

BWT for repetitive texts, part 4b: Toehold lemma & Locate queries

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For original Keynote files email me ben.langmead@gmail.com

Locate query

Put these in a table called " N "

N

i	0	7	8	10	11	12	14	15	16	17	18	19	20	41	42	44	45	53	54	55	56	57	58	59	60	61	62	63
$SA[q-1]$	22	29	30	32	33	34	36	37	38	39	40	41	42	15	13	16	0	10	18	20	9	63	19	17	11	8	-	21
$SA[q+1]$	47	62	57	61	-	43	42	45	60	55	59	56	0	21	22	24	25	33	34	35	36	37	38	39	40	41	58	46

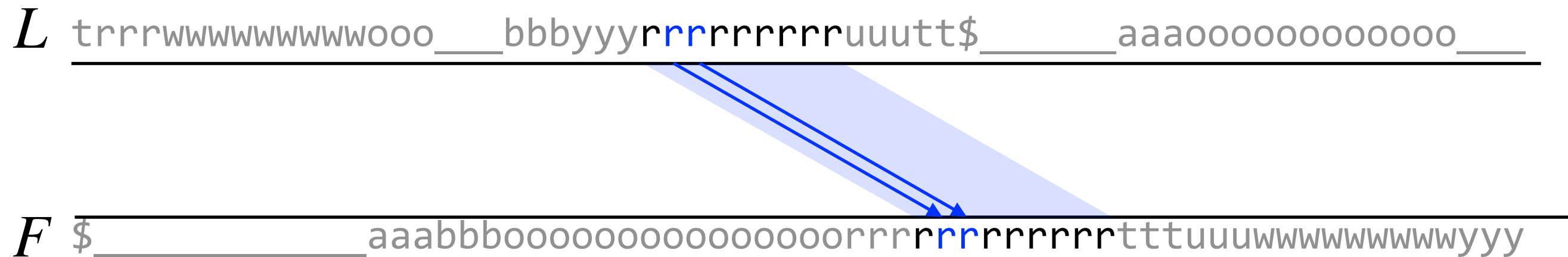
T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boat\$

How do we use N to answer arbitrary queries of the form:

Given q , what are $SA[q-1]$ & $SA[q+1]$?

