

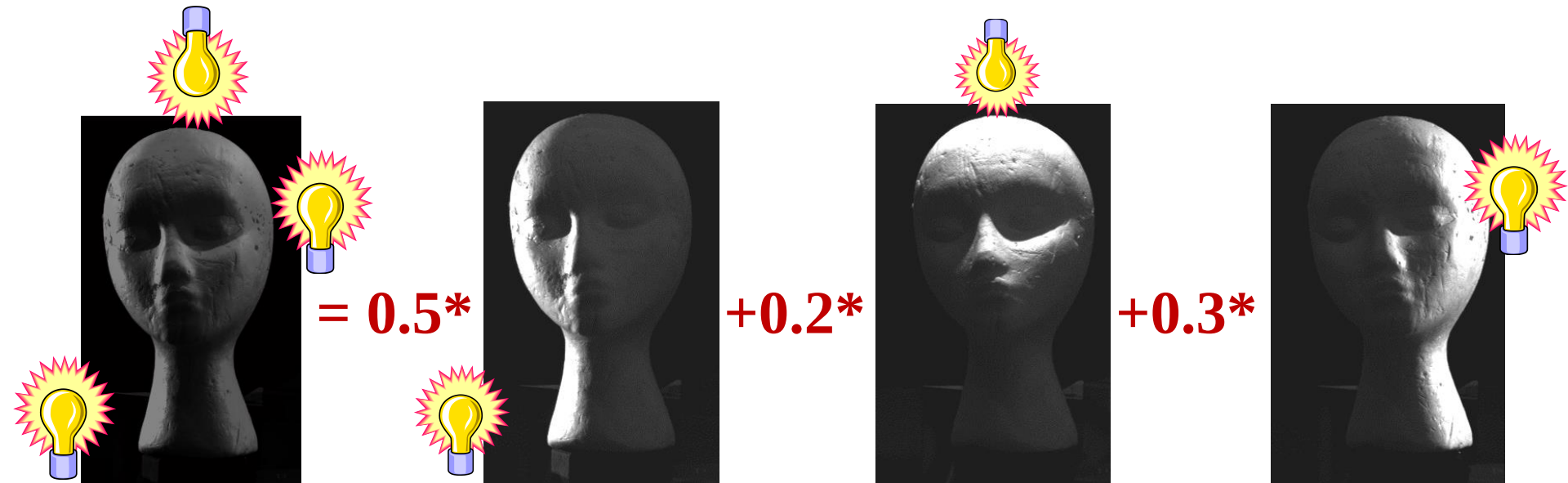
# Lambertian model of reflectance II: harmonic analysis

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# Illumination cone

- What is the set of images of an object under different lighting, with any number of sources?
- Images are additive and non-negative
- This set, therefore, forms a *convex cone* in  $\mathbb{R}^p$ ,  $p$  number of pixels (Belhumeur & Kriegman)



# Illumination cone

- Cone characterization is generic, holds also with specularities, shadows and inter-reflections
- Unfortunately, representing the cone is complicated (infinite degrees of freedom)
- Cone is “thin” for Lambertian objects; indeed the illumination cone of many objects can be represented with few PCA vectors (Yuille et al.)

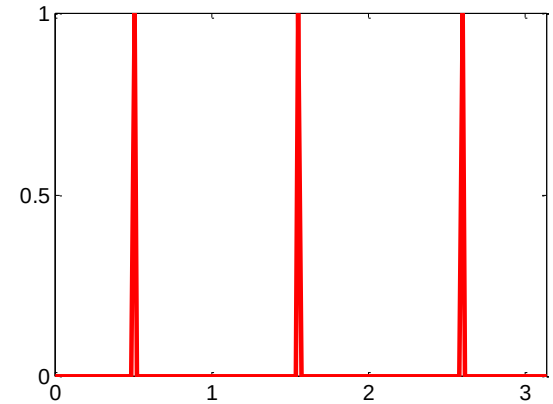
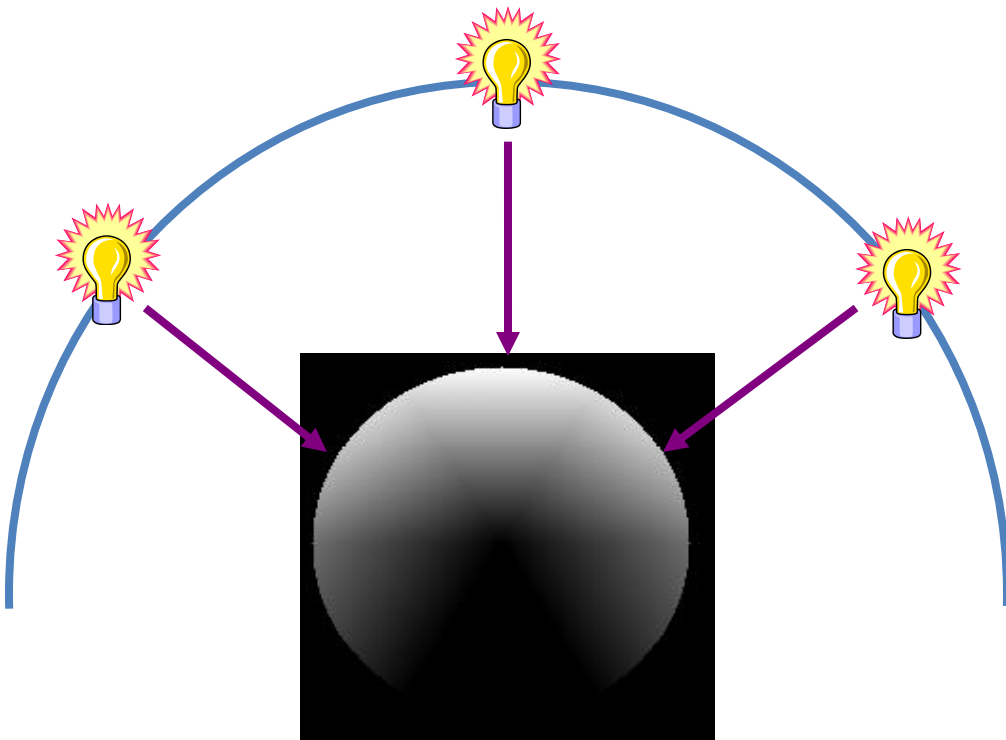
# Illumination cone is often thin

|    | Ball | Face | Phone | Parrot |
|----|------|------|-------|--------|
| #1 | 48.2 | 53.7 | 67.9  | 42.8   |
| #3 | 94.4 | 90.2 | 88.2  | 76.3   |
| #5 | 97.9 | 93.5 | 94.1  | 84.7   |
| #7 | 99.1 | 95.3 | 96.3  | 88.5   |
| #9 | 99.5 | 96.3 | 97.2  | 90.7   |

