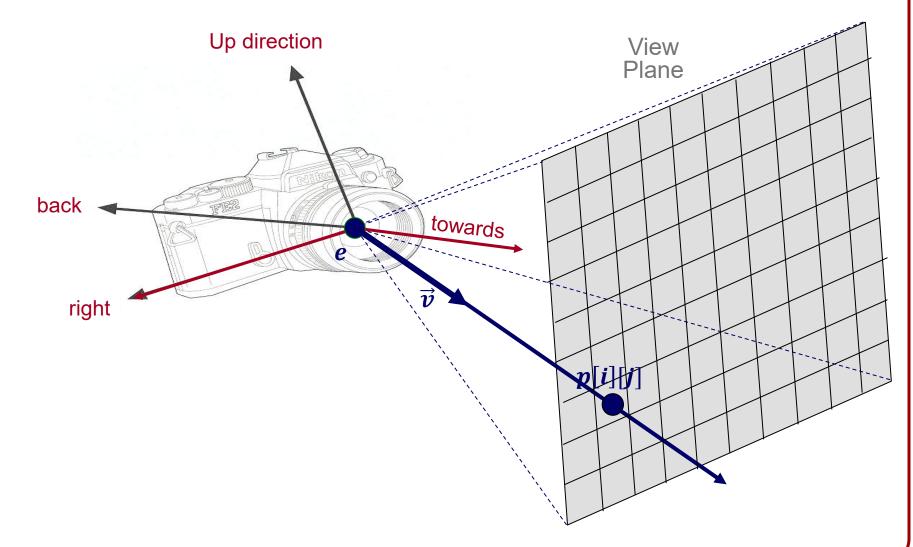
```
Image RayCast(Camera camera, Scene scene, int width, int height)
   Image image (width , height );
   for(int j=0; j<height; j++) for(int i=0; i<width; i++)
       Ray< 3 > ray = ConstructRayThroughPixel(camera, i, j);
       Intersection hit = FindIntersection( ray , scene );
       image[i][j] = GetColor( hit );
   return image;
```



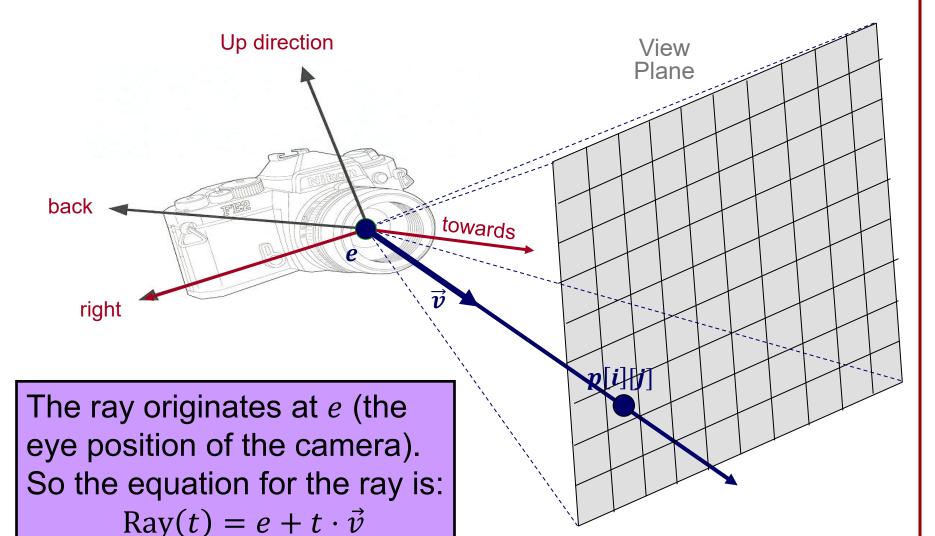
Where?

```
Image RayCast(Camera camera, Scene scene, int width, int height)
   Image image (width , height );
   for(int j=0; j<height; j++) for(int i=0; i<width; i++)
       Ray< 3 > ray = ConstructRayThroughPixel(camera, i, j);
       Intersection hit = FindIntersection( ray , scene );
       image[i][j] = GetColor( hit );
   return image;
```

