Real-time dose computation: GPU-accelerated source modeling and superposition/convolution

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Purpose: To accelerate dose calculation to interactive rates using highly parallel graphics processing units (GPUs).

Methods: The authors have extended their prior work in GPU-accelerated superposition/convolution with a modern dual-source model and have enhanced performance. The primary source algorithm supports both focused leaf ends and asymmetric rounded leaf ends. The extra-focal algorithm uses a discretized, isotropic area source and models multileaf collimator leaf height effects. The spectral and attenuation effects of static beam modifiers were integrated into each source’s spectral function. The authors introduce the concepts of arc superposition and delta superposition. Arc superposition utilizes separate angular sampling for the total energy released per unit mass (TERMA) and superposition computations to increase accuracy and performance. Delta superposition allows single beamlet changes to be computed efficiently. The authors extended their concept of multi-resolution superposition to include kernel tilting. Multi-resolution superposition approximates solid angle ray-tracing, improving performance and scalability with a minor loss in accuracy. Superposition/convolution was implemented using the inverse cumulative-cumulative kernel and exact radiological path ray-tracing. The accuracy analyses were performed using multiple kernel ray samplings, both with and without kernel tilting and multi-resolution superposition.

Results: Source model performance was 9 ms (data dependent) for a high resolution (400²) field using an NVIDIA (Santa Clara, CA) GeForce GTX 280. Computation of the physically correct multispectral TERMA attenuation was improved by a material centric approach, which increased performance by over 80%. Superposition performance was improved by 0.058 and 0.94 s for 64³ and 128³ water phantoms; a speed-up of 101-144× over the highly optimized Pinnacle³ (Philips, Madison, WI) implementation. Pinnacle³ times were 8.3 and 94 s, respectively, on an AMD (Sunnyvale, CA) Opteron 254 (two cores, 2.8 GHz).

Conclusions: The authors have completed a comprehensive, GPU-accelerated dose engine in order to provide a substantial performance gain over CPU based implementations. Real-time dose computation is feasible with the accuracy levels of the superposition/convolution algorithm. © 2011 American Association of Physicists in Medicine. [DOI: 10.1118/1.3483785]

Key words: convolution/superposition, radiation therapy planning, graphics processing unit, inverse planning, source modeling

I. INTRODUCTION

Traditionally, improvements in the speed of treatment planning have been realized by faster hardware. However, instead of doubling in speed every 18 months, computers are doubling the number of processing cores. Simultaneously, the many-core architectures of graphic processing units (GPUs) have become able to run general purpose algorithms. In order to realize the promised performance gains of this hardware, traditional serial algorithms must be replaced with parallel ones. We address the comprehensive conversion of radiation therapy dose computation, from fluence generation to dose deposition, to the graphics processing unit (GPU).

Fast, accurate dose computation is important to radiation therapy planning as an estimation of the dose delivered to a patient. It is a major bottleneck for the inverse planning of intensity modulated radiation therapy (IMRT) and, more recently, volumetric modulated radiation therapy (VMAT) and adaptive radiation therapy. Dose computation consists of two parts: a source model and a transport model. The source model computes the incident fluence and the transport model computes the resultant dose deposition. The three main transport algorithms in order of increasing accuracy/decreasing performance are pencil beam, superposition/convolution, and Monte Carlo. Superposition/convolution is the current clinical standard. A deeper review of dose calculation in radiation therapy is available from Ahnesjo et al.
In this work, we show that near real-time dose computation can be achieved using the GPU. Furthermore, with minor modification, these methods may be used as the core component of IMRT and VMAT planning, shifting optimization times from minutes to seconds. Reduced planning times would increase the quality and quantity of treatment plans. It also enables real-time radiation therapy; the ability to scan and replan the patient for each treatment.

I.A. Related work

Several ray-cast, ray-trace, and volumetric visualization algorithms have been adapted to the GPU. However, the transport component of dose calculation is fundamentally interested in the interaction of a line with a volume, while visualization algorithms are interested in a property of a line, such as its integral or maximum, making many such algorithms inapplicable for the former. Also, dose deposition deals with electron interactions in a volume and is therefore fundamentally different from visualization algorithms.

To the best of our knowledge, we were the first to adapt the superposition/convolution algorithm to the GPU. Recently, pencil beam, brachytherapy, and Monte Carlo algorithms have been implemented on the GPU. In this publication, we have improved the performance of our implementation and summarized the salient algorithmic enhancements from our prior work.

Related to our research, Hissoiny et al. has recently enhanced PlanUNC by porting its superposition/convolution algorithm to the GPU. They have also implemented a total energy released per unit mass (TERMA) calculation on the GPU. Though their initial implementation showed several performance problems, recent presentations indicate they have solved these issues. The performance of their GPU implementation appears to be similar to our implementation. Their TERMA calculation utilizes an analytical off-axis softening function and the homogenous material attenuation approximation from Eq. (6). Their superposition calculation uses an inverse, tilted cumulative kernel (CK) and a fixed-step ray-tracing algorithm that uses a set of predetermined radii and trilinear filtering.

Recently, Bedford addressed the modeling of dynamic arc treatments by representing each control point as a static field of 100 temporary sampled control points. Though this provided a high-fidelity representation of the incident fluence, verification using a standard quality assurance metric of a complex VMAT treatment plan failed (88.2%) and another barely passed (90.6%). The corresponding IMRT plan for each case had substantially better verification scores (95.9% and 99.7%, respectively). Though many possible sources of error were discussed, the low angular sampling of the failing case (51 angles or a 7° spacing) was considered particularly challenging for dose computation.

II. METHODS AND MATERIALS

We have implemented our algorithm using a combination of NVIDIA’s (Santa Clara, CA) compute unified device architecture (CUDA) software development environment for GPU routines and the Digital Mars’ D programming language for CPU setup and analysis routines.