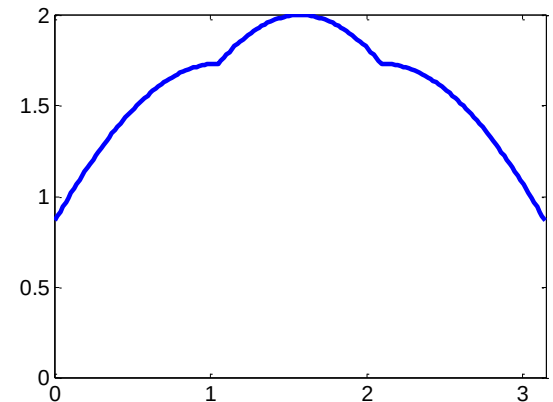
(Yuille et al.)

# Lambertian reflectance is smooth

(Basri & Jacobs; Ramamoorthi & Hanrahan)

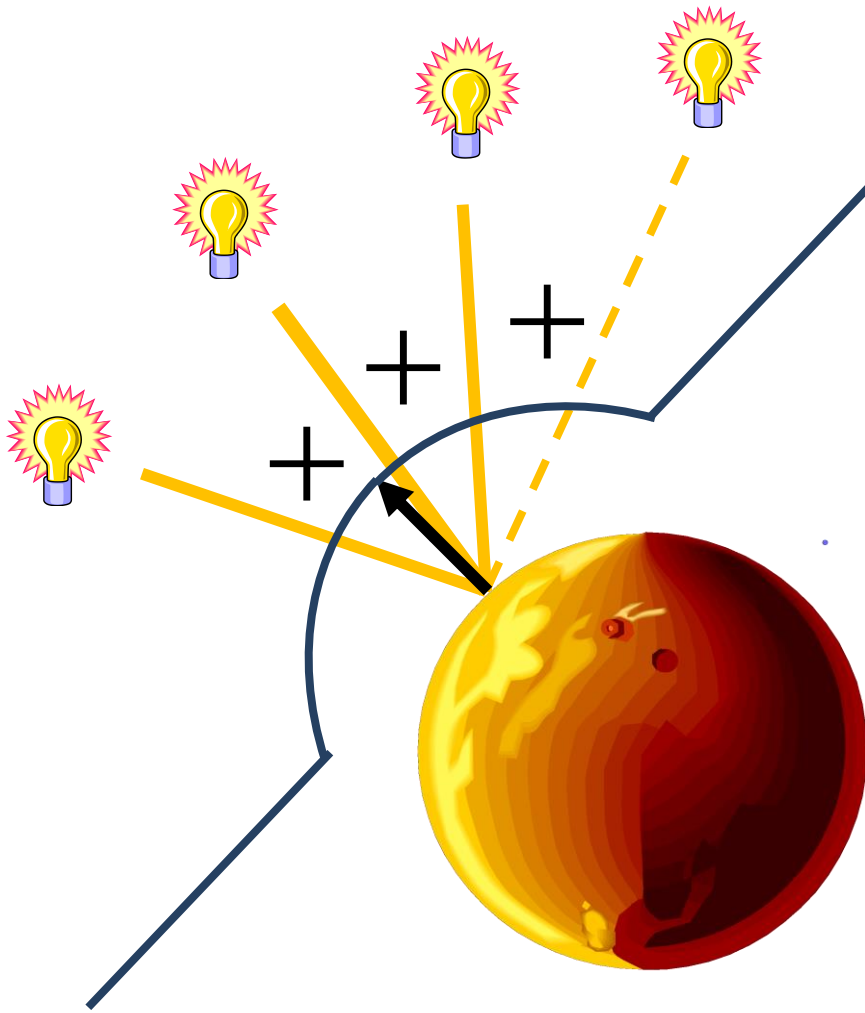


lighting



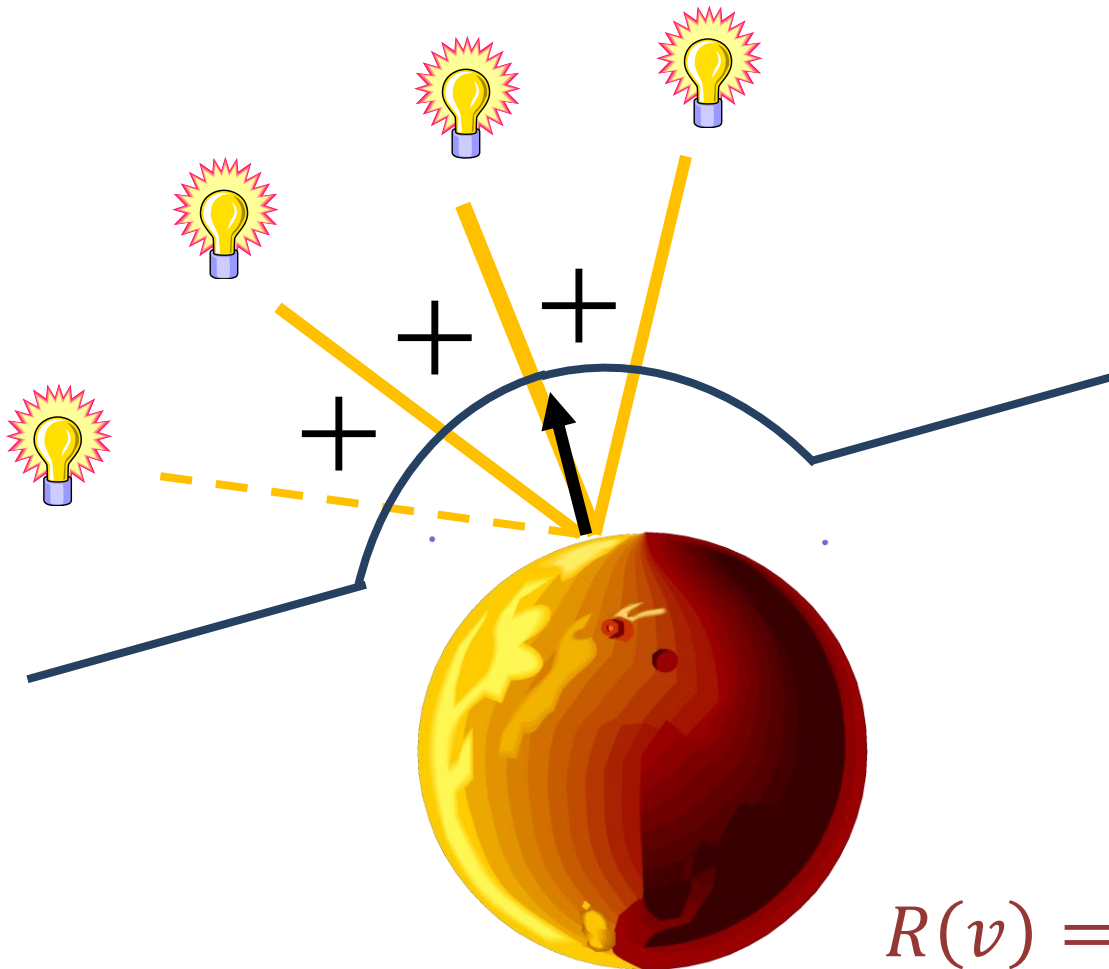
reflectance

# Reflectance obtained with convolution



$$R(v) = \int_{S^2} k(u, v) l(u) du$$

# Reflectance obtained with convolution



$$R(v) = \int_{S^2} k(u, v) l(u) du$$

# Spherical harmonics

$$Y_{nm}(\theta, \phi) = \sqrt{\frac{(2n+1)(n-|m|)!}{4\pi(n+|m|)!}} P_{nm}(\cos \theta) e^{im\phi}$$
$$p_{nm}(z) = \frac{(1-z^2)^{m/2}}{2^n n!} \frac{d^{n+m}}{dz^{n+m}} (z^2 - 1)^n$$

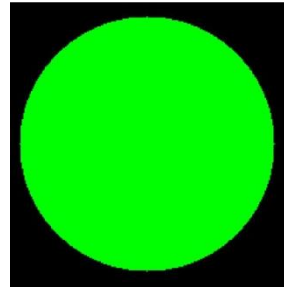
- Orthonormal basis for functions on the sphere
- $n$ 'th order harmonics have  $2n+1$  components
- Rotation = phase shift (same  $n$ , different  $m$ )
