#### Lecture 4: Linear Time Selection/Median

Michael Dinitz

#### September 9, 2021 601.433/633 Introduction to Algorithms

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September 9, 2021

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#### Intro and Problem Definition

Last time: sorting in expected **O**(**n**log **n**) time (randomized quicksort)

 Should already know (from Data Structures) deterministic O(n log n) algorithms for sorting (mergesort, heapsort)

Today: two related problems

- Median: Given array A of length n, find the median: [n/2]nd smallest element.
- Selection: Given array A of length n and k ∈ [n] = {1,2,...,n}, find k'th smallest element.

Can solve both in  $O(n \log n)$  time via sorting. Faster?

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**k** = 1:

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k = 1: Scan through array, keeping track of smallest. O(n) time.

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Image: A matrix

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Does this work when  $\mathbf{k} = \mathbf{n}/2$ ?

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Need to keep track of k smallest.

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Does this work when  $\mathbf{k} = \mathbf{n}/2$ ?

- Need to keep track of k/2 smallest.
- When scanning, see an element, need to determine if one of  $\mathbf{k}$  smallest. If yes, remove previous max of the  $\frac{1}{2}$  we've been keeping track of.
  - Not easy to do! Foreshadow: would need to use a *heap*.  $\Theta(\log n)$ -worst case time.

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- Θ(n log n) worst-case time.

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Main idea: (Randomized) Quicksort, but only recurse on side with element we're looking for.



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- R-Quickselect(**A**, **k**):
  - 1. If  $|\mathbf{A}| = \mathbf{1}$ , return the element.
  - Pick a pivot element p uniformly at random from A.
  - 3. Compare each element of **A** to **p**, creating subarrays **L** of elements less than **p** and **G** of elements greater than **p**.
  - 4. 4.1 If  $|\mathbf{L}| = \mathbf{k} \mathbf{1}$ :



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: return  $\mathbf{p}$ .  
4.2 if  $|\mathbf{L}| > \mathbf{k} - 1$ : return R-Quickselect $(\mathbf{L}, \mathbf{k})$ .  
4.3 If  $|\mathbf{L}| < \mathbf{k} - 1$ :  
 $\mathbf{k} - \mathbf{Q} - \mathbf{k} \models \mathbf{s} \in \mathbf{e} \in \mathcal{F}(\mathbf{C}, \mathbf{k})$ 



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#### Quickselect: Correctness

Sketch here: good exercise to do at home!

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Prove by induction ("loop invariant") that on any call to R-Quickselect(X, a), the element we're looking for is a'th smallest of X.

- ▶ Base case: first call to R-Quickselect(A, k). Correct by definition.
- ► Inductive case: suppose was true for call R-Quickselect(**Y**, **b**).
  - If we return element: correct
  - If we recurse on L: correct
  - ▶ If we recurse on **G**: correct

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# Quickselect: Running Time Intuition:

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Intuition:

- Random pivot should be "near middle", so splits array "approximately in half".
- O(log n) recursive calls, but each one on an array of half the size

 $\implies$  T(n) = T(n/2) + cn  $\implies$  O(n) time

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Splitting around pivot: n – 1 comparisons

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- Random pivot should be "near middle", so splits array "approximately in half".
- $O(\log n)$  recursive calls, but each one on an array of half the size  $\implies T(n) = T(n/2) + cn \implies O(n)$  time

Formalize this. Let T(n) be expected # comparisons on array of size n.

- Splitting around pivot: n 1 comparisons
- ▶ Recurse on either L or G ⇒ recursion costs at most max(T(|L|), T(|G|)) = T(max(|L|, |G|)).

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$$T(n) \le (n-1) + \sum_{i=0}^{n-1} \frac{1}{n} T(max(i, n-i-1))$$

$$\leq (n-1) + \sum_{i=0}^{n/2-1} \frac{1}{n} T(n-i-1) + \sum_{i=n/2}^{n-1} \frac{1}{n} T(i) = (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} T(i)$$

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Want to solve recurrence relation  $T(n) \le (n-1) + \frac{2}{n} \sum_{i=n/2}^{n-1} T(i)$ . Guess and check:  $T(n) \le 4n$ .

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$$\sum_{i=1}^{n-1} \frac{1}{n} \sum_{i=n/2}^{n-1} 4i = (n-1) + 4 \cdot \frac{2}{n} \sum_{i=n/2}^{n-1} i$$

$$= (n-1) + 4 \cdot \frac{2}{n} \left( \sum_{i=1}^{n-1} i - \sum_{i=1}^{n/2-1} i \right)$$

$$= (n-1) + 4 \cdot \frac{2}{n} \left( \frac{n(n-1)}{2} - \frac{(n/2)(n/2-1)}{2} \right)$$

$$\le (n-1) + 4 \cdot \left( (n-1) - \frac{n/2-1}{2} \right)$$

$$\le (n-1) + 4 \left( \frac{3n}{4} \right) \le 4n.$$

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#### Deterministic Version

Intuition:

- Randomization worked because it got us a "reasonably good" pivot.
- Simple deterministic pivot (first element, last element, etc.) bad because might not split array well.
- Deterministically find a pivot that's "close" to the middle?

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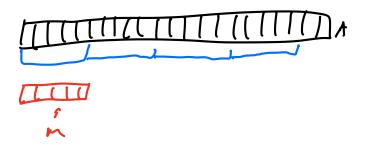
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Median-of-medians:

- Split A into n/5 groups of 5 elements each.
- Compute median of each group.
- Let  $\mathbf{p}$  be the median of the  $\mathbf{n/5}$  medians



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- Let  $\mathbf{p}$  be the median of the  $\mathbf{n/5}$  medians

Want to claim:  $\mathbf{p}$  is a good pivot, and can find  $\mathbf{p}$  efficiently.

