

#### Verifiable by Design: Aligning Language Models to Quote from Pre-Training Data

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## LLMs are powerful, but not always reliable

- Known to produce seemingly plausible but erroneous outputs, aka hallucination
  - Copilot

Here are some of the headlines from The New York Times today, April 30, 2024:

- 1. Judge Holds Trump in Contempt of Court, Fining Him \$9,000: The judge overseeing the criminal trial of Donald Trump said the former president had repeatedly violated a gag order 1.
- 2. Trump, Venting About Lawyer in His Criminal Trial, Seeks More Aggression: Todd Blanche upended his career to represent Donald Trump and has been his favorite lawyer 1.
- 3. Columbia Protesters Erect Barricades After Taking Over Campus Building: The move came after students who had refused to leave a pro-Palestinian encampment were suspended 1.
- 4. Europe's Economic Laggards Have Become Its Leaders: More than a decade after painful austerity, Greece, Portugal and Spain are growing faster than traditional powerhouses like Germany 1.
- 5. 8 Officers Are Shot, 4 Fatally, While Serving Warrant in Charlotte, N.C.: Four officers, three of them members of a U.S. Marshals task force, were killed on what was the deadliest day for law enforcement in recent years 1.
- 6. 'Hell's Kitchen' and 'Stereophonic' Tie for Most Tony Nominations: The Alicia Keys semi-autobiographical musical and David Adjmi's behindthe-music play each picked up 13 nominations 1.
- 7. U.N. Court Rejects Request to Halt German Arms Sales to Israel: The request, brought before the court by Nicaragua, had potentially wide implications on whether countries supplying arms are liable for how they are used 1.

Please note that these are just a few of the headlines. For more details, I recommend visiting the New York Times website 1.







#### **Verifiability is challenging**

- To trust LLMs, users need to **verify** generated claims against trusted resources
- However, non-trivial to fact-check lengthy free-form generation!

	U.S. V World V Business V Arts V Lifestyle V Opinion V Audio V Games V Cooking V	Wirecutter $\vee$ . The Athletic $\sim$
Copilot Here are some of the headlines from The New York Times today, April 30, 2024:	The result of th	WR.
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2. Trump, Venting About Lawyer in His Criminal Trial, Seeks More Aggression: Todd Blanche upended his career to represent Donald Trump and has been his favorite lawyer 1.	in the second	Dessert : The cake, long an adopted favorite in many countries, is more popular than ever — except perhaps in its native Germany. 6 WH READ
3. Columbia Protesters Erect Barricades After Taking Over Campus Building: The move came after students who had refused to leave a pro- Palestinian encampment were suspended 1.	Herv's where compas protosters have been arrested over the grant day.	
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The New Hork Times

Tuesday, April 30, 2024

# **Existing methods for verifiability**

- Search/retrieval + citation helps, but is not *quaranteed* to be accurate
- Non-trivial to verify whether text is supported by citation

What are the latest discoveries from the James Webb Space Telescope?

The James Webb Space Telescope is designed to peer ••• into the dusty clouds of gas where stars and planetary systems are born. Webb has captured the first direct image of an exoplanet, and the Pillars of Creation in the Eagle Nebula[1][2]. Additionally, the telescope will be used to study the next interstellar interloper[3].

(\*Some generated statements may not be fully supported by citations, while others are fully supported.)

	Citation Precision (%; $\uparrow$ )	
Cited Webpages		Average Over All Queries
[1]: masa.gov (xcitation does not support its associated statement)	Bing Chat	89.5
NASA's Webb Confirms Its First Exoplanet	NeevaAI	72.0
Researchers confirmed an exoplanet, a planet that orbits another	perplexity.ai	72.7
star, using NASA's James Webb Space Telescope for the first time	YouChat	63.6
[2]: cm cnn.com ( ▲ citation partially supports its associated statement) Pillars of Creation: James Webb Space Telescope	Average	74.5
The Pillars of Creation, in the Eagle Nebula, is a star-forming region captured in a new image (right) by the James Webb Space Telescope that reveals more detail than a 2014 image (left) by Hubble	Citation Recall (%; ↑)	
[3]: an nasa.gov ( gcitation fully supports its associated statement)		Average Over All Queries
Studying the Next Interstellar Interloper with Webb		
Scientists have had only limited ability to study these objects once	Bing Chat	58.7
discovered, but all of that is about to change with NASA's James	NeevaAI	67.6
Webb Space TelescopeThe team will use Webb's spectroscopic	perplexity.ai	68.7
capabilities in both the near-infrared and mid-infrared bands to study	YouChat	11.1
two different aspects of the interstellar object.		

