## Non-Interactive Zero Knowledge (I)

#### $\operatorname{CS}$ 600.442 Modern Cryptography

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## The Setting

- Alice wants to prove an **NP** statement to Bob without revealing her private witness
- However, Alice only has the resource to send a *single* message to Bob. Therefore, they cannot run an interactive zero-knowledge proof
- To make matters worse, 1-message zero-knowledge is only possible for languages in **BPP**! (<u>Think</u>: Why?)
- Fortunately, they both have access to a *common random string* that was (honestly) generated by someone they both trust
- Can Alice prove statements *non-interactively* to Bob using the common random string?

**Syntax.** A non-interactive proof system for a language L with witness relation R is a tuple of algorithms (K, P, V) such that:

• Setup:  $\sigma \leftarrow \mathsf{K}(1^n)$  outputs a common random string

- Prove: π ← P(σ, x, w) takes as input a common random string σ, a statement x ∈ L and a witness w and outputs a proof π
- Verify:  $V(\sigma, x, \pi)$  outputs 1 if it accepts the proof and 0 otherwise

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A non-interactive proof system must satisfy completeness and soundness properties

### Non-Interactive Proofs (contd.)

**Completeness:**  $\forall x \in L, \forall w \in R(x)$ :

$$\Pr\left[\sigma \leftarrow \mathsf{K}(1^n); \pi \leftarrow \mathsf{P}(\sigma, x, w) : \mathsf{V}(\sigma, x, \pi) = 1\right] = 1$$

**Non-Adaptive Soundness:** There exists a negligible function  $\nu(\cdot)$  s.t.  $\forall x \notin L$ :  $\Pr\left[\sigma \leftarrow \mathsf{K}(1^n); \exists \pi \text{ s.t. } \mathsf{V}(\sigma, x, \pi) = 1\right] \leqslant \nu(n)$ 

Adaptive Soundness: There exists a negligible function  $\nu(\cdot)$  s.t.:

$$\Pr\left[\sigma \leftarrow \mathsf{K}(1^n); \exists (x,\pi) \text{ s.t. } x \notin L \land \mathsf{V}(\sigma, x, \pi) = 1\right] \leqslant \nu(n)$$

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Note: In non-adaptive soundness, the adversary chooses x before seeing the common random string whereas in adaptive soundness, it can choose x depending upon the common random string

#### Definition (Non-Adaptive NIZK)

A non-interactive proof system  $(\mathsf{K},\mathsf{P},\mathsf{V})$  for a language L with witness relation R is *non-adaptive zero-knowledge* if there exists a PPT simulator S s.t. for every  $x \in L$ ,  $w \in R(x)$ , the output distributions of the following two experiments are computationally indistinguishable:

$REAL(1^n, x, w)$	$IDEAL(1^n, x)$
$\sigma \leftarrow K(1^n)$	$(\sigma,\pi) \leftarrow \mathcal{S}(1^n,x)$
$\pi \leftarrow P(\sigma, x, w)$	
Output $(\sigma, \pi)$	Output $(\sigma, \pi)$

**Note:** The simulator generates both the common random string and the simulated proof given the statement x is input. In particular, the simulated common random string can depend on x and can therefore only be used for a single proof

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#### Definition (Adaptive NIZK)

A non-interactive proof system  $(\mathsf{K},\mathsf{P},\mathsf{V})$  for a language L with witness relation R is *adaptive zero-knowledge* if there exists a PPT simulator  $\mathcal{S} = (\mathcal{S}_0, \mathcal{S}_1)$  s.t. for every  $x \in L$ ,  $w \in R(x)$ , the output distributions of the following two experiments are computationally indistinguishable:

$REAL(1^n, x, w)$	$IDEAL(1^n, x)$
$\sigma \leftarrow K(1^n)$	$(\sigma, \tau) \leftarrow \mathcal{S}_0(1^n)$
$\pi \gets P(\sigma, x, w)$	$\pi \leftarrow \mathcal{S}_1(\sigma, \tau, x)$
Output $(\sigma, \pi)$	Output $(\sigma, \pi)$

Note 1: Here,  $\tau$  is a "trapdoor" for the simulated common random string  $\sigma$  that is used by  $S_1$  to generate an accepting proof for x without knowing the witness.