Runny LF

Two positions in the same BWT run move through LF in a **parallel** fashion

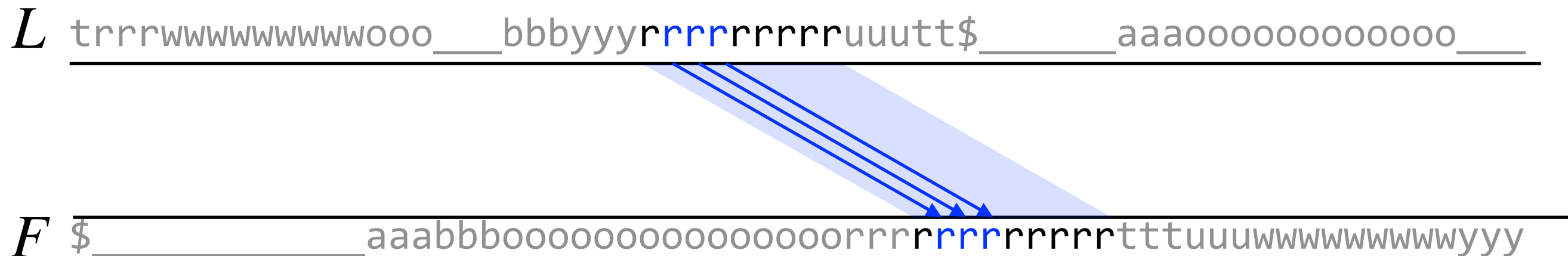


If $\text{BWT}[q] = \text{BWT}[q + 1]$

then $\text{LF}[q + 1] = \text{LF}[q] + 1$

Runny LF

Three positions in the same BWT run move through LF in a **parallel** fashion

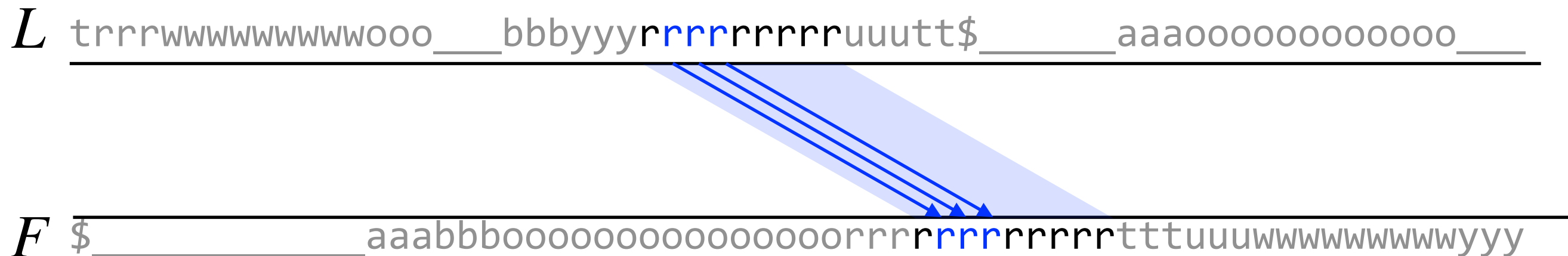


If $\text{BWT}[q - 1] = \text{BWT}[q] = \text{BWT}[q + 1]$

then $\text{LF}[q + 1] = \text{LF}[q] + 1$ & $\text{LF}[q - 1] = \text{LF}[q] - 1$

Runny LF

Three positions in the same BWT run move through LF in a **parallel** fashion



If $\text{BWT}[q - 1] = \text{BWT}[q] = \text{BWT}[q + 1]$
If $\text{BWT}[q]$ is **not** a run head or tail

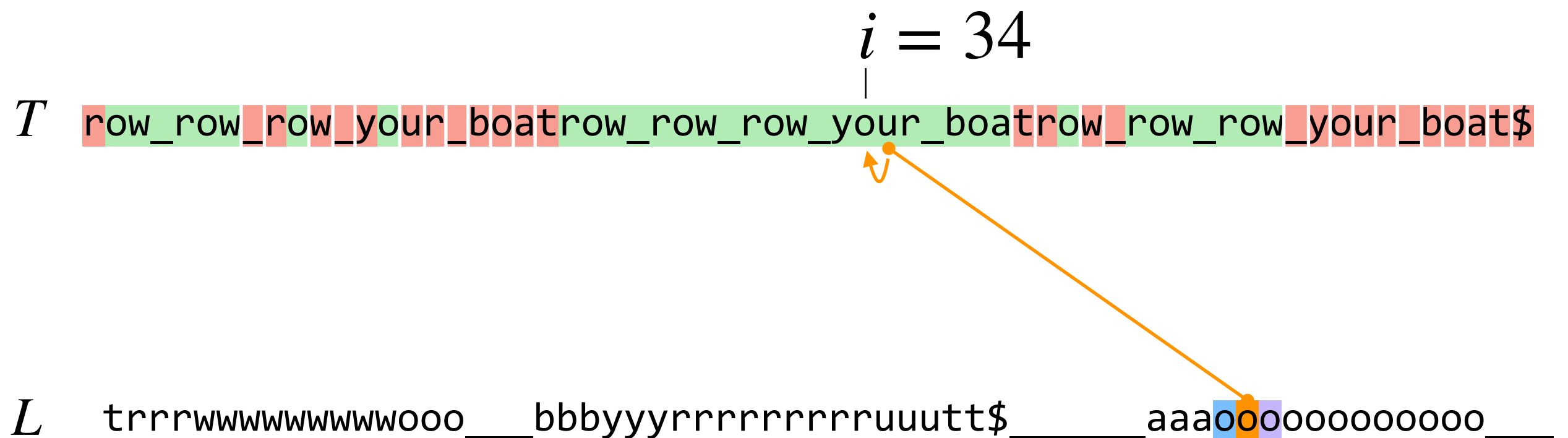
equivalent

then $\text{LF}[q + 1] = \text{LF}[q] + 1$ & $\text{LF}[q - 1] = \text{LF}[q] - 1$

