

# One-way Functions

601.642/442: Modern Cryptography

Fall 2018

# Today's Agenda

- Learning the crypto language
  - Modeling “real-world” adversaries
  - Defining security against such adversaries
- One-way Functions (OWFs)
  - Motivation
  - Definitions: Strong and Weak OWFs
  - Candidate OWF

# How to model the adversary?

- **Adversary's Resources:** Is the adversary an all powerful entity or does it have bounded computational resources?
- **Adversary's Strategy:** Can the adversary use its resources anyway it likes or is it restricted to certain strategies?

# Adversary Model

**Goal:** Model real-world adversaries

- In practice, *everyone* has bounded computational resources.
- Therefore, it is reasonable to model the adversary as such an entity
- However, we do not make any assumptions about adversarial strategy. Adversary can use its bounded computational resources however intelligently it likes.

## Adversary Model (contd.)

- **Turing machines** capture all types of computations that are possible.
- So our adversary will be a computer program or an algorithm, modeled as a Turing machine.
- Our adversary will also be *efficient* (captured via its running time)
- **Computational Security:** Security against efficient adversaries
- **Information-theoretic Security:** Security against inefficient adversaries
- In this course, we will mostly focus on computational security

# Algorithms and Running Time

## Definition (Algorithm)

An *algorithm* is a deterministic Turing machine whose input and output are strings over the binary alphabet  $\Sigma = \{0, 1\}$ .

## Definition (Running Time)

An algorithm  $\mathcal{A}$  is said to run in time  $T(n)$  if for all  $x \in \{0, 1\}^n$ ,  $\mathcal{A}(x)$  halts within  $T(|x|)$  steps.  $\mathcal{A}$  runs in **polynomial time** if there exists a constant  $c$  such that  $\mathcal{A}$  runs in time  $T(n) = n^c$ .

An algorithm is *efficient* if it runs in polynomial time.

# Randomized Algorithms

## Definition (Randomized Algorithm)

A *randomized algorithm*, also called a **probabilistic polynomial time Turing machine** (PPT) is a Turing machine equipped with an extra *randomness* tape. Each bit of the randomness tape is uniformly and independently chosen.

- Output of a randomized algorithm is a distribution.
- This notion captures what we can do efficiently *ourselves*. (uniform TMs)

# The Adversary

- The adversary could be more tricky...
- For example, the adversary might possess a *different* algorithm for each input size, each of which might be efficient.
- This still counts as efficient since he is using polynomial time resources!
- We call this a *non-uniform* adversary since the algorithm is not uniform across all input sizes.



## Definition (Non-Uniform PPT)

A *non-uniform probabilistic polynomial time Turing machine*  $A$  is a sequence of probabilistic machines  $A = \{A_1, A_2, \dots\}$  for which there exists a polynomial  $p(\cdot)$  such that for every  $A_i \in A$ , the description size  $|A_i|$  and the running time of  $A_i$  are at most  $p(i)$ . We write  $A(x)$  to denote the distribution obtained by running  $A_{|x|}(x)$ .

- Our adversary will usually be a non-uniform PPT Turing machine.  
(most general)

# One Way Functions

- A function is one-way if it “easy to compute,” but “hard to invert”
- Necessary for the existence of most cryptographic primitives (e.g., encryption, digital signatures)
- Also sufficient for some cryptographic primitives (e.g., pseudorandom functions, digital signatures)
- OWFs are at the bottom of the cryptographic “complexity zoo;” hence the natural first primitive for study

# Defining One Way Functions: Attempt 1

**Attempt 1:** A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a one-way function (OWF) if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  
 $\forall x \in \{0, 1\}^*$ ,

$$\Pr [\mathcal{C}(x) = f(x)] = 1.$$

- **Hard to invert:** for every non-uniform PPT adversary  $\mathcal{A}$ , for any input length  $n \in \mathbb{N}$

Probability of Inversion is small

# Defining One Way Functions: Attempt 1

**Attempt 1:** A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a one-way function (OWF) if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  
 $\forall x \in \{0, 1\}^*$ ,

$$\Pr [\mathcal{C}(x) = f(x)] = 1.$$

- **Hard to invert:** for every non-uniform PPT adversary  $\mathcal{A}$ , for any input length  $n \in \mathbb{N}$

$$\Pr [\mathcal{A} \text{ inverts } f(x) \text{ for random } x] \leq \textit{small}.$$

This is called **average-case** hardness.

