

BWT for repetitive texts: Run-length FM index

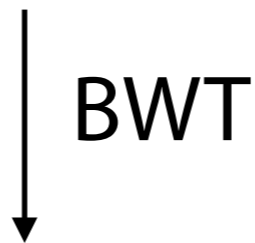
Ben Langmead



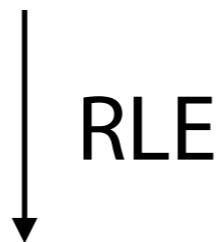
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BWT runs

row_row_row_your_boat
row_row_row_your_boat
row_row_row_your_boat\$



t r r r w w w w w w w o o o _ _ b b y y r r r r r r r r r r r u u t t \$ _ _ _ _ a a a o o o o o o o o o o o o o o _ _ _



(t, 1), (r, 3), (w, 9), (o, 3), (_, 3), (b, 3), (y, 3), (r, 9), (u, 3), (t, 2), (\$, 1), (_, 6), (a, 3), (o, 12), (_, 3)

Run-length FM Index

	Count		Locate	
	Space	Time	Space	Time
FM Index (2000)	$O(n)$	$O(m)$	$O(n)$	$O(m + \text{OCC})$
RLFM Index (2005)	$O(r)$	$O(m)$	$O(n)$	$O(m + \text{OCC})$
r-index (2018)	$O(r)$	$O(m)$	$O(r)$	$O(m + \text{OCC})$

n = reference length, m = query length, (bounds simplified)
 r = # BWT runs

RLFM: Mäkinen V, and Navarro G. Succinct suffix arrays based on run-length encoding. Annual Symposium on CPM. Springer, Berlin, Heidelberg. 2005. pp45–56.

r-index: Gagie T, Navarro G, and Prezza P. Optimal-time text indexing in BWT-runs bounded space. Proceedings of 29th SODA, ACM-SIAM. 2018. pp1459—1477.

Run-length FM Index

	Count		Locate	
	Space	Time	Space	Time
FM Index (2000)	$O(n)$	$O(m)$	$O(n)$	$O(m + occ)$
RLFM Index (2005)	$O(r)$	$O(m)$	$O(n)$	$O(m + occ)$
r-index (2018)	$O(r)$	$O(m)$	$O(r)$	$O(m + occ)$

n = reference length, m = query length,

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r-index (2018)	$O(r)$	$O(m)$	$O(r)$	$O(m + \text{occ})$

How? (with arrow pointing to the RLFM Index row)

n = reference length, m = query length,

r = # BWT runs

(bounds simplified)

RLFM: Mäkinen V, and Navarro G. Succinct suffix arrays based on run-length encoding. Annual Symposium on CPM. Springer, Berlin, Heidelberg. 2005. pp45–56.

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Run-length FM Index

	Count		Locate	
	Space	Time	Space	Time
FM Index (2000)	$O(n)$	$O(m)$	$O(n)$	$O(m + \text{OCC})$
RLFM Index (2005)	$O(r)$	$O(m)$	$O(n)$	$O(m + \text{OCC})$
r-index (2018)	$O(r)$	$O(m)$	$O(r)$	$O(m + \text{OCC})$

How? (with arrow pointing to the RLFM and r-index rows)

n = reference length, m = query length,

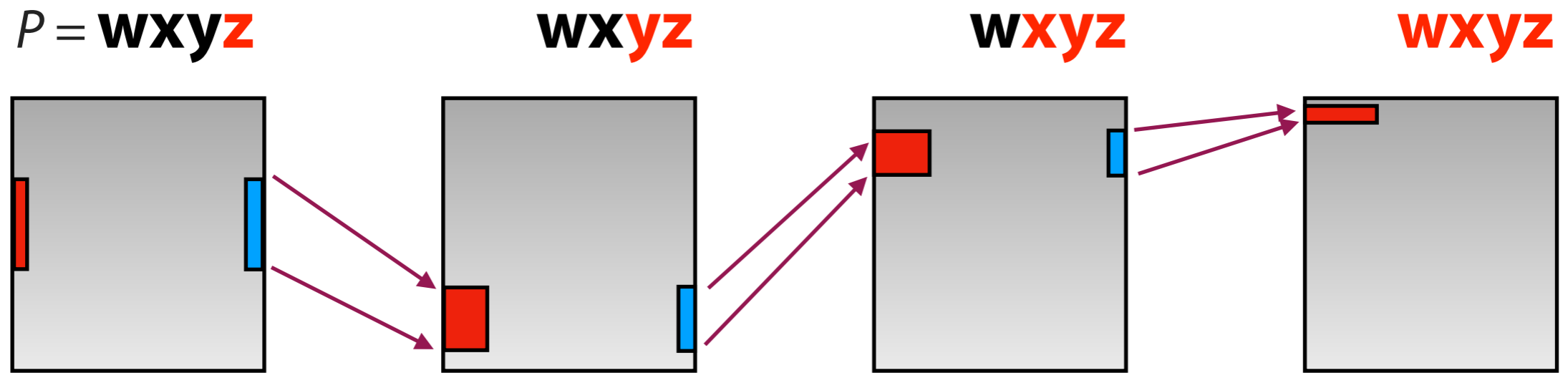
r = # BWT runs

(bounds simplified)

RLFM: Mäkinen V, and Navarro G. Succinct suffix arrays based on run-length encoding. Annual Symposium on CPM. Springer, Berlin, Heidelberg. 2005. pp45–56.

r-index: Gagie T, Navarro G, and Prezza P. Optimal-time text indexing in BWT-runs bounded space. Proceedings of 29th SODA, ACM-SIAM. 2018. pp1459—1477.