- In space coordinates: polynomials of degree  $n$
- *Funk-Hecke convolution theorem*



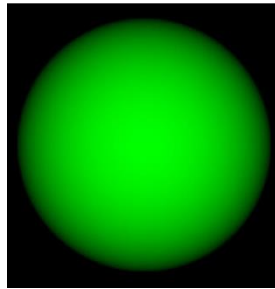
# Spherical harmonics

$$X^2 + Y^2 + Z^2 = 1$$

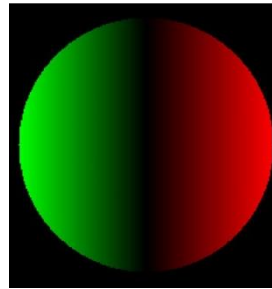
Positive values  
Negative values



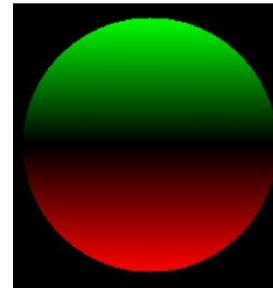
1



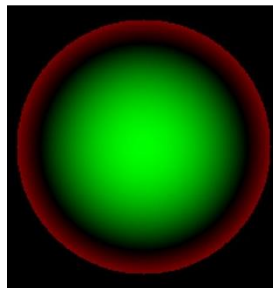
Z



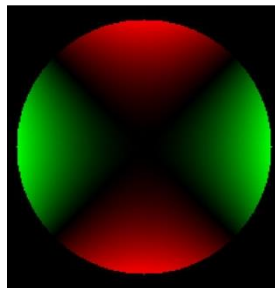
X



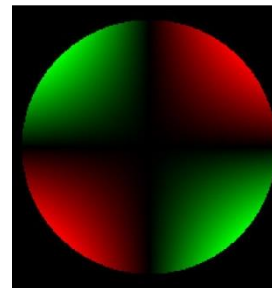
Y



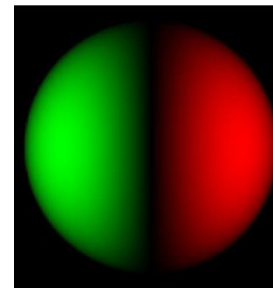
$3Z^2 - 1$



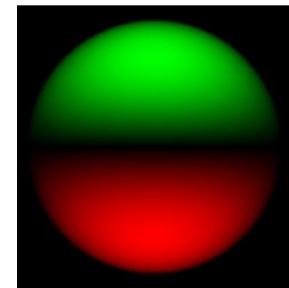
$X^2 - Y^2$



XY



XZ



YZ

# Harmonic approximation

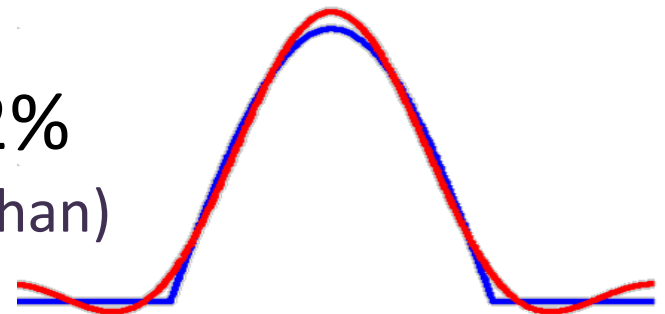
- Lighting, in terms of harmonics

$$\ell(\theta, \phi) = \sum_{n=0}^{\infty} \sum_{m=-n}^n l_{nm} Y_{nm}(\theta, \phi)$$