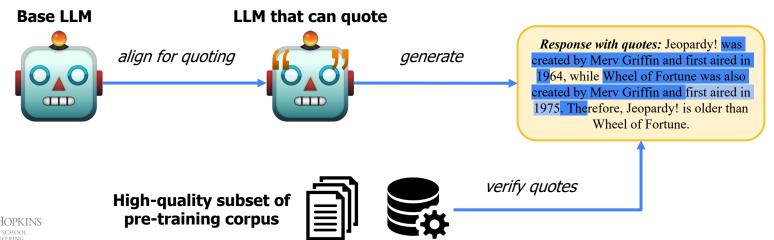
Nelson Liu, Tianyi Zhang, and Percy Liang. 2023. Evaluating Verifiability in Generative Search Engines. In Findings of the Association for Computational Linguistics: EMNLP 2023

51.5

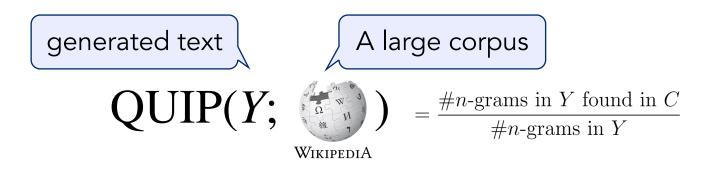
Average

# **Verifiability by Quoting**

- We propose increasing verifiability by generating verbatim quotes from highquality sources of pre-training data, such as Wikipedia.
- **Quote-Tuning**: aligning LLMs to quote from their pre-training data!
  - Make the model prefer generation with more quotes over less quotes!
  - Align for quoting using preference optimization algorithms such as DPO



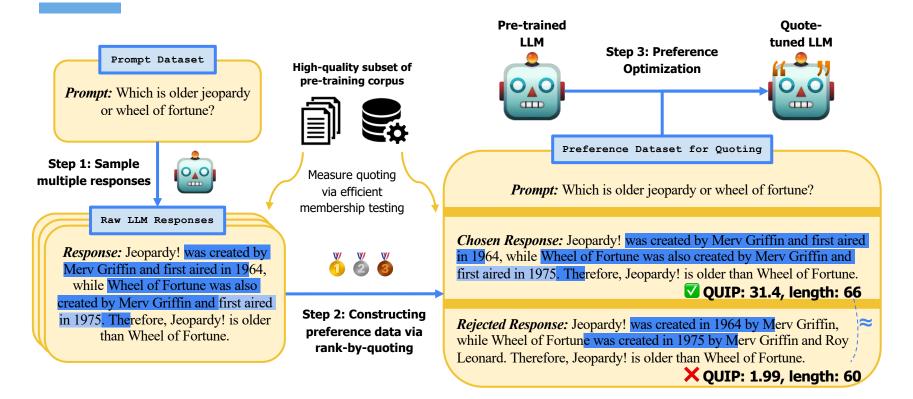
#### **Background: measuring quoting at scale**



- Calculate quoting precision with QUIP-Score (Weller et al., 2024)
- QUIP-Score is backed by efficient membership testing with **Data Portraits** (Marone and Van Durme, 2023)

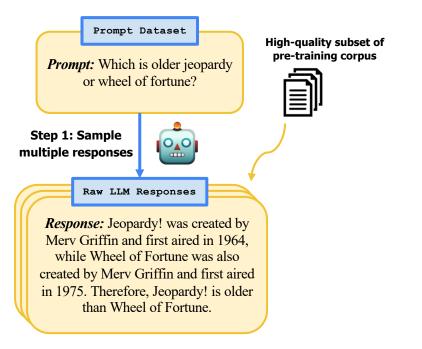


#### **Our method: Quote-Tuning**





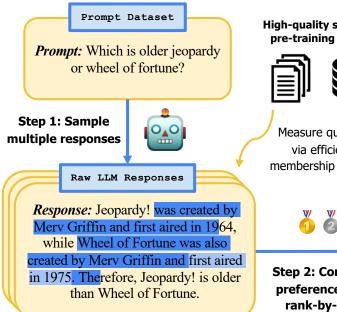
### **Step 1: Sample candidate responses**



Step 1. Generate completions from an LLM (e.g. using QA pairs or text completions)



