# Suffix Arrays: maximum skipping <br> Ben Langmead 



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## Suffix array: querying



Query: ababa a

$$
==<
$$

Pivot: a b b a b a b \$

## Suffix array: querying



Query: ababa a

$$
===\quad=>
$$

Pivot: abab\$

## Suffix array: querying



Min-LCP skipping uses what

Query: ababa a

$$
=====<
$$

Pivot: abababbabab\$

we learn about LCPs to skip character comparisons

We can also precompute common prefixes between suffixes for even more skipping!

## Suffix array

Terminology: length of common prefix between a query string and a suffix is an LCP

## Longest Common Prefix

Length of common prefix between two suffixes of the same string is an LCE

## Longest Common Extension

We learn about LCPs during binary search; we can precompute LCEs

## Suffix array



Query: ababaa
Query: ababaa ==<---
Pivot: abbabab\$

## Suffix array

We can skip the first 2 character comparisons between query and new pivot!


## Suffix array



Query: ababaa
Pivot: abab\$

## Suffix array



Query: ababaa

$$
====>-
$$

Pivot: abab\$

## Suffix array

Skip first 4 character comparisons between query and new pivot!


## Suffix array



Query: ababaa
= = = = -
Pivot: abababbabab\$

## Suffix array



Query: ababaa

$$
=====<
$$

Pivot: abababbabab\$

## Suffix array



If query was less than the previous pivot, it must be less than this pivot too; no character comparisons needed

## Suffix array

No skipping
ababaa
＝＝く－－
abbabab\＄
ababaa
＝＝＝＝＞－
abab\＄
ababaa
＝＝＝＝＝＜ abababbabab\＄
ababaa
＝＝＝＝＝く
ababababbabab\＄

Min－LCP skipping
ababaa
＝＝く－－
abbabab\＄
ababaa
＝＝＝＝＞－
abab\＄
ababaa
＝＝＝＝＝く
abababbabab\＄
ababaa
＝＝＝＝＝く
ababababbabab\＄

## Suffix array

Min－LCP skipping
ababaa
＝＝く－－
abbabab\＄
ababaa
＝＝＝＝＞－
abab\＄
ababaa
＝＝＝＝＝く
abababbabab\＄
ababaa
＝＝＝＝＝く
ababababbabab\＄

Max skipping
ababaa
＝＝く－－
abbabab\＄
ababaa
＝＝＝＝＞－
abab \＄
ababaa
＝＝＝＝＝く
abababbabab\＄
ababaa
$=====<$
abababababab $\$$

## Suffix array: less-than case

Say we know the query is less than the previous pivot with some LCP. We also know the LCE between the pivots.


$$
\mathrm{LCP}<\mathrm{LCE}
$$

Query must also be less than next pivot; recurse left
New LCP is same as old LCP
New LCE is between red \& new pivots

$$
\mathrm{LCP}>\mathrm{LCE}
$$

Query must be greater than next pivot; recurse right New LCP is same as old LCP
New LCE is between blue \& new pivots

$$
\mathrm{LCP}=\mathrm{LCE}
$$

Skip first LCP characters, then continue comparisons, updating LCP and deciding recursion as usual.
New LCE will involve red pivot

## Suffix array: greater-than case

Say we know the query is greater than the previous pivot with some LCP. We also know the LCE between the pivots.


$$
\mathrm{LCP}<\mathrm{LCE}
$$

Query must also be greater than next pivot; recurse right New LCP is same as old LCP
New LCE is between red \& new pivots

LCP > LCE
Query must be less than next pivot; recurse left
New LCP is same as old LCP
New LCE is between blue \& new pivots

$$
\mathrm{LCP}=\mathrm{LCE}
$$

Skip first LCP characters, then continue comparisons, updating LCP and deciding recursion as usual.

New LCE will involve red pivot

## Suffix array: less-than case

Say we know the query is less than the previous pivot with some LCP. We also know the LCE between the pivots.


$$
\mathrm{LCP}<\mathrm{LCE}
$$

Query must also be less than next pivot; recurse left
New LCP is same as old LCP
New LCE is between red \& new pivots

$$
\mathrm{LCP}>\mathrm{LCE}
$$

Query must be greater than next pivot; recurse right New LCP is same as old LCP
New LCE is between blue \& new pivots

$$
\mathrm{LCP}=\mathrm{LCE}
$$

Skip first LCP characters, then continue comparisons, updating LCP and deciding recursion as usual.
New LCE will involve red pivot

## Suffix array

We must precompute all possible LCEs that might be needed in the previous computation


## Suffix array



Store pre-computed LCEs at nodes (pivots), conditioned on whether previous pivot was to the left or right

## Suffix array



Store pre-computed LCEs at nodes (pivots), conditioned on whether previous pivot was to the left or right

Approximately triples size of the data structure (!)

## Suffix array

Max skipping

Like naive \＆min－LCP
strategies，max skipping
performs $O(\log m)$ bisections
Once a character of P matches it＂stays matched．＂
Comparisons in a given round don＇t look back farther than the last mismatch．

Our query time bound therefore improves from
$O(n \log m)$ to $O(n+\log m)$
ababaa
＝＝く－－
abbabab\＄
ababaa
$====>-$
abab\＄
ababaa
＝＝＝＝＝く
abababbabab\＄
ababaa
＝＝＝＝＝く
ababababbabab\＄

## Suffix array: summary

Naive binary search on suffix array takes $O(n \log m)$ time, in contrast to $O(n)$ for suffix tree query

Min-LCP skipping helps, using what we learned in previous rounds to skip character comparisons

Requires no additional space beyond SA + T
Not $O(n+\log m)$, but efficient in practice

Max skipping additionally stores $\sim 2 \mathrm{~m}$ pre-computed LCEs, tripling structure size, but improving time to $O(n+\log m)$

## Suffix array: summary

Whether query takes $O(n \log m)$ or $O(n+\log m)$ time, there's no escaping the $\log m$; the price of binary search!