FM Index: query



"Backward search"

FM Index: query

$P = \mathbf{aba}$

F						L
$\$$	a	b	a	a	b	$\mathbf{a_0}$
$\mathbf{a_0}$	$\$$	a	b	a	a	$\mathbf{b_0}$
$\mathbf{a_1}$	a	b	a	$\$$	a	$\mathbf{b_1}$
$\mathbf{a_2}$	b	a	$\$$	a	b	$\mathbf{a_1}$
$\mathbf{a_3}$	b	a	a	b	a	$\$$
$\mathbf{b_0}$	a	$\$$	a	b	a	$\mathbf{a_2}$
$\mathbf{b_1}$	a	a	b	a	$\$$	$\mathbf{a_3}$

	Rank	Skip	Range
\mathbf{a}		$1 \times \$ = 1$	1
\mathbf{a}		$1 \times \$ + 5 \times \mathbf{a} = 5$	5
\mathbf{b}	$L.\text{rank}_b(1) = 0$		$0 + 5 = 5$
\mathbf{b}	$L.\text{rank}_b(5) = 2$	$1 \times \$ + 5 \times \mathbf{a} = 5$	$2 + 5 = 7$
\mathbf{a}	$L.\text{rank}_a(5) = 2$		$0 + 1 = 3$
\mathbf{a}	$L.\text{rank}_a(7) = 4$	$1 \times \$ = 1$	$2 + 1 = 5$

FM Index: query

L trrrwwwwwwwooo__bbbyyrrrrrrrrruutt\$_____aaaoooooooooooo__

F \$_____aaabbbooooooooooooooooorrrrrrrrrrrrrrtttuuwwwwwwwwwyyy

FM Index: query

$$i = 35, c = o$$



L trrrwwwwwwwooo__bbbyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__

F \$_____aaabbboooooooooooooooooorrrrrrrrrrrrrrrrtttuuuwwwwwwwwyyy

$$LF(i, c) \leftarrow \underbrace{C[c]} + \underbrace{L.\text{rank}_c(i)}$$

"skip to the c section"

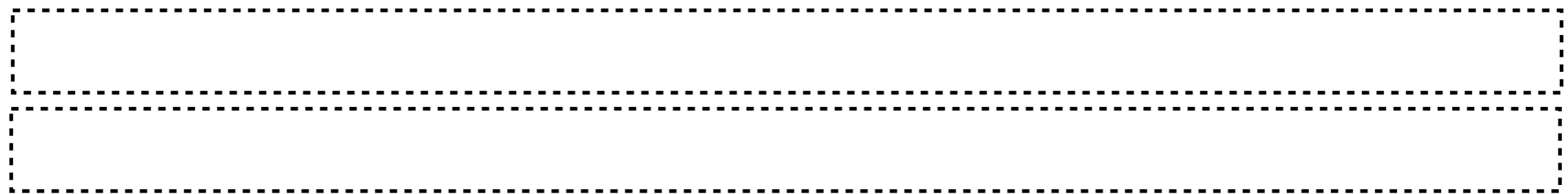
"skip to c of correct rank"

FM Index: query

$i = 35, c = o$



L trrrwwwwwwwooo__bbbyyrrrrrrrrruutt\$_____aaaooooooooooooo__



C 0 1 13 16 19 34 46 49 52 61
 <\$ <_ <a <b <o <r <t <u <w <y

F \$_____aaabbboooooooooooooooooorrrrrrrrrrrrrrrrtttuuuwwwwwwwwwyyy

$$LF(i, c) \leftarrow \underbrace{C[c]} + \underbrace{L.\text{rank}_c(i)}$$

"skip to the c section"

"skip to c of correct rank"

FM Index: query

$$i = 35, c = o$$



L trrrrrwwwwwwwwwooo__bbbyyyrrrrrrrrrrruutt\$_____aaaooooooooooooo__

$L.\text{rank}_o(35) = 3$

$C[o] = 19$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

F \$_____aaabbboooooooooooooooooorrrrrrrrrrrrrrrrrtttuuwwwwwwwwyyy

$$LF(i, c) \leftarrow \underbrace{C[c]}_{\text{"skip to the } c \text{ section"}} + \underbrace{L.\text{rank}_c(i)}_{\text{"skip to } c \text{ of correct rank"}}$$

"skip to the *c* section"

"skip to *c* of correct rank"

FM Index: query

$$i = 35, c = o$$



L trrrrrwwwwwwwwwooo__bbbyyyrrrrrrrrrrruuutt\$_____aaaooooooooooooo__

$L . \text{rank}_o(35) = 3$

$C[o] = 19$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

F \$_____aaabbb~~ooo~~ooooooooooooooooooooorrrrrrrrrrrrrrrrrrrtttuuwwwwwwwwwwyyy

$19 + 3 = 22$

$$LF(i, c) \leftarrow \underbrace{C[c]}_{\text{"skip to the } c \text{ section"}} + \underbrace{L . \text{rank}_c(i)}_{\text{"skip to } c \text{ of correct rank"}}$$

"skip to the *c* section"

"skip to *c* of correct rank"

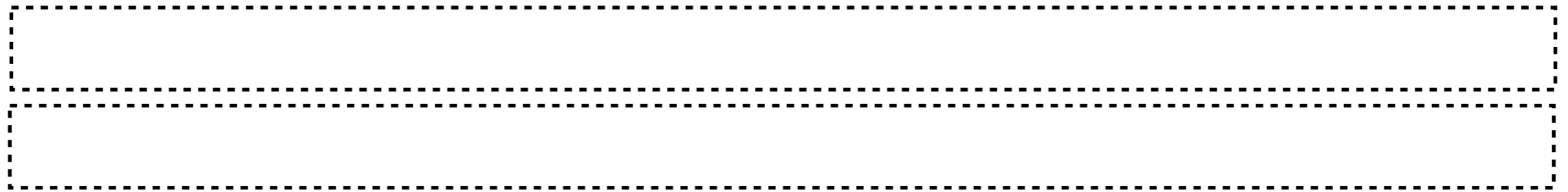
FM Index: query

$$i = 28, c = r$$



L

t r r r w w w w w w w o o o _ _ _ b b b y y y r r r r r r r r r r r u u u t t t \$ _ _ _ _ _ a a a o o o o o o o o o o o o _ _ _



C	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

F

\$ _ _ _ _ _ a a a b b b o o o o o o o o o o o o o o o r r r r r r r r r r r r r r t t t u u u w w w w w w w y y y

FM Index: query

$$i = 28, c = r$$



L

t r r r w w w w w w w o o o _ _ _ b b b y y y r r r r r r r r r r r r u u u t t t \$ _ _ _ _ _ a a a o _ _ _

$$L.\text{rank}_r(28) = 6$$

$$C[r] = 34$$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

F

\$ _ _ _ _ _ a a a b b b o o o o o o o o o o o o o o o o o o o r r r r r r r r r r r r r r r r r r r t t t u u u w w w w w w w w w w y y y

