

# Three-Dimensional $\alpha$ Shapes

Herbert Edelsbrunner and Ernst P. Mücke  
ACM Tran. Graph. 13(1), 1994

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## 1 Theory

- Background
- Intuition
- Definition
- Delaunay Triangulation

## 2 Implementation

- $\alpha$ -Complexes
- Edelsbrunner's Algorithm

## 3 Applications

- Properties
- Surface Reconstruction

# Shape

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- $\alpha$ -shape may be concave or disjoint

# $\alpha$ -Shapes

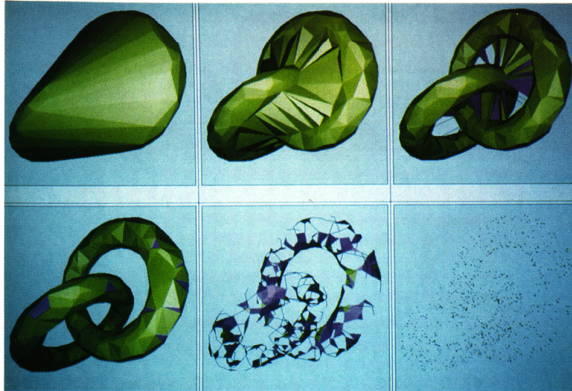


Figure from Edelsbrunner94

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  - An edge of the  $\alpha$ -shape is defined by connecting those points.
  - Then boundary of the  $\alpha$ -shape is a collection of these edges

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*This allows us to ignore special cases*



# $\alpha$ -balls

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$B_\alpha(p)$  is *empty* if  $p \cap P = \emptyset$

# Simplices I

- An  $n$ -simplex is an  $n$ -dimensional analogue of a triangle:
  - The 0-simplex is a point
  - The 1-simplex is a line
  - The 2-simplex is a triangle
  - The 3-simplex is a tetrahedron
- A  $n$ -simplex has  $n + 1$  vertices

# Simplices II

Let  $T \subset P$ , and  $|T| = k + 1 \leq d + 1$

The polytope  $\triangle_T = \text{conv}(T)$  has dimension  $k$  and is therefore a  $k$ -simplex

# Exposed Simplices

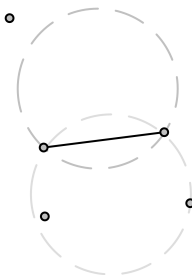
Let  $\delta p$  be the surface of  $B_\alpha(p)$

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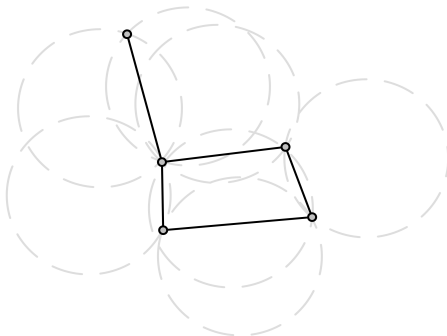
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# Building the $\alpha$ -shape

- $S_\alpha(P)$  is constructed from all exposed simplices:

$$\delta S_\alpha(P) = \{ \triangle_T \mid T \subset P, |T| \leq d \text{ and } \triangle_T \text{ is exposed} \}$$





# Properties

## Observations

It is easy to show:

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## Claim

$S(\alpha)$  is a subset of the Delaunay triangulation of  $P$

# Delaunay Triangulation Equivalence

Let  $DT(P)$  be a set of  $k$ -simplices  $0 \leq k \leq d$  such that  $\triangle_T = \text{conv}(T)$ ,  $T \subset P$ ,  $|T| = k + 1$

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- By definition, this does not contain any other points from  $P$ , therefore  $B_\alpha(p)$  is empty
- Thus the simplices that form the edges of the  $d$ -simplex are *exposed*, and form the boundary for some  $\alpha$ -shape.

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- The subset of  $DT(P)$  is determined by  $\alpha$

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- A simplex  $\triangle_T$  from  $DT(P)$  is in  $C_\alpha(P)$  if either:
  - $\sigma_T < \alpha$  and the  $\alpha$ -ball at  $\mu_T$  is empty
  - $\triangle_T$  is the face of another  $\triangle_T$  in  $C_\alpha(P)$

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  - The algorithm actually computes the  $\alpha$ -complex for all  $\alpha$  values.
- Extract the boundary of the  $\alpha$ -shape from the  $\alpha$ -complex
- Steps 1 and 2 can be precomputed for a given  $P$

# Complexity

- Delaunay Triangulation:  $O(n \log n)$
- Generate  $\alpha$ -complex:  $O(m \log m)$
- Extract boundary of  $\alpha$ -shape:  $O(m)$  (could be better?)

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- $S_{\alpha}(P) \subset DT(P)$



# Advantages

- $\alpha$ -shape reconstructions can have arbitrary topology
- The  $\alpha$ -shape interpolates the set  $P$

# Disadvantages

- The choice of  $\alpha$ -value is non-intuitive
- The reconstruction may not be water tight
- The reconstruction may be disjoint

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- What about blending several  $\alpha$ -shapes together?
- What about defining  $\alpha(q)$  for  $q \in \mathbb{R}^d$  such that  $\alpha(q)$  is proportional to sampling density near  $q$