II.A. Fluence generation

Incident fluence is typically generated by a model of the primary photon source, primary collimator, flattening filter, and various field modifiers available on a particular linear accelerator, such as collimator jaws, multileaf collimators (MLCs), and wedges.

In a deterministic dose calculation model, primary fluence is typically divided into spectral and intensity components. The spectral component has traditionally been parameterized by a single spectrum, an analytical off-axis softening function, and a discretized radial function of off-axis intensity factors. We have combined these components into a single, discretized radial spectral function, which contains a full spectrum at each off-axis angle. This provides more modeling flexibility, allowing the parameters to be determined by alternative analytical functions, Monte Carlo simulations, or direct optimization against measured data. We support wedges by combining the discretized radial spectral function with the wedge properties to generate a discretized Cartesian spectral function.

The intensity component accounts for field modifiers, such as collimator jaws or MLCs. These are generally modeled using a simple transmission factor. We use a transmission factor for the jaws and precisely calculate the transmission though the MLC via the ray-path length and a linear attenuation constant. MLCs with round leaf ends are typically modeled with a symmetric, circular end, although this may not be physically true. We allow the circular end to be vertically offset, instead of symmetric, in order to better model the rounded leaf ends of certain vendors.

Our current primary fluence implementation combines point sampling with anti-aliasing like over-sampling to produce accurate results. One modeling issue unique to our application comes from our back-projected TERMA computation. As seen in Fig. 1, each back-projection voxel must integrate the fluence area which contributes to them. For efficiency, we compute these contributors by adding a voxel-size blur to the generated fluence. In practice, we combine...
the voxel-size blur with the source-size blur. A source-size blur is a standard method of modeling the physical size of the primary source. Both blurs are modeled using Gaussian functions and computed using separated 1D convolutions.

Extra-focal (scatter) sources are created in the linear accelerator treatment head by the interaction of the primary fluence with beam modifiers. The largest secondary source of radiation is the flattening filter, which contributes up to 15% of the dose to the patient. Other extra-focal sources are considered to be minor and result in slightly reduced model quality. We therefore have limited our current implementation to one extra-focal source, the flattening filter. Our model, never the less, is capable of multiple extra-focal sources.

Unlike the primary source, which is point-like, extra-focal sources are area sources. However, to reduce computation, the extra-focal fluence to a reference plane is calculated and projected through the volume. This projection uses a second, virtual point source, which is closer to the isocenter than the primary target, and has a separate discretized radial spectral function. 

Extra-focal sources are further approximated as being isotropic area sources. Though not physically correct, the anisotropic components have mostly the same direction as the primary fluence. This allows the anisotropic portions of the flattening filter fluence to be incorporated in the primary source model. By using a second approximation, that only unobstructed source areas contribute fluence, the isotropic approximation allows the explicit calculation of the contribution of every pixel of source to every pixel of fluence to be avoided. Thus, the extra-focal intensity is calculated by integrating the source area viewable from each point on the reference plane. To make this calculation efficient, previous source models have used analytical functions and their analytical integrals to model the fluence. Unfortunately, the use of analytical integrals resulted in one further, problematic approximation: that the MLC leaves are infinitely thin. The viewable source area is defined as the projection of the MLCs and jaws back to the source plane. Figure 2 illustrates the reduction in viewable source area that occurs due to the heights of the MLC leaves. In highly modulated fields, such as in Fig. 3, this can substantially reduce extra-focal fluence. Even disregarding some of the subtler effects of rounded leaf ends or a particular manufacturer’s tongue-and-groove design, one is left with a complex outline for which it is non-trivial to find a set of integration rectangles that cover it.

Instead of an analytical function, our extra-focal model utilizes an arbitrary, discretized function. This allows for multiple types of extra-focal sources to be modeled, for a better fit to measured or Monte Carlo data and for MLC leaf heights effects to be accounted for. We use a sum area table to provide an efficient integral calculation. A sum area table, sat, is a function which at every point, x, y, contains the integral of an image, I, from the origin corner to that point

\[
sat(x, y) = \sum_{i=0}^{x} \sum_{j=0}^{y} I(i, j). \quad (1)
\]

The image may be any discretized function e.g., the extra-focal source fluence. The sum area table can then be used to efficiently calculate the integral of any rectangle, defined by a set of upper, \(x_u, y_u\) and lower, \(x_l, y_l\) bounds by

\[
sat(x_u, y_u, x_l, y_l) = sat(x_u, y_u) - sat(x_u, y_l) + sat(x_l, y_u) - sat(x_l, y_l). \quad (2)
\]

By extension, an arbitrary shape consisting only of straight lines and right angles, such as the viewable source area, can be integrated, as depicted in Fig. 2, by walking the shape’s boundary alternatively adding and subtracting at every corner.

In our implementation, the viewable source area boundary is generated by breaking the area into two parts: the areas above and below the calculation point. The boundary of each can then be found by walking away from the calculation point, allowing MLC leaf height effects to be determined in a straightforward manner. We maintain numerical accuracy by limiting the size of the sum area table to under 256 \(\times\) 256 pixels, by computing the lower viewable source area first, by using separate field and control point accumulators, and by pairing subtractions and additions. We found the effects of using double precision or Kahan summation to be

![Image](https://via.placeholder.com/150)

**Fig. 2.** Diagrams depicting extra-focal source modeling with (right) and without (left) MLC leaf height effects. The yz-plane diagram (top) shows the effects of closed (black) leaves shadowing open (white) MLCs. The xy-plane (bottom) shows the difference in source integration patterns between summing each rectangle (left) and alternatively adding and subtracting each vertex point of an arbitrary outline (right). The black dot represents the calculation point on the reference plane through the isocenter.

