

## 15.1 Tree Embedding II

### 15.1.1 The Algorithm

---

**Algorithm 1** FRT

---

**Input:** A vertex set  $V$  and the metric  $d$ **Output:** The tree  $T$  for tree embeddingSample  $r_0$  uniformly in  $[1/2, 1)$  $r_i = 2^i r_0, 1 \leq i \leq \log_2 \Delta$ Let  $\pi$  be a random permutation of  $V$ Set  $\Delta$  to be the smallest power of 2 s.t.  $\Delta > \max_{u,v} d(u,v)$  $L(\log \Delta) = \{V\}$ **for**  $i = \log \Delta$  **down to** 1 **do**     $L(i-1) = \emptyset$     **for all**  $C \in L(i)$  **do**         $S \leftarrow C$         **for**  $j = 1$  **to**  $n$  **do**            **if**  $B(\pi(j), r_{i-1}) \cap S \neq \emptyset$  **then**                Add  $B(\pi(j), r_{i-1}) \cap S$  to  $L(i-1)$                 Remove  $B(\pi(j), r_{i-1}) \cap S$  from  $S$             **end if**        **end for**        Create tree nodes corresponding to all sets in  $L(i-1)$  that are subsets of  $C$         Join these nodes to the node corresponding to  $C$  by edge of length  $2^i$     **end for****end for**

---

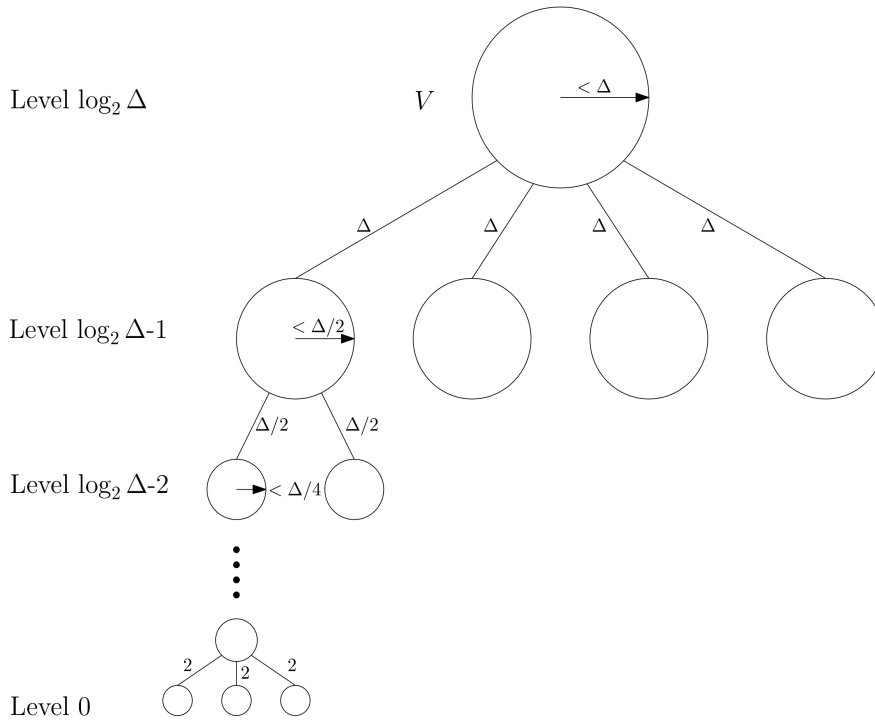


Figure 15.1.1: The tree structure (This picture is directly taken from our textbook [WS11])

### 15.1.2 Analysis

**Theorem 15.1.1**  $\forall u, v \in V, E[d_T(u, v)] \leq O(\log n)d(u, v)$ .

**Definition 15.1.2**  $w \in V$  settles  $u, v$  at level  $i$ , if  $w$  is the first vertex in  $\pi$  s.t.  $B(w, r_{i-1}) \cap \{u, v\} \neq \emptyset$ .

Here  $B(w, r)$  denotes the ball with center  $w$  and radius  $r$ .

