

601.432/632 Declarative Methods
Answers to "SAT Basics" discussion problems

1. 9 variables.

Bb = Ms Baker is the baker, etc.

```
~Bb ^ ~Gg ^ ~Mm      # each avoided obvious profession
^ ~Gm                # Mr. Gardner talks to the mayor, so they're !=
^ exactlyone(Bb,Bg,Bm) # Ms. Baker has one job
^ exactlyone(Gb,Gg,Gm) # Mr. Gardner has one job
^ exactlyone(Mb,Mg,Mm) # Ms. Mayer has one job
^ exactlyone(Bb,Gb,Mb) # there's one baker
^ exactlyone(Bg,Gg,Mg) # there's one gardener
^ exactlyone(Bm,Gm,Mm) # there's one mayor
```

where exactlyone(X,Y,Z) is short for
 $(X \wedge \sim Y \wedge \sim Z) \vee (\sim X \wedge Y \wedge \sim Z) \vee (\sim X \wedge \sim Y \wedge Z)$

or alternatively for
 $(X \vee Y \vee Z) \wedge \sim(X \wedge Y) \wedge \sim(X \wedge Z) \wedge \sim(Y \wedge Z)$

In case you were wondering,
Ms. Baker is the mayor,
Mr. Gardner is the baker,
Ms. Mayer is the gardener.
But that's not the point of the problem!

2. (a) Original: $(A \wedge B) \vee (C \wedge (D \vee E \vee F))$ (disjunction of 2 CNF formulas)

Recall that \vee distributes over \wedge :
 $X \vee (Y \wedge Z) = (X \vee Y) \wedge (X \vee Z)$

Here, we have:
 $X = (A \wedge B)$
 $Y = C$
 $Z = (D \vee E \vee F)$

Step 1:
 $((A \wedge B) \vee C) \wedge ((A \wedge B) \vee (D \vee E \vee F))$

We can distribute again and obtain the solution:
 $(C \vee A) \wedge (C \vee B) \wedge (A \vee D \vee E \vee F) \wedge (B \vee D \vee E \vee F)$

(b) Original: $(A \wedge B) \vee (C \wedge (D \vee E \vee F))$ (disjunction of 2 CNF formulas)

Recall how switching variables work, given:
 $X \vee (Y \wedge Z)$
we introduce a switching variable S such that:
 $(S \rightarrow X) \wedge (\sim S \rightarrow (Y \wedge Z))$

Here:
1. $(Z \rightarrow (A \wedge B)) \wedge (\sim Z \rightarrow C \wedge (D \vee E \vee F))$ (switching var)
2. $(\sim Z \vee (A \wedge B)) \wedge (Z \vee (C \wedge (D \vee E \vee F)))$ (eliminate \rightarrow)
3. $(\sim Z \vee A) \wedge (\sim Z \vee B) \wedge (Z \vee C) \wedge (Z \vee D \vee E \vee F)$ (distribute \vee over \wedge)

(c) (1 point) 1 solution:
namely A=true, B=true

(2 points) 7 solutions:
total solutions: $2^3 = 8$
1 wrong assignment: A=false, B=false, C=false

(2 points) 7 solutions:
there is 1 solution for C, and
we have already seen 7 solutions for $(D \vee E \vee F)$,

and they combine freely ($1 * 7 = 7$)

(3 points) 37 solutions:

one way to see this:

1 * 2⁴ solutions where (A ^ B) is true
and (C ^ (D v E v F)) could be anything
2² * 7 solutions where (A ^ B) could be anything
and (C ^ (D v E v F)) is true

but this double-counts the following cases:

1 * 7 solutions where (A ^ B) is true
and (C ^ (D v E v F)) is true

so subtracting off the double-counting, we have

$$1 * 2^4 + 2^2 * 7 - 1 * 7 = 37$$

another way to see this:

1 * (2⁴-7) solutions where (A ^ B) is true
and (C ^ (D v E v F)) is false
(2²-1) * 7 solutions where (A ^ B) is false
and (C ^ (D v E v F)) is true
1 * 7 solutions where (A ^ B) is true
and (C ^ (D v E v F)) is true

so we have a total of

$$1 * (2^4-7) + (2^2-1) * 7 + 1 * 7 = 37$$

- (d) Part (b) has more satisfying assignments than part (a) because it has more variables: it also has the variable Z.

The switching variable construction just guarantees that part (b) is SAT if and only if part (a) is SAT. But the number of satisfying assignments does change.

In fact, here is an even more extreme example of how adding variables can lead to more satisfying assignments. Consider the original formula

$$(A \wedge B) \vee (C \wedge (D \vee E \vee F))$$

but now regard it as a formula over A, B, C, D, E, F, Z, where Z just doesn't happen to appear at all in the formula and therefore isn't constrained at all. So if there are 37 satisfying assignments to (A,B,C,D,E,F), there are 37*2 satisfying assignments to (A,B,C,D,E,F,Z), since Z can be anything at all.