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Note 2: This definition captures *reusable* common random strings

- In NIZK, the simulator is given "extra power" to choose the common random string, along with possibly a trapdoor to enable simulation without a witness
- In interactive ZK, the extra power to the simulator was the ability to "reset" the verifier
- Indeed, a simulator must always have some extra power over the normal prover, otherwise, the definition would be impossible to realize for languages outside **BPP**
- In NIZKs, the extra power is ok since we require indistinguishability of the "joint distribution" over the common random string and the proof

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# From Non-Adaptive to Adaptive Soundness

#### Lemma

There exists an efficient transformation from any non-interactive proof system (K, P, V) with non-adaptive soundness into a non-interactive proof system (K', P', V') with adaptive soundness

**Proof Strategy**: Let  $\ell(n)$  be the length of the statements

- Repeat (K, P, V) polynomially many times (with fresh randomness) so that soundness error decreases to  $2^{-2\ell(n)}$
- Non-adaptive soundness means that a randomly sampled  $\sigma$  is "bad" for a statement x with probability  $2^{-2\ell(n)}$
- By Union Bound,  $\sigma$  is "bad" for all statements with probability  $2^{-\ell(n)}$ . Therefore, we have adaptive soundness

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# NIZKs for $\mathbf{NP}$

**I. Non-adaptive Zero Knowledge:** We first construct NIZKs for **NP** with non-adaptive zero-knowledge property using the following two steps:

- Step 1. Construct a NIZK proof system for **NP** in the **hidden-bit model**. This step is unconditional
- Step 2. Using trapdoor permutations, transform any NIZK proof system for language in the hidden-bit model to a non-adaptive NIZK proof system in the common random string model

**II. Adaptive Zero Knowledge:** Next, we transform non-adaptive NIZKs for **NP** into adaptive NIZKs for **NP**. This step only requires one-way functions, which are implied by trapdoor permutations.

Putting all the steps together, we obtain adaptive NIZKs for  ${\bf NP}$  based on trapdoor permutations

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• **Today:** Defining NIZKs in hidden-bit model, and transformation from NIZKs in hidden-bit model to NIZKs in common random string model

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- Next time: NIZKs for NP in the hidden-bit model
- Homework: Non-adaptive NIZKs to Adaptive NIZKs

**Syntax.** A non-interactive proof system for a language L with witness relation R in the hidden-bit model is a tuple of algorithms  $(K_{HB}, P_{HB}, V_{HB})$  such that:

- Setup:  $r \leftarrow \mathsf{K}_{\mathsf{HB}}(1^n)$  outputs the hidden random string
- **Prove:**  $(I, \pi) \leftarrow \mathsf{P}_{\mathsf{HB}}(r, x, w)$  generates the indices  $I \subseteq [|r|]$  of r to reveal, along with a proof  $\pi$
- Verify:  $V_{\mathsf{HB}}(I, \{r_i\}_{i \in I}, \pi)$  outputs 1 if it accepts the proof and 0 otherwise

Such a proof system must satisfy completeness and soundness (similar to as defined earlier)

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#### Definition (NIZK in Hidden Bit Model)

A non-interactive proof system  $(\mathsf{K}_{\mathsf{HB}}, \mathsf{P}_{\mathsf{HB}}, \mathsf{V}_{\mathsf{HB}})$  for a language L with witness relation R in the hidden-bit model is *(non-adaptive)* zero-knowledge if there exists a PPT simulator  $S_{\mathsf{HB}}$  s.t. for every  $x \in L$ ,  $w \in R(x)$ , the output distributions of the following two experiments are computationally indistinguishable:

$REAL(1^n, x, w)$	$IDEAL(1^n, x)$
$r \leftarrow K_{HB}(1^n)$	$(I, \{r_i\}_{i \in I}, \pi) \leftarrow \mathcal{S}_{HB}(1^n, x)$
$(I,\pi) \leftarrow P_{HB}(r,x,w)$	
Output $(I, \{r_i\}_{i \in I}, \pi)$	Output $\left(I, \{r_i\}_{i \in I}, \pi\right)$

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# From NIZK in HB Model to NIZK in CRS Model

**Intuition:** How to transform a "public" random string into a "hidden" random string

- Suppose the prover samples a trapdoor permutation  $(f, f^{-1})$  with hardcore predicate h
- Given a common random string  $\sigma = \sigma_1, \ldots, \sigma_n$ , the prover can compute  $r = r_1, \ldots, r_n$  where:

$$r_i = h(f^{-1}(\sigma_i))$$

- If f is a permutation and h is a hard-core predicate, then r is guaranteed to be random
- Now r can be treated as the hidden random string: V can only see the parts of it that the prover wishes to reveal