FM Index: query

$$i = 28, c = r$$

L

t r r r w w w w w w w w o o o o _ _ _ b b b y y y r r r r r r r r r r r r u u u t t t \$ _ _ _ _ _ a a a o o o o o o o o o o o o o o _ _ _

$$L.\text{rank}_r(28) = 6$$

$$C[r] = 34$$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

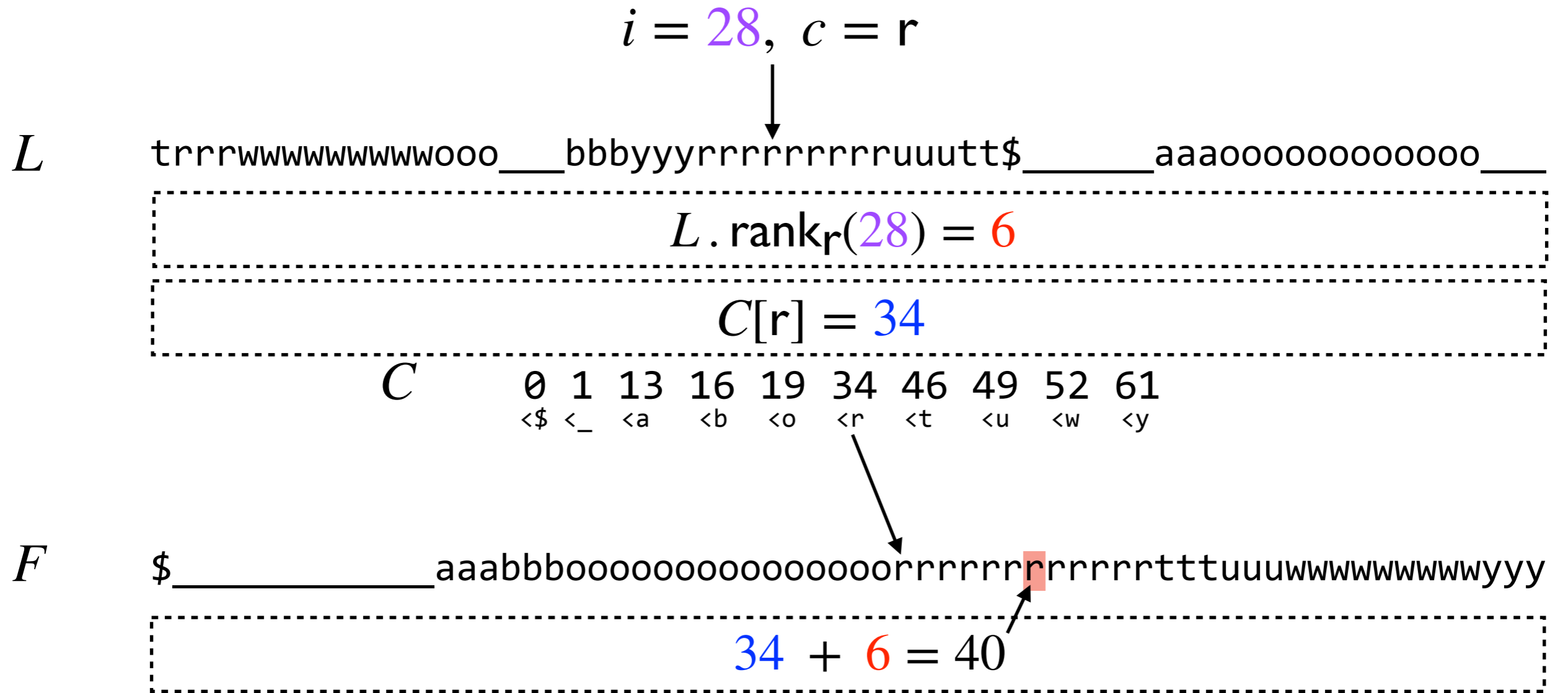
F

\$ _ _ _ _ _ a a a b b b o r r r r r r r r r r r r r r r r r r r t t t u u u w w w w w w w w w w y y y

$$34 + 6 = 40$$

Balanced wavelet tree for $L.\text{rank}_x(\dots)$ takes

FM Index: query



Balanced wavelet tree for $L.\text{rank}_x(\dots)$ takes $O(n \log \sigma)$ bits

Can we attack $O(n)$, replacing with $O(r)$ & minor functions of n ?

Run-lengthifying

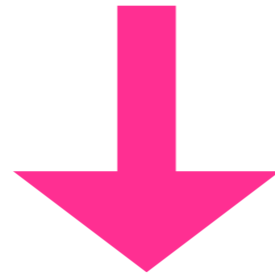
L trrrwwwwwwwooo__bbbyyrrrrrrrrruutt\$_____aaaoooooooooooo__

+

C 0 1 13 16 19 34 46 49 52 61
 <\$ <_ <a <b <o <r <t <u <w <y

Run-lengthifying

L trrrwwwwwwwwooo__bbbyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__



B trrrwwwwwwwooo__bbbyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__

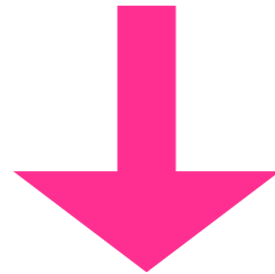
B 110010000000010010010010010000000010010110000010010000000000100

S trrrwwwwwwwooo__bbbyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__

S trwo_byrut\$_ao_

Run-lengthifying

L trrrwwwwwwwwooo__bbbyyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__



trrrwwwwwwwwooo__bbbyyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__

B 110010000000010010010010010010000000010010110000010010000000000100

trrrwwwwwwwwooo__bbbyyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__

S trwo_byrut\$_ao_

Run-lengthifying

$i = 35, c = o$



L trrrwwwwwwwooo__bbbyyrrrrrrrrruutt\$_____aaaoooooooooooo__

Run-lengthifying

$i = 35, c = 0$



B **11**00**1**00000000**1**00**1**00**1**00**1**00**1**00000000**1**00**1011**00000**1001**0000000000**100**



S trwo_byrut\$_ao_

Run-lengthifying

$$i = 35, c = 0$$



B 110010000000001001001001001001000000001001011000000100100000000000100

$B.\text{rank}_1(35 + 1) = 9$

S trwo_byrut\$ao_

Run-lengthifying

$$i = 35, c = o$$



B 11001000000000100100100100100100000000100101100000100100000000000100

$B.\text{rank}_1(35 + 1) = 9$

S trwo_byrut\$ao_



<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

Run-lengthifying

$$i = 35, c = o$$



B **11**00**1**00000000**1**00**1**00**1**00**1**00**1**00000000**1**00**1011**00000**1**00**1**0000000000**1**00

$$B.\text{rank}_1(35 + 1) = 9$$

S trwo_byrut\$ _ao_

$$S.\text{rank}_o(9 - 1) = 1$$

$$C[o] = 19$$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

F \$ _____aaabbboooooooooooooooooorrrrrrrrrrrrrrrtttuuwwwwwwyyy

We have **some** but **not all** of the answer. We can jump to the "o section" but we **don't know how far in to jump.**