![Image](https://via.placeholder.com/150)

**Fig. 3.** Example field (left) showing the effects of MLC leaf height on extra-focal fluence. Using infinitely thin MLCs (center) increased extra-focal fluence by a factor of \(~2\) compared to MLCs with a normal height (right).
negligible. In our previous work, we implemented a rudimentary jaws-only dual-source model. The differences between our previous, jaws-only extra-focal model and our new extra-focal model for several open fields were negligible. These results indicate that the truncation error of our viewable source integration is negligible. We define negligible in this situation to be under the 32-bit floating point precision; the smallest increment to the value 1.

II.B. Fluence transport

The superposition/convolution algorithm consists of two stages. First, fluence is transported through the patient to compute the TERMA in the volume. Then superposition spreads the TERMA by a dose deposition kernel to determine the final dose at each location. To allow the dose deposition kernel to scale realistically with tissue inhomogeneities, the radiological distance, \( d_p \), Eq. (3) between two points, \( r \) and \( s \), is used. This weighting of distance by the electron density (relative to water), \( \rho \), differentiates superposition from traditional convolution.

\[
d_p(r',s) = \int_{r'}^{s} \rho(t)dt.
\]

II.B.1. TERMA

The TERMA, \( T_E(r') \), of a particular photon energy \( E \) at point \( r' \) is defined as the energy’s fluence, \( \Psi_E \), weighted by the density relative to water, \( \rho \), and the linear attenuation constant, \( \mu_E \), at point \( r' \)

\[
T_E(r') = \frac{\mu_E(r')}{\rho(r')} \Psi_E(r').
\]

The energy’s fluence, \( \Psi_E \), to point \( r' \) is determined by the incident fluence, \( \Psi_{E,0} \), from the source focal point, \( s \), towards point \( r' \) attenuated by the material between them and scaled by the distance squared effect

\[
\Psi_E(r') = \frac{\Psi_{E,0}(r')}{|r' - s|^2} e^{\mu_E(r') \rho(r')} dt.
\]

Traditionally, TERMA has been calculated by a forward-projection of the fluence through the patient volume using an approximation of Eq. (5)

\[
\Psi_E(r') = \frac{\Psi_{E,0}(r')}{|r' - s|^2} e^{(\mu_E(r')\rho(r')) \times d_p(r',s)}.
\]

Equation (6) may be calculated from the radiological depth of a point, making it more computationally efficient. Equation (6) also allows the exponential to be cached in a 3D lookup table. However, this lookup table exceeds the GPU-cache size, resulting in poor performance. Instead, we use the GPU’s dedicated hardware exponential to calculate the attenuation. This allows the use of exact radiological path ray-tracing,\(^{24}\) which reduces artifacts and permits the use of the physically correct, multispectral attenuation from Eq. (5).

Our previous implementation of Eq. (5) interpolated the linear attenuation for every energy at every voxel. Even with hardware acceleration, this created a major performance bottleneck. To address this issue, we utilized the sparsity of the set of materials, \( M \), in the density normalized linear attenuation table to rearrange the attenuation integration from Eq. (5) to

\[
\int^s_{r'} - \mu_E(t)dt = -\sum_{M} \frac{\mu_{E,M}}{\rho_M} \int^s_{r'} \alpha_M(t)\rho(t)dt.
\]

As the linear interpolation coefficient for a material, \( \alpha_M \), is common to all energies, Eq. (7) allows the energetic specific attenuation properties to be moved outside the inner ray-tracing loop, enhancing both performance and accuracy.

The traditional forward-projection method of calculating TERMA is fundamentally serial. To parallelize, we utilized the inherent ray divergence of projection to identify sets of rays which could be run in parallel. This strategy introduced extra GPU call overhead, fragmented the workload into smaller, less efficient work units, and introduced memory inefficiencies; the GPU loads memory in 128-bit words resulting in a memory efficiency of 25%–50%. This inefficiency is compounded by the fact no two rays in a set reference the same voxel, drastically reducing the cache efficiency of the density lookup. Furthermore, in order to ensure good fluence sampling, at least four rays must pass through each voxel. This necessitates an additional “ray-path length” volume in order to correctly average the fluence-ray TERMA contributions. To address these issues we developed a back-projected TERMA algorithm,\(^{6}\) which casts a ray from each TERMA voxel back towards the source, gathering the attenuation along the way. While this is an \( O(n^2) \) algorithm, as opposed to the standard \( O(n^{-2}) \) method, it eliminates certain discretization artifacts, it is fully parallel, and it exhibits a large degree of cache reuse; we found that doubling the number of threads per cache unit nearly doubled the performance. Theoretically, this performance gain continues so long as more than one element per thread can be stored in cache.

We also enhanced the performance of the TERMA calculation when only changes in the fluence intensity occur, as is common during treatment plan optimization. This was achieved by formulating the TERMA calculation as an incident fluence, \( \Psi_0 \), scaled by an attenuation factor, \( A \), which can be mono-energetic or poly-energetic. As superposition normally uses the poly-energetic TERMA Eq. (8), we use the poly-energetic attenuation Eq. (9) to compute the poly-energetic TERMA using Eq. (10).

\[
T(r') = \sum E T_E(r'),
\]

\[
A(r') = \frac{1}{|r' - s|^2} \sum E \Psi_E(r') \mu_E e^{(\mu_E(r')\rho(r')) dt},
\]

\[
T(r') = \Psi_0(r')A(r').
\]
II.B.2. Superposition

Superposition spreads the TERMA by a dose deposition kernel, $K_E$, to determine the final dose, $D$, at a location, $r$, $K_E$ is specific to an energy $E$ and is indexed by the radiological distance Eq. (3) and the relative angle, $\omega$, between the point and the kernel axis, but lacks the geometric distance squared effect. We have chosen the standard inverse kernel method of superposition as it is both efficient and inherently parallel.