Locate query

Say we have $q = 50$ and know $SA[q] = 35$ via toehold

We **want** to know $SA[q-1 = 49]$ and $SA[q+1 = 51]$

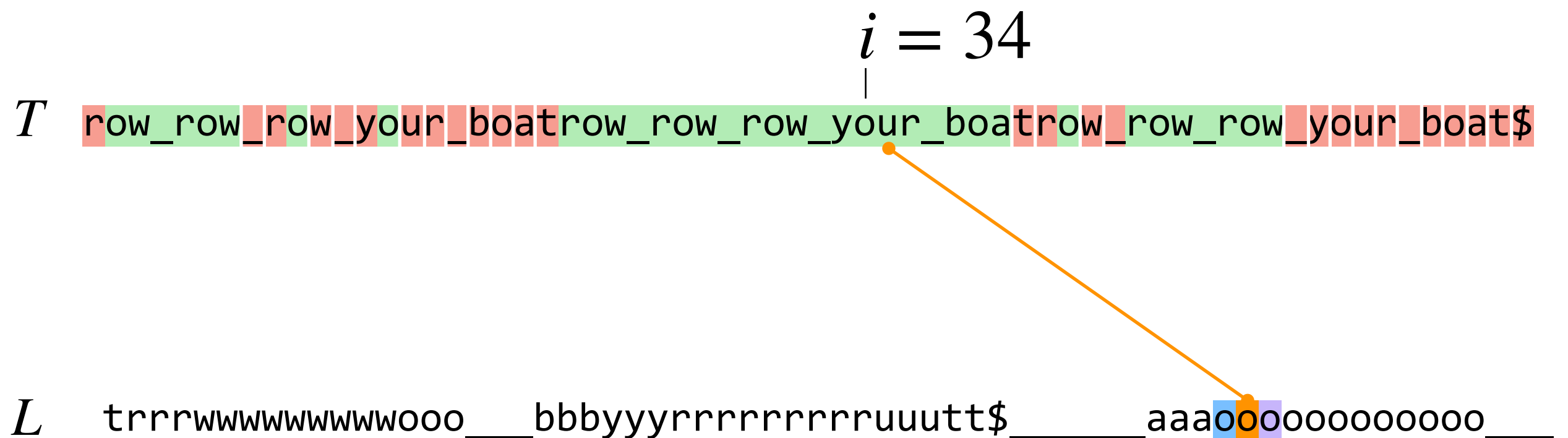


Locate query

Say we have $q = 50$ and know $SA[q] = 35$ via toehold

We **want** to know $SA[q-1 = 49]$ and $SA[q+1 = 51]$

$T[i=34]$ is **not** a phrase head; cannot look up directly



Locate query

Because BWT[50] is **not a run head or tail**

LF[49] LF[50] LF[51] move in parallel

$i = 34$

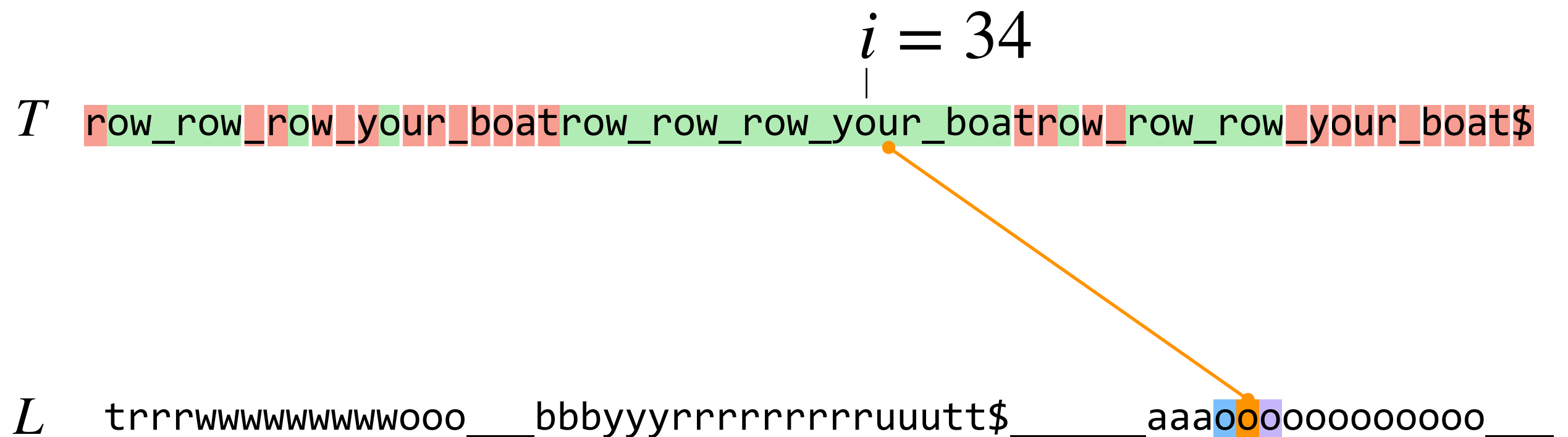
T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boat\$

