



## Vectors, Lists, and Sequences



## Sequence Types

**Sequence:** collection of elements organized in a specified order

- allows random access by rank or position

**Stack:** sequence that can be accessed in LIFO fashion

**Queue:** sequence that can be accessed in FIFO fashion

**Deque:** sequence accessed by added to or removing from either end

**Vector:** sequence with random access by rank

**List:** sequence with random access by position



## Places in a Sequence

### Rank

- Place specified by number of places before the place in question
- Abstraction of the concept of array index

### Position

- Place specified by which place precedes and which place follows the place in question
- Abstraction of the concept of (doubly) linked list node



## Vector ADT

**elemAtRank( $r$ ):** return element at specified rank

**replaceAtRank( $r,e$ ):** replace element at specified rank with new element

- provided for efficiency

**insertAtRank( $r,e$ ):** insert new element at specified rank

- existing places at that rank or higher are incremented

**removeAtRank( $r$ ):** remove element at specified rank

- places following that rank are decremented



## Array-based Vector Implementation

```

insertAtRank(r, e) {
  if (size == A.length)
    throw new VectorFull();
  for (int i=size-1; i>=r; i--)
    A[i+1]= A[i];
  A[r]= e;
  size++;
}
removeAtRank(r) {
  Object e = A[r];
  for (int i=r; i<size-1; i++)
    A[i] = A[i+1];
  size--;
}

```



## Analysis

**elemAtRank:**  $O(1)$

**replaceAtRank:**  $O(1)$

**insertAtRank:**  $O(n)$

**removeAtRank:**  $O(n)$

**Note:** insert and remove are  $O(1)$  at end

- may make  $O(1)$  at start with index wrapping, as in queue



## Extendable (dynamic) Array Implementation

Grow array as necessary

- Allocate new, larger array
- Copy old elements to new array

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## Stack push() with Dynamic Array

```
public void push(Object obj) {
    if (size() == S.length) {
        Object[] A = new Object[S.length+1];
        for (int i=0; i<S.length; i++)
            A[i] = S[i];
        S = A;
    }
    S[++topIndex] = obj;
}
```

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## Efficient Stack push() with Dynamic Array

```
public void push(Object obj) {
    if (size() == S.length) {
        Object[] A = new Object[S.length*2];
        for (int i=0; i<S.length; i++)
            A[i] = S[i];
        S = A;
    }
    S[++topIndex] = obj;
}
```

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## Amortization

Useful analysis tool

When some calls are more expensive than others, average out the costs over the total number of calls

- After every  $n$  calls to push, 1 call takes  $O(n)$  instead of  $O(1)$
- Averaged out over  $n$  calls, each call is still  $O(1)$

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## Formal Amortization Analysis

Assume each push() costs \$1 in compute time

Overcharge these push() operations

- charge \$3 each
- store \$2 in the bank for each operation

Now when the extend happens, use money from the bank to pay for the copy operations

We pay for all  $n$  operations using a constant cost for each operation

- implies  $O(n)$  total cost, or average of  $O(1)$  per operation

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## Analysis with Summations

Work done in “extend” for  $n$  pushes:

$$\begin{aligned}
 & 1+2+4+8+\dots+n && \text{(this is a base 2} \\
 & = \sum_{i=0}^{\log n} 2^i && \text{number with } \log n + 1 \\
 & = 2^{\log n + 1} - 1 = 2 * 2^{\log n} - 1 && \text{bits, all set to 1)} \\
 & = 2n - 1 = O(n) \text{ for } n \text{ pushes}
 \end{aligned}$$

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## Vector Insert with Dynamic Array

```
public void insertAtRank(int r, Object e){
    if (size == a.length) {
        Object b[] = new Object[a.length*2];
        for (int i=0; i<size; i++)
            b[i] = a[i];
        a = b;
    }
    for (int i=size-1; i>=r; i--)
        a[i+1] = a[i];
    a[r] = e; size++;
}
```

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## Analysis of Insert with Extendable Array

For general insert (at any rank), still  $O(n)$

For insert at last position, still  $O(1)$

- Naïve analysis might yield  $O(n)$
- Amortized analysis reveals  $O(1)$

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## List ADT

size(), isEmpty(), isFirst( $p$ ), isLast( $p$ )  
 first/last(): Return first/last position  
 before/after( $p$ ): Return preceding/following position  
 replaceElement( $p, e$ ): Set the element for a position  
 swapElements( $p, q$ ): Exchange elements for two positions  
 insertBefore/After( $p, e$ ): Create new position before/after  $p$  containing element  $e$   
 remove( $p$ ): Remove the position  $p$  and the element it contains  
 insertFirst, insertLast( $e$ ): Create new position at start/end of list and set its element

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## What's a position, again?

```
interface Position {
    public Object element();
}
```

May be implemented as singly- or doubly-linked list node, array element, etc.

List containing the position must have some way of locating the position before and after a given position

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## Doubly Linked List Implementation

```
public class MyList implements List {
    protected int numElts=0;
    protected DLNode header=new Node(null,null,null);
    protected DLNode trailer = new Node(header, null, null);

    public Position insertBefore(Position p, Object e)
    {
        numElts++;
        DLNode nd = (DLNode)p;
        DLNode newNode=new DLNode(nd.getPrev(), nd, e);
        nd.getPrev().setNext(newNode);
        nd.setPrev(newNode);
        return newNode;
    }
}
```

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## Analysis

All methods of List using doubly linked list are  $O(1)$

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## Sequence ADT

Supports ADT of vector and list

Plus:

- **atRank( $r$ )** : Converts a rank to a position
- **rankOf( $p$ )** : Converts a position to a rank



## Sequence Interface

```
interface Sequence extends List, Vector {
    public Position atRank(int rank);
    public int rankOf(Position position);
}
```



## Implementing with DL List

All methods from List interface are  $O(1)$

**atRank()** and **rankOf()**?

- Both  $O(n)$

Perform Vector methods by first finding Position at proper rank, then doing insert, delete, etc.

- Finding position is  $O(n)$ , though the actual insert/delete is only  $O(1)$



## Implementing with Array

**atRank()** ?

- $O(1)$

**rankOf()**?

- At each position, store element plus array index
- $O(1)$

**Insert/remove atRank/Before/After?**

- all  $O(n)$



## Comparison

Operation	Array	List
size, isEmpty	$O(1)$	$O(1)$
first, last, before, after	$O(1)$	$O(1)$
insertFirst, insertLast	$O(1)$	$O(1)$
replaceElement, swapElement	$O(1)$	$O(1)$
insertAfter, insertBefore	$O(n)$	$> O(1)$
remove	$O(n)$	$> O(1)$
atRank, rankOf, elemAtRank	$O(1)$	$< O(n)$
replaceAtRank	$O(1)$	$< O(n)$
insertAtRank, remvAtRank	$O(n)$	$O(n)$