$$D(r) = \sum_E E \cdot \frac{1}{\|r - r'\|^2} \int T_2(r', r) \cdot d_\xi(r, r') \cdot d\omega.$$  

However, Eq. (11) is not explicitly calculated. Instead, the mono-energetic kernels are simplified to a poly-energetic kernel using Eq. (12), which is defined for a finite set of $\omega$ (zenith) angles, a fixed number of azimuth angles per zenith angle, $\varphi_\omega$, and an energetically normalized spectrum.

$$K(d, \omega) = \sum_E \frac{E \cdot \xi \cdot \int \Delta w \cdot K_E(d, \omega + w) \cdot dw}{\int \sum_E \xi \cdot \int \Delta w \cdot \phi_\omega \cdot dw}.$$  

This set of rays, $\psi$, negates the distance squared effect, as it is equal to the effect of the increase in volume of the solid angle the rays subtends and simplifies Eq. (11) to Eq. (13)

$$D(r) = \sum_\psi \int T(r + tv) \cdot K(d, \omega + tv) \cdot d\omega.$$  

Kernel tilting occurs when the ray directions are relative to the source-to-$r$ axis. A clinically acceptable approximation is to use ray directions that are relative to the beam axis, instead of the source-to-$r$ axis. This introduces a small error in each direction’s zenith angle, $\omega$, and therefore reduces accuracy.

Directly using the dose deposition kernel is numerically unstable at clinical resolutions. Instead, either the CK, which represents the dose from a ray segment to a point, or the cumulative-cumulative kernel (CCK), which represents the dose from a ray segment to a ray segment, are used.

$$\text{CK}(x, \omega) = \int_0^x \frac{1}{\omega} \cdot d\omega,$$  

$$\text{CCK}(x, \omega) = \int_0^x \text{CK}(t, \omega) \cdot dt,$$  

We experimented with both the CK and the CCK and chose to use the CCK as it provides greater accuracy, particularly at coarser resolutions, at little computational cost. The ability to use a coarser resolution is important; a two times reduction in resolution results in a ~16 times increase in performance.

We implemented both kernel tilting and kernel non-tilting superposition algorithms. Kernel tilting is slightly more complex and has poorer cache performance than kernel non-tilting. However, kernel tilting provides greater accuracy which allows the number of kernel rays to be reduced, resulting in a net gain in effective performance. In addition to this cost, using kernel tilting prevents ray-trace index caching, one of the major optimizations found in Pinnacle. Due to the computational performance and limited cache sizes on the GPU, we chose not to use this optimization in our non-tilted kernels.

II.B.3. Multi-resolution superposition

The ability of the CCK to remain accurate at coarse resolutions allowed us to develop a multi-resolution superposition algorithm, which approximated each sample ray as a true solid angle. As a ray’s width is proportional to the voxel width, by increasing the voxel size with geometric distance, the growth of the associated solid angle can be approximately matched. This improves theoretical complexity from $O(N^4)$ to $O(N \log N)$ for a cube water phantom of side length $N$. Multi-resolution superposition reduces small field (star) artifacts (see Fig. 4) which occur when an entire beam is missed due to sparse azimuth ray sampling. However, larger step sizes decrease the dose deposition kernel accuracy as the beam’s boundary is blurred, resulting in a systematic underdosage. Additional artifacts are introduced when neighboring voxels transverse different coarse resolution voxels.

Our implementation uses volumetric mip-maps, a set of images generated by sampling the input image at different resolutions. We set the resolution of each mip-map “level” to be half the resolution of the preceding level. This makes multi-resolution superposition inherently isotropic. Mip-map resolution changes were limited to a maximum of once per step and were only allowed to occur at voxel boundaries in

![Fig. 4. Diagrams of the memory access patterns of the different superposition methods (top) with example dose depositions from a 5 mm field (bottom). From left to right: ideal, standard, standard with a 50% azimuth phase offset, multi-resolution, and truncated. Identical windows and levels were used. Note the standard’s star pattern, the “extra” azimuth rays due to changing the azimuth angle phase, the multi-resolution’s gridding artifacts, and the truncation distance.](image-url)
the coarser resolution in order to prevent a TERMA voxel from contributing multiple times to the same dose voxel.

II.B.4. Arc superposition

An unintuitive facet of non-tilted kernels is that they contain up to ~5° of superposition angular error, depending on field size. This has been shown to be clinically acceptable when the TERMA is correct. Applying this proven approximation to arc therapy leads to the novel enhancement of using different angular sampling for the TERMA and superposition computations. As TERMA calculations are substantially faster than superposition calculations, using a high TERMA and a low superposition angular resolution can dramatically increase the performance and accuracy of arc therapy calculations.

II.B.5. Delta superposition

Currently, superposition is not fully used for inverse planning optimization due to low performance and the lack of a derivative algorithm. Though our GPU method is fast, optimizers can utilize a delta dose computation: the ability to reduce the workload by only adding the change, or delta, in primary fluence to the current dose deposition. There are three ways to extend delta dose computation to our method. First, we employ an early ray termination optimization. This sentinel can be utilized to artificially truncate the calculation to a radius about the primary fluence in a manner similar to pencil beam. The elegance of this solution is that it uses the same code base as normal superposition. So when all fluence points change, full superposition accuracy is obtained. However, adding and subtracting a beamlet can introduce errors as each change may be computed with a different accuracy. Next, the consistency of the first method can be increased by setting a fixed truncation distance, perpendicular from the beam’s axis. We found the relative performance of truncated superposition to decrease with finer resolutions: It was relatively fastest at 4 mm³, but at 1.9 mm³ its performance was worse than that of multi-resolution superposition. Lastly, full dose deposition can be calculated for the subset of voxels that would normally be affected by a delta dose computation. The subset may be flagged using a sentinel dose value, eliminating the extra storage and memory bandwidth required by a separate mask volume. Recalculation is more accurate and can include the changes in extra-focal fluence. This should increase the time between or eliminate the need for the periodic full superposition recalculations traditionally required by delta dose computations.