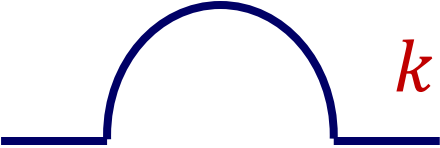
- Reflectance

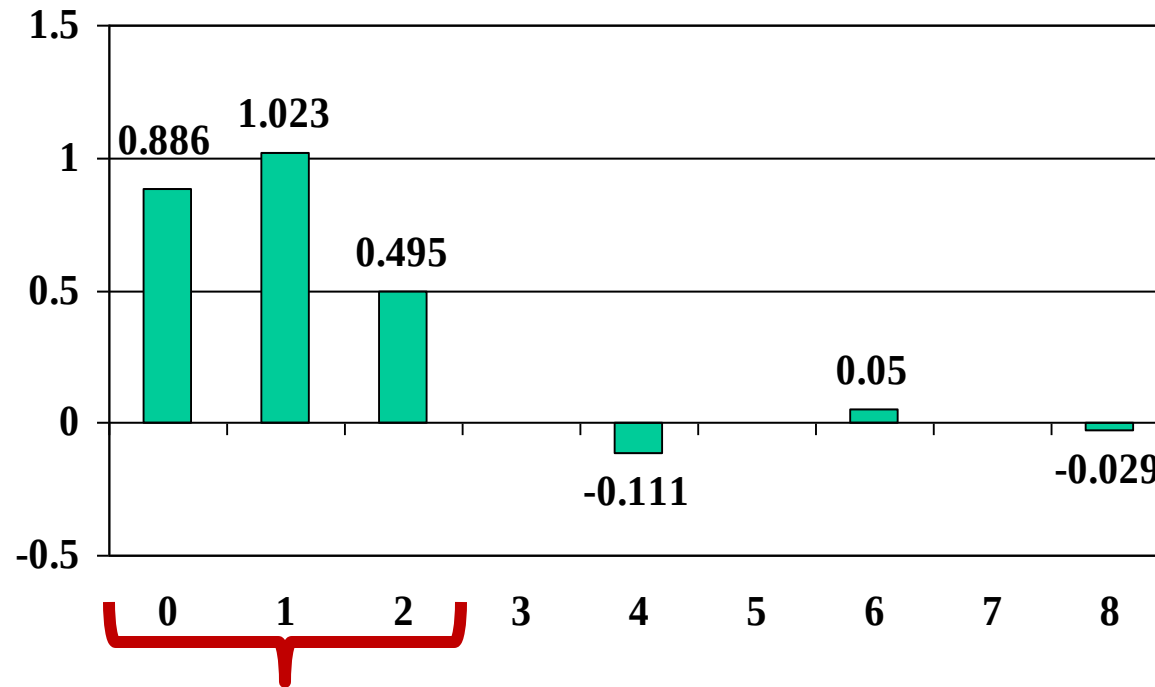
$$r(\theta, \phi) = k * \ell \approx \sum_{n=0}^2 \sum_{m=-n}^n k_n l_{nm} Y_{nm}(\theta, \phi)$$

- Approximation accuracy, 99.2%  
(Basri & Jacobs; Ramamoorthi & Hanrahan)



# Harmonic transform of kernel


$$k(\theta) = \max(\cos \theta, 0) = \sum_{n=0}^{\infty} k_n Y_{n0}$$



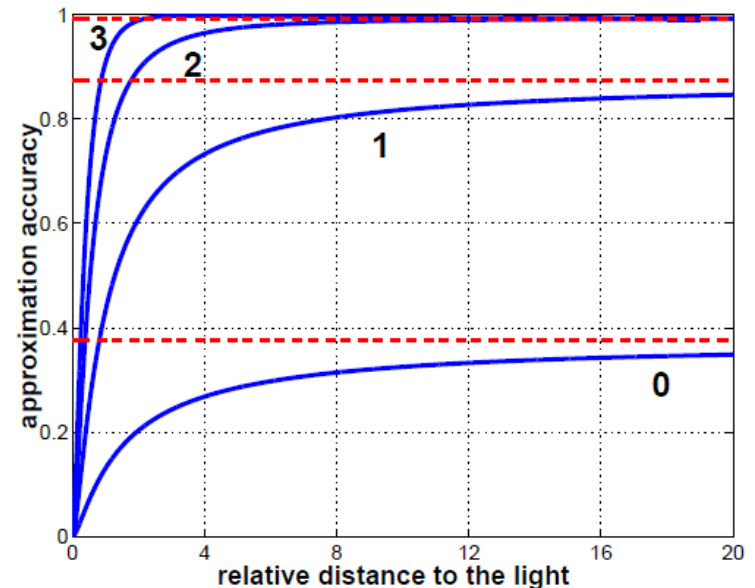
99.2%

# Subspace approximation

- Up to 2<sup>nd</sup> order:
  - 9 basis images
  - Accuracy: 99.2%
- Up to 1<sup>st</sup> order:
  - 4 basis images: ambient + point source
  - Accuracy: 87.5%
- In practice, due to self occlusions ~98% can be achieved with just 6 basis images (Ramamoorthi)

# Scope

- Harmonic representations handle convex, lambertian objects with multiple light sources (including attached shadows)
- Harmonic representations do not model cast shadows and inter-reflections
- Accuracy is maintained for fairly close light sources
- Representing specular objects may require a very large basis



# Applications

- We can use this theory to predict novel appearances under new lighting
- Harmonic lighting theory has led to applications in
  - Face recognition
  - Photometric stereo
  - 3D reconstruction with prior
  - Motion analysis