Run-lengthifying

Make B' by concatenating runs in alphabetical groups:

trrrwwwwwwwooo__bbbyyrrrrrrrrrruuutt\$_____aaaooooooooooooo__

B 110010000000010010010010010000000010010110000010010000000000100



\$: 1
_: 100100000100
a: 100
b: 100
o: 10010000000000000000 B'
r: 100100000000
t: 110
u: 100
w: 100000000
y: 100

Run-lengthifying

Make B' by concatenating runs in alphabetical groups:

trrrw
wwwwwwww
ooo
__
bb
yy
rrrrrrrrrr
uu
tt
\$

aaa
oooooooooooo
__

B 1100100000000100100100100100000000100101100000100100000000000100



B'

- \$: 1
- _: 100100000100
- a: 100
- b: 100
- o: 1001000000000000
- r: 100100000000
- t: 110
- u: 100
- w: 100000000
- y: 100

Run-lengthifying

$$i = 35, c = o$$



B 11001000000000100100100100100100000000100101100000100100000000000100

$$B.\text{rank}_1(35 + 1) = 9$$

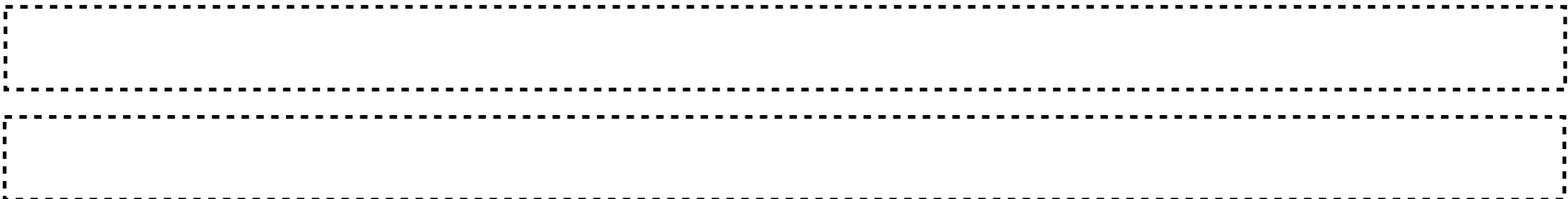
S trwo_byrut\$ao_

$$S.\text{rank}_o(9 - 1) = 1$$

$$C[o] = 19$$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

B'[o] 1001000000000000



Run-lengthifying

$$i = 35, c = o$$



B 11001000000000100100100100100100000000100101100000100100000000000100

$$B.\text{rank}_1(35 + 1) = 9$$

S trwo_byrut\$ao_

$$S.\text{rank}_o(9 - 1) = 1$$

$$C[o] = 19$$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

B'[o] 1001000000000000

$$B'[o].\text{select}_1(1) = 3$$

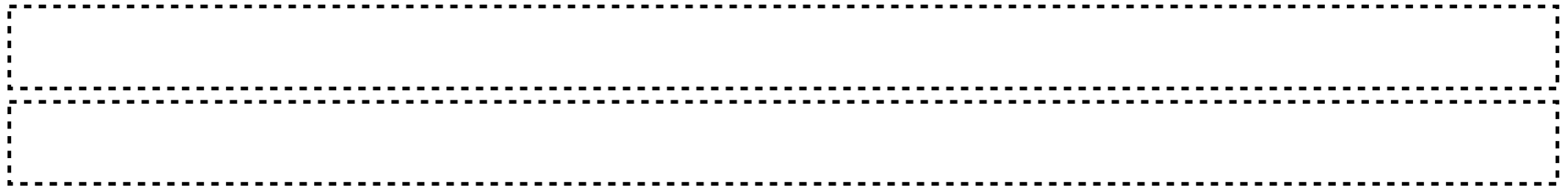
$$19 + 3 = 22 \quad \checkmark$$

Run-lengthifying

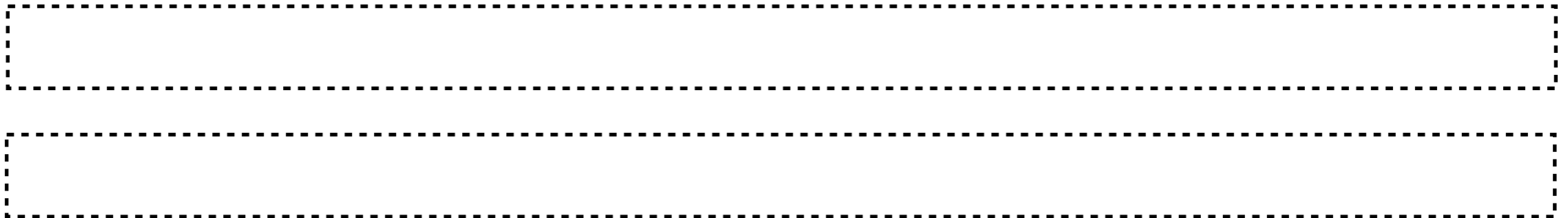
$i = 28, c = r$



B **11**00**1**00000000**1**00**1**00**1**00**1**00**1**00000000**1**00**1**0**11**00000**1**00**1**0000000000**1**00

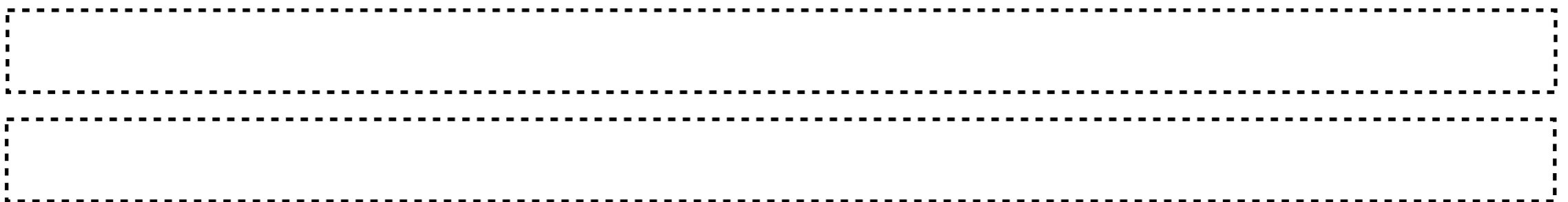


S **t**rwo_byrut\$_ao_



C 0 1 13 16 19 34 46 49 52 61
 <\$ <_ <a <b <o <r <t <u <w <y

B'[*r*] 100100000000



Run-lengthifying

$$i = 28, c = r$$



B 110010000000001001001001001001000000000100101100000100100000000000100

$$B.\text{rank}_1(28 + 1) = 8$$

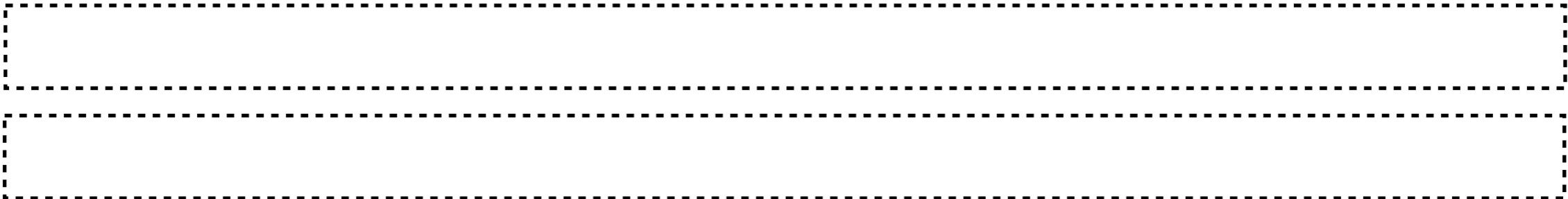
S trwo_byrut\$_ao_

$$S.\text{rank}_r(8 - 1) = 1$$

$$C[r] = 34$$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

B'[r] 100100000000



Run-lengthifying

$$i = 28, c = r$$



B 110010000000001001001001001001000000000100101100000100100000000000100

$$B.\text{rank}_1(28 + 1) = 8$$

S trwo_byrut\$ _ao_

$$S.\text{rank}_r(8 - 1) = 1$$

$$C[r] = 34$$

<i>C</i>	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

