

# Hash tables & probability

Ben Langmead



JOHNS HOPKINS

WHITING SCHOOL  
*of* ENGINEERING

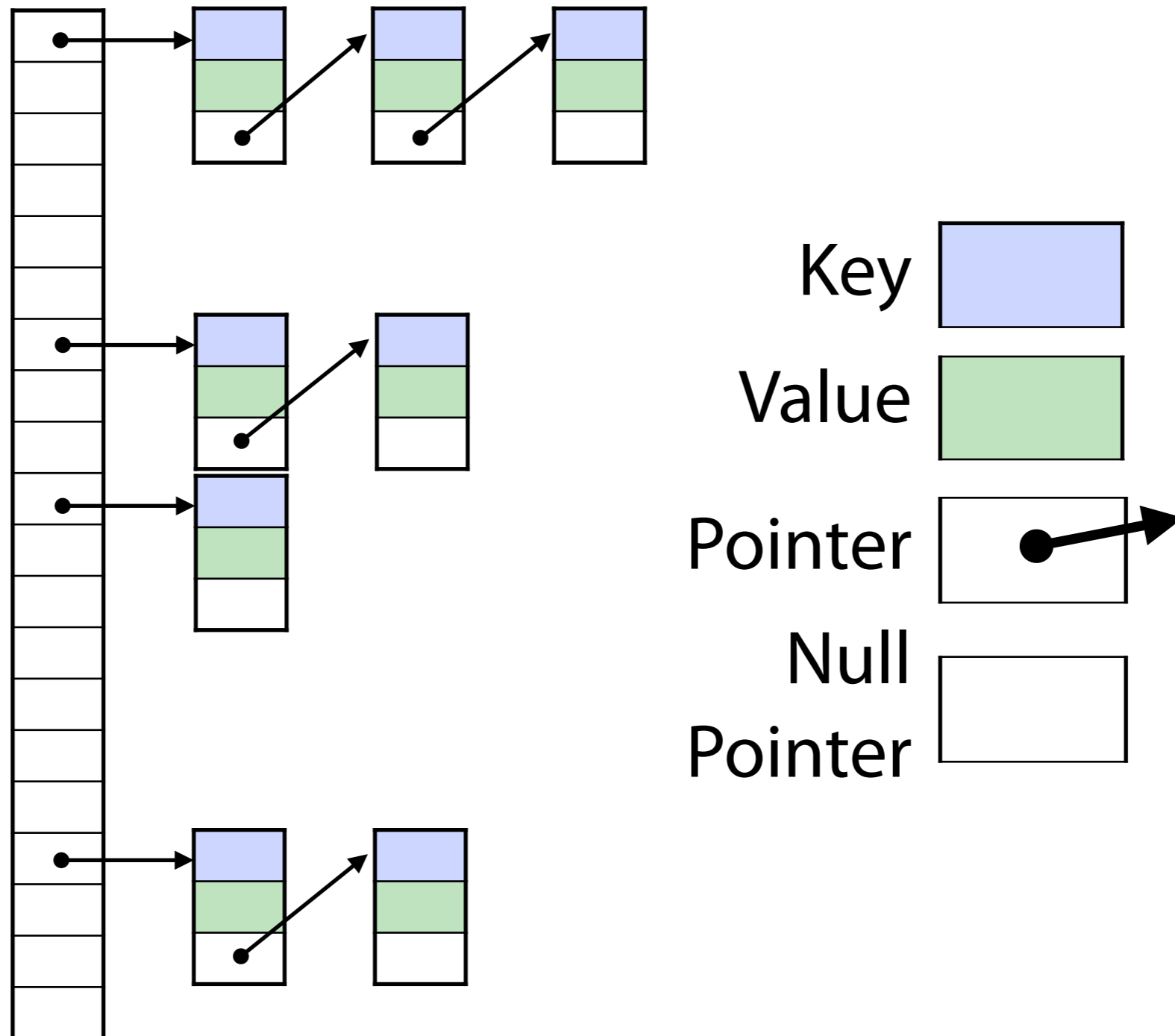
Department of Computer Science



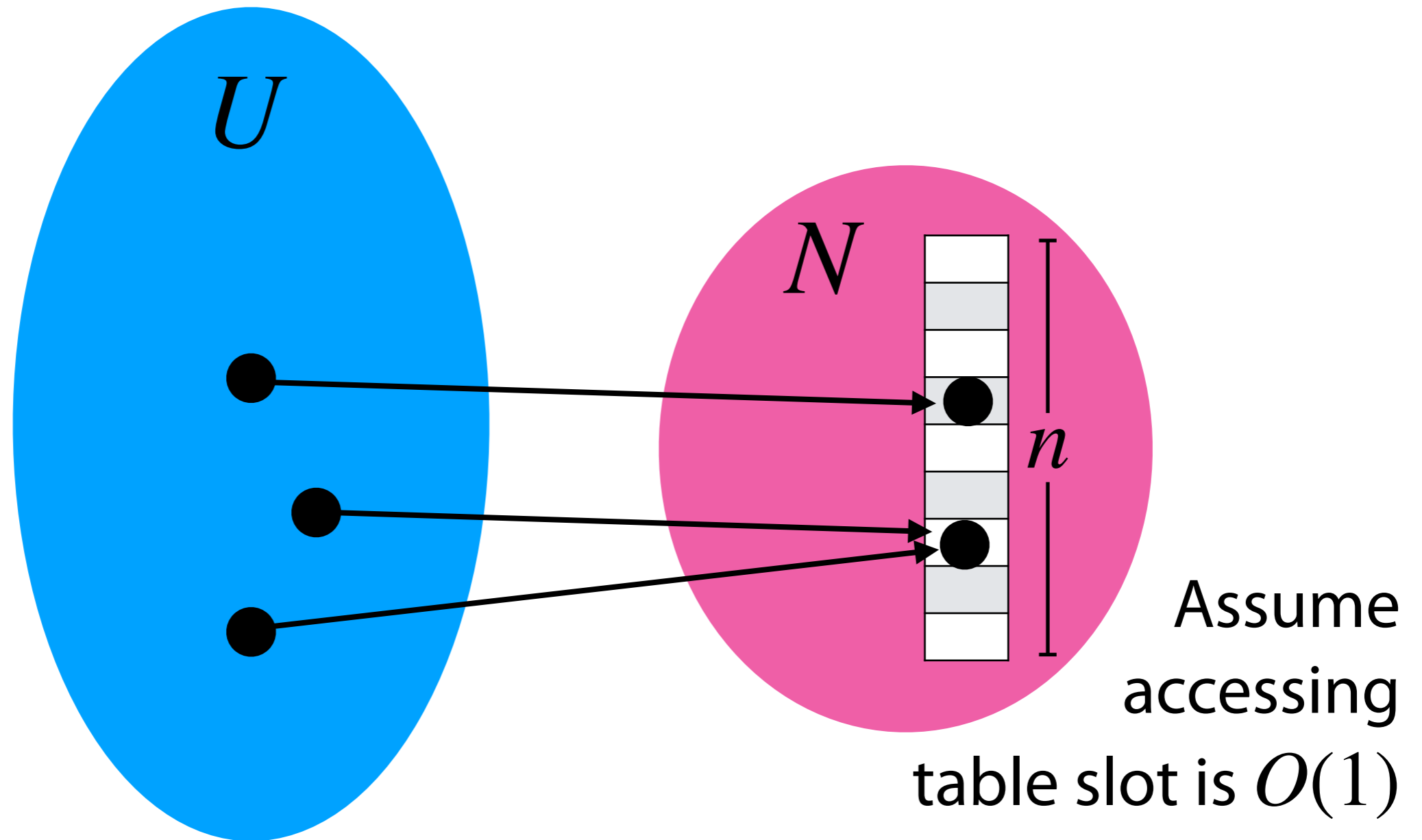
Please sign guestbook ([www.langmead-lab.org/teaching-materials](http://www.langmead-lab.org/teaching-materials)) to tell me briefly how you are using the slides. For original Keynote files, email me ([ben.langmead@gmail.com](mailto:ben.langmead@gmail.com)).