L trrrwwwwwwwwwooo__bbbyyyrrrrrrrrrrruutt\$_____aaaooooooooooooo__

Locate query

Because BWT[50] is
not a run head or tail
 LFs move in parallel

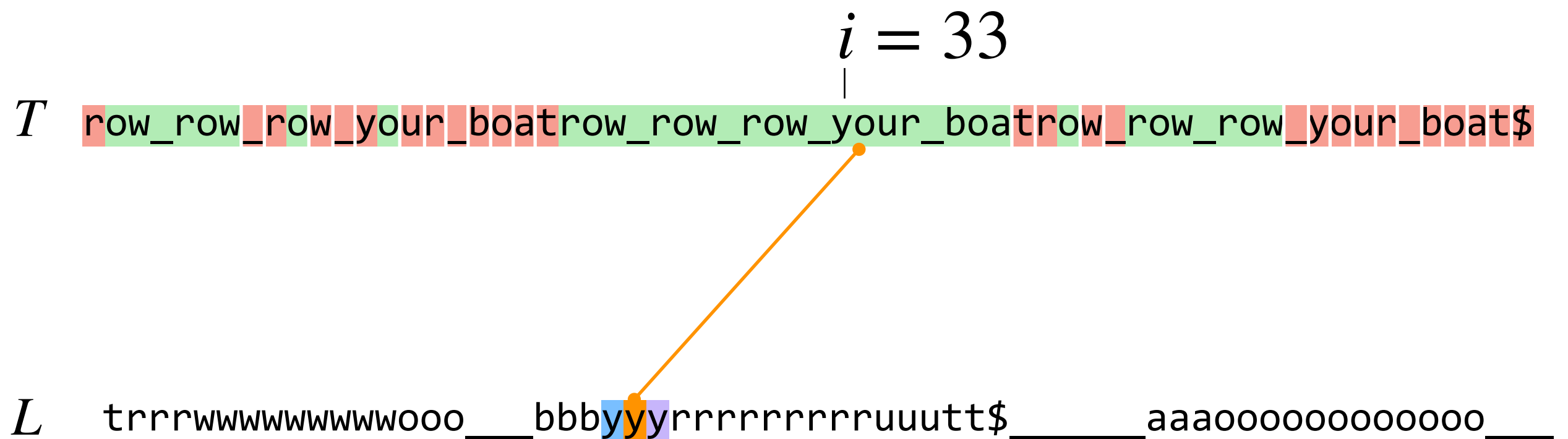
$SA[...]$				
i	q	$[q - 1]$	$[q]$	$[q + 1]$
34	50	?	35	?



Locate query

Because BWT[50] is
not a run head or tail
 LFs move in parallel

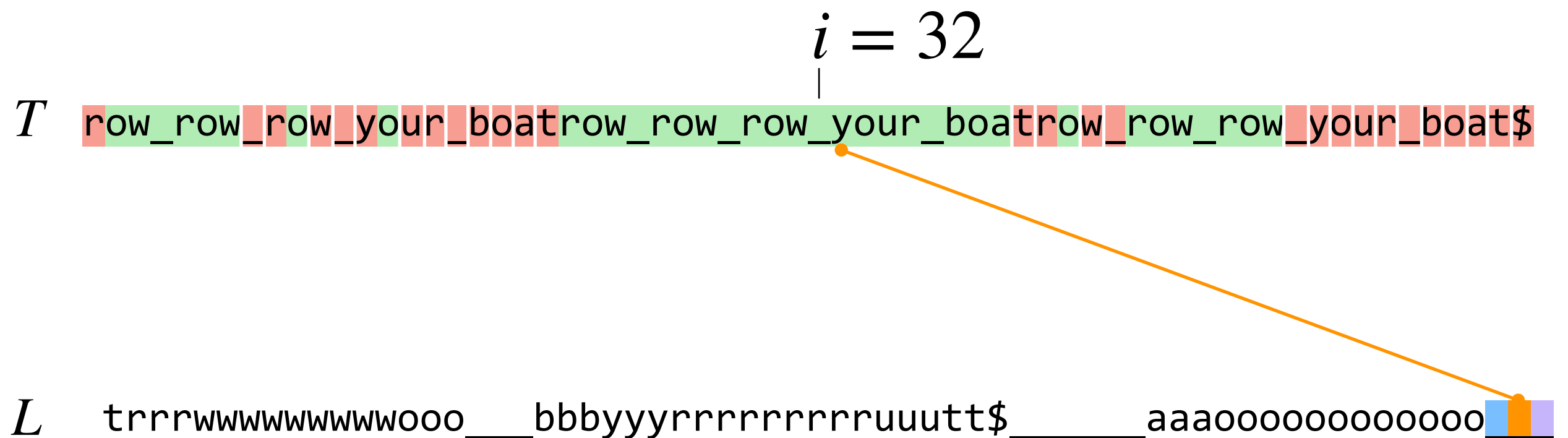
$SA[\dots]$				
i	q	$[q - 1]$	$[q]$	$[q + 1]$
34	50	?	35	?
33	23	?	34	?