**Definition 15.1.3**  $w \in V$  cuts  $u, v$  at level  $i$ , if  $|B(w, r_{i-1}) \cap \{u, v\}| = 1$ .

**Observation 15.1.4**  $LCA(u, v)$  is at level  $i$  if  $i$  is the largest value s.t. the vertex  $w$  which settles  $u, v$  at level  $i$  also cuts  $u, v$  at level  $i$ .

Here  $LCA(u, v)$  is the least common ancestor of  $u, v$ .

**Definition 15.1.5** Define the following random variables.

•

$$S_{iw} = \begin{cases} 1, & \text{if } w \text{ settles } u, v \text{ at level } i, \\ 0, & \text{otherwise.} \end{cases} \quad (15.1.1)$$

$$X_{iw} = \begin{cases} 1, & \text{if } w \text{ cuts } u, v \text{ at level } i, \\ 0, & \text{otherwise.} \end{cases} \quad (15.1.2)$$

**Proof of Theorem 15.1.1:**

$$\begin{aligned} d_T(u, v) &\leq \sum_{i=1}^{\log \Delta} \sum_{w \in V} 2^{i+2} S_{iw} X_{iw} \\ E[d_T(u, v)] &\leq \sum_{i=1}^{\log \Delta} \sum_{w \in V} 2^{i+2} Pr[S_{iw} = 1, X_{iw} = 1] \\ &\leq \sum_{i=1}^{\log \Delta} \sum_{w \in V} 2^{i+2} Pr[S_{iw} = 1 | X_{iw} = 1] Pr[X_{iw} = 1] \end{aligned} \quad (15.1.3)$$

**Lemma 15.1.6** *Two inequalities hold:*

(1)  $Pr[S_{iw} = 1 | X_{iw} = 1] \leq b_w$ . Here  $b$  is a function on  $w$ .  $b_w$  is independent of levels.

(2)  $\sum_{w \in V} b_w \leq O(\log n)$

**Lemma 15.1.7**  $\forall w \in V, \sum_{i=1}^{\log \Delta} 2^{i+2} Pr[X_{iw} = 1] \leq 16d(u, v)$ .

By lemma 15.1.6 (1),

$$\begin{aligned} &\sum_{i=1}^{\log \Delta} \sum_{u \in V} 2^{i+2} Pr[S_{iw} = 1 | X_{iw} = 1] Pr[X_{iw} = 1] \\ &\leq \sum_{i=1}^{\log \Delta} \sum_{w \in V} b_w 2^{i+2} Pr[X_{iw} = 1] \\ &= \sum_{w \in V} b_w \sum_{i=1}^{\log \Delta} 2^{i+2} Pr[X_{iw} = 1] \\ &\leq \sum_{w \in V} b_w 16d(u, v) \quad (\text{by Lemma 15.1.7}) \\ &\leq O(\log n)d(u, v) \quad (\text{by Lemma 15.1.6 (2)}) \end{aligned} \quad (15.1.4)$$

**Proof of Lemma 15.1.6:**

Order the set  $V = \{w_1, \dots, w_n\}$  by distance to the pair  $u, v$ .

$$d(w_i, \{u, v\}) \leq d(w_{i+1}, \{u, v\})$$

If  $w_j$  cuts  $u, v$  at level  $i$ ,  $|B(w_j, r_{i-1}) \cap \{u, v\}| = 1 \implies |B(w_k, r_{i-1}) \cap \{u, v\}| > 0, \forall k \leq j$ .

**Question 15.1.8** *If  $w_j$  settles at  $i$ , can  $w_k$  be before  $w_j$  in  $\pi$  for  $k \leq j$ ?*

The answer is no, since if  $w_k$  were before  $w_j$  in  $\pi$  then  $w_k$  would settle  $u, v$  before  $w_j$ . Hence if  $S_{iw} = 1$ , then  $w_j$  is before  $w_k$  in  $\pi, \forall k \leq j$ . Thus we get that

$$\begin{aligned} Pr[\pi(w_j) < \pi(w_k) \quad \forall k < j] &= \frac{1}{j} \\ \implies Pr[S_{iw} = 1 | X_{iw} = 1] &\leq \frac{1}{j} = b_{w_j} \\ \implies \sum_{j=1}^n b_{w_j} &= \sum_{j=1}^n \frac{1}{j} = O(\log n) \end{aligned} \tag{15.1.5}$$

■

**Proof of Lemma 15.1.7:**

W.L.O.G,  $d(w, u) \leq d(w, v)$ . In order for  $X_{iw} = 1$ , need  $r_{i-1} \in [d(w, u), d(w, v)]$ .