# Hash Table

*"Hashing with chaining" or "chain hashing"*

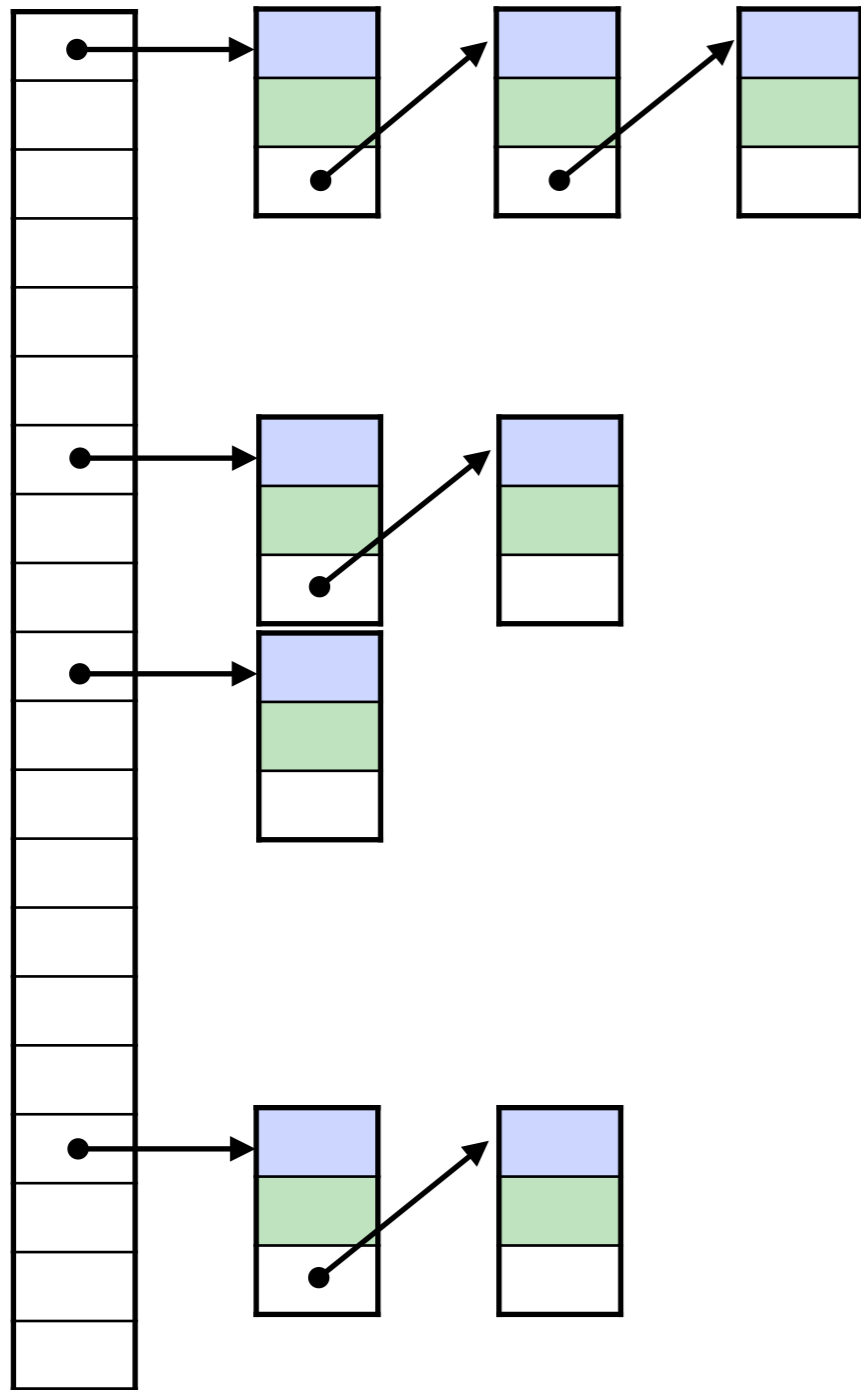


# Hash Function



Assume hash function operates on any item from  $U$  (integers, strings, etc) and is  $O(1)$  time

# Hash Table



What "abstract data types"  
can we implement with this?

map	set	counter
$\langle k_1, v_1 \rangle$	$\langle k_1 \rangle$	$\langle k_1, 7 \rangle$
$\langle k_2, v_2 \rangle$	$\langle k_2 \rangle$	$\langle k_2, 4 \rangle$
$\langle k_3, v_3 \rangle$	$\langle k_3 \rangle$	$\langle k_3, 8 \rangle$
$\langle k_4, v_4 \rangle$	$\langle k_4 \rangle$	$\langle k_4, 5 \rangle$

# Hash Table

I add  $m$  items to an  $n$ -bucket hash table

**Without** probability, what can I say?

Question	Assumption	Statement	Comment
Does any bucket have more than one item?	$m > n$	Yes	Pigeonhole principle
Is any bucket empty?	$m < n$	Yes	"Empty pigeonhole" principle
What is the average bucket occupancy?	-	$m/n$	-

Nothing profound here

# Hash Table

I have added  $m$  items to a  $n$ -bucket hash table. What "interesting questions" can I ask about the table's state?

How many buckets are empty?