# “Harmonic faces”



$\rho$

 Positive values  
 Negative values



$\rho n_z$



$\rho n_x$



$\rho n_y$

$\rho$  Albedo  
 $n$  Surface normal  
 $n = (n_x, n_y, n_z)$



$\rho(3n_z^2 - 1)$



$\rho(n_x^2 - n_y^2)$



$\rho n_x n_y$



$\rho n_x n_z$



$\rho n_y n_z$

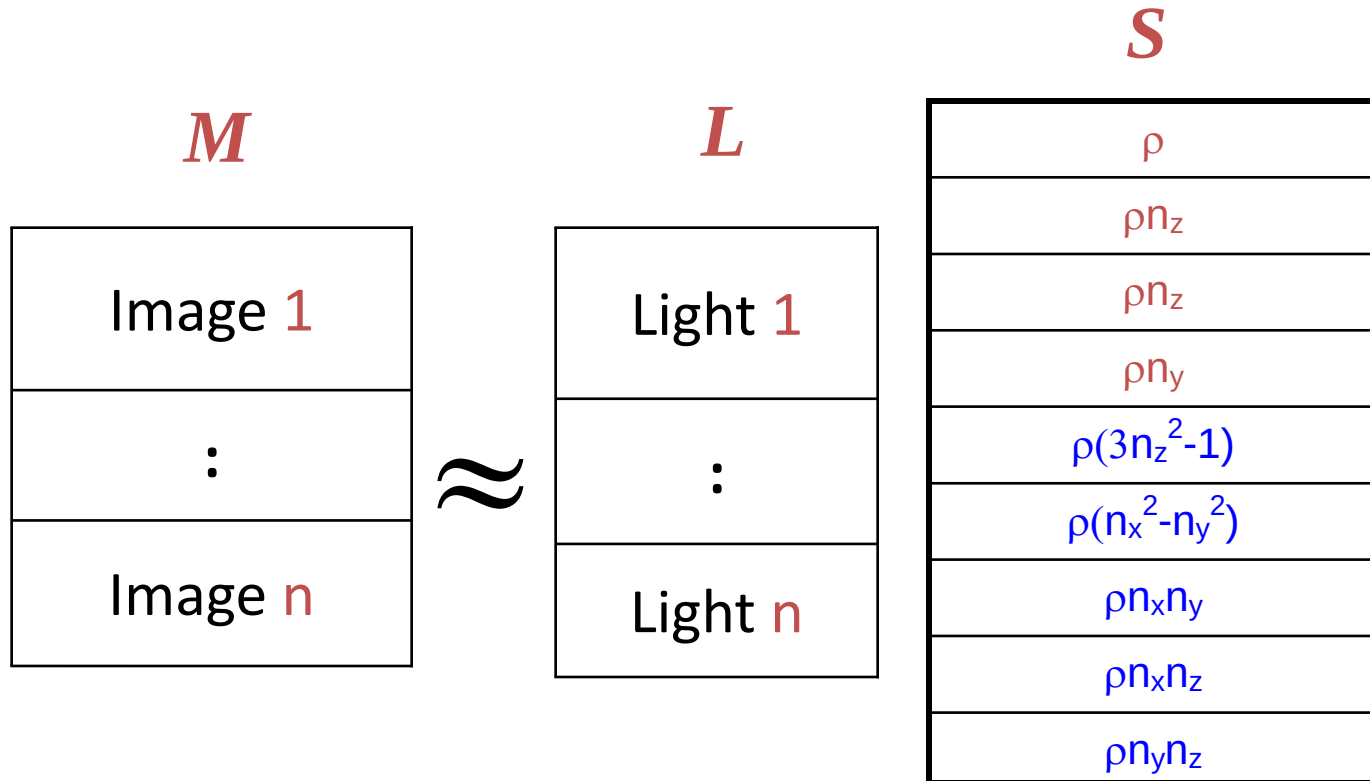
# Non-negative light

- We can enforce in addition that light is non-negative, by projecting the illumination cone onto the harmonic space
- Closed-form constraints for 1<sup>st</sup> order approximation
- Sampling method, or Toeplitz matrix (Shirdhonkar & Jacobs) for higher orders



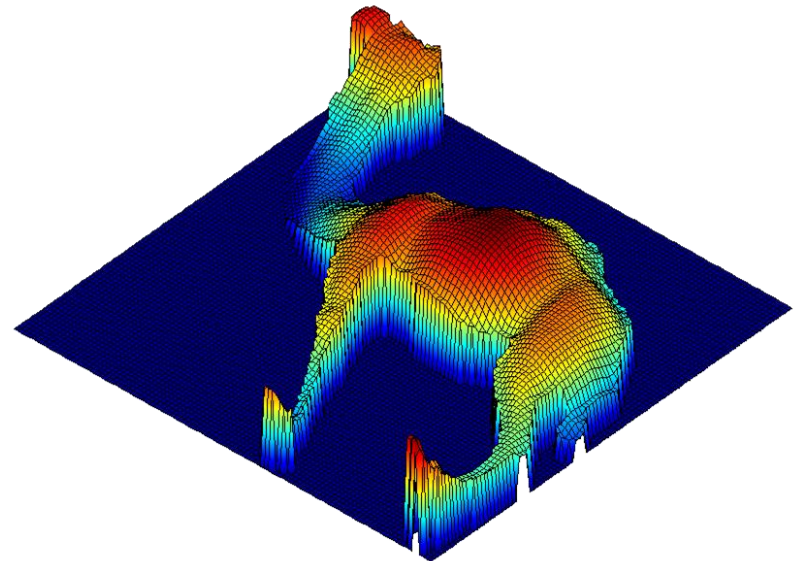
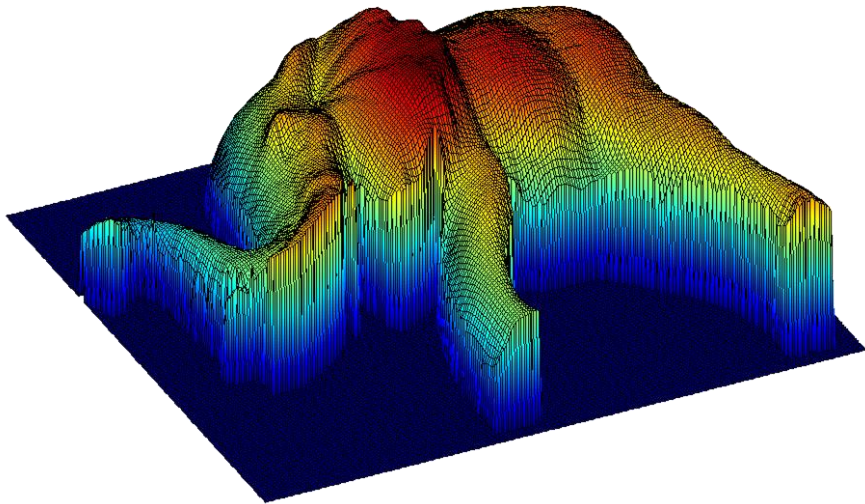
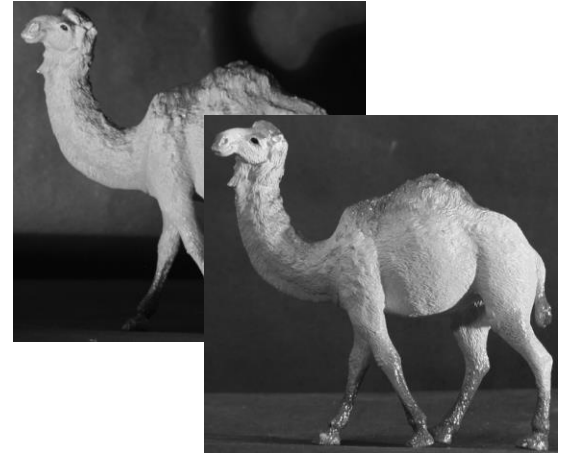
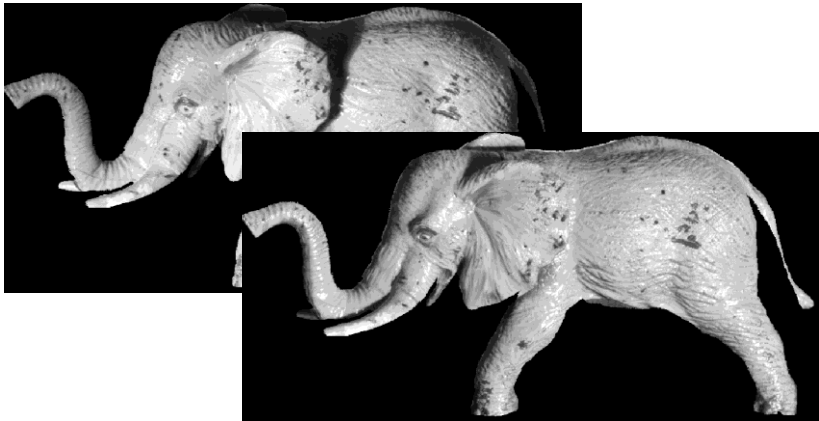
# Photometric stereo