# Defining One Way Functions: Attempt 1

**Attempt 1:** A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a one-way function (OWF) if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  $\forall x \in \{0, 1\}^*$ ,

$$\Pr [\mathcal{C}(x) = f(x)] = 1.$$

- **Hard to invert:** for every non-uniform PPT adversary  $\mathcal{A}$ , for any input length  $n \in \mathbb{N}$

$$\Pr [x \stackrel{\$}{\leftarrow} \{0, 1\}^n; \mathcal{A} \text{ inverts } f(x)] \leq \textit{small}.$$

# Defining One Way Functions: Attempt 1

**Attempt 1:** A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a one-way function (OWF) if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  $\forall x \in \{0, 1\}^*$ ,

$$\Pr [\mathcal{C}(x) = f(x)] = 1.$$

- **Hard to invert:** for every non-uniform PPT adversary  $\mathcal{A}$ , there exists a **fast decaying function**  $\nu(\cdot)$  s.t. for any input length  $n \in \mathbb{N}$

$$\Pr [x \stackrel{\$}{\leftarrow} \{0, 1\}^n; \mathcal{A} \text{ inverts } f(x)] \leq \nu(n).$$

# Negligible Function

## Definition (Negligible Function)

A function  $\nu(n)$  is negligible if for every  $c$ , there exists some  $n_0$  such that for all  $n > n_0$ ,  $\nu(n) \leq \frac{1}{n^c}$ .

- 1 Negligible function decays faster than all “inverse-polynomial” functions
- 2 That is,  $n^{-\omega(1)}$

# Defining One Way Functions: Attempt 1

**Attempt 1:** A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a one-way function (OWF) if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  $\forall x \in \{0, 1\}^*$ ,

$$\Pr [\mathcal{C}(x) = f(x)] = 1.$$

- **Hard to invert:** for every non-uniform PPT adversary  $\mathcal{A}$ , there exists a *negligible* function  $\nu(\cdot)$  s.t. for any input length  $\forall n \in \mathbb{N}$ :

$$\Pr [x \stackrel{\$}{\leftarrow} \{0, 1\}^n; \mathcal{A} \text{ inverts } f(x)] \leq \nu(|x|).$$



# One Way Functions: Definition

## Definition (One Way Function)

A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a *one-way function* (OWF) if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  $\forall x \in \{0, 1\}^*$ ,

$$\Pr [\mathcal{C}(x) = f(x)] = 1.$$

- **Hard to invert:** there exists a *negligible* function  $\nu : \mathbb{N} \rightarrow \mathbb{R}$  s.t. for every non-uniform PPT adversary  $\mathcal{A}$  and  $\forall n \in \mathbb{N}$ :

$$\Pr \left[ x \leftarrow \{0, 1\}^n, x' \leftarrow \mathcal{A}(1^n, f(x)) : f(x') = f(x) \right] \leq \nu(n).$$

- Note that adversary receives  $n$  as an input in unary. **Think: Why?**
- The above definition is also called **strong** one-way functions.

# Injective OWFs and One Way Permutations (OWP)

- **Injective or 1-1 OWFs:** each image has a *unique* pre-image:

$$f(x_1) = f(x_2) \implies x_1 = x_2$$

- **One Way Permutations (OWP):** 1-1 OWF with the additional conditional that “each image has a pre-image”

(Equivalently: domain and range are of same size.)

# Existence of OWFs

- Do OWFs exist? NOT Unconditionally — proving that  $f$  is one-way requires proving (at least)  $\mathbf{P} \neq \mathbf{NP}$ .
- However, we can construct them ASSUMING that certain problems are hard.
- Such constructions are sometimes called “candidates” because they are based on an assumption or a conjecture.