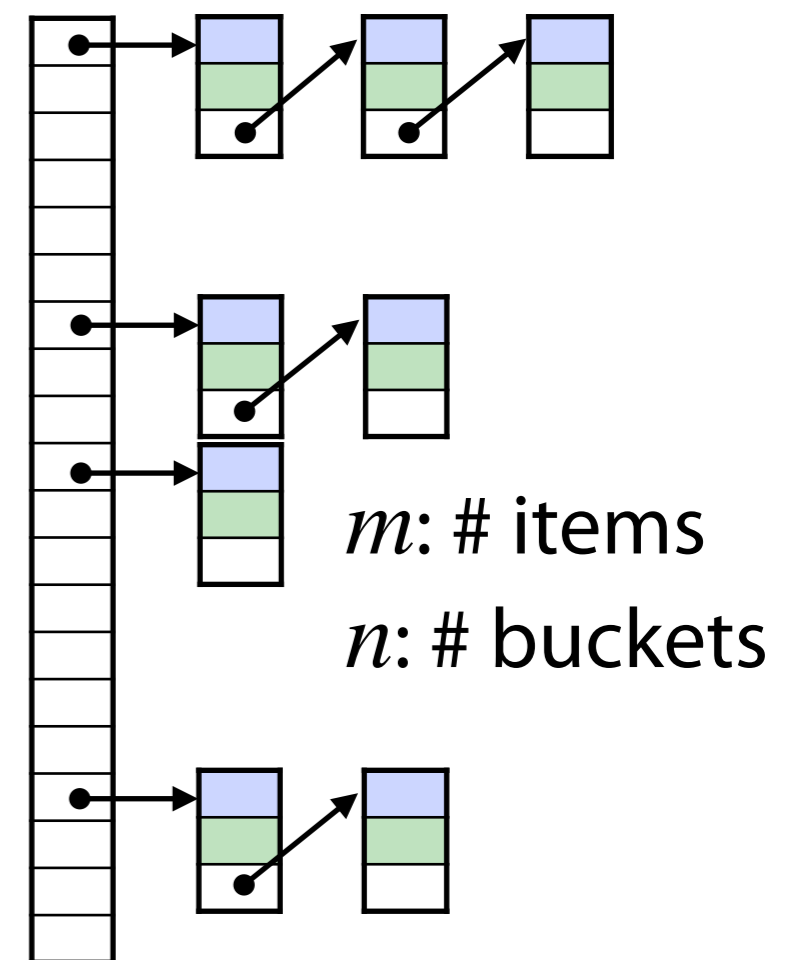
How many items are in the *median* bucket?

How many items are in the *average* bucket?

What's the chance all buckets are non-empty?

How many items are in the *fullest* bucket?

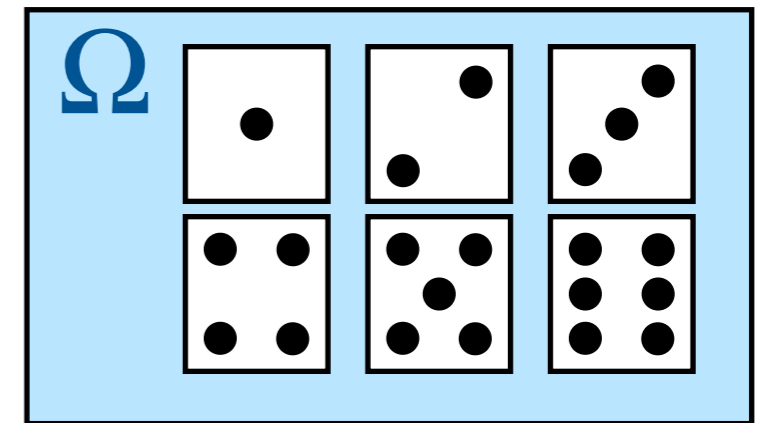
What's the chance no bucket has  $>1$  item?



# Probability

*Sample space* ( $\Omega$ ) is **set** of all possible outcomes

E.g.  $\Omega = \{ \text{all possible rolls of 2 dice} \}$



An *event* is a **subset** of  $\Omega$

$A = \{ \text{rolls where 1}^{\text{st}} \text{ die is odd} \}$

$B = \{ \text{rolls where 2}^{\text{nd}} \text{ die is even} \}$

*When outcomes are equally likely, can use "naive definition of probability"*

$\Pr(A)$ : fraction of outcomes that are in  $A$

$$\Pr(A) = |A| / |\Omega| = 18/36 = 0.5$$

Die 2

	1	2	3	4	5	6
1						
2						
3						
4						
5						
6						

Die 1

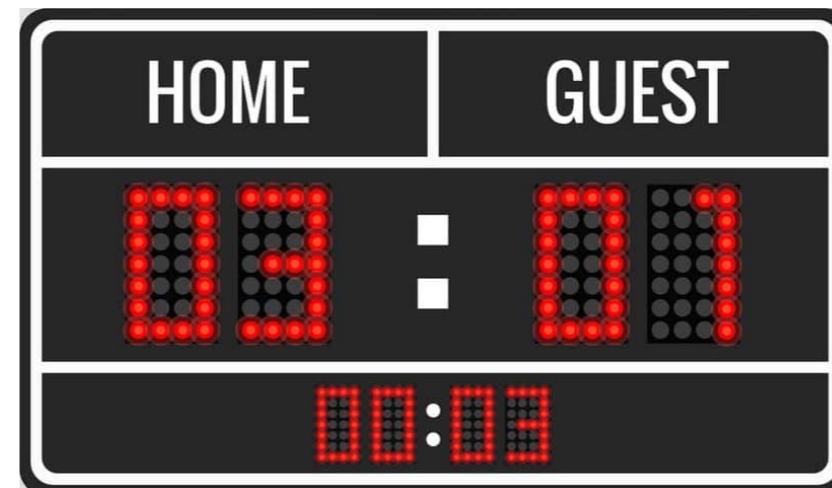
# Probability

“Naive definition” of probability fails to apply when outcomes are not equally probable

Loaded coin



# goals scored in soccer game





# Probability function $\Pr$

$\Pr : \mathcal{P}(\Omega) \rightarrow \mathbb{R}$ , where  $\mathcal{P}(\Omega)$  is "power set" (set of all subsets) of  $\Omega$ , satisfies conditions:

1. For any event  $E$ ,  $0 \leq \Pr(E) \leq 1$

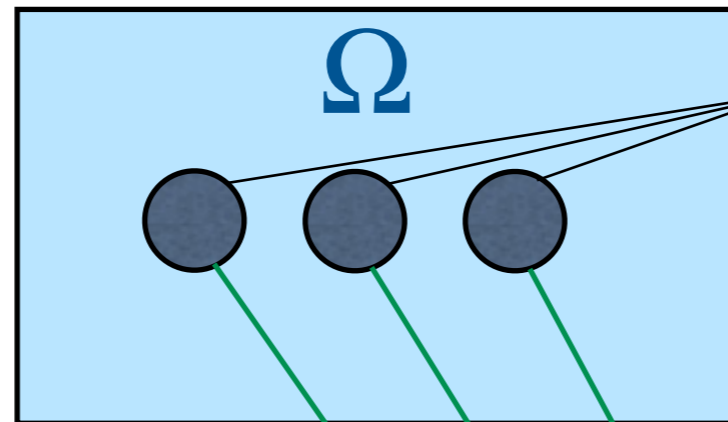
2.  $\Pr(\Omega) = 1$

3. Probabilities of disjoint events  $E_1, E_2, \dots$  add:

$$\Pr \left( \bigcup_{i \geq 1} E_i \right) = \sum_{i \geq 1} \Pr(E_i)$$

# Probability function $\Pr$

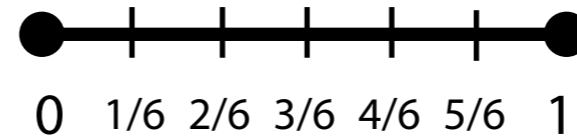
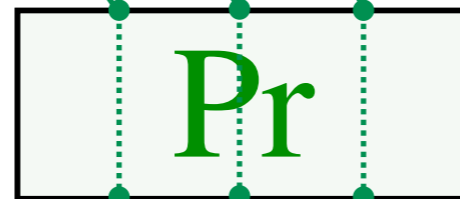
Sample space