B'[*r*] 100100000000

$$B'[r].\text{select}_1(1) = 3$$

Run-lengthifying

$$i = 28, c = r$$



B **11**00**1**00000000**1**00**1**00**1**00**1**00**1**00000000**1**00**1**0**11**00000**1**00**1**0000000000**1**00

$$B . \text{rank}_1(28 + 1) = 8$$

$$B . \text{select}_1(8) = 25$$

S **t**rw**o**_byr**u**t\$**_a**o_

$$S . \text{rank}_r(8 - 1) = 1$$

$$C[r] = 34$$

C	0	1	13	16	19	34	46	49	52	61
	<\$	<_	<a	<b	<o	<r	<t	<u	<w	<y

$B'[r]$  100100000000

$$B'[r] . \text{select}_1(1) = 3$$

$$34 + 3 + (28 - 25) = 40 \quad \checkmark$$

Run-length FM Index

Given offset off and character c

FM index:

$$\begin{aligned} r &\leftarrow L.\text{rank}_c(off + 1) \\ s &\leftarrow C[c] \\ \text{next_off} &\leftarrow s + r \end{aligned}$$

RLFM index:

$$\begin{aligned} r &\leftarrow B.\text{rank}_1(off + 1) \\ o &\leftarrow B.\text{select}_1(r) \\ pv &\leftarrow S.\text{rank}_c(r - 1) \\ s_1 &\leftarrow C[c] \\ s_2 &\leftarrow B'[c].\text{select}_1(pv) \\ \text{next_off} &\leftarrow s_1 + s_2 + (off - o) \end{aligned}$$

Run-length FM Index

What takes **space**?

FM index:

$$\begin{aligned} r &\leftarrow L.\text{rank}_c(\text{off} + 1) \\ s &\leftarrow C[c] \\ \text{next_off} &\leftarrow s + r \end{aligned}$$

RLFM index:

$$\begin{aligned} r &\leftarrow B.\text{rank}_1(\text{off} + 1) \\ o &\leftarrow B.\text{select}_1(r) \\ pv &\leftarrow S.\text{rank}_c(r - 1) \\ s_1 &\leftarrow C[c] \\ s_2 &\leftarrow B'[c].\text{select}_1(pv) \\ \text{next_off} &\leftarrow s_1 + s_2 + (\text{off} - o) \end{aligned}$$

Run-length FM Index

What takes **space**?

FM index:

$$\begin{aligned} r &\leftarrow L.\text{rank}_c(\text{off} + 1) \\ s &\leftarrow C[c] \\ \text{next_off} &\leftarrow s + r \end{aligned}$$

Rank on **wavelet tree** of L

RLFM index:

$$\begin{aligned} r &\leftarrow B.\text{rank}_1(\text{off} + 1) \\ o &\leftarrow B.\text{select}_1(r) \\ pv &\leftarrow S.\text{rank}_c(r - 1) \\ s_1 &\leftarrow C[c] \\ s_2 &\leftarrow B'[c].\text{select}_1(pv) \\ \text{next_off} &\leftarrow s_1 + s_2 + (\text{off} - o) \end{aligned}$$

Rank on **wavelet tree** of S

Rank+select ("predecessor") on **bitvector** B

Select on **bitvector** B'

Run-length FM Index

Our rank/select strategy has been
Jacobson/Clark

$n + \check{o}(n)$ bits, $O(1)$ time

Need a function of r , not n

RLFM index:

$$r \leftarrow B . \text{rank}_1(\text{off} + 1)$$

$$o \leftarrow B . \text{select}_1(r)$$

$$pv \leftarrow S . \text{rank}_c(r - 1)$$

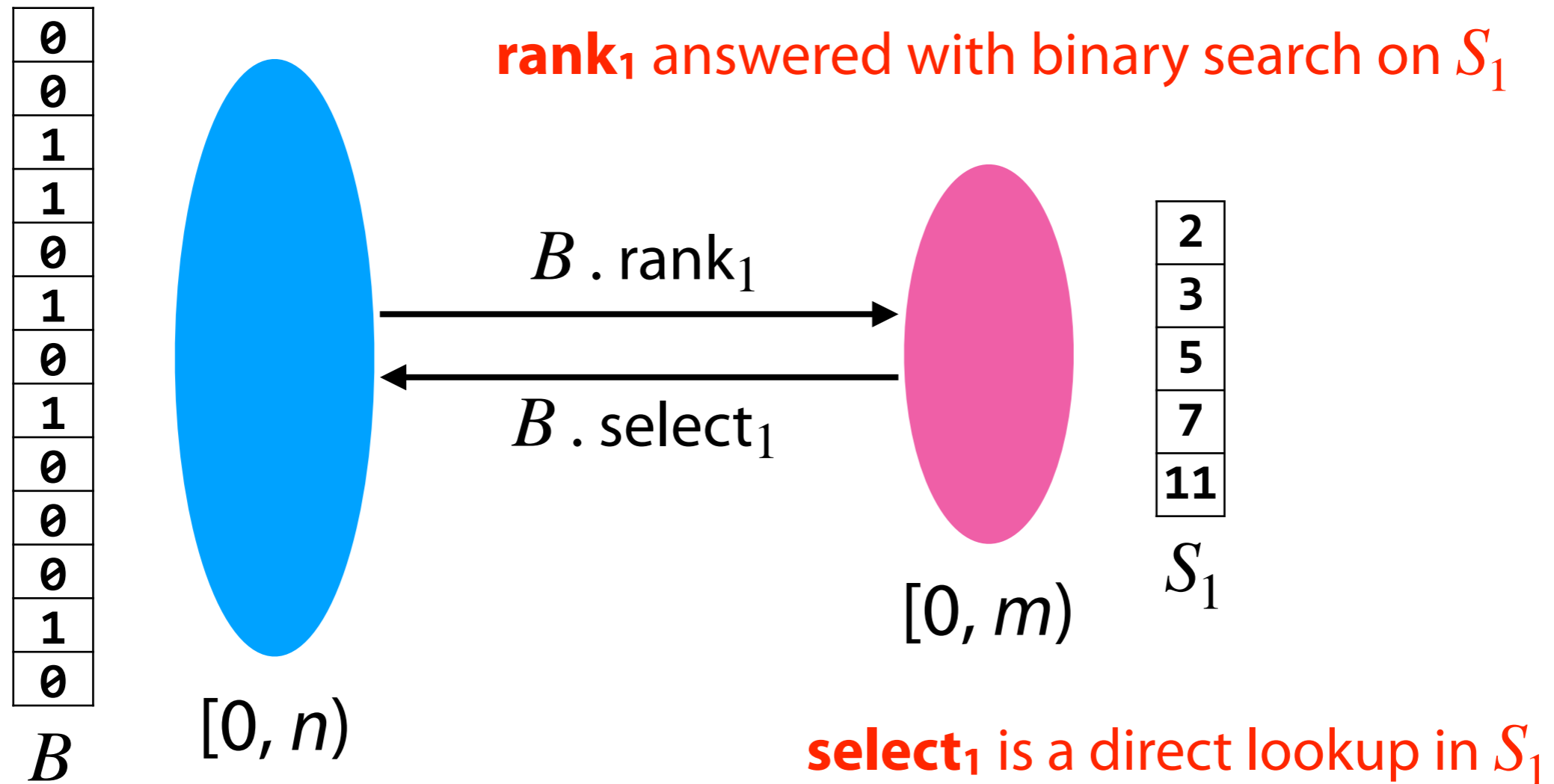
$$s_1 \leftarrow C[c]$$

$$s_2 \leftarrow B'[c] . \text{select}_1(pv)$$

$$\text{next_off} \leftarrow s_1 + s_2 + (\text{off} - o)$$