# Factoring Problem

- Consider the **multiplication** function  $f_{\times} : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ :

$$f_{\times}(x, y) = \begin{cases} \perp & \text{if } x = 1 \vee y = 1 \\ x \cdot y & \text{otherwise} \end{cases}$$

- The first condition helps exclude the trivial factor 1.
- Is  $f_{\times}$  a OWF?
- **Clearly not!** With prob.  $1/2$ , a random number (of any fixed size) is *even*. I.e.,  $xy$  is even w/ prob.  $\frac{3}{4}$  for random  $(x, y)$ .
- Inversion: given number  $z$ , output  $(2, z/2)$  if  $z$  is even and  $(0, 0)$  otherwise! (succeeds 75% time)

## Factoring Problem (continued)

- Eliminate such trivial small factors.
- Let  $\Pi_n$  be the set of all **prime** numbers  $< 2^n$ .
- Choose numbers  $p$  and  $q$  randomly from  $\Pi_n$  and multiply.
- This is unlikely to have small trivial factors.

### Assumption (Factoring Assumption)

*For every (non-uniform PPT) adversary  $\mathcal{A}$ , there exists a negligible function  $\nu$  such that*

$$\Pr \left[ p \xleftarrow{\$} \Pi_n; q \xleftarrow{\$} \Pi_n; N = pq : \mathcal{A}(N) \in \{p, q\} \right] \leq \nu(n).$$

## Factoring Problem (continued)

- Factoring assumption is a well established conjecture.
- Studied for a long time, with no known polynomial-time attack.
- Best known algorithms for breaking Factoring Assumption:

$$2^{O(\sqrt{n \log n})} \quad (\text{provable})$$

$$2^{O(\sqrt[3]{n \log^2 n})} \quad (\text{heuristic})$$

- Can we construct OWFs from the Factoring Assumption?

## Back to Multiplication Function

- Let's reconsider the function  $f_{\times} : \mathbb{N}^2 \rightarrow \mathbb{N}$ .
- Clearly, if a random  $x$  and a random  $y$  happen to be prime, no PPT  $\mathcal{A}$  can invert (except with negligible probability). Call it the GOOD case.
- If GOOD case occurs with probability  $> \varepsilon$ ,  
 $\Rightarrow$  every PPT  $\mathcal{A}$  must fail to invert  $f_{\times}$  with probability at least  $\varepsilon$ .
- Now suppose that  $\varepsilon$  is a noticeable function (Definition of noticeable function later; for now think of it as inverse polynomial.)  
 $\Rightarrow$  every  $\mathcal{A}$  must fail to invert  $f_{\times}$  with noticeable probability.
- This is already useful!
- Usually called a **weak** OWF.

# Weak One Way Functions

## Definition (Weak One Way Function)

A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a *weak one-way function* if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  
 $\forall x \in \{0, 1\}^*$ ,

$$\Pr [\mathcal{C}(x) = f(x)] = 1.$$

- **Somewhat hard to invert:** there is a **noticeable** function  $\varepsilon : \mathbb{N} \rightarrow \mathbb{R}$  s.t. for every non-uniform PPT  $\mathcal{A}$  and  $\forall n \in \mathbb{N}$ :

$$\Pr \left[ x \leftarrow \{0, 1\}^n, x' \leftarrow \mathcal{A}(1^n, f(x)) : f(x') \neq f(x) \right] \geq \varepsilon(n).$$

Noticeable means  $\exists c$  and integer  $N_c$  s.t.  $\forall n > N_c: \varepsilon(n) \geq \frac{1}{n^c}$ .



# Back to Multiplication

- Can we prove that  $f_x$  is a weak OWF?
- Remember the GOOD case? Both  $x$  and  $y$  are prime.
- If we can show that GOOD case occurs with noticeable probability, we can prove that  $f_x$  is a weak OWF.

## Theorem

*Assuming the factoring assumption, function  $f_x$  is a weak OWF.*

- Proof Idea: The fraction of prime numbers between 1 and  $2^n$  is noticeable!
- Chebyshev's theorem: An  $n$  bit number is a prime with probability  $\frac{1}{2n}$
- **Next time:** Formal proof by reduction

# What about strong OWFs?

- Can we construct strong OWFs from the Factoring Assumption?
- Even better:  
Can we construction strong OWFs from ANY weak OWF?
- Yes! Yao's Hardness Amplification Theorem.
- Details Next time!