

# **NMAC: Security Proof**

Hyrum Mills, Chris Soghoian, Jon  
Stone, Malene Wang

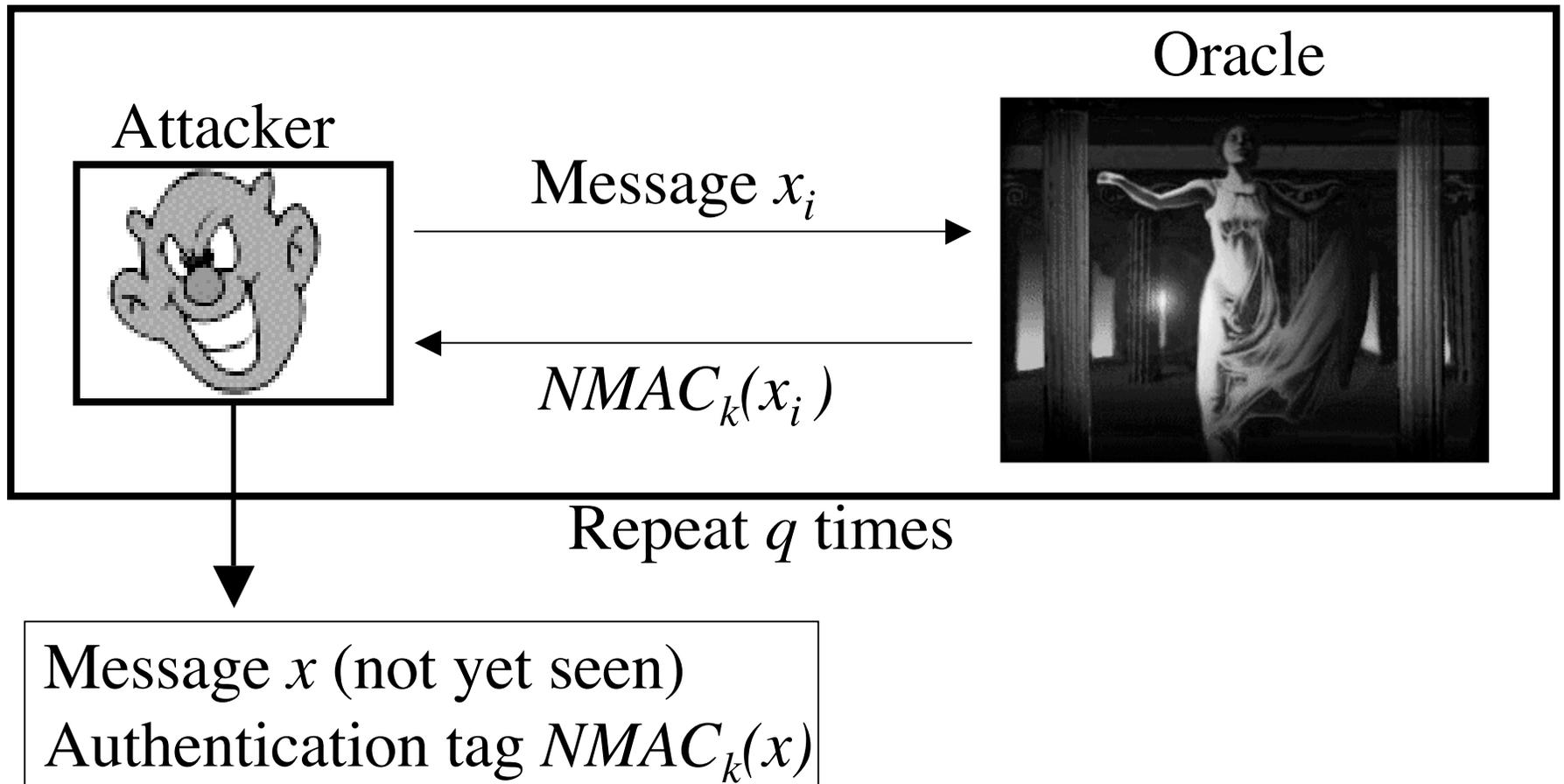
# Theorem 4.1

- If the keyed compression function  $f$  is an  $(\varepsilon_f, q, t, b)$ -secure MAC on messages of length  $b$  bits, and the keyed iterated hash  $F$  is  $(\varepsilon_F, q, t, L)$ -weakly collision-resistant, then the NMAC function is an  $(\varepsilon_f + \varepsilon_F, q, t, L)$ -secure MAC