Locate query

Because BWT[50] is
not a run head or tail
 LFs move in parallel

$SA[\dots]$				
i	q	$[q - 1]$	$[q]$	$[q + 1]$
34	50	?	35	?
33	23	?	34	?
32	62	?	33	?



Locate query

Several steps later...

N								
i	17	18	19	20	41	42	44	45
$SA[q-1]$	...	40	41	42	15	13	16	...
$SA[q+1]$	55	59	56	0	21	22	24	25

LFs

$$i = 20$$

T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boat\$

L trrrwwwwwwwooo__bbbyyrrrrrrrrruutt\$_____aaaooooooooooooo__

		$SA[\dots]$		
i	q	$[q - 1]$	$[q]$	$[q + 1]$
34	50	?	35	?
33	23	?	34	?
32	62	?	33	?
31	11	?	32	?
30	59	?	31	?
29	32	?	30	?
28	44	?	29	?
27	8	?	28	?
26	56	?	27	?
25	29	?	26	?
24	41	?	25	?
23	5	?	24	?
22	53	?	23	?
21	26	?	22	?
20	38	?	21	?

Locate query

Several steps later...

N								
i	17	18	19	20	41	42	44	45
$SA[q-1]$	...	40	41	42	15	13	16	...
$SA[q+1]$	55	59	56	0	21	22	24	25

LFs

$$i = 20$$

		SA[. .]		
i	q	$[q - 1]$	$[q]$	$[q + 1]$
34	50	?	35	?
33	23	?	34	?
32	62	?	33	?
31	11	?	32	?
30	59	?	31	?
29	32	?	30	?
28	44	?	29	?
27	8	?	28	?
26	56	?	27	?
25	29	?	26	?
24	41	?	25	?
23	5	?	24	?
22	53	?	23	?
21	26	?	22	?
20	38	42	21	0

T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boat\$

L trrrwwwwwwwooo__bbbyyrrrrrrrrruutt\$_____aaaooooooooooooo__

Locate query

Several steps later...

		N							
i		17	18	19	20	41	42	44	45
$SA[q-1]$	...	40	41	42	15	13	16	...	
$SA[q+1]$	55	59	56	0	21	22	24	25	

$$i = 20$$

		SA[..]		
i	q	$[q - 1]$	$[q]$	$[q + 1]$
34	50	56	35	14
33	23	55	34	13
32	62	54	33	12
31	11	53	32	11
30	59	52	31	10
29	32	51	30	9
28	44	50	29	8
27	8	49	28	7
26	56	48	27	6
25	29	47	26	5
24	41	46	25	4
23	5	45	24	3
22	53	44	23	2
21	26	43	22	1
20	38	42	21	0

T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boat\$

L trrrwwwwwwwooo__bbbyyrrrrrrrrruutt\$_____aaaooooooooooooo__

Locate query

Several steps later...