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## Construction

Let  $\mathcal{F} = \{f, f^{-1}\}$  be a family of  $2^n$  trapdoor permutations with hardcore predicate h. Let  $(\mathsf{K}_{\mathsf{HB}}, \mathsf{P}_{\mathsf{HB}}, \mathsf{V}_{\mathsf{HB}})$  be a NIZK proof system for L in the hidden-bit model with soundness error  $2^{-2n}$ 

#### $\mathbf{Construction} \ \mathbf{of} \ (\mathsf{K},\mathsf{P},\mathsf{V}) \textbf{:}$

 $\mathsf{K}(1^n)$ : Output a random string  $\sigma = \sigma_1, \ldots, \sigma_n$  s.t.  $\forall i, |\sigma_i| = n$  $\mathsf{P}(\sigma, x, w)$ : Execute the following steps:

- Sample  $(f, f^{-1}) \leftarrow \mathcal{F}(1^n)$
- Compute  $\alpha_i = f^{-1}(\sigma_i)$  for  $i \in [n]$
- Compute  $r_i = h(\alpha_i)$  for  $i \in [n]$
- Compute  $(I, \varphi) \leftarrow \mathsf{P}_{\mathsf{HB}}(r, x, w)$
- Output  $\pi = (f, I, \{\alpha_i\}_{i \in I}, \Phi)$

 $\mathsf{V}(\sigma, x, \pi)$ : Parse  $\pi = (f, I, \{\alpha_i\}_{i \in I}, \Phi)$  and:

• Check  $f \in \mathcal{F}$  and  $f(\alpha_i) = \sigma_i$  for every  $i \in I$ 

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- Compute  $r_i = h(\alpha_i)$  for  $i \in I$
- Output  $V_{\mathsf{HB}}(I, \{r_i\}_{i \in I}, x, \Phi)$

# (K, P, V) is a Non-Interactive Proof

- Completeness:  $\alpha$  is uniformly distributed since  $f^{-1}$  is a permutation and  $\sigma$  is random. Further, since h is a hard-core predicate, r is also uniformly distributed. Completeness follows from the completeness of (K<sub>HB</sub>, P<sub>HB</sub>, V<sub>HB</sub>)
- Soundness: For any  $f = f_0$ , r is uniformly random, so from (non-adaptive) soundness of (K<sub>HB</sub>, P<sub>HB</sub>, V<sub>HB</sub>), we have:

 $\Pr_{\sigma}[P^* \text{ can cheat using } f_0] \leq 2^{-2n}$ 

Since there are only  $2^n$  possible choices of f (verifier checks that  $f \in \mathcal{F}$ ), by union bound, it follows:

 $\Pr_{\sigma}[P^* \text{ can cheat }] \leqslant 2^{-n}$ 

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# Proof of Zero Knowledge: Simulator

Let  $\mathcal{S}_{HB}$  be the simulator for  $(K_{HB}, P_{HB}, V_{HB})$ 

Simulator  $\mathcal{S}(1^n, x)$ :

- $(I, \{r_i\}_{i \in I}, \Phi) \leftarrow \mathcal{S}_{\mathsf{HB}}(1^n, x)$
- $(f, f^{-1}) \leftarrow \mathcal{F}$
- $\ \, {\mathfrak S} \ \, \alpha_i \leftarrow h^{-1}(r_i) \ \, {\rm for \ every} \ \, i \in I$
- $\sigma_i = f(\alpha_i)$  for every  $i \in I$
- **6**  $\sigma_i \stackrel{\hspace{0.1em}\mathsf{\scriptscriptstyle\$}}{\leftarrow} \{0,1\}^n$  for every  $i \notin I$
- Output  $(\sigma, f, I, \{\alpha_i\}_{i \in I}, \Phi)$

Note:  $h^{-1}(r_i)$  denotes sampling from the pre-image of  $r_i$ , which can be done efficiently by simply trying random  $\alpha_i$ 's until  $h(\alpha_i) = r_i$ 

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## Proof of Zero Knowledge: Hybrids

**Hybrid**  $H_0(1^n, x, w) := \mathsf{REAL}(1^n, x, w)$ :

- $\sigma \leftarrow \mathsf{K}(1^n)$  where  $\sigma = \sigma_1, \ldots, \sigma_n$
- $@ \ (f,f^{-1}) \leftarrow \mathcal{F} \\$
- $r_i = h(\alpha_i)$  for every  $i \in [n]$
- $(I, \Phi) \leftarrow \mathsf{P}_{\mathsf{HB}}(r, x, w)$
- Output  $(\sigma, f, I, \{\alpha_i\}_{i \in I}, \Phi)$

