#### 600.469 / 600.669 Approximation Algorithms

Topic: Tree Embeddings

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## 7.1 Background

**Metric**: A pair (V, d) such that  $\forall u, v, w \in V$ 

- 1.  $d_{uv} = 0 \iff u = v$
- 2.  $d_{uv} = d_{vu}$
- 3.  $d_{uv} \leq d_{uw} + d_{wv}$

Note that it is common to simply refer to the metric as d instead of the pair (V, d).

## 7.2 Tree Embeddings

**Tree Metric:** A pair (V',T) over some metric (V,d) such that

- 1. T is a tree on V' that has only non-negative edge lengths
- $2. V' \supseteq V$

Similarly as above, it is common to simply refer to the tree metric as T instead of the pair (V', T).

The distance between any two vertices  $u, v \in V'$  is denoted  $T_{uv}$ . And because T is a tree, the path from u to v is unique, which implies  $T_{uv}$  is the distance of the shortest u-v path in T.

**Distortion**: A value  $\alpha$  such that  $d_{uv} \leq T_{uv} \leq \alpha \cdot d_{uv} \ \forall \ u, v \in V$ .

**Tree Embedding:** A tree metric (V',T) that approximates a metric (V,d) with distortion  $\alpha$ .

Note that it is common to say a metric (V,d) embeds into (V',T) with distortion  $\alpha$ .

#### 7.3 FRT

Fakcharoenphol, Rao and Talwar Algorithm (FRT): A randomized, polynomial-time algorithm that embeds (V, d) into (V', T) such that  $\forall u, v \in V$ 

- 1.  $d_{uv} \leq T_{uv}$  (proved below)
- 2.  $E[T_{uv}] \leq \alpha \cdot d_{uv}$ , where  $\alpha = \mathcal{O}(\log n)$  (proved next class)

The only constraint on the original metric is that  $\forall u, v \in V, d_{uv} \geq 1$ .

Note that there exist metrics such that their embedding into any probabilistic-based tree metric must have distortion  $\Omega(\log n)$ . So, for some metrics, we know this bound is asymptotically tight.

## 7.4 Hierarchical Cut Decomposition

**Hierarchical Cut Decomposition**: A tree embedding (V', T) over some metric (V, d) such that T is a rooted tree with  $\log \Delta + 1$  levels (the root node is at level  $\log \Delta$  and leaf nodes at level 0), where  $\Delta$  is the smallest power of 2 greater than  $2 \cdot \max_{u,v \in V} d_{uv}$ , and  $V' \supseteq V$  such that

- 1. The representative of T's root node is V.
- 2. There is a bijection between the representatives of T's leaf nodes and the vertices of V.
- 3. The representative of a node in T at level i is a subset S of V such that its vertices are enclosed by a ball (centered on some node in S) with radius r, where  $2^{i-1} \le r < 2^i$ .
- 4. The representatives of a node's children in T create a partition of their parent's representative.
- 5. the length of an edge between a level i node and a level i+1 node is  $2^{i+1}$ .

Consider the hierarchical cut decomposition (V',T) of some metric (V,d).

**Lemma**: If the least common ancestor of two leaf nodes u and v in T is at level i then  $T_{uv} \leq 2^{i+2}$ . Furthermore,  $T_{uv} \geq d_{uv} \ \forall \ u, v \in V$ 

**Proof**: Let u and v be leaf nodes in T, and let w be u and v's least common ancestor such that it is at level i. Then by construction  $2^i leq T_{uw} = \sum_{i=1}^i 2^i leq 2^{i+1}$  and similarly  $2^i leq T_{wv} leq 2^{i+1}$ , and hence  $2^{i+1} leq T_{uv} leq 2^{i+2}$ . Also, since w is at level i, by the definition of a hierarchical cut decomposition u and v are both in a ball of radius  $2^i$ , so by the triangle inequality  $d_{uv} leq 2^{i+1}$  and so  $T_{uv} > d_{uv}$ .