outcomes

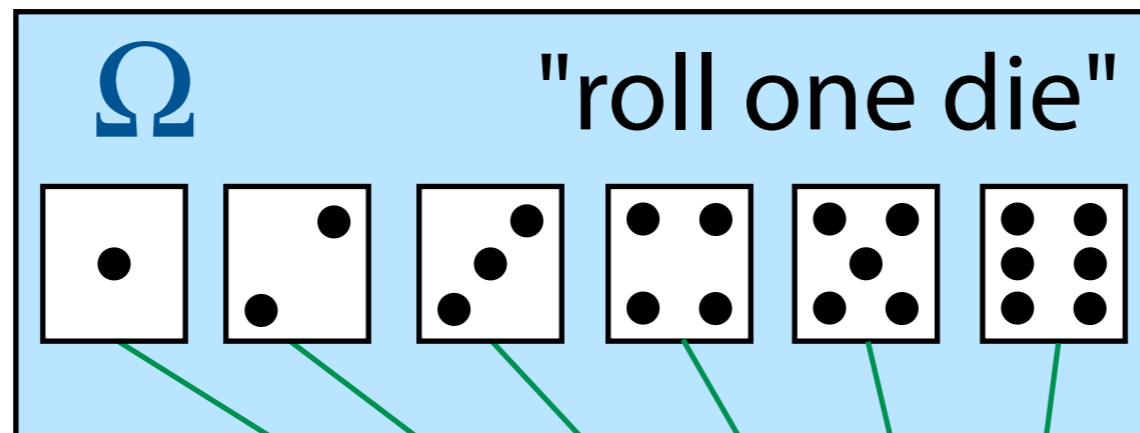
set

probability  
function



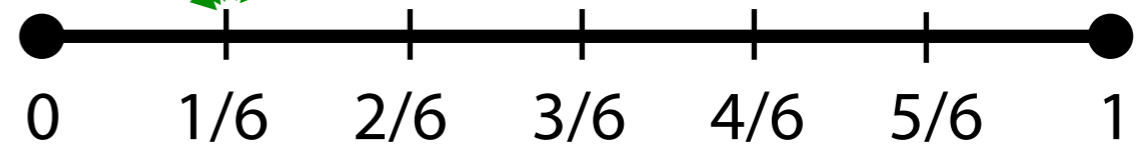
reals in  $[0, 1]$

# Probability function Pr



Probabilities of disjoint events add;

$$\Pr(\{\square, \square\}) = 1/3$$



set

probability  
function

# Random variable

Random variables have two "natures"

$$X$$

**Function**, mapping  
*outcomes* from  $\Omega$  to  
*numbers* (in  $\mathbb{R}$ )

$$X(\boxed{\begin{array}{cc} \bullet & \bullet \\ \bullet & \bullet \end{array}}) = 4$$

$$Y = 3.5 - X$$

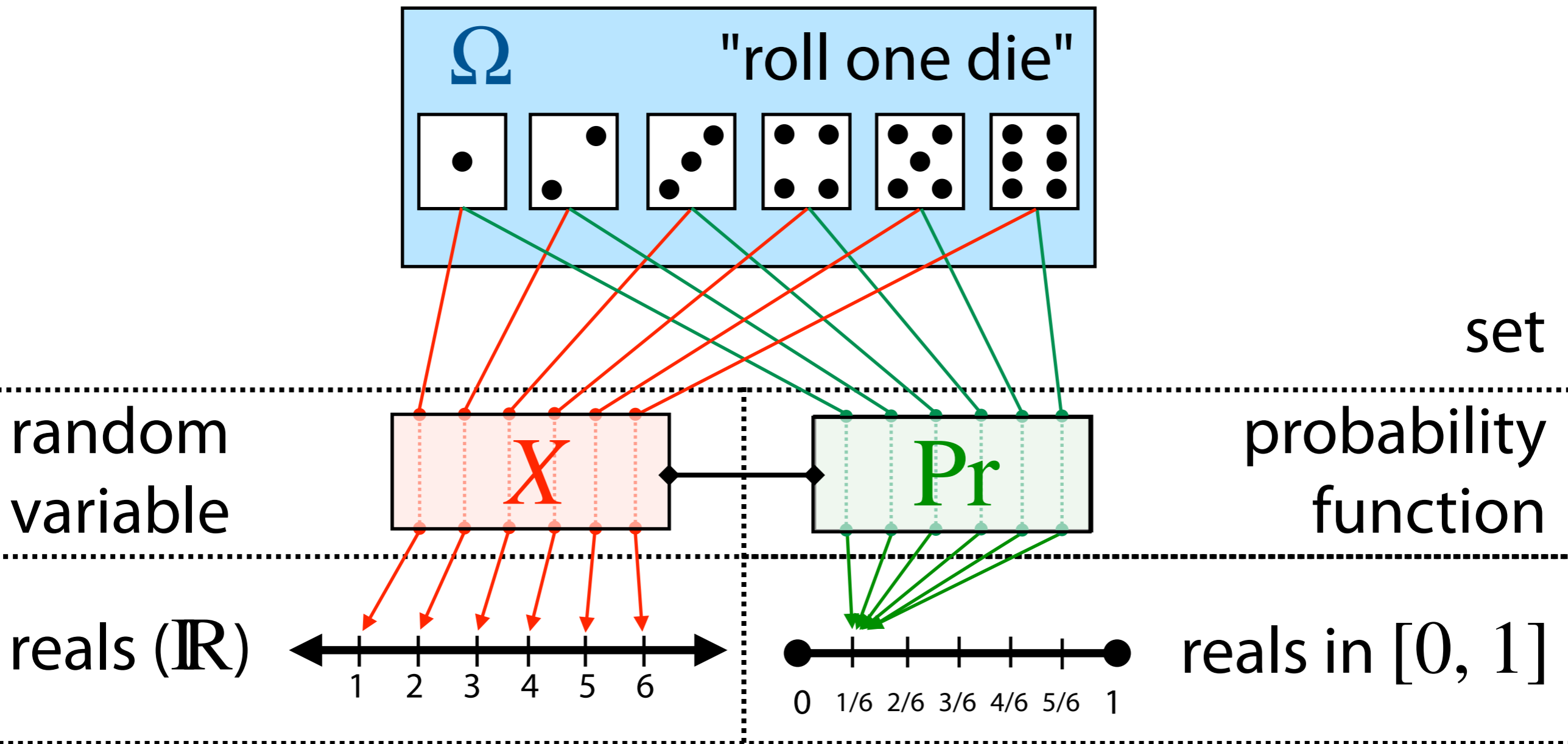
**Potential experiment** with  
a *distribution* (a Pr for its  $\Omega$ )  
and numerical result