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**Hybrid**  $H_1(1^n, x, w)$ :

- $\alpha_i \stackrel{*}{\leftarrow} \{0,1\}^n$  for every  $i \in [n]$
- ${\it 2} \ (f,f^{-1}) \leftarrow {\cal F}$
- $\ \, \bullet \ \, \sigma_i \leftarrow f(\alpha_i) \ \, \text{for every} \ \, i \in [n]$
- $r_i = h(\alpha_i)$  for every  $i \in [n]$
- $(I, \Phi) \leftarrow \mathsf{P}_{\mathsf{HB}}(r, x, w)$
- Output  $(\sigma, f, I, \{\alpha_i\}_{i \in I}, \Phi)$

 $H_0 \approx H_1$ : In  $H_1$ , we sample  $\alpha_i$  at random and then compute  $\sigma_i$  (instead of sampling  $\sigma_i$  and then computing  $\alpha_i$  as in  $H_0$ ). This induces an identical distribution since f is a permutation

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**Hybrid**  $H_2(1^n, x, w)$ :

- $r_i \stackrel{\hspace{0.1em}\mathsf{\scriptscriptstyle\$}}{\leftarrow} \{0,1\}$  for every  $i \in [n]$
- ${\it 2} \ (f,f^{-1}) \leftarrow {\cal F}$
- $\ \ \, \mathbf{0} \ \, \alpha_i \leftarrow h^{-1}(r_i) \text{ for every } i \in [n]$
- $\sigma_i = f(\alpha_i)$  for every  $i \in [n]$
- $(I, \Phi) \leftarrow \mathsf{P}_{\mathsf{HB}}(r, x, w)$
- Output  $(\sigma, f, I, \{\alpha_i\}_{i \in I}, \Phi)$

 $H_1 \approx H_2$ : In  $H_2$ , we again change the sampling order: first sample  $r = r_1, \ldots, r_n$  at random and then sample  $\alpha_i$  from the pre-image of  $r_i$  (as described earlier). This distribution is identical to  $H_1$ 

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Hybrid  $H_3(1^n, x, w)$ : •  $r_i \stackrel{\$}{\leftarrow} \{0, 1\}$  for every  $i \in [n]$ •  $(f, f^{-1}) \leftarrow \mathcal{F}$ •  $\alpha_i \leftarrow h^{-1}(r_i)$  for every  $i \in [n]$ •  $(I, \Phi) \leftarrow \mathsf{P}_{\mathsf{HB}}(r, x, w)$ 

- $\sigma_i = f(\alpha_i)$  for every  $i \in I$
- **6**  $\sigma_i \stackrel{\hspace{0.1em}\mathsf{\scriptscriptstyle\$}}{\leftarrow} \{0,1\}^n$  for every  $i \notin I$
- Output  $(\sigma, f, I, \{\alpha_i\}_{i \in I}, \Phi)$

 $H_2 \approx_c H_3$ : In  $H_3$ , we output random  $\sigma_i$  for  $i \in I$ . From security of hard-core predicate h, it follows that:

$$\{f(h^{-1}(r_i))\} \approx_c U_n$$

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Indistinguishability of  $H_2$  and  $H_3$  follows using the above equation

- **Hybrid**  $H_4(1^n, x) := \mathsf{IDEAL}(1^n, x)$ :
  - $(I, \{r_i\}_{i \in I}, \Phi) \leftarrow \mathcal{S}_{\mathsf{HB}}(1^n, x)$
  - $2 \ (f,f^{-1}) \leftarrow \mathcal{F}$
  - $a_i \leftarrow h^{-1}(r_i)$  for every  $i \in I$
  - $\sigma_i = f(\alpha_i)$  for every  $i \in I$
  - $\sigma_i \stackrel{\text{s}}{\leftarrow} \{0,1\}^n$  for every  $i \notin I$
  - Output  $(\sigma, f, I, \{\alpha_i\}_{i \in I}, \Phi)$

 $H_3 \approx_c H_4$ : In  $H_4$ , we swap  $\mathsf{P}_{\mathsf{HB}}$  with  $\mathcal{S}_{\mathsf{HB}}$ . Indistinguishability follows from the zero-knowledge property of  $(\mathsf{K}_{\mathsf{HB}}, \mathsf{P}_{\mathsf{HB}}, \mathsf{V}_{\mathsf{HB}})$ 

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