II.C. Optimizing CUDA performance

Several strategies were used to optimize CUDA performance. CUDA’s execution model is a 2D grid of 3D blocks of threads which execute a function (called a kernel). Each block represents one parallel work unit and therefore is limited in size. Block thread counts were optimized using NVIDIA’s CUDA occupancy calculator, maximizing for both occupancy and total block size. We refactored our tilted kernel implementation to allow for the maximum block size (512 threads) to be used. This added one additional texture lookup per ray, but increased cache sharing. The rearrangement of the multispectral TERMA equation to Eq. (7) increased the TERMA block size from 192 to 512 threads. The only functions where we did not achieve the maximum block size were the extra-focal source, the truncated superposition, and the multi-resolution superposition routines. These all used blocks with 384 threads.

For volume processing, we used a 1:1 mapping of threads to voxels in the x and y directions. The z direction was handled by looping over the per voxel function with increasing z indices. We used cube-like block dimensions and a z stride of the z block size to maintain thread spatial cohesion. This reduced cache misses and increased performance. All input array data was cached, generally in textures, which, in the case of superposition, doubled performance. The exception to this was the attenuation material properties, which were cached in constant memory.

Thread block synchronization was used to further maintain superposition thread spatial cohesion. This had a negligible performance impact at low resolutions, but increased high resolution performance by up to 21%. Non-tilted superposition used a single synchronization at the start of each kernel ray. Tilted superposition used two synchronizations: one at the start of each kernel ray and one at the start of each ray-trace. TERMA and multi-resolution superposition did not benefit from explicit synchronization.

We further reduced global memory bandwidth over our previous implementation by using a joint structure of two 16-bit floating point numbers for transferring the TERMA and density, ρ, volumes to the superposition algorithm. This resulted in a performance gain of ~13% and an average truncation error of $1.8 \times 10^{-5}$% of $D_{\text{max}}$, which is under the 16-bit floating point machine epsilon. The lower than expected performance gain of 16-bit floats indicates a shift in the performance bottleneck away from global memory bandwidth. 16-bit floats were not used for the TERMA calculation; passing the attenuation volume with 16-bit floats had a negligible performance benefit and resulted in additional truncation error, while passing the density volume with 16-bit floats actually reduced performance due to the extra workload of unpacking the 16-bit floats inside the ray-tracing loop.

Shared memory is a small, fast memory area shared between all threads in an execution block. Shared memory was used to store each control point’s MLC leaves in the extra-focal fluence computation and the array of volumes defining the mip-mapped data structure used in multi-resolution superposition. Previously, we used shared memory to store the multispectral attenuation bins in the TERMA computation, as it offered a slight performance improvement over registers, which spilled the attenuation bins into local memory; we allowed a maximum number of 21 energy bins as it was both sufficient for high energy beams and was free of shared memory bank conflicts, which can reduce performance. The improved TERMA implementation instead requires an accumulator for each material and supports up to 12 materials at
the maximum block size. Our attenuation tables contained nine materials: registers were used for the four common, biological materials and shared memory for the five less common metals. Using shared memory to store the MLC leaves for each primary fluence control point had worse performance than using the texture cache, as not all leaves were used by each execution block.

II.D. Analysis methodology

Quantitative analysis of a transport algorithm, such as superposition/convolution, is complicated by a strong dependence on the incidence fluence from the source model.\(^{30,31}\) The source model in turn is optimized to minimize the error between measured dose and calculated dose. Thus, the source model often masks errors in the transport algorithm. We have yet to complete a commissioning process for our source model, preventing us from fully leveraging its capabilities. Furthermore, commercial systems also included a separate electron contamination model, which is not considered in our implementation. We based our system parameters on a commissioned Varian 6EX linear accelerator modeled in the Pinnacle\(^3\) treatment planning system, with the exception of the beam spectrum where we used the published reference spectrum. The MLC contained 401 cm wide leaves with rounded leaf ends. The spectrum contained 15 spectral bins. Mass attenuation tables and mono-energetic dose deposition kernels were provided by Pinnacle\(^3\). CT to density conversion was achieved using a standard piecewise-linear function.

II.D.1. Performance metrics

All performance results are reported as raw numbers and the absolute speedup where appropriate, as this is the preferred method in parallelization research. Most of the publications related to this work have reported performance in relative speedup. The difference between relative and absolute speedup is that the former is relative to a version of the algorithm, while absolute speedup is relative to the optimal version of the algorithm. This can be a substantial factor as, for example, based on Hissoiny et al., Pinnacle\(^3\) is at least 2.3 times faster than PlanUNC. In this paper we only report absolute speedup, using Pinnacle\(^3\) (Philips, Madison, WI) as our optimal serial reference, as we are unaware of any serial implementation with better performance. Although Pinnacle\(^3\)’s adaptive superposition is technically the fastest clinically acceptable superposition/convolution algorithm, it is next to impossible to get two implementations of adaptive superposition to perform the same workload, and thus quantitative comparisons are not possible. We have refrained from making quantitative speedup comparisons between methods where the quantitative accuracy of the slower method is higher.