# Random variable

We use capitals e.g.  $X$ ,  $Y$  to denote a random variable

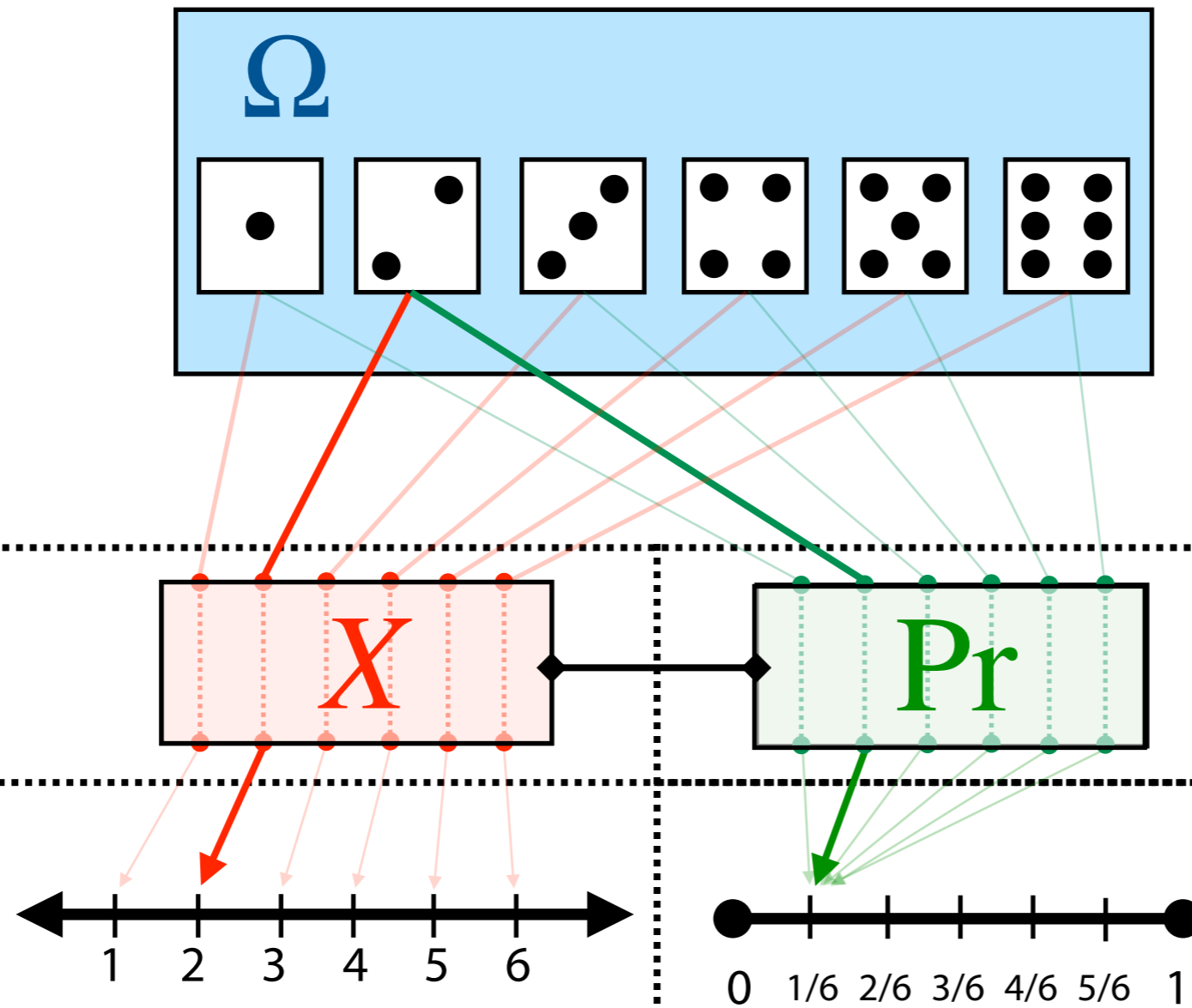
Abbreviate with "r.v."

# Random variable & probability function



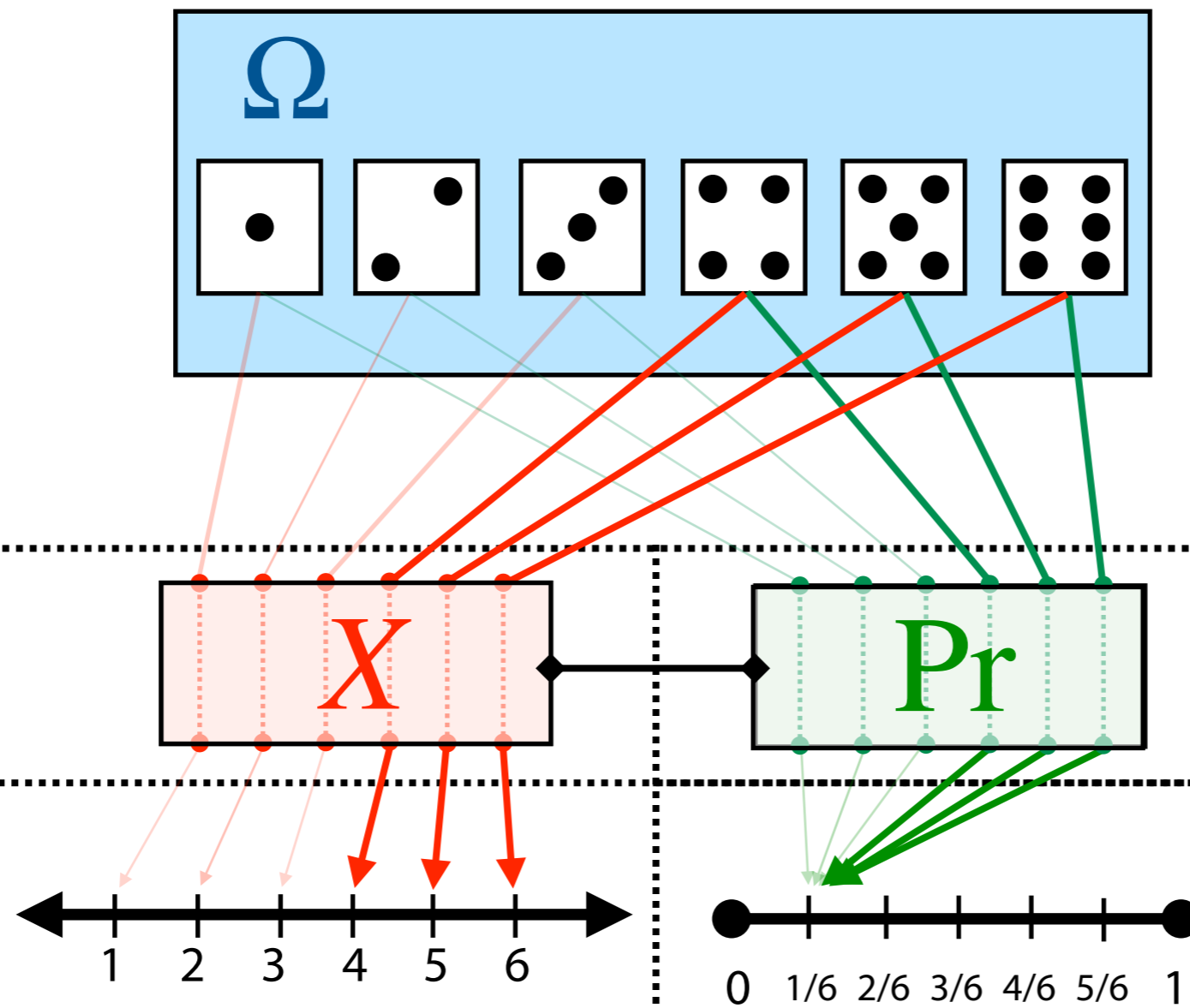
$$\Pr(X = 2) = \Pr(\boxed{\begin{array}{c} \cdot \\ \cdot \end{array}}) = 1/6$$