#### Step 2: Synthesize Dataset by Filtering



High-quality subset of pre-training corpus



Measure guoting via efficient membership testing

<u>.</u> Š

Step 2: Constructing preference data via rank-by-guoting

Preference Dataset for Quoting

**Prompt:** Which is older jeopardy or wheel of fortune?

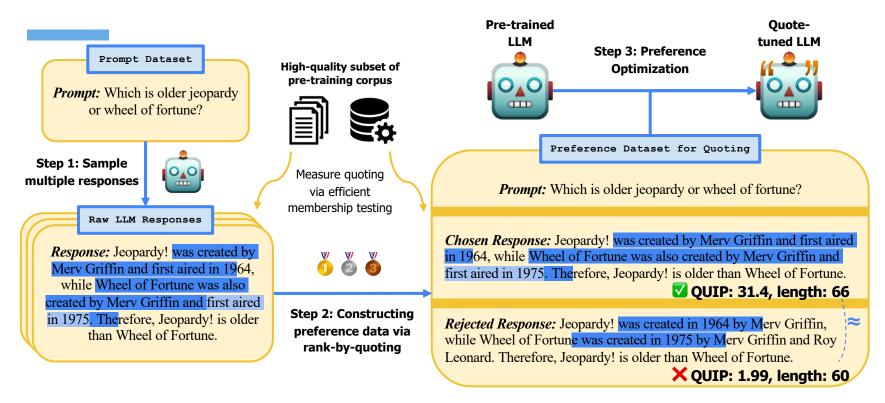
Chosen Response: Jeopardy! was created by Merv Griffin and first aired in 1964, while Wheel of Fortune was also created by Merv Griffin and first aired in 1975. Therefore, Jeopardy! is older than Wheel of Fortune. **V** QUIP: 31.4, length: 66

Rejected Response: Jeopardy! was created in 1964 by Merv Griffin, while Wheel of Fortune was created in 1975 by Merv Griffin and Roy Leonard. Therefore, Jeopardy! is older than Wheel of Fortune.

X QUIP: 1.99, length: 60

**Step 2.** We can construct a *preference dataset* by ranking generations by the amount of guoting (QUIP-Score; Weller et al., EACL 2024) OHNS HOPKINS

#### Step 3: Making the model prefer more quoting!



Step 3. Tune a model to prefer more quotes with *direct preference optimization* (DPO)!

#### **Quote-Tuning significantly increases amount of quoting**

sparse quotes

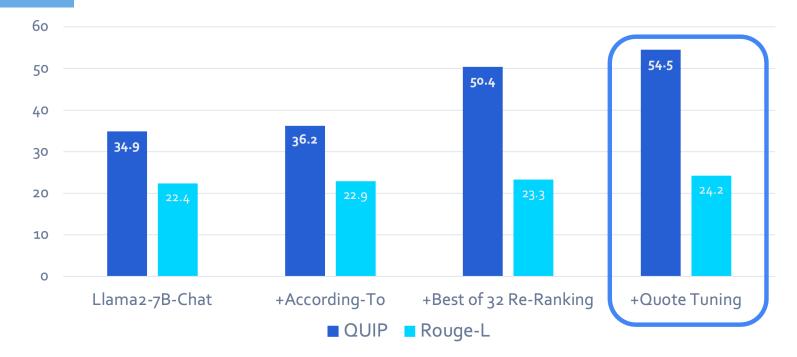
*Question:* Who won the most MVP awards in the NBA? QUIP

**LLAMA2-7B-CHAT**: LeBron James has won the most NBA MVP awards with 4 3.9 championships, followed closely by Bill Russell with 5 MVP awards and 11 championships. Michael Jordan is also among the top MVP winners with 6 championships and 5 MVP awards.

QUOTE-TUNING: The player who has won the most Most Valuable Player (MVP) 60.6 awards in the National Basketball Association (NBA) is Kareem Abdul-Jabbar, who has won the award a record six times during his career with the Milwaukee Bucks and the Los Angeles Lakers. Abdul-Jabbar played in the NBA from 1969 to 1989 and is widely considered one of the greatest basketball players of all time.



#### Same Quality, More Quoting!

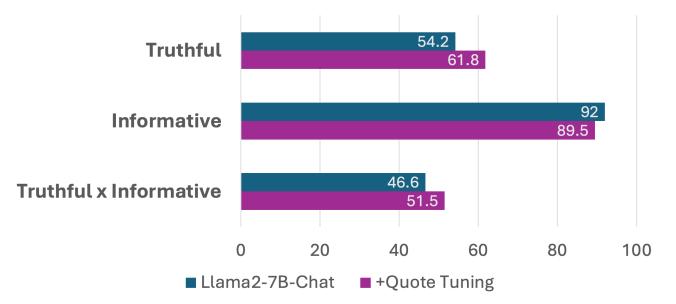


You can obtain models that provide more quoted responses, without losing accuracy.



# **Quote-Tuning improves truthfulness**

#### Model Truthfulness





Dataset: TruthfulQA (Lin et al., 2021)

## **Summary and Future Directions**

- LLMs can be aligned to quote from known sources observed in their pre-training data
- Quoting makes the verifiability question trivial
- Open questions and future directions:
  - How do we incentivize quoting when it matters?
  - How to ensure long, contiguous quotes?
  - How to generalize to reasoning problems, and more general settings?

0 ...



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Thank

VOU

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#### **Questions?**

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