(Basri, Jacobs & Kemelmacher)



*SVD* recovers *L* and *S* up to an  $(r \times r)$  ambiguity

# Photometric stereo



# Reconstruction with a prior

(Kemelmacher & Basri)

- Given just one image SFS is impractical
- Reconstruction is possible when a prior is available
- Energy

$$\min_{l, \rho, Z} \iint_{\Omega} D + S$$

- Data term

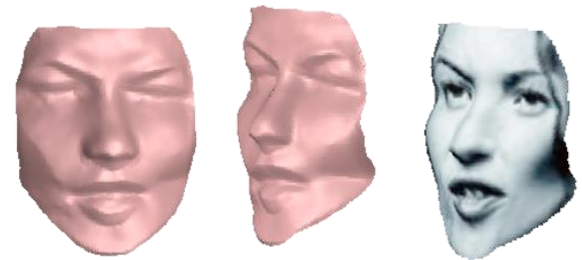
$$D = (I - \rho l^T Y(\hat{n}))^2$$

- Regularization

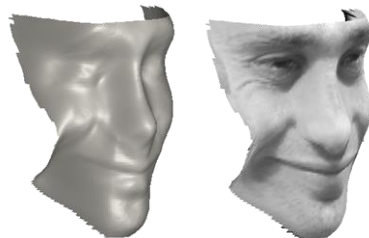
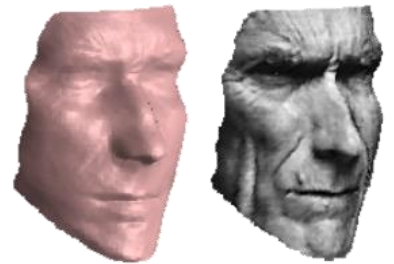
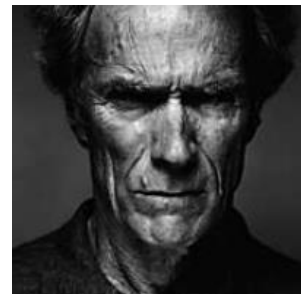
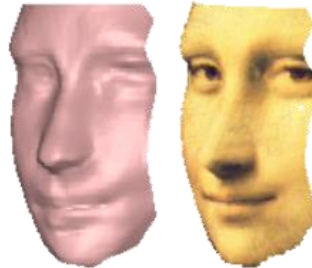
$$S = \lambda_1 \left( \Delta(Z - Z_{prior}) \right)^2 + \lambda_2 \left( \Delta(\rho - \rho_{prior}) \right)^2$$