# Random variable & probability function



$$\Pr(X = 2) = \Pr(\boxed{\cdot \overset{\cdot}{\cdot}}) = 1/6$$

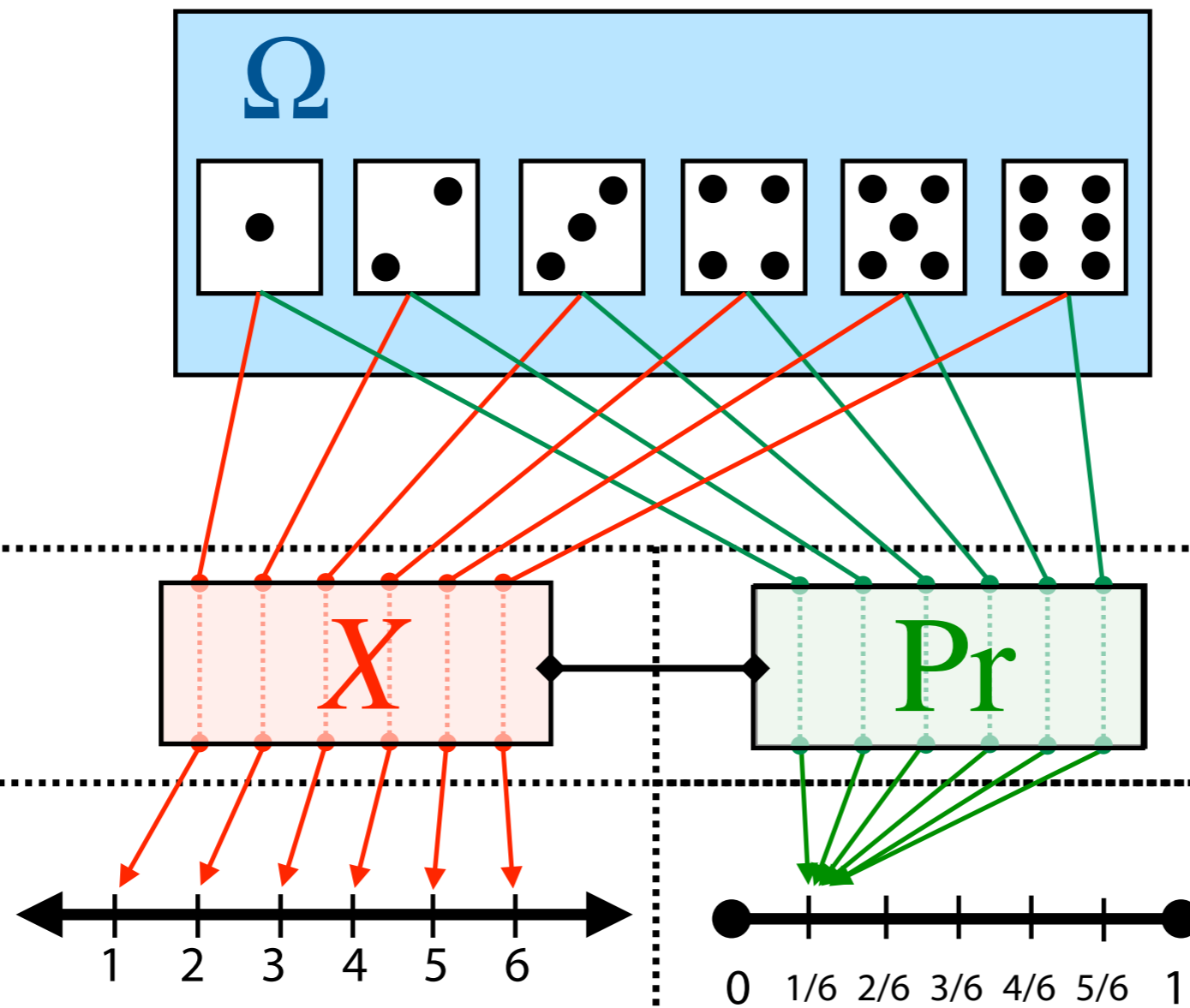
# Random variable & probability function



$$\Pr(X \geq 4) = \Pr(\begin{array}{|c|} \hline \cdot \\ \hline \end{array}) + \Pr(\begin{array}{|c|} \hline \cdot \cdot \\ \hline \end{array}) + \Pr(\begin{array}{|c|} \hline \cdot \cdot \cdot \\ \hline \end{array}) = 1/2$$