II.D.2. Performance measurements

Source model tests used a fluence size of 400\(^2\) pixels at a 1 mm\(^2\) resolution. Superposition tests were performed on a cube water phantom with a side length of 25.6 cm. Tests were performed on a dose volume with 64\(^3\) voxels, which is representative of standard clinical workload and an additional high resolution volume with 128\(^3\) voxels. Both volumes were centered at the beam isocenter. All Pinnacle\(^3\) experiments were run on an AMD (Sunnyvale, CA) Opteron 254 (two cores, 2.8GHz). Timing experiments were repeated at least ten times with no other programs active, using the standard superposition/convolution engine, with full heterogeneity correction. Time was measured by hand from the start of the superposition computation to the end, as reported by the Pinnacle\(^3\) user interface. The performance of Pinnacle\(^3\)’s setup, fluence generation, and TERMA computations were not measured. GPU experiments were performed on a Core i7 920 (four cores, 2.67GHz) with a single NVIDIA GeForce GTX 280 GPU. Timing results were repeated multiple times using the high performance hardware counter of the CPU (with execution limited to a single core to prevent errors) and include all steps required to execute that phase of computation. For example, the multi-resolution superposition times include the volumetric mip-map generation. For clarity, like Pinnacle\(^3\), we consider the loading and setup of the beam definition a separate step. This step is of similar complexity on both the CPU and GPU. However, the GPU can accelerate certain tasks, such as the conversion and resampling of the CT data set to density, and hide the cost of others by concurrently running different CPU and GPU tasks. Further, we assume comprehensive GPU use. Specifically, that algorithm inputs are generated on the GPU whenever possible and that the final dose output is summed, visualized, analyzed, etc., primarily using GPU routines. When this is not the case, it is possible for the GPU to write directly to main memory and for the next GPU generation to read directly from main memory, avoiding unnecessary memory copies.

II.D.3. Kernel ray sampling

The selection of the kernel ray directions for superposition is one of the black arts of dose computation. The set of rays has to balance the sampling of the patient representation, the incident fluence and the kernel itself. Traditionally, the kernel is sampled using a set of equally spaced azimuth angles and variably spaced zenith angles. The zenith and azimuth sampling directly affect the kernel and incident fluence sampling, respectively, and their combined properties effect the sampling of the patient representation. As zenith angles range from 0° to 180° while azimuth angles range from 0° to 360°, it takes twice as many azimuth angles as zenith angles to achieve the same angular sampling.

Pinnacle\(^3\) uses ten zenith and eight azimuth angles normally and has a “fast” mode which only uses four zenith angles. Pinnacle\(^3\)’s sampling of the ten zenith angles is a trade secret, but is known to be neither isotropic nor isoenergetic and was designed to counteract the accuracy lost from not tilting the kernel. We have created multiple sampling routines which attempt to create a set of isoenergetic zenith bins given a maximum zenith angle constraint. Each
routine binned the mono-kernel zenith angles in a greedy fashion, starting with the most backwards angle and proceeding toward the most forwards angle. They differed in how they handled the maximum zenith angle constraint: one always slightly exceeded the constraint, one met or exceeded the constraint, and one “looked ahead” to determine whether to exceed, meet, or fall short of the constraint. This allowed us to experiment with a variety of ray samplings from fully isotropic to fully isoe energetic. We experimented with increasing the phase of the azimuth sampling with each zenith bin (see Fig. 4), with adding a single ray along the beam axis in both the forwards and backwards directions, and with both geometric and energetic weightings for each zenith bin ray.

II.D.4. Accuracy measurements

At this point a final commissioned model is not available, so we measure the algorithmic accuracy of practical superposition implementations against an ideal superposition computation. Our ideal superposition computation is made using the tilted inverse cumulative-cumulative kernel sampled using the native 48 zenith angles of the monokernel data and 96 azimuth angles. Several delivery setups were tested including an IMRT treatment. In order to report our results we have chosen to average the mean error, relative to the maximum dose of the reference calculation, across a set of test fields. This is an improvement over our previous work, as it incorporates the systematic, absolute dose shifts that occur with multi-resolution, and truncated superposition.

We used two test field sets: a set of 47 square fields ranging from 0.5 cm × 0.5 cm to 23 cm × 23 cm in 0.5 cm increments and a set of 18 IMRT fields from a head-and-neck cancer patient (nine primary and nine cone-down). The field sets were delivered to a water phantom and the IMRT patient data set. Less extensive experiments using the synthetic field sets delivered to a heterogeneous slab phantom and additional patient data sets were performed.

III. RESULTS

III.A. Fluence generation

The performance of the primary source model was 0.15 ms for a single control point. The performance of the extra-focal source was under 5.3 ms for a single control point and was both MLC and jaw position dependent. The jaws perpendicular to the MLCs had the largest effect, as they limited the number of leaves that needed to be fully processed. MLC data was observed to affect performance by up to 50%. Total time, including source blurring, was under 8.3 ms. Tests performed using a fluence size of 800^2 pixels indicates an empirical complexity of O(n^1.8) which is slightly better than the theoretical O(n^2).

III.B. Fluence transport

III.B.1. TERMA

In Table I we compare the performance of our improved back-projected TERMA algorithm to our previous GPU versions of the forward-projection and back-projection TERMA algorithms for a range of resolutions. All three algorithms incorporated the physically correct multispectral attenuation from Eq. (5). The back-projection algorithm exhibited an empirical complexity of O(n^1.5) which is a slight improvement over its theoretical complexity of O(n^2). We also implemented the homogeneous material attenuation approximation from Eq. (6). This approximation increased performance by 24%. We found that caching the attenuation value provided a substantial performance increase; however, it may only be used when changes are limited to the incident fluence. We have previously shown our back-projection TERMA formulation to have very good agreement with an analytical formulation and to be within the discretization artifacts of Pinnacle3’s forward-projection implementation. Comparisons between our new and old multispectral implementations of Eq. (5), with the homogeneous approximation Eq. (6), showed Eq. (7) eliminated truncation errors and had perfect agreement for certain homogeneous slabs. Comparisons between the two implementations using heterogeneous volumes showed good agreement with no systematic trends; the maximum error was within the floating point epsilon.