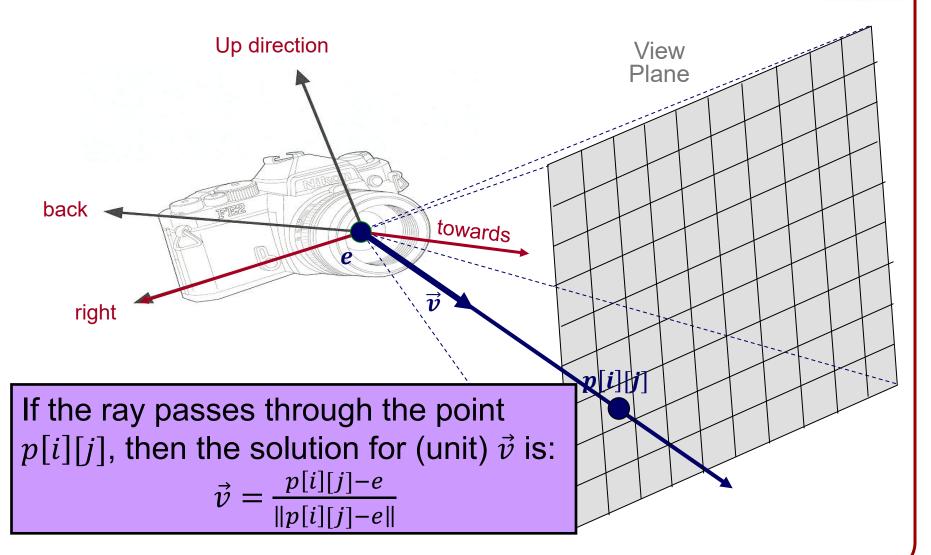




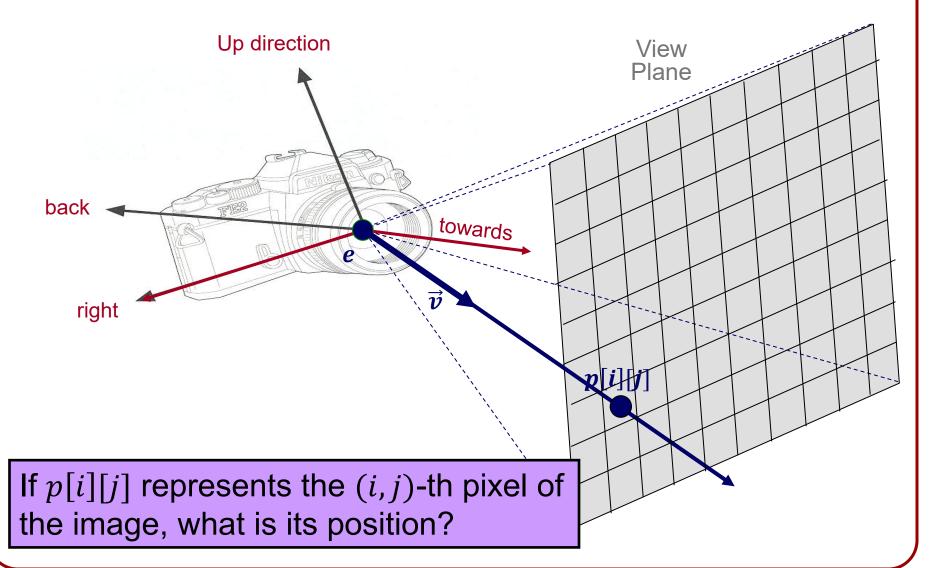












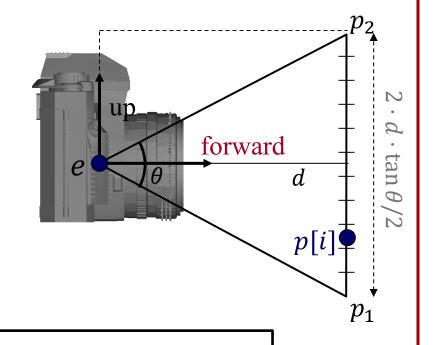


- 2D Example: Side view of camera
  - ∘ Where is the *i*-th pixel, p[i], with  $i \in [0, height)$ ?