N

i	17	18	19	20	41	42	44	4
$SA[q-1]$	...	40	41	42	15	13	16	...
$SA[q+1]$	55	59	56	0	21	22	24	2

We **want** to know

$SA[q-1 = 49]$ and

$SA[q+1 = 51]$

Answer:

$SA[49] = \text{Answer from } N + \text{LF steps} = 42 + 14 = 56$

$SA[51] = \text{Answer from } N + \text{LF steps} = 0 + 14 = 14$

		$SA[...]$		
i	q	$[q-1]$	$[q]$	$[q+1]$
34	50	56	35	14
33	23	55	34	13
32	62	54	33	12
31	11	53	32	11
30	59	52	31	10
29	32	51	30	9
28	44	50	29	8
27	8	49	28	7
26	56	48	27	6
25	29	47	26	5
24	41	46	25	4
23	5	45	24	3
22	53	44	23	2
21	26	43	22	1
20	38	42	21	0

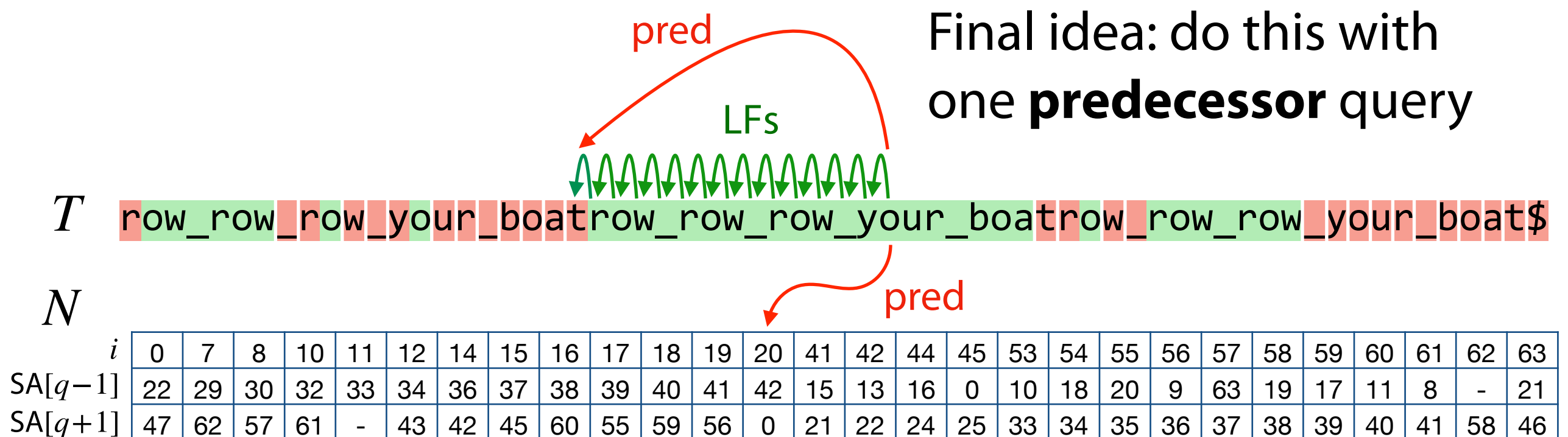
LFs

Locate query

"Parallel" movement continues until we reach T-phrase head

$BWT[q - 1] = BWT[q] = BWT[q + 1]$ **exactly** when $BWT[q]$ is **not** a run head or tail

Once LF chain reaches a head, SA values can be looked up and answer constructed



Locate query

N

i	0	7	8	10	11	12	14	15	16	17	18	19	20	41	42	44	45	53	54	55	56	57	58	59	60	61	62	63
$SA[q-1]$	22	29	30	32	33	34	36	37	38	39	40	41	42	15	13	16	0	10	18	20	9	63	19	17	11	8	-	21
$SA[q+1]$	47	62	57	61	-	43	42	45	60	55	59	56	0	21	22	24	25	33	34	35	36	37	38	39	40	41	58	46

T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boats\$

Say $q =$ $i =$ and $SA[q] =$

$$i' \leftarrow \text{pred}(i)$$

$$x, y \leftarrow N[i']$$

$$k \leftarrow i - i'$$

$$SA[q - 1] = x + k$$

$$SA[q + 1] = y + k$$

Locate query

N

i	0	7	8	10	11	12	14	15	16	17	18	19	20	41	42	44	45	53	54	55	56	57	58	59	60	61	62	63
$SA[q-1]$	22	29	30	32	33	34	36	37	38	39	40	41	42	15	13	16	0	10	18	20	9	63	19	17	11	8	-	21
$SA[q+1]$	47	62	57	61	-	43	42	45	60	55	59	56	0	21	22	24	25	33	34	35	36	37	38	39	40	41	58	46