### Table I. Performance of multiple TERMA calculation methods on an NVIDIA GeForce GTX 280. The prior forward and prior backward methods used multispectral attenuation and have been previously reported (Ref. 7). Multispectral and homogeneous methods both use back-projection. The cached method is projection method agnostic.

<table>
<thead>
<tr>
<th>Method</th>
<th>Volume size</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>64^3</td>
<td>128^3</td>
<td>256^3</td>
</tr>
<tr>
<td>Prior forward, Eq. (5)</td>
<td>44 ms</td>
<td>150 ms</td>
<td>1869 ms</td>
</tr>
<tr>
<td>Prior backward, Eq. (5)</td>
<td>18 ms</td>
<td>220 ms</td>
<td>3371 ms</td>
</tr>
<tr>
<td>Multispectral, Eq. (7)</td>
<td>3.5 ms</td>
<td>34.5 ms</td>
<td>438.0 ms</td>
</tr>
<tr>
<td>Homogeneous, Eq. (6)</td>
<td>1.7 ms</td>
<td>27.0 ms</td>
<td>362.8 ms</td>
</tr>
<tr>
<td>Cached, Eq. (9)</td>
<td>0.2 ms</td>
<td>1.2 ms</td>
<td>9.8 ms</td>
</tr>
</tbody>
</table>
In Table III we compare the accuracy of a selected set of superposition methods and samplings. The set includes interesting, clinically relevant results from a simulated head-and-neck IMRT delivery. Our full set of experiments included two field sets, seven phases, 101 kernel samplings, and multiple test volumes. Generally, all experiments were qualitatively similar. Quantitatively, cube phantoms and the synthetic square field set had greater accuracy than patient data sets, most likely due to increased long-distance patient scatter dose.

Superposition method was the most influential factor on accuracy; from best to worse were: tilted, non-tilted, tilted multi-resolution, multi-resolution, and truncated. There were several exceptions to this generalization. The $4 \times 8$ tilted kernels had similar (and therefore sometimes worse) performance to the best non-tilted kernels in the high dose region, but were better in the gradient and low dose regions. The truncated kernels performed better with the IMRT fields and patient data sets, sometimes outperforming the multi-resolution kernels. However, this only occurred with anisotropic kernels, which reduces the performance benefits of truncation and are inherently a poor choice for multi-resolution superposition. Multi-resolution superposition performed best with isotropic kernels, as was expected. Changing the ray sampling method and/or adding axis-aligned rays generally provided marginal mixed results. The exception to this was the $4 \times 8$ kernel samplings with a backwards axis-aligned ray.

Adding an azimuth phase offset to each zenith bin generally provided a marginal improvement in accuracy, though a worsening of accuracy did occur for some experiments. The notable exceptions to this were the $10 \times 8$ kernel samplings with the tilted and, to a lesser degree, the non-tilted methods.
The greatest improvements were seen with phases that were roughly one half the azimuth angle sampling. Experiments indicated the accuracy of a 10×8 kernel with 50% phase was similar to that of a 10×12 kernel without phase; an increase of 50%. This increase in effective azimuth sampling was supported visually by a reduction in star field artifacts (see Fig. 4).

Figures 5 and 6 compare the central axis and 10 cm dose profiles of the GPU implementation to Pinnacle3 and measured water tank data. Our implementation shows good agreement with some notable discrepancies. The minor central axis difference is indicative of a slightly harder beam spectrum. This effect may be due to slight differences in the spectrum, in spectrum interpolation, or in the dose-deposition kernel zenith angle interpolation. This can be corrected with a minor change to the modeled beam spectra.

III.B.3. Multi-resolution superposition

Multi-resolution superposition performed up to two times faster than traditional superposition. Performance gains were primarily due to better scalability: the multi-resolution method had an empirical performance of O(n^2.9) compared to traditional superposition’s O(n^4.0). Table III includes a comparison of the accuracy of multi-resolution superposition to traditional superposition. Individual analysis of the set of square fields indicated multi-resolution superposition performed better in the gradient and low dose regions of small fields due to less TERMA being geometrically missed by rays. This was at the expense of accuracy in the high dose region as the larger step sizes caused the beam boundary to be blurred. This blurring results in a systematic under-dosage when using multi-resolution superposition. A variant of the multi-resolution method, using the same step sizes, but not using a multi-resolution data structure increased error by ~60% and resulted in poor cache usage, reducing performance by ~50%.

III.B.4. Arc superposition

Table IV contains the results of our preliminary experiments in arc superposition for the high dose and gradient regions for the IMRT data set. We investigated the error in dose deposition halfway between two calculation points, where the maximum amount of error occurs. For standard superposition, we added the angle error to the field’s gantry angle and compared it to a reference dose deposition, computed at the field’s gantry angle. For arc superposition, we computed the TERMA volume at the field’s gantry angle and the superposition dose deposition at the field’s gantry angle plus the angle error. Results are reported as the average error of multiple IMRT fields for various kernel ray and angular samplings. Though preliminary, these experiments indicate a reasonable accuracy can be achieved with as little as nine superposition calculations, which represents an orders of magnitude performance improvement for arc therapies, such as VMAT.

III.C. System performance

Total system performance is dependent on several factors, including the beam geometry, the patient geometry, and the dose grid’s size, resolution, and location. We measured the performance of Pinnacle3 and our GPU system using as comparable settings as possible for a typical IMRT prostate case (nine fields, 198 control points). The Pinnacle3 computation time was 87.6 s on average, while the GPU time was 1.0 s. Component wise, 5% of the GPU time was spent generating the incident fluence, 18% computing the TERMA, and 75% performing superposition. Less than 2% of the time was spent in an unoptimized CPU routine converting the control points into a set of apertures and transferring them to the GPU. This breakdown highlights a shift in the relative performance of the GPU TERMA and superposition algorithms as compared to their serial counterparts.