# Proof notation

- $\varepsilon_F$  - max probability that NMAC will be broken, given  $q, t, L$ .
- $\varepsilon_f$  - max probability that the compression function  $f$  will be broken, given  $q, t, b$ .
- $A_N$  - attacker that tries to break NMAC as a MAC
- $A_f$  - attacker that tries to break  $f$  as a MAC
- $\varepsilon_N$  - probability that  $A_N$  succeeds
- $x_i$  - the messages chosen by  $A_N$  in her attack

# Chosen message attacks against a MAC



# Proof: chosen message attack against NMAC by $A_N$

For  $i = 1, \dots, q$  do

$A_N \rightarrow x_i$

$A_N \leftarrow \overline{f_{k_1}(F_{k_2}(x_i))}$

$A_N$  outputs  $(x, y)$

$x \neq x_1, \dots, x_q$   
(i.e. not yet seen)

$y = \text{NMAC}_k(x)$

$k = (k_1, k_2)$

Voila! NMAC has been forged.

# Proof: attack against $f_{k1}$ as a MAC by $A_f$

Using  $A_N$ , we build an attacker  $A_f$  ...

Choose random  $k_2$

For  $i = 1, \dots, q$  do

$A_N \rightarrow x_i$

$A_f$  computes  $\overline{F_{k2}(x_i)}$

$A_f$  queries  $\underline{f_{k1}}$  to get  $\overline{f_{k1}(\overline{F_{k2}(x_i)})}$

$A_N \leftarrow f_{k1}(\overline{F_{k2}(x_i)})$

$A_N$  outputs  $\underline{(x, y)}$

$A_f$  outputs  $(\overline{F_{k2}(x)}, y)$

# Proof: attack against $f_{k1}$ as a MAC by $A_f$

Choose random  $k_2$

For  $i = 1, \dots, q$  do

Transparent to  $A_N$

$A_N \rightarrow x_i$

$A_N$  thinks she's querying an oracle

$A_f$  computes  $\overline{F_{k2}(x_i)}$

$A_f$  queries  $f_{k1}$  oracle to get  $f_{k1}(\overline{F_{k2}(x_i)})$

$A_N \leftarrow f_{k1}(F_{k2}(x_i))$

$A_N$  outputs  $\overline{(x, y)}$

$A_f$  outputs  $(F_{k2}(x), y)$

# Proof: attack against $f_{k1}$ as a MAC by $A_f$

Choose random  $x, y$

For  $i = 1$

-  $A_N$  outputs  $(x, y)$

-  $A_f$  knows  $x, y$ , and  $k_2$

- But  $A_f$  also knows that:

$A_N$

$A_f$

$A_f$

$A_N$

*MAC function*      *message*

$$y = \text{NMAC}_k(x) = \boxed{f_{k1}}(\overline{\boxed{F_{k2}(x)}})$$

$A_N$  outputs  $(x, y)$

$A_f$  outputs  $(\overline{F_{k2}(x)}, y)$

# Proof: attack against $f_{k1}$ as a MAC by $A_f$

Choose random  $x, y$

For  $i = 1$

-  $A_N$  outputs  $(x, y)$

-  $A_f$  knows  $x, y$ , and  $k_2$

- But  $A_f$  also knows that:

$A_N$

$A_f$

$A_f$

$A_N$

*MAC function*      *message*

$$y = \text{NMAC}_k(x) = f_{k1}(\overline{F_{k2}(x)})$$

So she simply computes  $\overline{F_{k2}(x)}$ ...

$A_N$  outputs  $(x, y)$

$A_f$  outputs  $(\overline{F_{k2}(x)}, y)$

Voila!  $f_{k1}$  as a MAC  
has been broken.

# Proof: Probabilities

- $A_f$  fails when:
  - 1)  $A_N$  fails (with probability  $\varepsilon_1$ )
  - 2)  $A_N$  succeeds, but  $\overline{F_{k2}(x)} = \overline{F_{k2}(x_i)}$  (with probability  $\varepsilon_2$ )

# Proof: Probabilities

■  $A_f$  fails when:

1)  $A_N$  fails (with probability  $\varepsilon_1$ )

2)  $A_N$  succeeds, but  $\overline{F_{k2}(x)} = \overline{F_{k2}(x_i)}$  (with probability  $\varepsilon_2$ )

This means that  $\overline{A_f}$  tries to use  $\overline{F_{k2}(x)}$  as its forged message, but if  $\overline{F_{k2}(x)} = \overline{F_{k2}(x_i)}$ , then this message has already been seen and is not a valid forgery. Therefore,  $A_f$  fails.