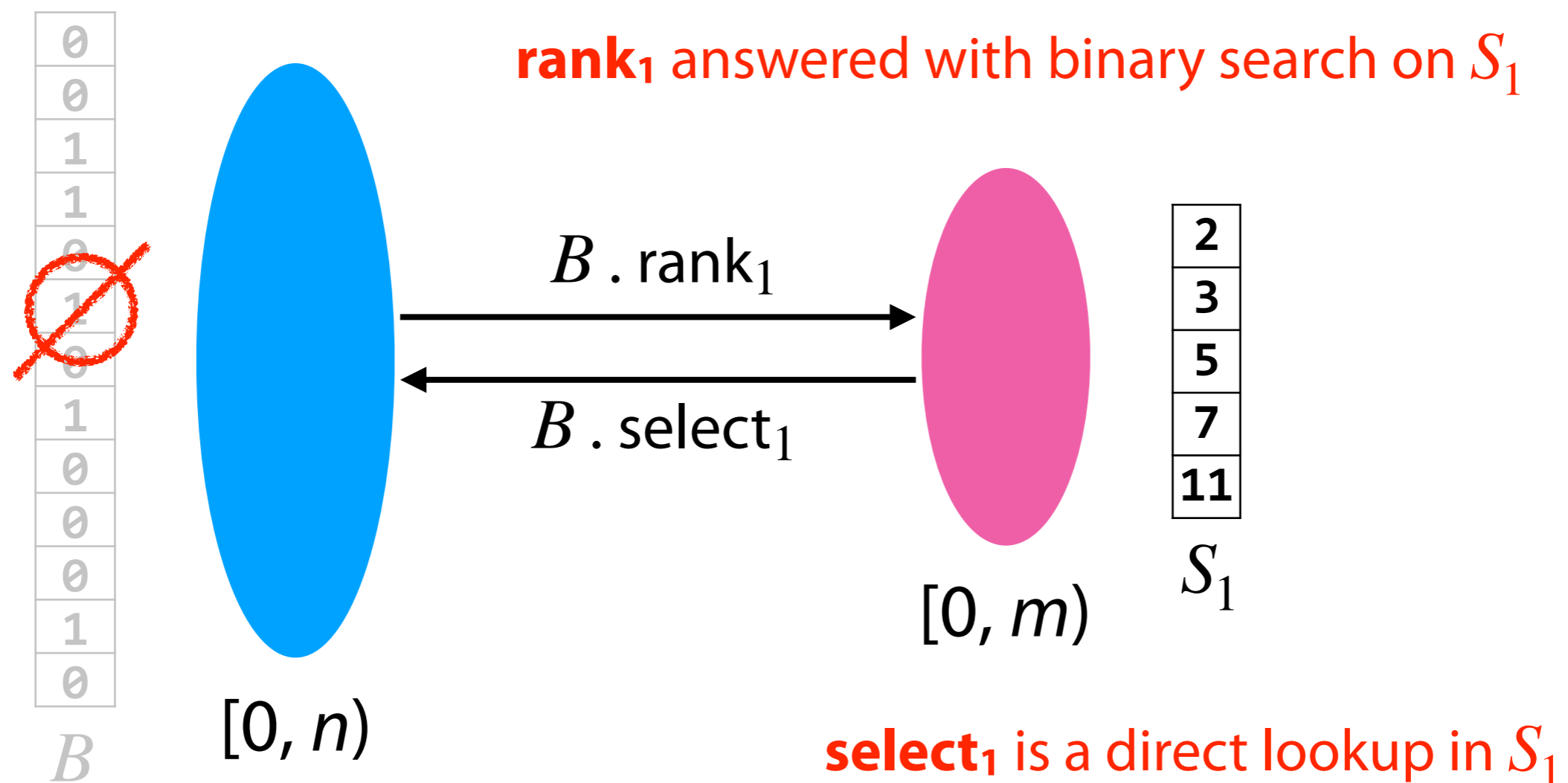
Run-length FM Index

Recall this idea, which works well for sparse bv's

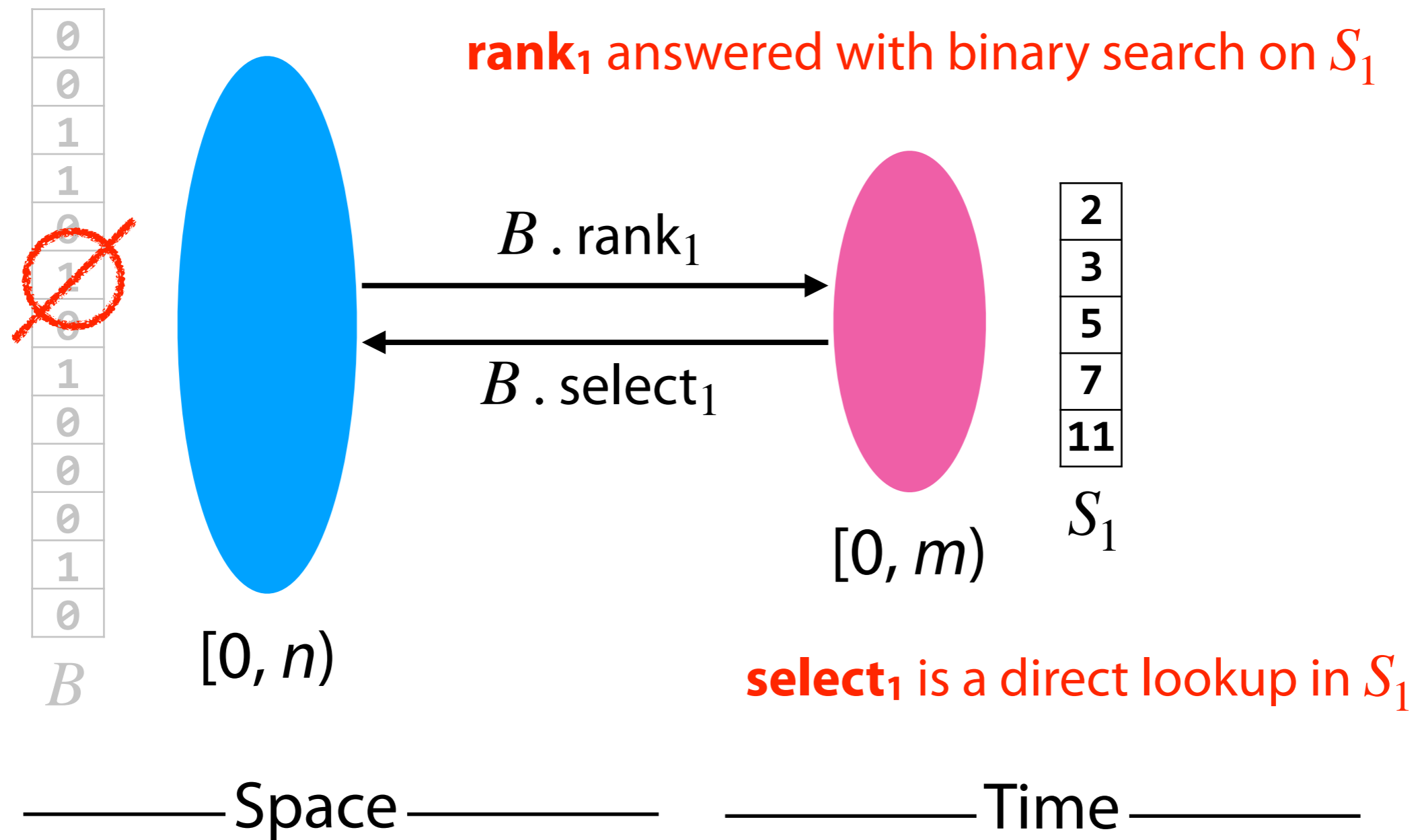


Run-length FM Index

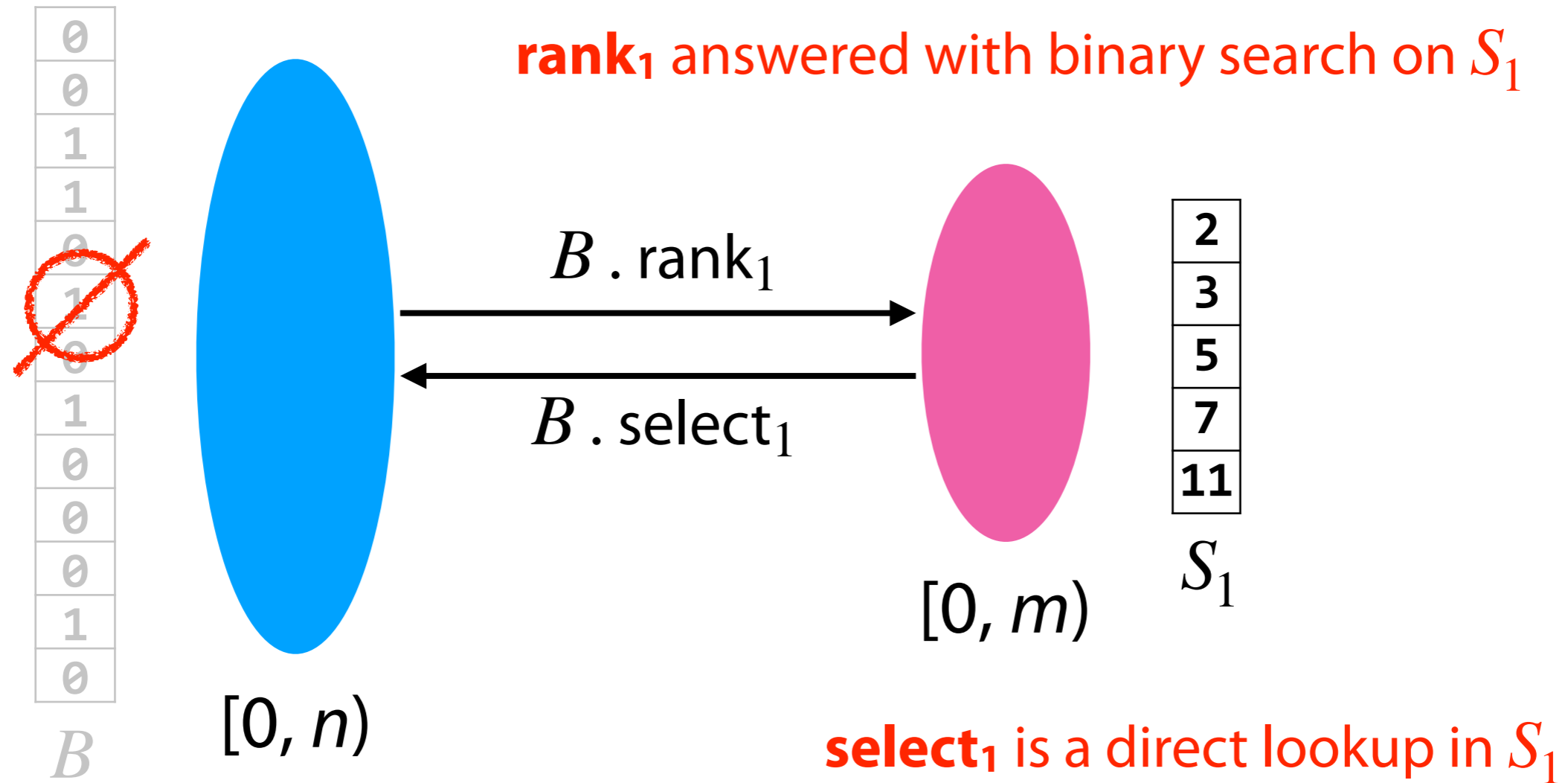
Recall this idea, which works well for sparse bv's