- Solve as a linear PDE

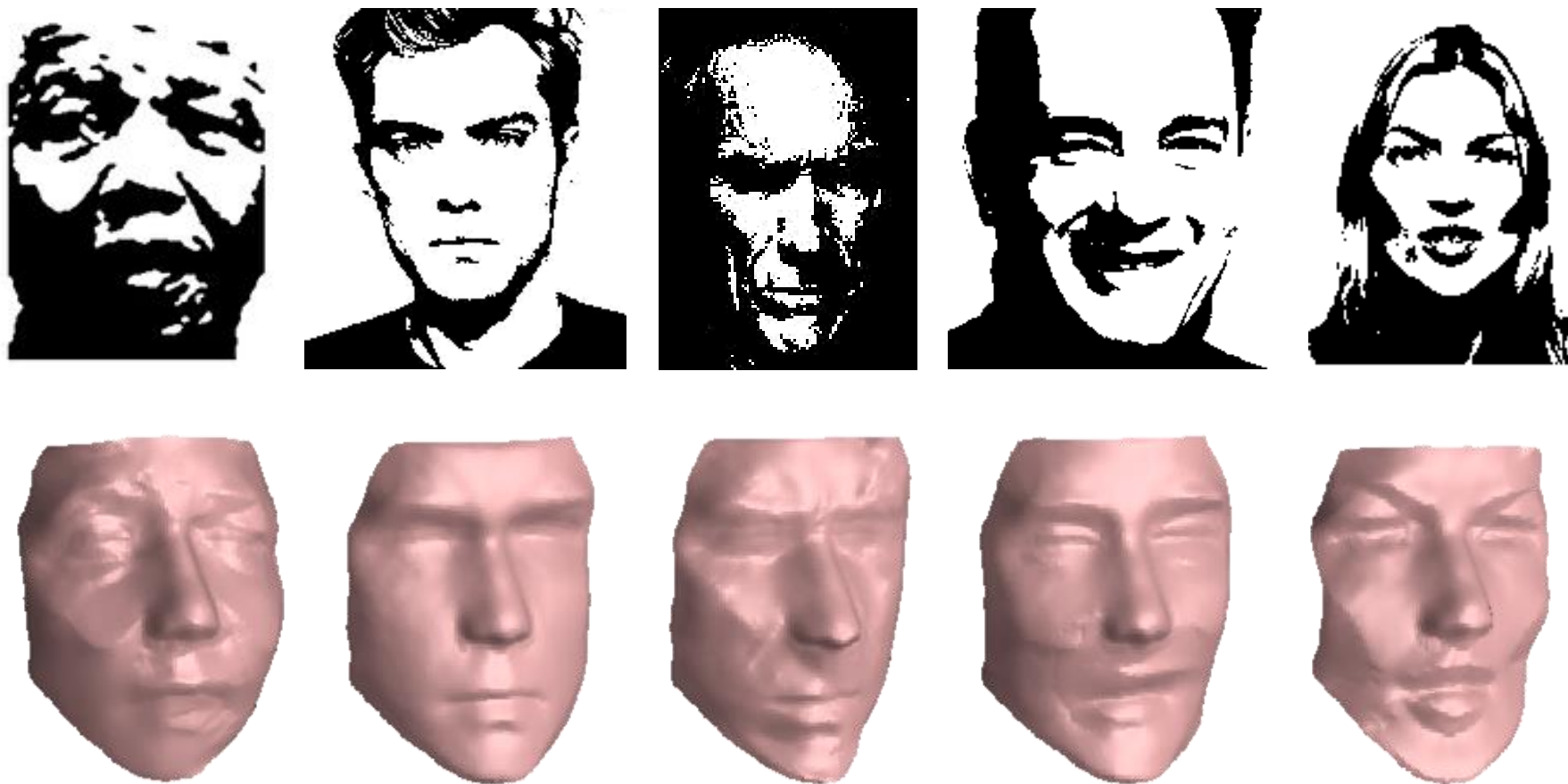
# Reconstruction with a prior



# More...



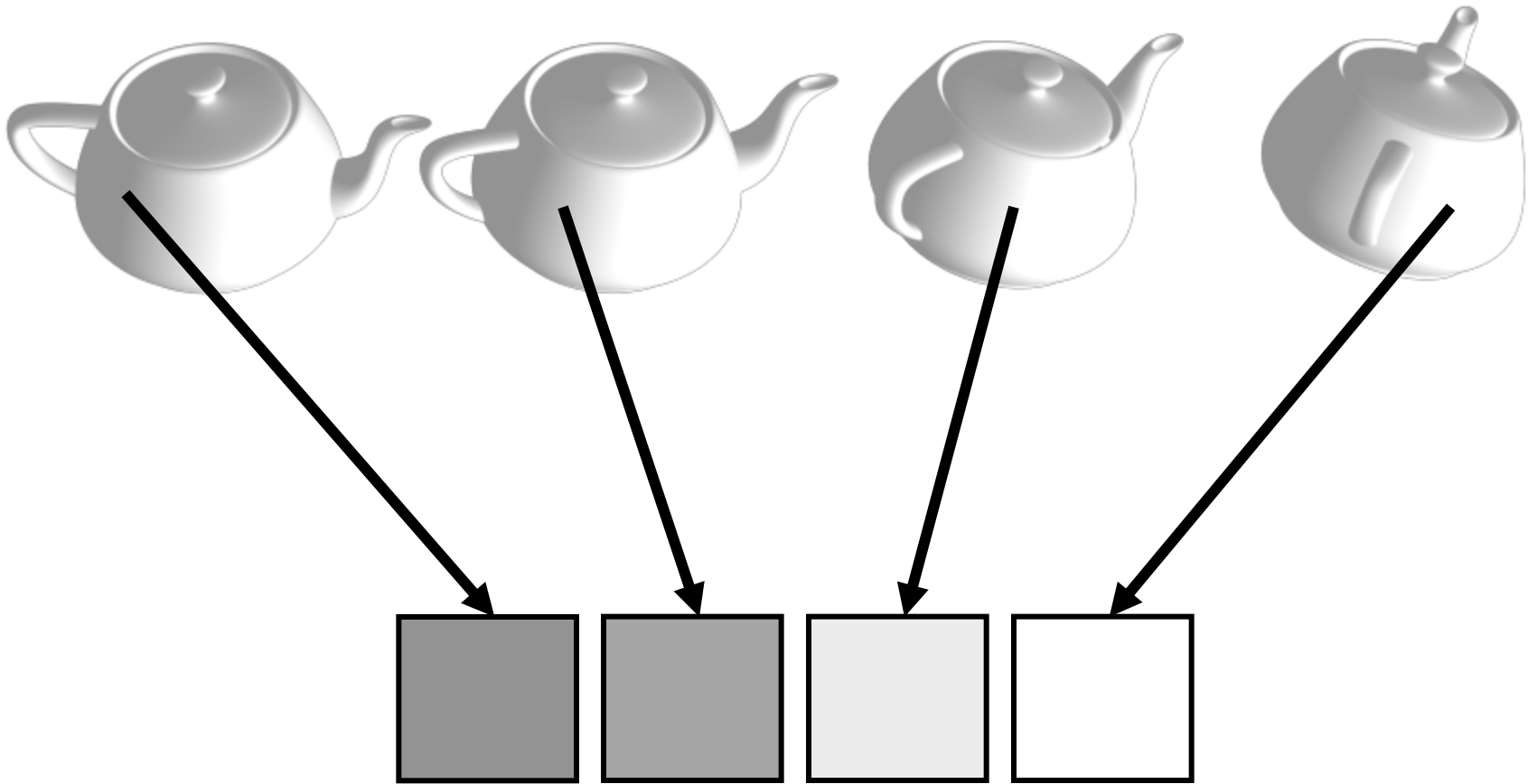
# Mooney faces



(Kemelmacher, Nadler & B, CVPR 2008)



# Motion + lighting



# Motion + lighting

(Basri & Frolova)

- Given 2 images

$$I(p) = \rho l^T \hat{n} \quad J(p') = \rho l^T R \hat{n}$$

- Take ratio to eliminate albedo

$$\frac{J(p')}{I(p)} = \frac{l^T R \hat{n}}{l^T \hat{n}}$$

- If motion is small we can represent  $J(p')$  using a Taylor expansion around  $p$