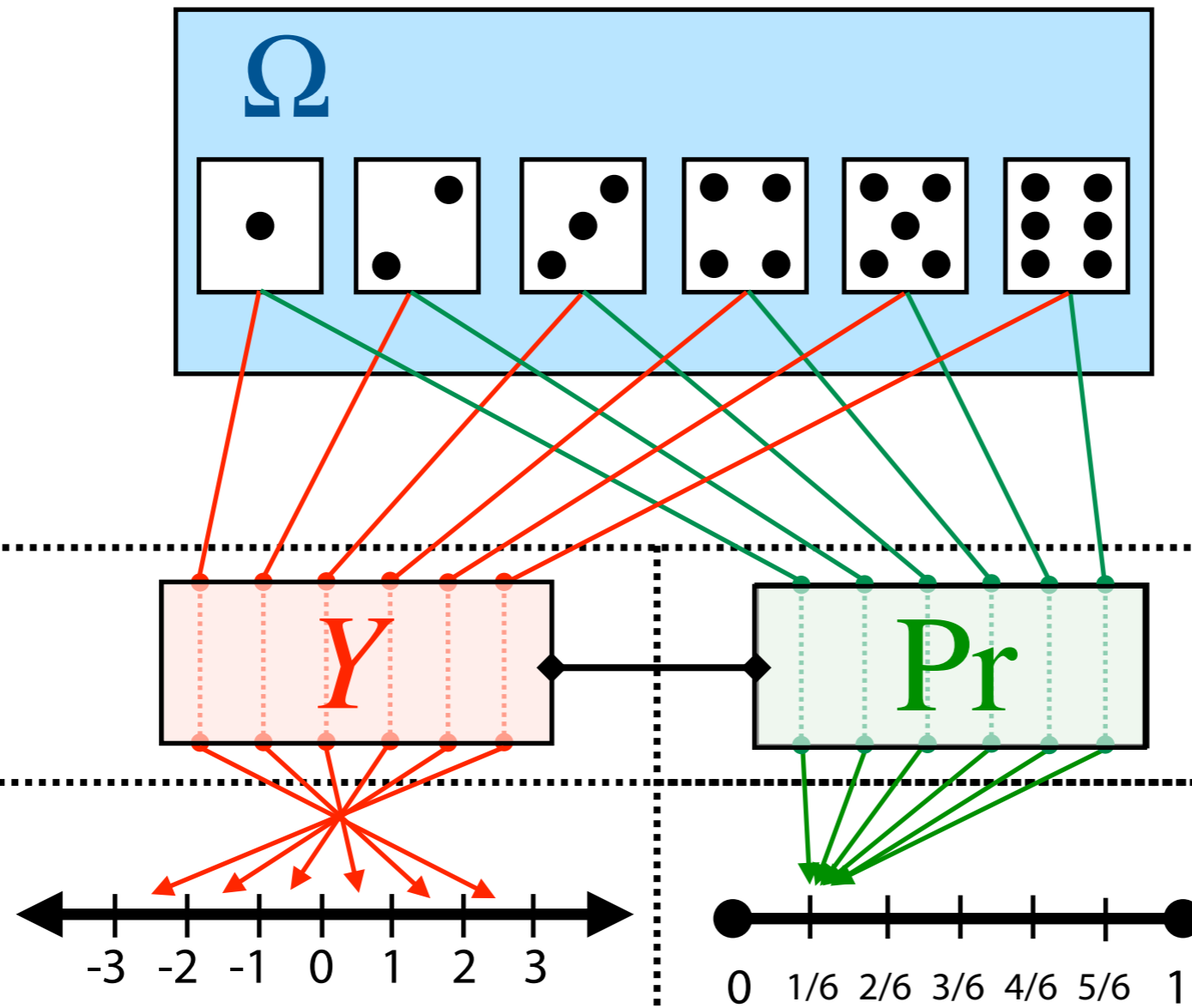


# Random variable & probability function



$$Y = 3.5 - X$$

# Random variable & probability function



$$Y = 3.5 - X$$

# Expected value

Expectation ("expected value") of a discrete r.v.  $X$ , called  $\mathbf{E}[X]$ , is given by

$$\mathbf{E}[X] = \sum_x x \cdot \Pr(X = x)$$

where summation is over values in range of  $X$ .

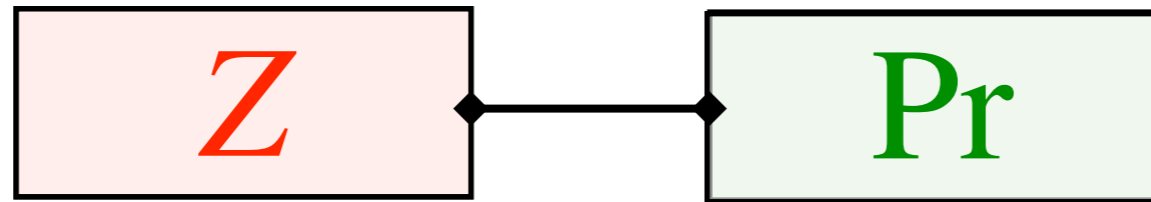
# Linearity of expectation

For discrete r.v.s  $X_1, X_2, \dots, X_n$

$$\mathbf{E} \left[ \sum_{i=1}^n X_i \right] = \sum_{i=1}^n \mathbf{E}[X_i]$$

True whether or not  $X_i$ s are independent

# Expected value



$$Z = X + Y \quad \text{where } X \text{ is fair die roll \& } Y \text{ is fair coin flip}$$

When  $Z$  is a linear combination of other r.v.s,  
 $\mathbf{E}[Z]$  can be easier to get than  $\mathbf{Pr}$

$$\mathbf{E}[Z] = \mathbf{E}[X] + \mathbf{E}[Y] \text{ is simple } (3.5 + 0.5 = 4)$$

# Hash Table

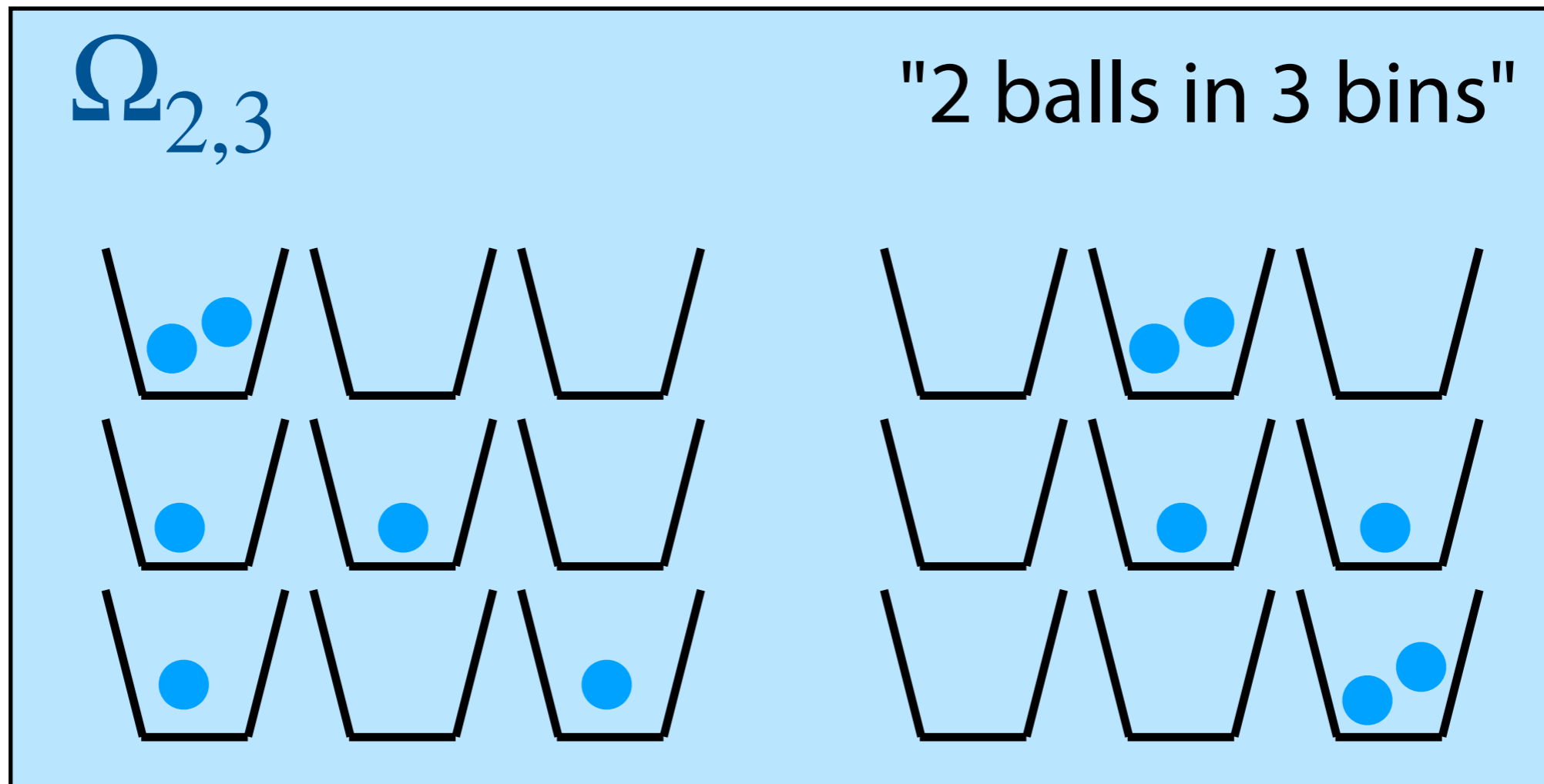
I have added  $m$  items to a  $n$ -bucket hash table

Besides this setup, what else do we need to define a random variable describing the table?