Run-length FM Index



Run-length FM Index



————— Space —————

$O(m \log n)$ bits
 $O(m)$ words

————— Time —————

$B . \text{rank}_1$: $O(\log m)$ time
 $B . \text{select}_1$: $O(1)$ time

Run-length FM Index: simple structures

RLFM index:

$$r \leftarrow B . \text{rank}_1(\text{off} + 1)$$

$$o \leftarrow B . \text{select}_1(r)$$

$$pv \leftarrow S . \text{rank}_c(r - 1)$$

$$s_1 \leftarrow C[c]$$

$$s_2 \leftarrow B'[c] . \text{select}_1(pv)$$

$$\text{next_off} \leftarrow s_1 + s_2 + (\text{off} - o)$$

Gagie T, Navarro G, and Prezza P. Optimal-time text indexing in BWT-runs bounded space. Proceedings of 29th SODA, ACM-SIAM. 2018. pp1459—1477.

Belazzougui, Djamel, and Gonzalo Navarro. "Optimal lower and upper bounds for representing sequences." ACM Transactions on Algorithms (TALG) 11.4 (2015): 1-21.

Run-length FM Index: simple structures

Predecessor queries on B

Sparse bitvector: $O(\log r)$ time,
 $O(r)$ words of space

Rank queries on S

Balanced wavelet tree: $O(\log \sigma)$
time, $O(r \log \sigma)$ bits of space

Select queries on C & B'

$O(1)$ time, $O(r)$ space
Array of cumulative counts

Can do better in theory

RLFM index:

$$r \leftarrow B . \text{rank}_1(\text{off} + 1)$$

$$o \leftarrow B . \text{select}_1(r)$$

$$pv \leftarrow S . \text{rank}_c(r - 1)$$

$$s_1 \leftarrow C[c]$$

$$s_2 \leftarrow B'[c] . \text{select}_1(pv)$$

$$\text{next_off} \leftarrow s_1 + s_2 + (\text{off} - o)$$

Run-length FM Index: advanced structures

Predecessor queries on B

$O(\log \log n/r)$ time, $O(r)$ space
Belazzougui & Navarro, Thm A.1

Rank queries on S

$O(\log \log \sigma)$ time, $O(r)$ space
Belazzougui & Navarro, Thm 5.4

Select queries on C & B'

$O(1)$ time, $O(r)$ space
Array of cumulative counts

RLFM index:

$r \leftarrow B . \text{rank}_1(\text{off} + 1)$
 $o \leftarrow B . \text{select}_1(r)$
 $pv \leftarrow S . \text{rank}_c(r - 1)$
 $s_1 \leftarrow C[c]$
 $s_2 \leftarrow B'[c] . \text{select}_1(pv)$
 $\text{next_off} \leftarrow s_1 + s_2 + (\text{off} - o)$

Gagie T, Navarro G, and Prezza P. Optimal-time text indexing in BWT-runs bounded space. Proceedings of 29th SODA, ACM-SIAM. 2018. pp1459—1477.

Belazzougui, Djamel, and Gonzalo Navarro. "Optimal lower and upper bounds for representing sequences." ACM Transactions on Algorithms (TALG) 11.4 (2015): 1-21.

Run-length FM Index

	Count		Locate	
	Space	Time	Space	Time
FM Index (2000)	$O(n)$	$O(m)$	$O(n)$	$O(m + occ)$
RLFM Index (2005)	$O(r)$	$O(m)$	$O(n)$	$O(m + occ)$
r-index (2018)	$O(r)$	$O(m)$	$O(r)$	$O(m + occ)$

n = reference length, m = query length, r = # BWT runs

RLFM: Mäkinen V, and Navarro G. Succinct suffix arrays based on run-length encoding. Annual Symposium on CPM. Springer, Berlin, Heidelberg. 2005. pp45–56.

Run-length FM Index

Index	Space	Count time
Mäkinen et al. [65, Thm. 17]	$O(r)$	$O(m(\frac{\log \sigma}{\log \log r} + (\log \log n)^2))$
This paper (Lem. 1)	$O(r)$	$O(m \log \log_w(\sigma + n/r))$
This paper (Thm. 9)	$O(r \log(n/r))$	$O(m)$

Gagie, Travis, Gonzalo Navarro, and Nicola Prezza. "Optimal-Time Text Indexing in BWT-runs Bounded Space." arXiv preprint arXiv:1705.10382 (2017).

Question left open: Are $O(r)$ and $O(m)$ achievable at the same time?

Summary

When L is "runny," we can represent it with a few structures -- B, S, B' -- that altogether take $O(r)$ space

In practice, we might compromise either on the strict $O(r)$ space or the strict $O(m)$ count query time

Next step, Locate queries...