Or if you don't like the idea of having variables that don't appear in the formula, try this version, which mentions Z but doesn't really constrain it since it allows either value:

$$((A \wedge B) \vee (C \wedge (D \vee E \vee F))) \wedge (Z \vee \sim Z)$$

Again, there are 37*2 satisfying assignments.

Note that by adding an unconstrained variable, we are DOUBLING the number of assignments. This doesn't change whether the formula is SAT, since doubling a positive number (SAT) gives a positive number, while doubling zero (UNSAT) gives zero. It is in this sense that the formula specifies the same SAT problem even though the number of assignments changes.

Now, how about the case of a switching variable, which is more constrained than in the extreme example above?

Let's look at the formula from part (b), step 1:

$$(Z \rightarrow (A \wedge B)) \wedge (\sim Z \rightarrow C \wedge (D \vee E \vee F)) \quad (\text{switching var})$$

In terms of our answer to part (b), we now have

1 * 2⁴ solutions where Z is true
and (A ^ B) is true

$2^2 * 7$ solutions and $(C \wedge (D \vee E \vee F))$ could be anything
 where Z is false
 and $(A \wedge B)$ could be anything
 and $(C \wedge (D \vee E \vee F))$ is true

In contrast to part (b), this DOESN'T double-count the 7 assignments
 where $(A \wedge B)$ is true
 and $(C \wedge (D \vee E \vee F))$ is true
 because they are now DIFFERENT assignments -- in one case,
 Z is true, and in the other case, Z is false. So we don't
 have to subtract anything to correct for double-counting.
 The total number of assignments is now
 $1 * 2^4 + 2^2 * 7 = 44$
 i.e., 7 more than before.

3. (a) Let's introduce new helper variables called evenABC, evenAB, evenA, as well as oddAB, oddA.

The meaning of these variables: If evenABC is true, then we
 will only allow assignments that satisfy $\text{even}([A,B,C])$.
 Similarly for the other variables.

Add these 10 constraints:

| | |
|------------------------------------------------|----------------------------------------------|
| evenABC \wedge C \rightarrow oddAB | oddABC \wedge C \rightarrow evenAB |
| evenABC \wedge \sim C \rightarrow evenAB | oddABC \wedge \sim C \rightarrow oddAB |
| evenAB \wedge B \rightarrow oddA | oddAB \wedge B \rightarrow evenA |
| evenAB \wedge \sim B \rightarrow evenA | oddAB \wedge \sim B \rightarrow oddA |
| evenA \rightarrow \sim A | oddA \rightarrow A |

It is clear how to extend this scheme to handle
 evenABCDEFGHIJKLMNPOQRSTUVWXYZ, for instance.

Now add the unit clause evenABC .

There are reasonable variations on this method.
 Some remarks:

* It is okay to leave out the two oddABC constraints at the upper right, since they will never get used.

* You could write \sim evenAB instead of oddAB, but then
 you would still have to write clauses like
 $\text{oddAB} \wedge B \rightarrow \text{evenA}$

It's just that you would call them
 \sim evenAB \wedge B \rightarrow evenA

Don't leave out those clauses! If you leave them out,
 you can satisfy everything as long as you make C true
 Making C true does force you to make evenAB false
 (since evenABC is required). But since you left out the
 clauses above, \sim evenAB doesn't imply anything about anything:
 it would let you assign A and B however you like,
 including incorrectly making them both true or both false.

* There are other ways of writing the constraints above:

Instead of

$\text{evenABC} \wedge C \rightarrow \text{oddAB}$
 we could write
 $\text{evenABC} \rightarrow \sim C \vee \text{oddAB}$
 since both are equivalent to the CNF clause
 $\sim \text{evenABC} \vee \sim C \vee \text{oddAB}$

Or taking this a step further, instead of writing the two clauses
 $\text{evenABC} \wedge C \rightarrow \text{oddAB}$

evenABC \wedge \sim C \rightarrow evenAB
we could write
evenABC \rightarrow \sim C \vee oddAB
evenABC \rightarrow C \vee evenAB
and then combine these into
evenABC \rightarrow (\sim C \vee oddAB) \wedge (C \vee evenAB)

It is also possible to do this, which is similar:
evenABC \rightarrow (\sim C \wedge evenAB) \vee (C \wedge oddAB)

* As the base cases, instead of writing
evenA \rightarrow \sim A oddA \rightarrow A
it would be prettier to continue the pattern one more
step and write
evenA \wedge A \rightarrow odd0 oddA \wedge A \rightarrow even0
evenA \wedge \sim A \rightarrow even0 oddA \wedge \sim A \rightarrow odd0
and then take the base cases to be the unit clauses
even0 \sim odd0

This would have the advantage that we could encode
even([]), which is always true.

(b) $O(n)$ and $O(n)$. This is true in CNF as well.