 $\theta$  = field of view angle (given)

d =distance to view plane (arbitrary)

$$p_1 = e + d \cdot \text{forward} - d \cdot \tan \frac{\theta}{2} \cdot \text{up}$$
  
 $p_2 = e + d \cdot \text{forward} + d \cdot \tan \frac{\theta}{2} \cdot \text{up}$   
 $p[i] = p_1 + \left(\frac{i + 0.5}{\text{height}}\right) \cdot (p_2 - p_1)$ 



#### [NOTE]

The offset by 0.5 places the pixel in the center of the cell.



Figuring out how to do this in 3D is assignment 2.

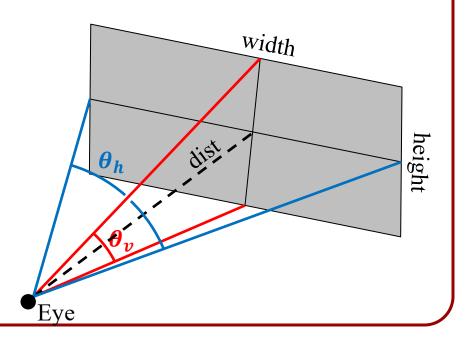


Figuring out how to do this in 3D is assignment 2.

Relating field of view angles and aspect ratio, (assuming square pixels):

- Aspect ratio,  $ar = \frac{height}{width}$
- Distance to center, dist
- Vertical/horizontal field of view angles,  $\theta_v$  and  $\theta_h$
- ⇒ Tangents of half the field of view angles are:

$$\tan(\theta_v/2) = \frac{height/2}{dist}$$
$$\tan(\theta_h/2) = \frac{width/2}{dist}$$





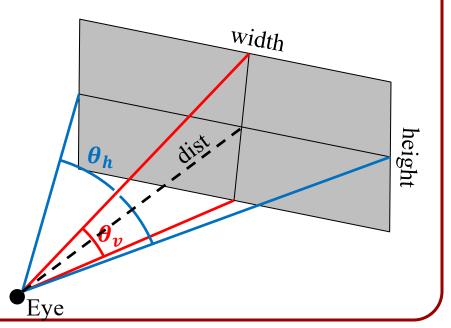
Figuring out how to do this in 3D is assignment 2.

Relating field of view angles and aspect ratio, (assuming square pixels):

- Aspect ratio,  $ar = \frac{height}{width}$ 
  - Distance to center, dist
  - Vertical/horizontal field of view angles,  $\theta_v$  and  $\theta_h$
  - ⇒ Tangents of half the field of view angles are:

$$\tan(\theta_v/2) = \frac{height/2}{dist}$$
$$\tan(\theta_h/2) = \frac{width/2}{dist}$$

$$\frac{\tan(\theta_v/2)}{\tan(\theta_h/2)} = ar$$





What?

```
Image RayCast(Camera camera, Scene scene, int width, int height)
   Image image( width , height );
   for(int j=0; j<height; j++) for(int i=0; i<width; i++)
       Ray< 3 > ray = ConstructRayThroughPixel(camera, i, j);
       Intersection hit = FindIntersection( ray , scene );
       image[i][j] = GetColor( hit );
   return image;
```

#### **Ray-Scene Intersection**



Intersections with geometric primitives

- Sphere
- Triangle



Ray: 
$$p(t) = e + t \cdot \vec{v}$$
,  $(0 \le t < \infty)$ 

Sphere: 
$$\Phi(p) = ||p - c||^2 - r^2 = 0$$

Substituting for p, we get:

$$\Phi(t) \equiv \Phi(p(t)) = ||e + t \cdot \vec{v} - c||^2 - r^2 = 0$$

Solve quadratic equation:

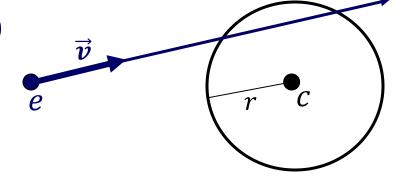
$$a \cdot t^2 + b \cdot t + c = 0$$

where:

$$a = 1$$

$$b = 2\langle \vec{v}, e - c \rangle$$

$$c = ||e - c||^2 - r^2$$





Ray: 
$$p(t) = e + t \cdot \vec{v}$$
,  $(0 \le t < \infty)$ 

Sphere: 
$$\Phi(p) = ||p - c||^2 - r^2 = 0$$

Substituting for p, we get:

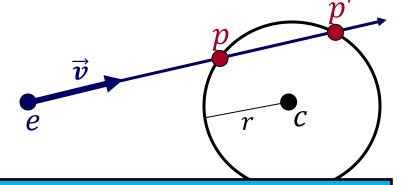
$$\Phi(t) \equiv \Phi(p(t)) = ||e + t \cdot \vec{v} - c||^2 - r^2 = 0$$

Solve quadratic equation:

$$a \cdot t^2 + b \cdot t + c = 0$$

where:

$$a = 1$$
  
 $b = 2\langle \vec{v}, e - c \rangle$ 

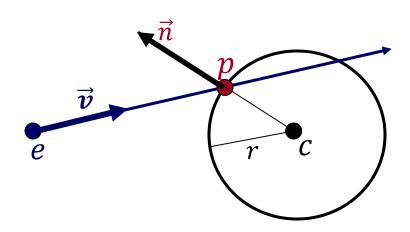


There can be two solutions to the quadratic equation, giving two points of intersection, p and p'. Want to return the first positive hit.



 Need normal vector at intersection for lighting calculations:

$$\vec{n} = \frac{p - c}{\|p - c\|}$$





 More generally, if the shape is given as the set of points p satisfying:

$$\Phi(p) = 0$$

for some function  $\Phi: \mathbb{R}^3 \to \mathbb{R}$ , then the normal of the surface will be parallel to the gradient.

#### **Ray-Scene Intersection**

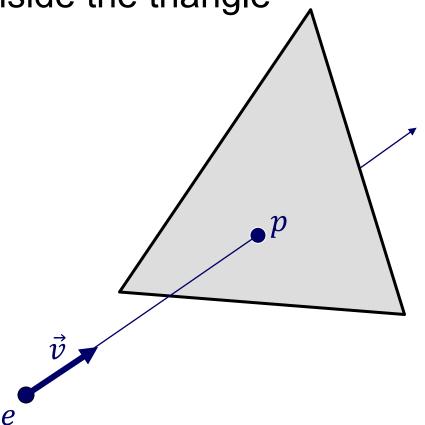


- Intersections with geometric primitives
  - Sphere
  - » Triangle



1. Intersect ray with plane

2. Check if the point is inside the triangle



## **Ray-Plane Intersection**



Ray:  $p(t) = e + t \cdot \vec{v}$ ,  $(0 \le t < \infty)$ 

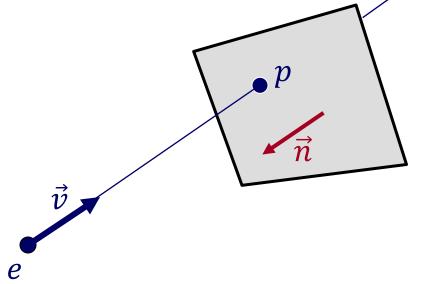
Plane:  $\Phi(p) = \langle p, \vec{n} \rangle - d = 0$ 

Substituting for *p* we get:

$$\Phi(t) \equiv \Phi(p(t)) = \langle e + t \cdot \vec{v}, \vec{n} \rangle - d = 0$$

Solving gives:

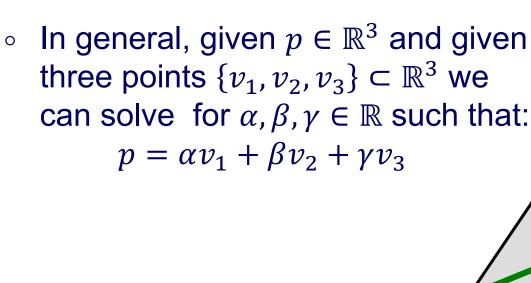
$$t = -\frac{\langle e, \vec{n} \rangle - d}{\langle \vec{v}, \vec{n} \rangle}$$

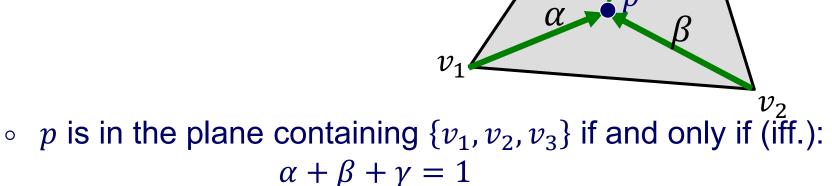


What are the implications of  $\langle \vec{v}, \vec{n} \rangle = 0$ ?



Check for point-triangle intersection parametrically

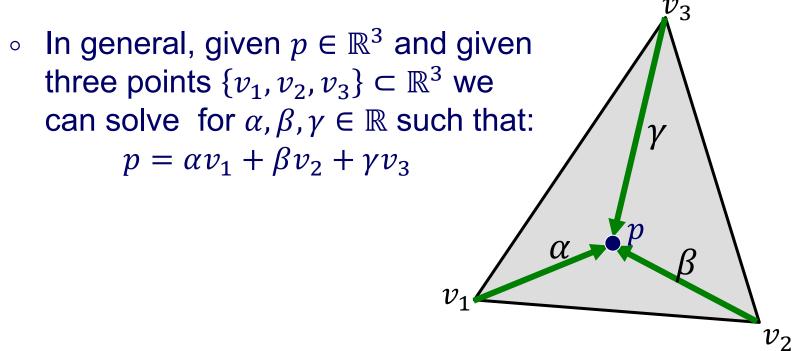




• p is inside the triangle with vertices  $\{v_1, v_2, v_3\}$  iff.:  $\alpha, \beta, \gamma \geq 0$ 



Check for point-triangle intersection parametrically

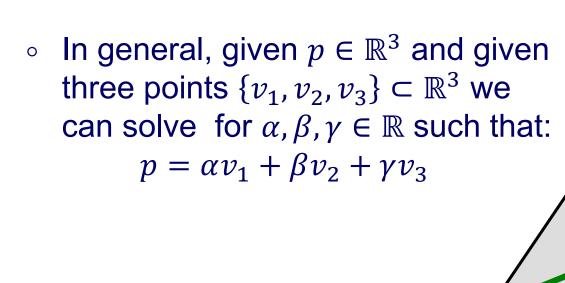


To get  $\alpha$ ,  $\beta$ ,  $\gamma$ , we could try to solve the system:

$$\begin{pmatrix} v_1^x & v_2^x & v_3^x \\ v_1^y & v_2^y & v_3^y \\ v_1^z & v_2^z & v_3^z \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \\ \gamma \end{pmatrix} = \begin{pmatrix} p^x \\ p^y \\ p^z \end{pmatrix}$$



Check for point-triangle intersection parametrically



 $v_{2}$ 

To get  $\alpha$ ,  $\beta$ ,  $\gamma$ , we could try to solve the system:

$$\begin{pmatrix} v_1^x & v_2^x & v_3^x \\ v_1^y & v_2^y & v_3^y \\ v_1^z & v_2^z & v_3^z \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \\ \gamma \end{pmatrix} = \begin{pmatrix} p^x \\ p^y \\ p^z \end{pmatrix} \qquad \Leftrightarrow \qquad \begin{pmatrix} \alpha \\ \beta \\ \gamma \end{pmatrix} = \begin{pmatrix} v_1^x & v_2^x & v_3^x \\ v_1^y & v_2^y & v_3^y \\ v_1^z & v_2^z & v_3^1 \end{pmatrix}^{-1} \begin{pmatrix} p^x \\ p^y \\ p^z \end{pmatrix}$$