IV. DISCUSSION

We have implemented a GPU-accelerated dose engine with near real-time performance based on the superposition/convolution algorithm. We have developed a modern, deterministic GPU-accelerated source model. The extra-focal fluence model was enhanced with arbitrary fluence profiles and MLC leaf height modeling. The TERMA calculation was enhanced with physically correct multispectral attenuation and back-projection, which is inherently parallel and eliminates ray discretization artifacts. Furthermore, the TERMA attenuation caching strategy improves performance for interactive
TABLE IV. Selected average mean deposited dose errors, relative to $D_{\text{max}}$, for 18 IMRT fields (nine primary and nine cone-down) from a head-and-neck cancer patient, delivered to a cube water phantom (25.6 cm with 4 mm cube voxels) for multiple superposition methods, kernel samplings, and 360° arc sampling schemes. Zenith angular sampling was limited by $2\pi$/no. of azimuth angles.

<table>
<thead>
<tr>
<th>Rays</th>
<th>Arc Superposition</th>
<th>Standard Superposition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tilt</td>
<td>6×12</td>
<td>10×8</td>
</tr>
<tr>
<td></td>
<td>4×8</td>
<td>4×8</td>
</tr>
<tr>
<td></td>
<td>4×8</td>
<td>4×8</td>
</tr>
<tr>
<td></td>
<td>4×8</td>
<td>4×8</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Multi-resolution</th>
<th>6×12</th>
<th>4×8</th>
<th>4×8</th>
<th>4×8</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>X</td>
</tr>
<tr>
<td></td>
<td>√</td>
<td>√</td>
<td>√</td>
<td>X</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>No. of $'s$</th>
<th>$\Delta\angle$</th>
<th>High Dose Region</th>
<th>Gradient Region ($\nabla D &gt; 0.3 D$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\infty$</td>
<td>0°</td>
<td>0.12% 0.28% 0.99% 1.12%</td>
<td>0.27% 0.12% 0.28% 0.99% 1.12%</td>
</tr>
<tr>
<td>360</td>
<td>0.5°</td>
<td>0.12% 0.28% 0.99% 1.12%</td>
<td>0.32% 0.18% 0.32% 1.01% 1.14%</td>
</tr>
<tr>
<td>180</td>
<td>1°</td>
<td>0.12% 0.28% 0.99% 1.12%</td>
<td>0.40% 0.29% 0.40% 1.05% 1.18%</td>
</tr>
<tr>
<td>36</td>
<td>5°</td>
<td>0.27% 0.31% 1.02% 1.16%</td>
<td>2.73% 2.66% 2.69% 3.07% 3.18%</td>
</tr>
<tr>
<td>18</td>
<td>10°</td>
<td>0.50% 0.44% 1.12% 1.25%</td>
<td>7.05% 6.95% 6.96% 7.21% 7.30%</td>
</tr>
<tr>
<td>9</td>
<td>20°</td>
<td>1.07% 0.92% 1.48% 1.60%</td>
<td>14.05% 13.90% 13.88% 14.07% 14.19%</td>
</tr>
</tbody>
</table>

V. FUTURE WORK

A commissioning method for our source model, an electron contamination model, and a backscatter model are currently being completed. These will allow for a more quantitative assessment of the dose engine accuracy. We are investigating the use of analytical integration in the primary fluence model in order to better support therapies with dynamic MLCs such as VMAT. Though simple in the perpendicular direction, the rounded leaf end model would require simplification. Recent 3D texturing support may allow efficient approximate hardening of the dose deposition kernel. The recent switch to multiple instruction stream, multiple data stream style GPU architectures should allow for efficient implementation of adaptive superposition to the GPU.

V.A. Inverse planning

Inverse planning systems currently utilize a combination of truncated transfer functions and either superposition or Monte Carlo dose calculation. In order to accelerate inverse planning on the GPU, a switch from using RAM intensive transfer functions to implicit calculation is required. There is also an elegance in using superposition, and therefore the same source model, for both dose calculation and optimization. Superposition/convolution without transfer functions has not previously been used for inverse planning.

V.A.1. Derivative calculation using superposition/convolution

Most optimization methods utilize the derivative of the objective function in some manner. Even stochastic techniques, such as adaptive simulated annealing, which do not explicitly use the derivative in the optimization itself, use the derivative to set optimization parameters. The derivative of an objective function, $O$, with respect to the solution parameters, $P$, can be determined from propagating the object derivative with respect to dose, $dO/dD$, through superposition and the source model to the solution parameters. First, $dD/dT$ is determined by reversing the forward superposition method; instead of spreading dose, the weighted effects of a unit of energy release are gathered. This is identical to using the inverse superposition method with a forward superposition kernel. $dT/d\Psi_0$ is then determined by a ray-cast algorithm, similar to the forward TERMA calculation, performing a similar gather weighted by each voxel’s attenuation. The source model then projects $dT/d\Psi_0$ to the machine parameters. Calculating $d\Psi_0/dP$ for extra focal sources algebraically is complex and most clinical systems approximate $d\Psi_0/dP$ as only being influenced by the primary source.
\[
\frac{dO}{dT} = \left( \frac{dV}{dP} \frac{dT}{dD} \right) \frac{dO}{dP} \frac{dT}{dV} \frac{dD}{dP}.
\]

(18)

By integrating GPU-based dose and derivative computation, full utilization of our methods by future treatment planning systems will be possible.

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