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# Median-of-Medians is good pivot

Theorem

|L| and |G| are both at most 7n/10 when p is median of medians.



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# Median-of-Medians is good pivot

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Let **B** be a group (of **5** elements), **m** median of **B**:

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Let **B** be a group (of **5** elements), **m** median of **B**:

• If m < p: at least three elements of **B** (m and two smaller) are in **L** 

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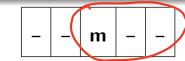
Image: A matrix

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By definition of  $p,\;n/10$  groups have m < p and n/10 have m > p

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#### Theorem

|L| and |G| are both at most 7n/10 when p is median of medians.

Let B be a group (of 5 elements), m median of B:

- If m < p: at least three elements of **B** (m and two smaller) are in **L**
- If m > p: at least three elements of **B** (m and two larger) are in **G**

By definition of  $\mathbf{p}$ ,  $\mathbf{n}/10$  groups have  $\mathbf{m} < \mathbf{p}$  and  $\mathbf{n}/10$  have  $\mathbf{m} > \mathbf{p}$ 

$$|\mathsf{L}| \ge \frac{\mathsf{n}}{10} \cdot 3 = \frac{3\mathsf{n}}{10} \implies |\mathsf{G}| \le \frac{7\mathsf{n}}{10}$$

$$\sum_{j=1, j=1}^{n} \sum_{j=1}^{n} |\mathsf{G}| \le \frac{7\mathsf{n}}{10}$$

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#### Finding Median of Medians

Have n/5 elements (median of each group). Want to find median.

What problem is this?

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Have n/5 elements (median of each group). Want to find median.

What problem is this? Median / Selection!

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Have n/5 elements (median of each group). Want to find median.

What problem is this? Median / Selection!

Recursion! Use same algorithm on array of medians.

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Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

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Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

 $\mathsf{BPFRT}(\mathbf{A},\mathbf{k})$ 

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Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

BPFRT(A,k)

- 1. Group A into n/5 groups of 5, and let A' be an array of size n/5 containing the median of each group.
- Let p = BPFRT(A', n/10), i.e., recursively find the median p of A' (the median-of-the-medians).

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Algorithm due to Blum-Pratt-Floyd-Rivest-Tarjan.

Let T(a) = r-uning time on array of size h BPFRT(A,k)

- 1. Group A into n/5 groups of 5, and let A' be an array of size n/5 containing the median つじん) O(n)of each group.
- 2. Let  $\mathbf{p} = \text{BPFRT}(\mathbf{A}', \mathbf{n}/10)$ , i.e., recursively find the median  $\mathbf{p}$  of  $\mathbf{A}'$  (the  $\int (\frac{\mathbf{n}}{2})$ median-of-the-medians).  $T(\frac{1}{3})$  $\Delta(n)$
- 3. Split **A** using **p** as a pivot into **L** and **G**.
- 4. Recurse on the appropriate piece:

4.1 if  $|\mathbf{L}| = \mathbf{k} - \mathbf{1}$  then return **p**. 4.2 if  $|\mathbf{L}| > \mathbf{k} - \mathbf{1}$  then return BPFRT( $\mathbf{L}, \mathbf{k}$ ). 4.3 if  $|\mathbf{L}| < \mathbf{k} - \mathbf{1}$  then return BPFRT( $\mathbf{G}, \mathbf{k} - |\mathbf{L}| - \mathbf{1}$ ).  $\leq \top \begin{pmatrix} \frac{7}{10} \\ 0 \end{pmatrix}$  $T(\frac{2n}{3})$ 

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O(n)

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## **BPFRT** Analysis

Let T(n) be (worst-case) running time on A of size n.

- Step 1: O(n) time
- Step 2: T(n/5) time
- Step 3: O(n) time
- Step 4: T(7n/10) time

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### **BPFRT** Analysis

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- Step 1: O(n) time
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$$T(n) \leq T(7n/10) + T(n/5) + cn$$

$$T(\frac{2n}{3}) + T(\frac{2n}{3}) + (n/3) + (n/3) + (n/3)$$

$$= 6Cn(-3n/3)$$

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## **BPFRT** Analysis

Let T(n) be (worst-case) running time on A of size n.

- Step 1: O(n) time
- Step 2: T(n/5) time
- Step 3: O(n) time
- Step 4: T(7n/10) time

$$\mathsf{T}(n) \leq \mathsf{T}(7n/10) + \mathsf{T}(n/5) + cn$$

Guess  $T(n) \leq 10cn$ : (5 / - c ); on  $T(n) \le 10c(7n/10) + 10c(n/5) + cn = 9cn + cn = 10cn$ 

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Can use this to get *deterministic* **O**(**n log n**)-time Quicksort!

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Can use this to get *deterministic*  $O(n \log n)$ -time Quicksort! Use BPFRT(A, n/2) to choose median as pivot.

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Can use this to get *deterministic*  $O(n \log n)$ -time Quicksort! Use BPFRT(A, n/2) to choose median as pivot.

Let T(n) be time on input of size n.

- BPFRT to find pivot takes O(n) time
- Splitting around pivot takes O(n) time
- Each recursive call takes T(n/2) time

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$$T(n) = 2T(n/2) + cn \implies T(n) = \Theta(n \log n)$$

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