Check for point-triangle intersection parametrically

• In general, given  $p \in \mathbb{R}^3$  and given three points  $\{v_1, v_2, v_3\} \subset \mathbb{R}^3$  we can solve for  $\alpha, \beta, \gamma \in \mathbb{R}$  such that:

$$p = \alpha v_1 + \beta v_2 + \gamma v_3$$

This will fail if the vertices  $\{v_1, v_2, v_3\}$  lie in a plane through the origin.

To get  $a, p, \gamma$ , we could my to solve me system:

$$\begin{pmatrix} v_1^x & v_2^x & v_3^x \\ v_1^y & v_2^y & v_3^y \\ v_1^z & v_2^z & v_3^z \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \\ \gamma \end{pmatrix} = \begin{pmatrix} p^x \\ p^y \\ p^z \end{pmatrix} \qquad \Leftrightarrow \qquad \begin{pmatrix} \alpha \\ \beta \\ \gamma \end{pmatrix} = \begin{pmatrix} v_1^x & v_2^x & v_3^x \\ v_1^y & v_2^y & v_3^y \\ v_1^z & v_2^z & v_3^1 \end{pmatrix}^{-1} \begin{pmatrix} p^x \\ p^y \\ p^z \end{pmatrix}$$

 $v_{7}$ 



#### Intuitively:

The weights  $\alpha$ ,  $\beta$ ,  $\gamma$  describe the relative proximity of p to  $v_1$ ,  $v_2$ ,  $v_3$ .

Consider the triangle opposite vertex  $v_k$ ,  $\{p, v_{k+1}, v_{k+2}\}$ .

The area of the triangle:

- $\circ$  Tends to zero as p moves  $v_1$  away from  $v_k$  towards the opposite edge
- Tends to the area of triangle  $\{v_1, v_2, v_3\}$  as p moves towards  $v_k$ .



#### Intuitively:

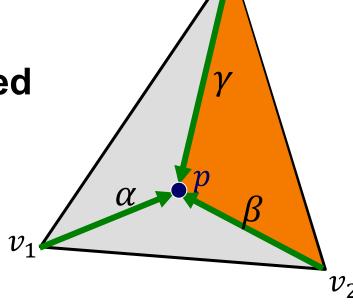
The weights  $\alpha$ ,  $\beta$ ,  $\gamma$  describe the relative proximity of p to  $v_1, v_2, v_3$ .

⇒ Define  $\alpha$ ,  $\beta$ ,  $\gamma$  as the **signed** triangle area ratios:

$$\alpha = \frac{\text{SignedArea}(\{p, v_2, v_3\})}{\text{SignedArea}(\{v_1, v_2, v_3\})}$$

$$\beta = \frac{\text{SignedArea}(\{p, v_3, v_1\})}{\text{SignedArea}(\{v_1, v_2, v_3\})}$$

$$\gamma = \frac{\text{SignedArea}(\{p, v_1, v_2, v_3\})}{\text{SignedArea}(\{v_1, v_2, v_3\})}$$





 $\overrightarrow{W}_1$ 

#### Recall:

Given vectors  $\vec{w}_1, \vec{w}_2 \in \mathbb{R}^3$ , the **unsigned** area of the parallelogram spanned by  $\vec{w}_1$  and  $\vec{w}_2$  is:

ParallelogramArea
$$(\vec{w}_1, \vec{w}_2) = |\vec{w}_1 \times \vec{w}_2|$$

Assuming that we are given a **unit** vector  $\vec{n} \in \mathbb{R}^3$  perpendicular to both  $\vec{w}_1$  and  $\vec{w}_2$ :

$$\langle \vec{n}, \vec{w}_1 \rangle = \langle \vec{n}, \vec{w}_2 \rangle = 0$$

we can obtain the **signed** area (relative to  $\vec{n}$ ) by taking the dot-product:

SignedParallelogramArea
$$(\vec{w}_1, \vec{w}_2) = \langle \vec{w}_1 \times \vec{w}_2, \vec{n} \rangle$$



#### Recall:

Given vectors  $\vec{w}_1, \vec{w}_2 \in \mathbb{R}^3$ , the **unsigned** area of the parallelogram spanned by  $\vec{w}_1$  and  $\vec{w}_2$  is:

ParallelogramArea $(\vec{w}_1, \vec{w}_2) = |\vec{w}_1 \times \vec{w}_2|$ 

Assuming that we are given a **unit** vector  $\vec{n} \in \mathbb{R}^3$  perpendicular to both  $\vec{w}_1$  and  $\vec{w}_2$ :

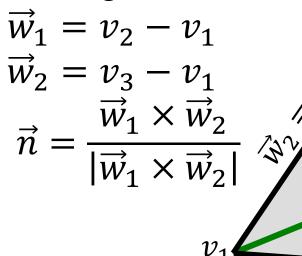
$$\langle \vec{n}, \vec{w}_1 \rangle = \langle \vec{n}, \vec{w}_2 \rangle = 0$$

we can obtain the **signed** area (relative to  $\vec{n}$ ) by taking the dot-product:

SignedParallelogramArea
$$(\vec{w}_1, \vec{w}_2) = \langle \vec{w}_1 \times \vec{w}_2, \vec{n} \rangle$$
  
SignedTriangleArea $(\vec{w}_1, \vec{w}_2) = \langle \vec{w}_1 \times \vec{w}_2, \vec{n} \rangle / 2$ 

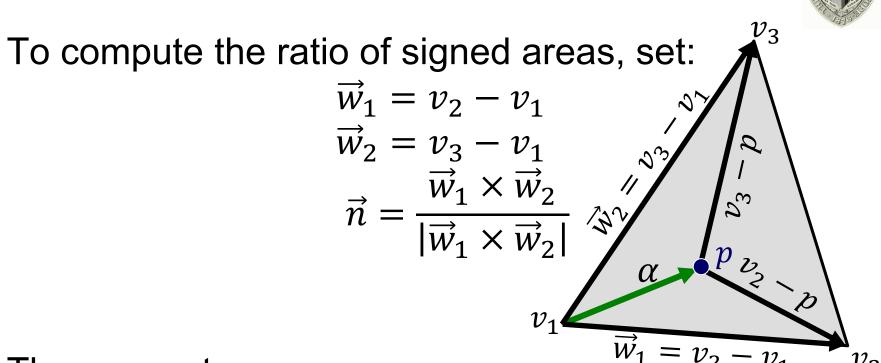


To compute the ratio of signed areas, set:



Then we get:

$$\alpha = \frac{\langle (v_2 - p) \times (v_3 - p), \vec{n} \rangle / 2}{\langle (v_2 - v_1) \times (v_3 - v_1), \vec{n} \rangle / 2}$$
:



Then we get:

$$\alpha = \frac{\langle (v_2 - p) \times (v_3 - p), \vec{n} \rangle / 2}{\langle (v_2 - v_1) \times (v_3 - v_1), \vec{n} \rangle / 2}$$

Note: If we flip the sign of  $\vec{n}$ , the minus signs in the numerator/denominator cancel and we get the same weights.

#### Other Ray-Primitive Intersections



- Cone, cylinder, ellipsoid:
  - Similar to sphere
- Box
  - Intersect 3 front-facing planes, return closest
- Convex (planar) polygon
  - Find the intersection of the ray with the plane
  - Slightly more complex point-in-polygon test
- Concave (planar) polygon
  - Find the intersection of the ray with the plane
  - Markedly more complex point-in-polygon test