**Observation 15.1.9**  $r_{i-1}$  is uniform in  $[2^{i-2}, 2^{i-1}]$ .  $Pr[X_{iw} = 1] = \frac{|[2^{i-2}, 2^{i-1}] \cap [d(w, u), d(w, v)]|}{|[2^{i-2}, 2^{i-1}]|}$ . Here  $|[2^{i-2}, 2^{i-1}]| = 2^{i-2}$ .

So we have that

$$\begin{aligned} 15.1.9 \implies 2^{i+2} Pr[X_{iw} = 1] &= \frac{2^{i+2}}{2^{i-2}} |[2^{i-2}, 2^{i-1}] \cap [d(w, u), d(w, v)]| \\ &= 16 |[2^{i-2}, 2^{i-1}] \cap [d(w, u), d(w, v)]| \end{aligned} \tag{15.1.6}$$

Hence

$$\begin{aligned} \sum_{i=1}^{\log \Delta} 2^{i+2} Pr[X_{iw} = 1] &\leq \sum_{i=1}^{\log \Delta} 16 |[2^{i-2}, 2^{i-1}] \cap [d(w, u), d(w, v)]| \\ &= 16 |[d(w, u), d(w, v)]| = 16(d(w, v) - d(w, u)) \leq 16d(u, v) \end{aligned}$$

■

**Question 15.1.10** *If  $(V', T')$  is a tree metric for  $V$ , is there a tree metric  $(V, T)$  s.t.  $d_{T'}(u, v) \leq d_T(u, v) \leq \alpha d_{T'}(u, v), \forall u, v \in V$ ? Here  $\alpha$  is in  $O(1)$ .*

This question asks that whether we could find a tree metric without steiner nodes, i.e., so that the nodes on the tree are all in  $V$  which is the vertex set of the original graph.

**Theorem 15.1.11** [Gupta01] *The answer to 15.1.10 is yes, and  $\alpha = 8$ .*

Here we just prove the result for the tree metric which is constructed using our tree embedding method.

**Theorem 15.1.12** *If  $(V', T')$  is a tree embedding for  $T$  which is a hierarchical cut decomposition, then can find some other  $T$  s.t.  $d_{T'}(u, v) \leq d_T(u, v) \leq 4d_{T'}(u, v), \forall u, v \in V$ .*

**Proof:**

Use the following algorithm to construct  $T$ .

- (1) While  $\exists$  a node  $x \in V$ , s.t.  $p(x) \notin V$ , contract  $(x, p(x))$ .
- (2) Multiply all edge weights by 4.

Here contracting edge  $(x, p(x))$  means we just merge the subtree at  $x$  into  $p(x)$  and identify the newly merged node as  $x$ . Contracting makes distance go down, and hence  $d_T(u, v) \leq 4d_{T'}(u, v)$ . Suppose the least common ancestor of  $u, v$  is  $w$  at level  $i$ .  $d_{T'}(u, v) \leq 2^{i+2}$ . After contractions, their distance in  $T$  is at least  $2^i$  (consider  $w$  and it's child). So  $d_T(u, v) \geq 2^{i+2}$  as we multiply each edge weights by 4. So  $d_{T'}(u, v) \leq d_T(u, v) \leq 4d_{T'}(u, v)$ . ■

## References

- Gupta01 Gupta, Anupam. "Steiner points in tree metrics don't (really) help." Proceedings of the twelfth annual ACM-SIAM symposium on Discrete algorithms. Society for Industrial and Applied Mathematics, 2001.
- WS11 Williamson, David P., and David B. Shmoys. The design of approximation algorithms. Cambridge University Press, 2011.