# Intro: Indexing 

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## Indexing

Imagine we have recorded the ages of many people; say, voters:

How many voters are aged 27 ?
To find out, we have no choice but to scan $n=1 \mathrm{M}$ records

Order to the rescue

| Index | Age |
| :---: | :---: |
| 1 | 78 |
| 2 | 19 |
| 3 | 20 |
| 4 | 50 |
| $\vdots$ |  |
| 500,000 | 54 |
| 500,001 | 50 |
| 500,002 | 19 |
| 500,003 | 77 |
| $\vdots$ |  |
| 999,997 | 40 |
| 999,998 | 27 |
| 99,999 | 71 |
| $1,000,000$ | 44 |

Example modeled on: Prezza, Nicola. Compressed Computation for Text Indexing.
Diss. PhD thesis, University of Udine, 2016.

## Indexing

| 17 | 18 |
| :--- | :--- |
| 33 | 18 |
| 39 | 18 |
| 60 | 18 |
| $\vdots$ |  |

Suppose our list is age-ordered
How many voters are aged 27 ?

## Binary search

More specifically?
2 searches, one for the first age-27 person, one for last

## Indexing

| 17 | 18 |
| :--- | :--- |
| 33 | 18 |
| 39 | 18 |
| 60 | 18 |

Simply ordering the data allows us to query it more efficiently

From $n$-item scan to two $\log _{2} n$ binary searches

Did it also improve our ability to compress the age data?

Yes; we now have "runs" of same value, monotonicity, etc

## Indexing



Grouping


Ordering

## Indexing

We are working with a text. We want to know if some word occurs. The text is big but an excerpt is:


Ordering words alphabetically: good < is < order

## Indexing



Can we still use binary search?
Yes, but what's the cost of comparing 2 words?

## Several character comparisons needed to get relative order of dinosaur \& dinosaurs

Again, we've improved queryability \& compressibility

## Indexing

Queries only on words is limiting

Texts might not consist of words e.g. DNA

Word matches might not be the right query

## e.g. autocomplete

 e.g. inexact matchingWhat if we'd like to be able to query any substring?

## Indexing



Use underscore (_) for space, assume it comes first alphabetically

Put all suffixes in order...

## Indexing


(This is just the relative order of the order_is_good suffixes)

Can we use binary search?
Yes; still might need several character comparisons to get relative order of suffixes

## Motivating questions

How do we measure the amount of redundant information in a string?

How do we represent strings so that redundant information takes minimal space?

How can orderings "reveal" structure and make strings compressible?

How can ordering make strings fast to search, faster than binary search?

## Burrows-Wheeler Transform

## David <br> Wheeler <br> Michael <br> Burrows

May 10, 1994

| Research |
| :--- | :--- |
| Report |

A Block-sorting Lossless
A Block-sorting Lossiess
Data Compression Algorithm
M. Burrows and D.J. Wheeler

Briefly, our algorithm transforms a string $S$ of $N$ characters by forming the $N$ rotations (cyclic shifts) of $S$, sorting them lexicographically, and extracting the last character of each of the rotations. A string $L$ is formed from these characters, where the $i$ th character of $L$ is the last character of the $i$ th sorted rotation. In addition to $L$, the algorithm computes the index $I$ of the original string $S$ in the sorted list of rotations. Surprisingly, there is an efficient algorithm to compute the original string $S$ given only $L$ and $I$.

The sorting operation brings together rotations with the same initial characters. Since the initial characters of the rotations are adjacent to the final characters, consecutive characters in $L$ are adjacent to similar strings in $S$. If the context of a character is a good predictor for the character, $L$ will be easy to compress with a simple locally-adaptive compression algorithm.

# Burrows-Wheeler Transform 

Opportunistic Data Structures with Applications

Paolo Ferragina*<br>Università di Pisa<br>Giovanni Manzini ${ }^{\dagger}$<br>Università del Piemonte Orientale

## "FM Index"


#### Abstract

In this paper we address the issue of compressing and indexing data. We devise a data structure whose space occupancy is a function of the entropy of the underlying data set. We call the data structure opportunistic since its space occupancy is decreased when the input is compressible and this space reduction is achieved at no significant slowdown in the query performance. More precisely, its space occupancy is optimal in an information-content sense because a text $T[1, u]$ is stored using $O\left(H_{k}(T)\right)+o(1)$ bits per input symbol in the worst case, where $H_{k}(T)$ is the $k$ th order empirical entropy of $T$ (the bound holds for any fixed $k)$. Given an arbitrary string $P[1, p]$, the opportunistic data structure allows to search for the occ occurrences of $P$ in $T$ in $O\left(p+o c c \log ^{\epsilon} u\right)$ time (for any fixed $\epsilon>0$ ). If data are uncompressible we achieve the best space bound currently known [12]; on compressible data our solution improves the succinct sufix array of [12] and the classical suffix tree and suffix array data structures either in space or in query time or both.


## Burrows-Wheeler Transform



## Reveal structure by turning string "inside out"

https://commons.wikimedia.org/wiki/File:Image-2D_and_3D_modulor_Origami.jpg