# Small motion

- We obtain a PDE that is quasi linear in  $z$

$$az_x + bz_y = c$$

- Where

$$a(x, y, z) = l_1(I_\theta - zJ_x) - l_3I$$

$$b(x, y, z) = l_2(I_\theta - zJ_x)$$

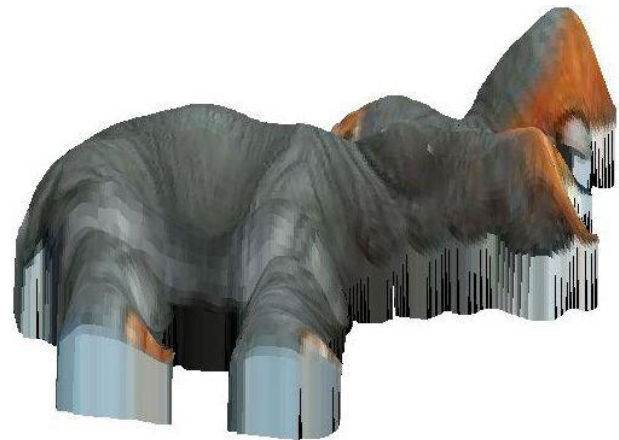
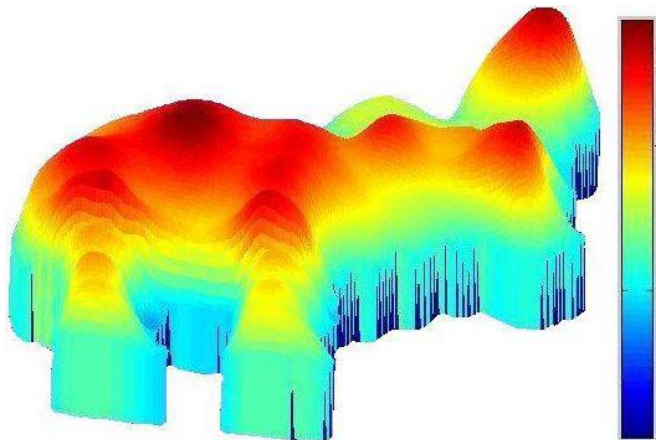
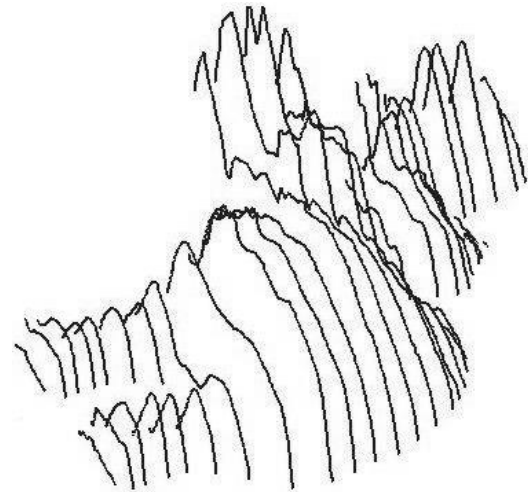
$$c(x, y, z) = -l_3(I_\theta - zJ_x) - l_1I$$

with

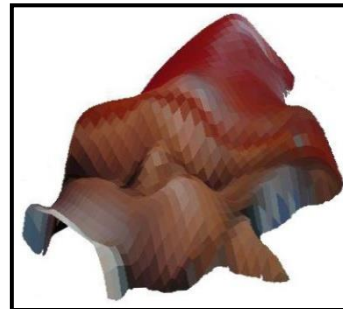
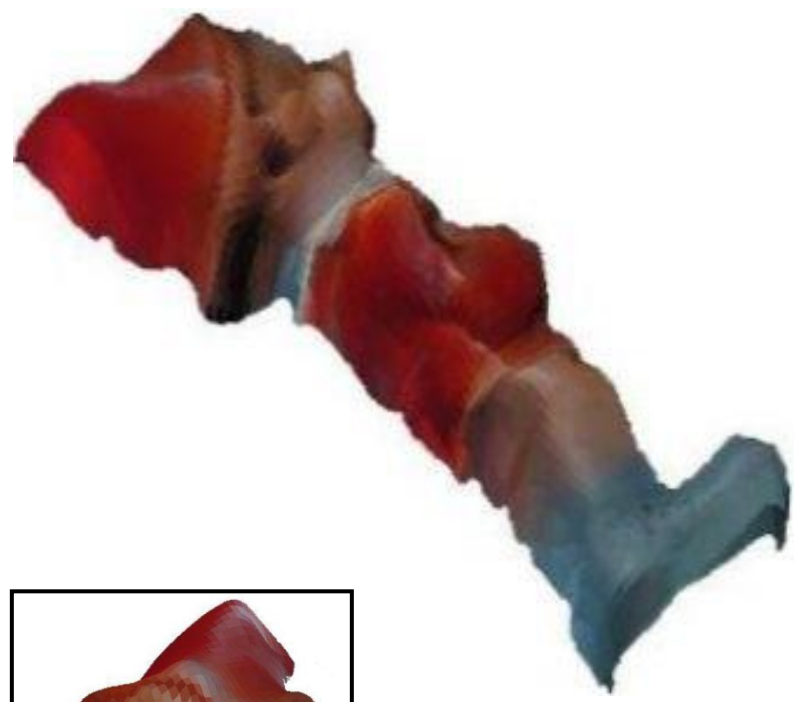
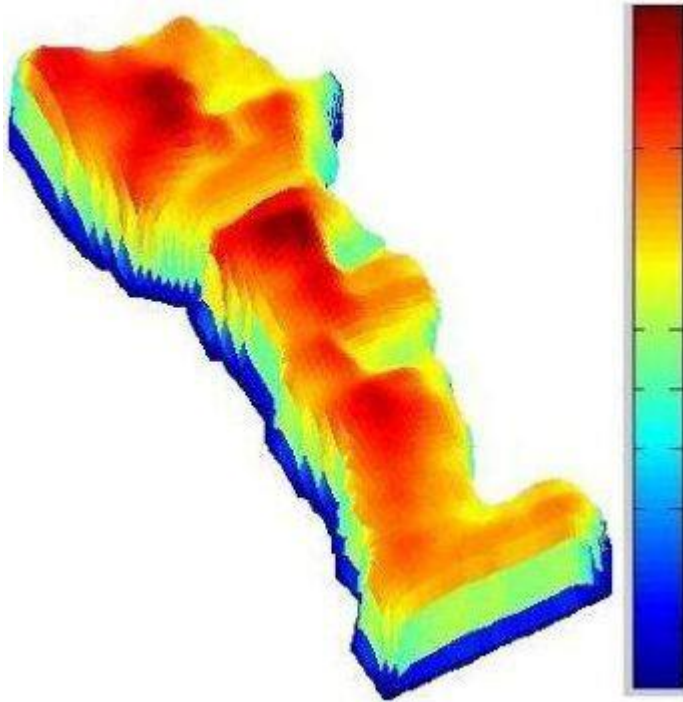
$$I_\theta = \frac{J - I}{\theta}$$

- Can be solved with continuation (characteristics)

# Reconstruction



# More reconstructions



# Conclusion

- Understanding the effect of lighting on images is challenging, but can lead to better interpretation of images
- Harmonic analysis allows to model complex lighting in a linear model
- Various applications in recognition and reconstruction
- We only looked at Lambertian objects...