T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boats\$

Say $q = 34$ $i = 50$ and $SA[q] = 35$

$i' \leftarrow \text{pred}(i)$

$x, y \leftarrow N[i']$

$k \leftarrow i - i'$

$SA[q - 1] = x + k$

$SA[q + 1] = y + k$

Locate query

N

i	0	7	8	10	11	12	14	15	16	17	18	19	20	41	42	44	45	53	54	55	56	57	58	59	60	61	62	63
$SA[q-1]$	22	29	30	32	33	34	36	37	38	39	40	41	42	15	13	16	0	10	18	20	9	63	19	17	11	8	-	21
$SA[q+1]$	47	62	57	61	-	43	42	45	60	55	59	56	0	21	22	24	25	33	34	35	36	37	38	39	40	41	58	46

T row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boat\$

Say $q = 34$ $i = 50$ and $SA[q] = 35$

$$i' \leftarrow \text{pred}(i)$$

$$x, y \leftarrow N[i']$$

$$k \leftarrow i - i'$$

$$SA[q - 1] = x + k$$

$$SA[q + 1] = y + k$$

$$i' \leftarrow 20$$

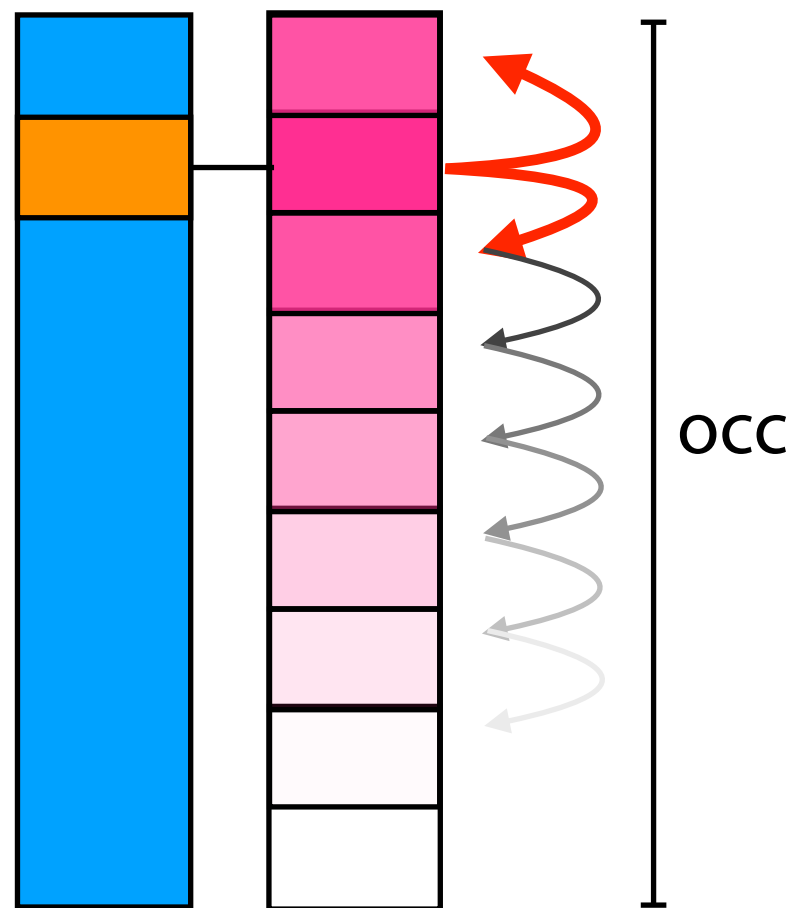
$$x \leftarrow 42 \quad y \leftarrow 0$$

$$k \leftarrow 34 - 20 = 14$$

$$42 + 14 = 56$$

$$0 + 14 = 14$$

$O(r)$ locate queries



One such query uses $O(\log \log n/r)$
time $O(r)$ space

Propagates SA knowledge out by
one step

Must repeat $O(\text{occ})$ times
where $\text{occ} = \#$ of occurrences

$O(\text{occ} \cdot \log \log n/r)$ time overall

Putting it together

Diagram illustrating the mapping from a text T to a suffix array SA . The text T is partitioned into blocks of size N (7 characters). The suffix array SA stores the starting positions of all suffixes of T in sorted order. The mapping shows that the suffix starting at index 47 in T (the first 'row') maps to index 47 in SA , and the suffix starting at index 48 in T (the second 'row') maps to index 62 in SA .