1. Sample space  $\Omega$  ————— Possible allocation of items to buckets
  2. Probability func.  $\Pr$
  3. Map  $X$  from outcomes to reals
- Depend on question asked, assumptions made about hash function

# Balls & bins

Throw  $m$  balls into  $n$  bins uniformly and independently



# Hash Table

I have added  $m$  items to a  $n$ -bucket hash table. What "interesting questions" can I ask about the table's state?

How many buckets are empty?

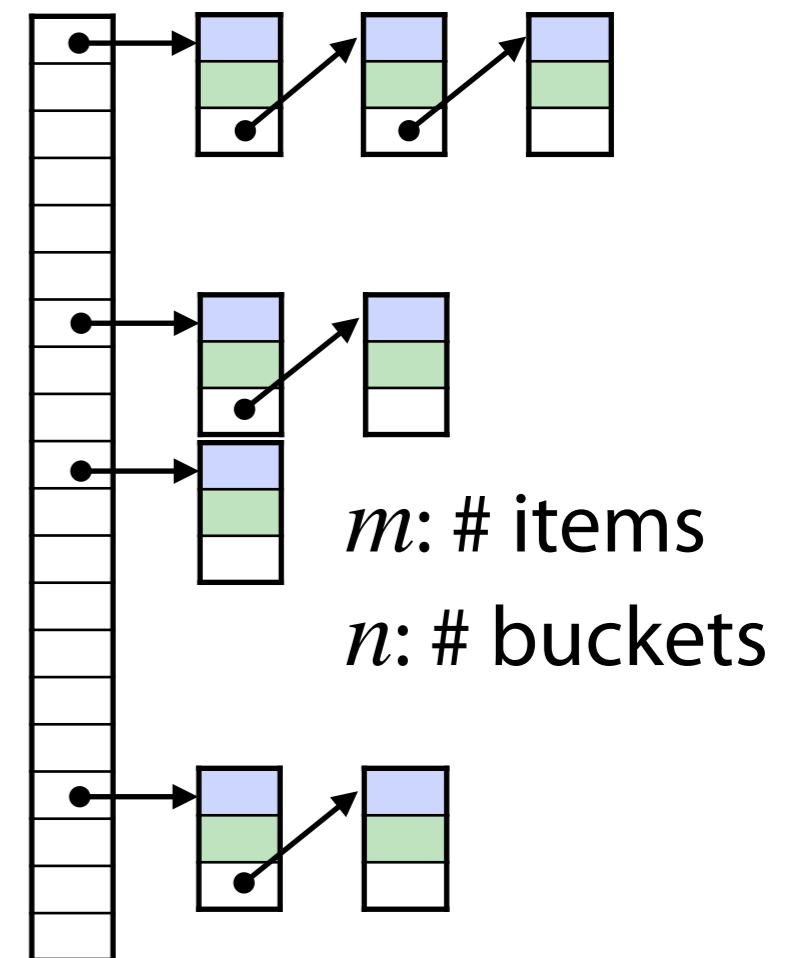
How many items are in the *median* bucket?

How many items are in the *average* bucket?

What's the chance all buckets are non-empty?

How many items are in the *fullest* bucket?

What's the chance no bucket has  $>1$  item?





# Balls & bins

I throw  $m$  balls into  $n$  bins uniformly and independently.  
What can I ask about the bins and their contents?

How many bins are empty?

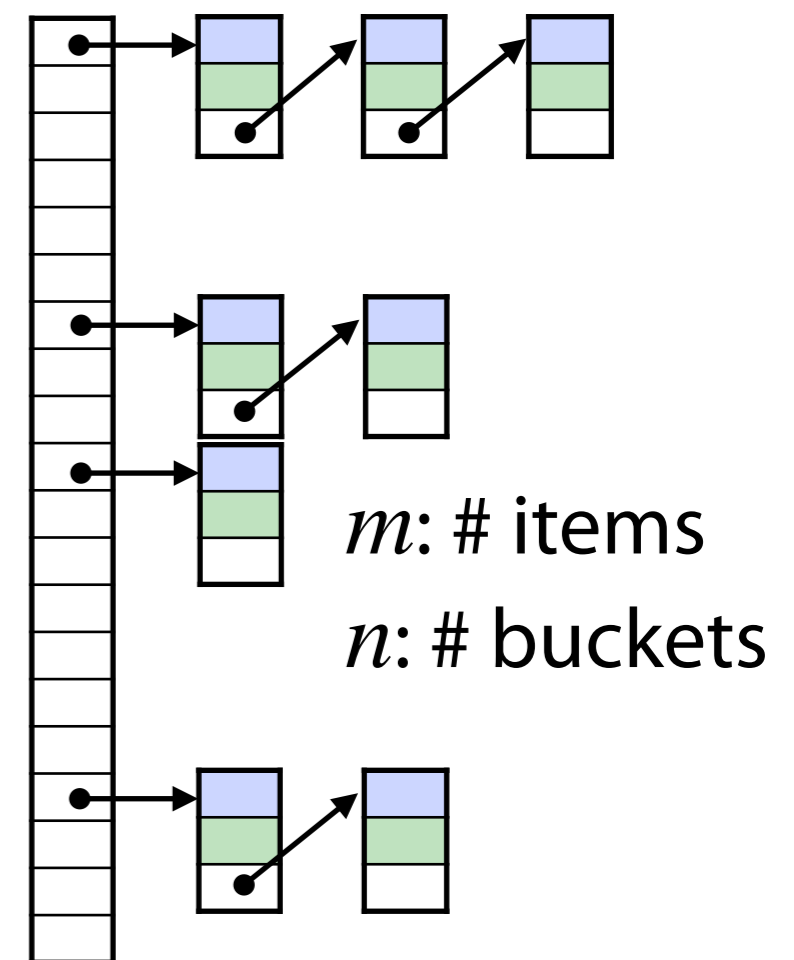
How many balls are in the median bin?

How many balls are in the average bin?

What's the chance all bins are non-empty?

How many balls are in the fullest bin?

What's the chance no bin has  $> 1$  item?



# Balls & bins

I throw  $m$  balls into  $n$  bins uniformly and independently. What can I ask?

Category	Questions		Approach
Empty/ non empty	How many buckets are empty?	What's the chance all buckets are non-empty?	<b>Coupon collector</b>
Collisions / no collisions	How many throws until there is a $>0.5$ chance of a collision?	What is the chance no bin has $>1$ item?	<b>Birthday problem</b>
Local (single bin) occupancy	What's the occupancy of a given bucket?	What is the chance a given bucket has $>2$ items?	<b>Binomial, Geometric, Poisson r.v.s</b>
Global occupancy	What is the <i>median</i> bucket occupancy?	What is the <i>maximum</i> bucket occupancy?	<b>Often hard</b>