# 7.5 Constructing a Hierarchical Cut Decomposition

#### Constructing a Hierarchical Cut Decomposition

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Let \pi be a permutation of V, chosen uniformly at random

Let r_0 be a value in \left[\frac{1}{2},1\right), chosen uniformly at random

Let r_i = r_0 \cdot 2^i for all i such that 1 \leq i \leq \log \Delta

Let T be a tree with only a root node (at level \log \Delta) whose representative is V

for i \leftarrow \log \Delta to 1 do

Let C be the set of nodes at level i

for C \in C do

S \leftarrow C

for j \leftarrow 1 to n do

P \leftarrow the nodes in S enclosed by a circle centered on \pi(j) with radius r_{i-1}

if P \neq \emptyset then

S \leftarrow S \setminus P

Add P to T as a child of C at level i-1
```

We will analyze algorithm (due to FRT) in the next class. For now, let's see an example of why tree embeddings are useful.

## 7.6 Group Steiner Tree

Recall the Group Steiner Tree problem:

**Input**:, A graph G = (V, E), edge costs  $c_e \ge 0 \ \forall \ e \in E$ , a root vertex  $r \in V$ , and Steiner groups  $g_1, \ldots, g_k$  such that each group is a subset of V.

**Feasibles**: A tree T such that  $\forall i \in [k], \exists v \in g_i$  such that T has a path between r and v.

**Objective**: 
$$\min \sum_{e \in T} c_e$$

It is NP-hard to approximate the Group Steiner Tree problem to a factor better than  $\Omega(\log n)$  for all graphs (see last class). However, Garg, Konjevod, and Ravi devised an algorithm (GKR) that approximates the Group Steiner Tree problem to a factor of  $\Omega(\log n \cdot \log k)$  on trees.

**Theorem**: Using FRT to embed the input for the Group Steiner Tree problem into a tree metric and using the GKR algorithm over this embedding yields an expected  $\mathcal{O}(\log^2 n \cdot \log k)$  approximation to the Group Steiner Tree problem.

**Proof**: Without loss of generality, assume the input to the Group Steiner Tree problem is metric distances, d (this is the metric completion of the edge costs and the input graph). Using FRT over this input yields a random tree T. Using GKR over T yields a  $\mathcal{O}(\log n \cdot \log k)$  approximation T' for T. Shortcutting T' yields a cycle C on the group nodes.

In the following series of inequalities, let  $X_e$  denote the cost of an edge (or set of edges) over a graph (or tree) X, let S be the group nodes connected by OPT, let  $C_S$  be the cycle on S achieved from shortcutting S, and let  $T_S$  be the subtree of T over S.

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E[C] \leq E[T_C]
                                                                                             distances in T are nondecreasing
         \leq \mathrm{E}[2 \cdot T_{T'}]
                                                                                             shortcutting costs at most a factor of 2
         \leq 2 \cdot \mathrm{E}[T_{T'}]
                                                                                            linearity of expectation
         \leq 2\mathrm{E}[\mathcal{O}(\log n \cdot \log k) \cdot \mathrm{OPT}(T)]
                                                                                             GKR
         \leq 2\mathcal{O}(\log n \cdot \log k) \cdot \mathrm{E}[\mathrm{OPT}(T)]
                                                                                            linearity of expectations
         \leq \mathcal{O}(\log n \cdot \log k) \cdot \mathrm{E}[\mathrm{OPT}(T)]
                                                                                            asymptotic notation
         \leq \mathcal{O}(\log n \cdot \log k) \cdot \mathrm{E}[T_{T_S}]
                                                                                            by construction
         \leq \mathcal{O}(\log n \cdot \log k) \cdot \mathrm{E}[T_{C_S}]
                                                                                            by construction
        \leq \mathcal{O}(\log n \cdot \log k) \cdot \mathbb{E}\left[\sum_{(u,v) \in C_S} T_{uv}\right]
                                                                                            by construction
        \leq \mathcal{O}(\log n \cdot \log k) \cdot \sum_{(u,v) \in C_S} \mathrm{E}[T_{uv}]
                                                                                            linearity of expectations
        \leq \mathcal{O}(\log n \cdot \log k) \cdot \sum_{(u,v) \in C_S} \mathbb{E}[\log n \cdot d_{uv}]
                                                                                            FRT
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$$\leq \mathcal{O}(\log^2 n \cdot \log k) \cdot \sum_{(u,v) \in C_S} d_{uv} \qquad \qquad \text{linearity of expectations}$$

$$\leq \mathcal{O}(\log^2 n \cdot \log k) \cdot 2\text{OPT} \qquad \qquad \text{shortcutting}$$

$$\text{E}[C] \leq \mathcal{O}(\log^2 n \cdot \log k) \cdot \text{OPT} \qquad \qquad \text{asymptotic notation}$$

# 7.7 Metric Embeddings

**Metric Embedding**: A metric (V, d) that embeds into another metric (V, d') with distortion  $\alpha$  such that,  $\forall u, v \in V, d_{uv} \leq d'_{uv} \leq \alpha \cdot d_{uv}$ .

Given a metric (V, d) with a known  $\beta$ -approximation ALG over some metric space d', embedding (V, d) into (V, d') and solving with ALG yields an  $\alpha \cdot \beta$  approximation for the original problem.