i	0	7	8	10	11	12	14	15	16	17	18	19	20	41	42	44	45	53	54	55	56	57	58	59	60	61	62	63
$SA[q-1]$	22	29	30	32	33	34	36	37	38	39	40	41	42	15	13	16	0	10	18	20	9	63	19	17	11	8	-	21
$SA[q+1]$	47	62	57	61	-	43	42	45	60	55	59	56	0	21	22	24	25	33	34	35	36	37	38	39	40	41	58	46

Text T : row_row_row_your_boatrow_row_row_your_boatrow_row_row_your_boat\$

Samples at T-phrase heads + predecessor structure

\$	39					
_	16	18	40	45	61	63
a	46	48				
b	19	21				
o	13	15	49	60		

r	1	3	25	33
t	0	37	38	
u	34	36		
w	4	12		
y	22	24		

L trrrwwwwwwwoo_ bbyyrrrrrrrrruutt\$ _aaooooooooooooo_

Samples at run heads & tails + alphabet-stratified predecessor structure

Putting it together

N																													
i		0	7	8	10	11	12	14	15	16	17	18	19	20	41	42	44	45	53	54	55	56	57	58	59	60	61	62	63
$SA[q-1]$		22	29	30	32	33	34	36	37	38	39	40	41	42	15	13	16	0	10	18	20	9	63	19	17	11	8	-	21
$SA[q+1]$		47	62	57	61	-	43	42	45	60	55	59	56	0	21	22	24	25	33	34	35	36	37	38	39	40	41	58	46

$O(r)$ space $O(\text{occ} \cdot \log \log(n/r))$ time for all occ locate queries

\$	39					
_	16	18	40	45	61	63
a	46	48				
b	19	21				
o	13	15	49	60		

r	1	3	25	33
t	0	37	38	
u	34	36		
w	4	12		
y	22	24		

$O(r)$ space $O(\log \log(\sigma + n/r))$ time per backward search iteration keeping same $O(m \log \log(\sigma + n/r))$ bound

Overall backward search + locate in $O(r)$ space
 $O(m \log \log(\sigma + n/r) + \text{occ} \cdot \log \log(n/r))$

Putting it together

Index	Space	Locate time
Kreft and Navarro [2013, Thm. 4.11]	$O(z)$	$O(m^2 h + (m + occ) \log z)$
Christiansen and Ettiienne [2018, Thm. 2(3)]	$O(z \log(n/z))$	$O(m + \log^\epsilon z + occ(\log^\epsilon z + \log \log n))$
Christiansen and Ettiienne [2018, Thm. 2(1)]	$O(z \log(n/z) + z \log \log z)$	$O(m + occ(\log^\epsilon z + \log \log n))$
Bille et al. [2018, Cor. 1]	$O(z \log(n/z) \log \log z)$	$O(m(1 + \log^\epsilon z / \log(n/z)) + occ \log \log n)$
Bille et al. [2018, Cor. 1]	$O(z(\log(n/z) + \log \log z) \log \log z)$	$O(m + occ \log \log n)$
Claude and Navarro [2012, Thm. 1]	$O(g)$	$O(m^2 \log \log_g n + (m + occ) \log g)$
Gagie et al. [2012, Thm. 4]	$O(g + z \log \log z)$	$O(m^2 + (m + occ) \log \log n)$
Mäkinen et al. [2010, Thm. 20]	$O(r + n/s)$	$O((m + s \cdot occ)(\frac{\log \sigma}{\log \log r} + (\log \log n)^2))$
Belazzougui et al. [2015a, Thm. 3]	$O(\bar{r} + z)$	$O(m(\log z + \log \log n) + occ(\log^\epsilon z + \log \log n))$
This paper (Thm. 3.6)	$O(r)$	$O((m + occ) \log \log_w(\sigma + n/r))$
This paper (Thm. 4.10)	$O(r \log \log_w(\sigma + n/r))$	$O(m + occ)$
This paper (Thm. 4.11)	$O(rw \log_\sigma \log_w n)$	$O(\lceil m \log(\sigma) / w \rceil + occ)$
Belazzougui and Cunial [2017a, Thm. 1]	$O(e)$	$O(m + occ)$

we discussed
the first one

Gagie, T., Navarro, G., & Prezza, N. (2020). Fully functional suffix trees and optimal text searching in BWT-runs bounded space. Journal of the ACM (JACM), 67(1), 1-54.