# Proof: Probabilities

- Case 1:  $A_N$  fails

Then  $\varepsilon_1 \leq 1 - \varepsilon_N$

- Case 2:  $A_N$  succeeds, but  $\overline{F_{k2}(x)} = \overline{F_{k2}(x_i)}$

This means  $F_{k2}(x) = F_{k2}(x_i)$ , which is a hash collision on  $F_{k2}$ . So  $\varepsilon_2$  is the probability of finding a hash collision.

By definition,  $\varepsilon_2 \leq \varepsilon_F$ .

# Proof: Probabilities

- Probability that  $A_f$  fails ( $1 - \varepsilon_f$ ) is bounded by the sum of the probabilities in the two cases above ( $\varepsilon_1$  and  $\varepsilon_2$ ) :

$$1 - \varepsilon_f \leq \varepsilon_1 + \varepsilon_2$$

$$1 - \varepsilon_f \leq (1 - \varepsilon_N) + \varepsilon_F$$

$$\varepsilon_N \leq \varepsilon_f + \varepsilon_F$$

( Recall in Theorem 4.1: "... then the NMAC function is an  $(\varepsilon_f + \varepsilon_F, q, t, L)$  - secure MAC" )

# Proof: Probabilities

- Furthermore, since  $\varepsilon_N \leq \varepsilon_f + \varepsilon_F \dots$ 
  - $\varepsilon_N \leq 2\varepsilon'$ , where  $\varepsilon' = \max(\varepsilon_f, \varepsilon_F)$ 
    - This says that the probability of breaking NMAC is at most 2 times the probability of breaking the underlying hash function
  - $\varepsilon' \geq 1/2 \varepsilon_N$ 
    - This says that if you break NMAC, then you can break the underlying hash function with at least half that probability

# Remarks

- Remark 4.2

- The proof is *constructive*, meaning that if you can show an attacker  $A_N$  that breaks NMAC given certain resource constraints, you can also explicitly show an attacker  $A_f$  with the same resource constraints that can break the underlying hash function.
- The proof also shows you can do the latter with at least half the probability of the former ( $\varepsilon' \geq 1/2 \varepsilon_N$ ).

# Remarks

- Remark 4.2, *cont'd*
  - Degradation of security when going from the hash function to NMAC is minimal ( $\varepsilon_N \leq 2\varepsilon'$ ).
  - Proof considers a generic attacker, including future advances in cryptanalysis. In reality, the probabilities of success are very low.

# Weaker Assumptions, Stronger Statement

- Weaker assumptions mean there are fewer conditions to meet. Therefore, a statement that is conditional upon weaker assumptions is more likely to occur, and is therefore stronger.

# Remarks

- Remark 4.4
  - The actual assumptions required by the analysis above are even weaker than what was stated
  - An  $A_N$  that tries to break NMAC by attacking the compression function as a MAC is not able to choose or control  $F_{k_2}(x)$  because she does not know  $k_2$ . She only sees  $F_{k_1}(F_{k_2}(x))$ .

# Remarks

- Remark 4.4, *cont'd*

- Similarly, if  $A_N$  tried to break NMAC by finding collisions in the internal function  $F_{k_2}(x)$ , she still only sees  $F_{k_1}(F_{k_2}(x))$ . This makes it difficult for  $A_N$  to actively try and compute possible collisions on  $F_{k_2}$ .
- Applying the outer function does not hide the fact that collisions occurred in the inner function.

$$\text{If } F_{k_2}(x) = F_{k_2}(x_i)$$

$$\text{Then } F_{k_1}(F_{k_2}(x)) = F_{k_1}(F_{k_2}(x_i))$$

# Remarks

- Remark 4.5

- Because  $A_N$  can only see  $F_{k_1}(F_{k_2}(x))$ , we can modify the “ $(\epsilon_F, q, t, L)$ -weakly collision-resistant” assumption in the theorem to the significantly weaker assumption that the inner hash function is collision resistant to adversaries that see the hash value only after it was hashed again with a different secret key.

# Conclusion

- The security of NMAC/HMAC depends on that of the underlying hash. If there is an  $A_N$  that can break NMAC with a certain probability, then one can design an  $A_f$  that can break the underlying hash with at least half that probability.

# Conclusion

- The security analysis assumes:
  - A generic attacker
  - An attacker that knows  $k_2$  and can see and control  $F_{k_2}(x)$
  - A standalone hash function  $F_{k_2}$  that is collision-resistant to a certain point
- In reality, the latter two assumptions can be replaced by weaker ones that cause the analysis to be even stronger.

Questions?